

City of Santa Cruz

California 2020 Annual Budget

July 1, 2019-June 30, 2020

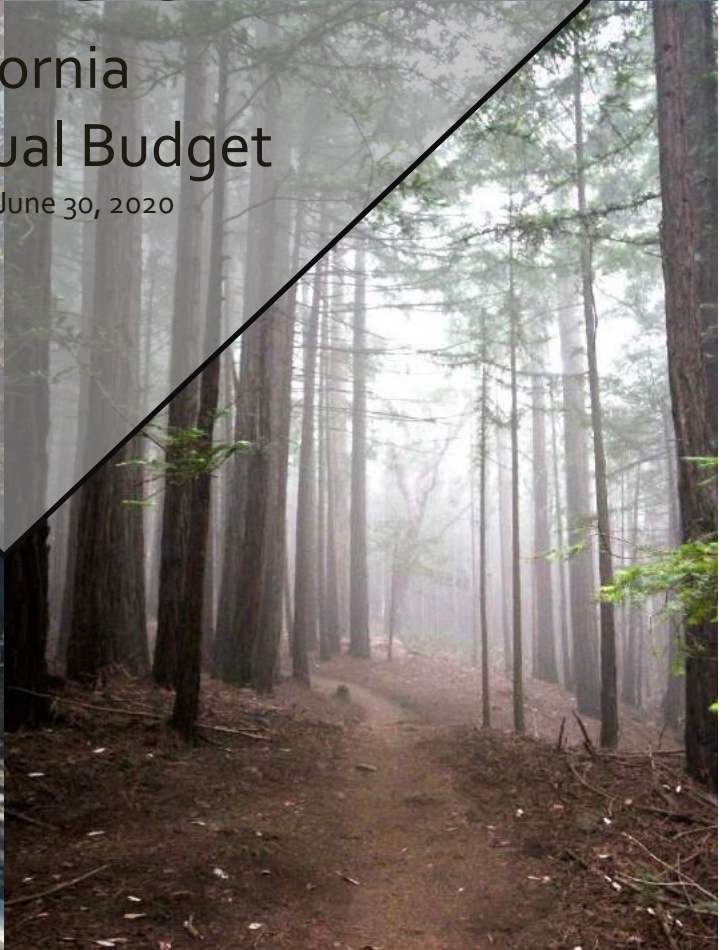


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ADOPTED ANNUAL BUDGET

Fiscal Year 2020

July 1, 2019 – June 30, 2020

Mayor Martine Watkins

**Vice Mayor Justin Cummings
Councilmember Drew Glover
Councilmember Cynthia
Mathews**

**Councilmember Sandy Brown
Councilmember Chris Krohn
Councilmember Donna Meyers**

**Martín Bernal
City Manager**



GOVERNMENT FINANCE OFFICERS ASSOCIATION

*Distinguished
Budget Presentation
Award*

PRESENTED TO

**City of Santa Cruz
California**

For the Fiscal Year Beginning

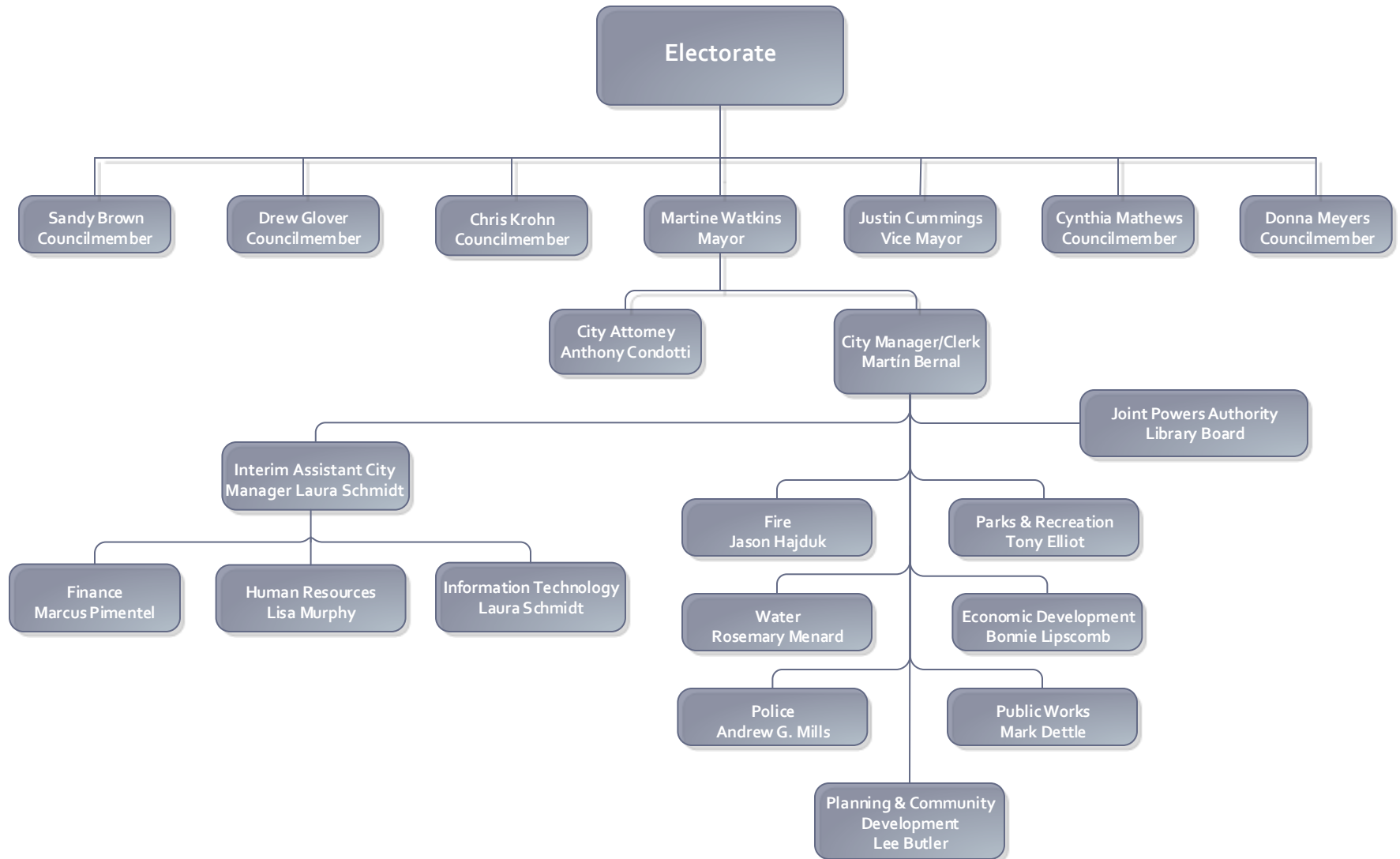
July 1, 2018

Christopher P. Morill

Executive Director



City of Santa Cruz Organization Chart



Administrative Staff

City Manager	Martín Bernal
Assistant City Manager	Tina Shull
Chief of Fire	Jason Hajduk
Chief of Police	Andrew Mills
City Attorney	Anthony Condotti
Director of Economic Development	Bonnie Lipscomb
Director of Finance	Marcus Pimentel
Director of Human Resources.....	Lisa Murphy
Director of Information Technology.....	Laura Schmidt
Director of Libraries	Susan Nemitz
Director of Parks and Recreation.....	Tony Elliot
Director of Planning and Community Development	Lee Butler
Director of Public Works.....	Mark Dettle
Director of Water	Rosemary Menard

Board, Commission & Committee Chairpersons

Arts Commission	Louise Leong
Board of Building and Fire Appeals	William Kempf
Commission for Prevention of Violence Against Women	Brooke Newman
Downtown Commission.....	Casey Coonerty Protti
Equal Employment Opportunity Committee.....	Amy Chirman
Historic Preservation Commission.....	Joe Michalak
Parks and Recreation Commission	JM Brown
Planning Commission.....	Greg Pepping
Sister Cities Committee	Linda Snook
Transportation and Public Works Commission	Philip Boutelle
Water Commission.....	Doug Engfer

Guide to the Document

This Guide to the Document gives a brief description of the document organization and the different sections within the document.

Budget Dashboard

This section of the budget document contains important information with regards to the City of Santa Cruz and the budget. The **City Manager's Message** gives an overview of the City's goals and accomplishments. The **Finance Director's Overview** focuses on the fiscal health of the City and looks forward at upcoming trends and forecasts. The **Budget in Brief** is a quick look at the budget. Numbers shown here are staffing levels, the City-wide budget and the General Fund budget by source (revenue) and use (expenditures). Also included in this section is a **City Introduction**. Information included here are a description of the City's government structure and services, the basis of accounting, the budget process and the City's fund structure.

Primary General Fund Budget and General Tax Trends

This section of the budget document focuses on the City's primary general fund and general tax revenues. **Primary General Fund Budget Net of Program Revenues and Charts** provides information about total expenditures, how much of those total expenditures are financed with program revenues and other allocated sources, and the resulting net amount of expenditures which need to be supported by taxes and other general revenues. **Primary General Fund Budget and General Revenues Tax Trends and Charts** provide historical and comparative information about the City's Tax Revenues.

City Debt Obligations

This section includes information about the City's debt obligations, future planned obligations, and unfunded liabilities (Pensions, Other Post Employment Benefit Obligations, and unfunded capital and infrastructure). Included within the schedules are the City's outstanding debt and current and future debt service payments.

City Council Strategic Goals and Priorities – New section for FY2020

In September of 2015, the City Council adopted their Strategic Plan. Within this plan there are seven goals and strategies to achieve them.

Community Profile Data and History – New section for FY2020

This section provides a quick look at the demographics of the residents within the City of Santa Cruz as well as amenities, transit and environmental data and community engagement information. A brief history of Santa Cruz is included and where to find more detail.

Personnel Profile-Overview

This section provides information about position additions and deletions by department from fiscal year 2018 to fiscal year 2019.

Authorized Positions

This section includes information about authorized position counts for all departments, including all positions in the department, supported by all funding sources. The schedule is displayed by the authorized positions in the department for the FY 2018 amended budget, FY 2019 adopted budget, authorized positions currently in the FY 2019 amended budget, the positions included in the budget for FY 2020, and the change from the FY 2019 amended budget to the FY 2020 Adopted. The Personnel Profile includes each department's authorized positions, including those that are funded by other departments and those positions that are authorized but not funded.

Guide to the Document

Department Summaries – New for FY2020 Workload Indicators and Alignment to City Council Strategic Goals

This section allows departments to spotlight their accomplishments for FY2019 and goals for FY2020. New this year was aligning these accomplishments and goals to the City Council Strategic Goals and Priorities. Workload indicators were also added this year. This section of the budget also provides a description of the department and the total **Expenditures by Character** for the FY 2018 actual expenditures, the FY 2019 adopted budget, the FY 2019 amended budget, the FY 2019 estimated actual, and the adopted budget for FY 2020. The **Expenditures by Activity** section displays the same information by activity and fund, and **Resources by Fund** displays the total funding sources by fund followed by the Net General Fund cost. The **Total Authorized Personnel by Department** line shows the total number of positions authorized by the department.

Capital Outlay

The capital outlay section lists the specific capital equipment items that are funded in the capital outlay accounts in the expenditure detail section of each activity and department. Capital Outlay expenditures should eventually be classified as Capital Assets in the City's Comprehensive Annual Financial Report (CAFR). Capital Assets are defined by the City as assets having an estimated useful life in excess of one year, and an initial, individual cost in excess of the capitalization thresholds described below:

Infrastructure	\$ 25,000
Buildings and lease improvements	10,000
Land improvements	10,000
Machinery and equipment	5,000
Intangibles	5,000

Capital Investment Program

The Capital Investment Program identifies major capital projects and funding sources. A project is considered a Capital Investment project if it is undertaken to acquire a capital asset (construction of public building, street improvements, fire trucks), will have a long service life and is nonrecurring, or is an expensive project or considered major. A more detailed guide is included in the introductory section of the Capital Investment Program Budget document.

Financial Summaries

This section of the budget document gives the reader an overall representation of the City and the budget.

Summary of Projected Revenues and Other Financing Sources by Fund Type provides information about each revenue source, both from a historical perspective and the future year projected amounts.

Summary of Projected Expenditures and Other Financing Uses by Fund Type provides information about the operating budget for each fund including total Operating Budget, Debt Service, and Capital Outlay.

Summary of Transfers (between funds) provides information about various fund transfers.

Revenues and Other Financing Sources-Primary General Fund provides information about each General Fund revenue source, both from a historical perspective and the future projected amounts.

Guide to the Document

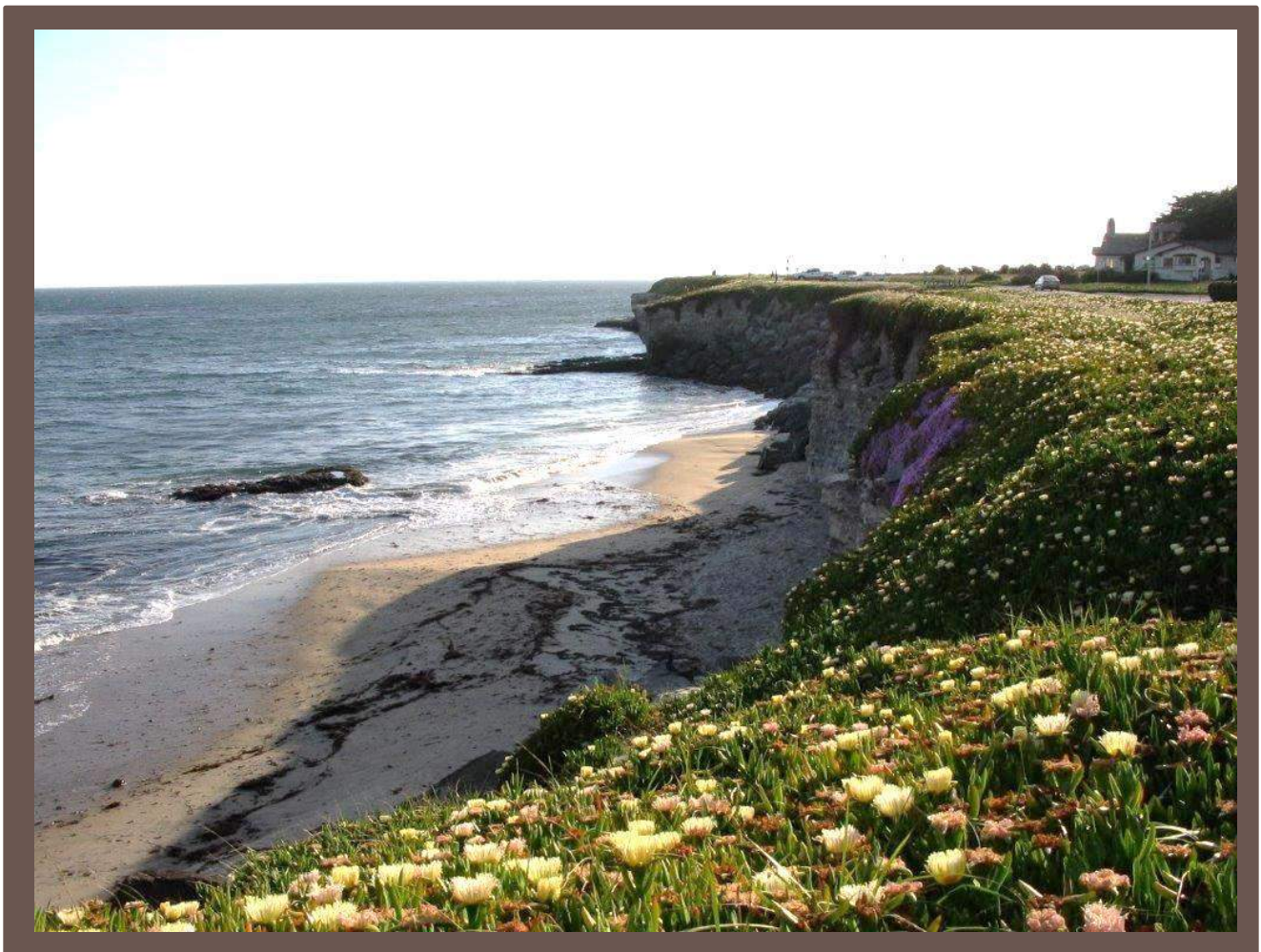
Operating Expenditures by Department-Primary General Fund provides information about each General Fund account both from a historical perspective and the future projected amounts.

Estimated Fund Balance Projections

For budgeting purposes, ending estimated fund balance is defined as a fund's current ending assets less its current ending liabilities. The Estimated Fund Balance Projections present the beginning and ending estimated fund balance available for appropriation for each fiscal year. Each fund is displayed on a separate page showing the name of the fund, the fund number, and fund description, along with expenditures and revenues summarized by their major categories.

Index – New for FY2020 Financial Policies

The Index Section has been expanded to include our financial policies. Also in the Index section are the **FY 2020 Adopted Budget Solutions**. These solutions were presented at the budget hearings held on May 8th, May 28th and June 11th. These department reductions as well as revenue enhancements made it possible to maintain a balanced General Fund budget. A **Legal Debt Limit** schedule is presented as of Fiscal Year 2018 (most current assessed City of Santa Cruz real and personal property value). New this year are some of the policies that can affect the budget. Policies included are the **Budgetary Policy, Debt Management Policy, General Fund Reserve Policy, Budget Adjustment Policy, budget sections of the City Charter, Council Policy-Finance & Accounting, Grants Policy, and the Donations & Contributions Policy**. The **Acronyms and Glossary** sections provide definitions to many of the acronyms used throughout the document.





Budget Dashboard

OVERVIEW

This section contains the following information:

- City Manager's Budget Message
- Finance Director's Overview
- Budget in Brief
- Community Profile
- City Introduction
- Primary General Fund Budget & General Revenues
- Debt Service Obligations
- City Council Goals and Priorities (2015)
- Personnel Profile – Overview of Changes
- Personnel Authorization
- FY 2020 Budget Adoption Resolution

CITY MANAGER'S FISCAL YEAR 2020 BUDGET MESSAGE



To the Honorable Mayor and Members of the City Council:

With my great appreciation to the many City staff members who helped in its development, I submit to the City Council and Santa Cruz Community the Fiscal Year (FY) 2020 Budget. Building on our Fiscal Sustainability Plan, the objectives used in developing this budget were to present a balanced budget with prudent revenue and expenditure estimates; to do the best job possible of maintaining current excellent levels of service to the community; and to implement City Council's priorities in the City's Work Plan.

The FY 2020 Budget can be characterized as "proceed with caution." Thanks to both community support for Measure S last year and prudent and proactive financial planning, Santa Cruz is in better shape than many cities in California with the complexity of our needs.

However, the deficit we projected in our Fiscal Sustainability Plan is growing at a more accelerated pace than expected due to significant flattening in tax revenue (such as fewer taxable items and more nontaxable services purchased) unanticipated costs (such as those resulting from the Martin vs. Boise court decision on homelessness), as well as employee cost increases. The City's budget is within manageable parameters, but not strong enough to support unanticipated expenses, needed infrastructure repairs and maintenance, or to withstand significant emergency spending. As a result, reductions to City services will be necessary to balance the FY 2020 Budget.

The total budget for FY 2020 is \$263.3 million. This includes an operating budget of \$242.2 million and capital investment project (CIP) budget of \$21.1 million (of which none is for General Fund capital investment projects). The major operations included in the FY 2020 operating budget are the City's General Fund of \$107.0 million, which supports our day-to-day public safety, roads, traffic, and parks operations; and the operations and capital budgets of the Water Enterprise Fund (\$46.9 million); the Wastewater Enterprise Fund (\$23.4 million); and the Refuse Enterprise Fund (\$24.4 million).

Our Fiscal Sustainability Plan has served us well and thanks to City leadership and the support of our community, we have been able to recover from the worst recession in recent history, as well as to make significant progress to achieve the City's Strategic Vision and Work Plan.

Nonetheless, as I have reported in the last several budget messages, despite our achievements, there are dark clouds looming on the horizon for our General Fund that will require adjusting our fiscal strategy as well as making difficult decisions as the City continues to face growing budget deficits.



The overall economic trends reported in prior budget messages persist. While we continue in a period of positive economic activity with growing revenues, the foundation for those revenue sources is declining, particularly our sales tax, which has been a significant source of funding for the General Fund. Our voters approved a quarter-cent sales tax increase in June of 2018 with a passage rate of 72%, which was important for the preservation of City

services. However, in addition to flattening revenues, costs are increasing to the point of outpacing revenue growth. Employee costs are growing due to employee retirement system (PERS) rate increases passed on to cities from PERS investment shortfalls, increases to health insurance costs, and the challenge of providing salaries that are competitive enough to recruit, and as importantly, to retain employees. We continue to face a major deficit in our General Fund capital improvement investments, resulting in General Fund deferred maintenance growing at an unsustainable level. An economic slow-down is forecast in the coming years which will further strain the budget.

Our Fiscal Sustainability Plan calls for continued strategies to increase revenues, reduce expenditures, and implement best management fiscal practices and policies. Unfortunately, our most recent modeling, based upon the latest information on cost commitments and risk factors, points to a larger General Fund deficit for the coming budget year of \$3.1 to 3.2 million and potentially greater depending on upcoming decisions affecting revenues and expenditures. To maintain a balanced budget, the FY 2020 Budget must be adopted with changes to address this deficit.

Due to proactive efforts within our Fiscal Sustainability Plan, we have multiple strategies underway to balance the budget and address a previously anticipated \$1.6 million General Fund deficit as well as larger shortfalls in later years. These strategies include a second phase of the staff-led, award-winning Action Labs, reductions put forward by each department, and preparation for potential 2020 ballot measures.

However, I cannot emphasize enough the fact that the City's budget deficit has significantly increased beyond projections over the last several months which will require the City Council to make difficult decisions as part of the FY 2020 Budget deliberations, as well as potentially

making additional reductions in the fall of 2020, depending on the City Council adopted budget. The deficit has grown from \$1.6 Million to a range of \$3.1 to \$3.2 million, depending on Council actions.

We have therefore approached the FY 2020 Budget with more conservatism and caution. One step we are taking is to further restrain General Fund expenditures by including minimal new General Fund positions in the FY 2020 Budget; there are a few additions in the Enterprise Funds and the Library system which do not rely on the City's General Fund. Another step is recommending against new General Fund or related Public Trust Fund CIP projects.



As I noted above, this is a disappointing turn as these projects are sorely needed and our infrastructure will only continue to degrade without investment. Additionally, the City Council, through a Revenue Subcommittee, is expected to explore revenue enhancements for upcoming special or regular elections. Although any measures put before the voters may not yield revenues for FY 2020, they would provide vital support in FY 2021 and beyond.

The FY 2020 Budget balancing undoubtedly will be difficult, but we are sharply focused on prudent and effective strategies to ensure fiscal sustainability while preserving our valuable services to the community.

I would like to extend my deepest appreciation to our budget development team including our Finance Director, Marcus Pimentel, his excellent budget staff, as well as all of the department budget leads and coordinators. They have improved the budget document and process every single year. We have more staff from all levels of the organization involved in providing input to decision making than ever before.

I would also be remiss if I didn't acknowledge the tremendous dedication and support of the City's Executive Team. They focus on the big picture and truly look beyond the boundaries of their department to contribute toward problem solving and the improvement of programs and services critical to the quality of life in our community.

Finally, I want to thank the City Council for commitment to the community. I look forward to your deliberations as we work to respond to our community's challenges.

Sincerely,

Martín Bernal
City Manager



Finance Director's Overview

Adopted FY 2020 Budget



CITY OF SANTA CRUZ

FY2020 BUDGET
\$3.2 MILLION IN SOLUTIONS TO FULLY FUND GENERAL FUND

FISCAL 2023 SUSTAINABILITY PLAN

FY 2016-2018
\$ 9.3 MILLION SAVED

FY2019
\$4.6 MILLION SAVED

SERVICE & COST DEMANDS

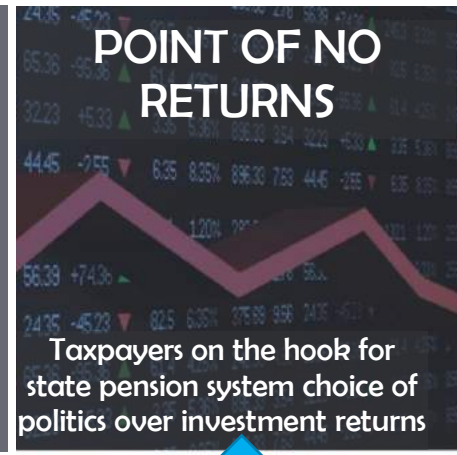
Housing-related services and expectations are growing

Other service expectations increasing



CAPITAL INVESTMENT LIABILITY

Fire engines & storm drain improvements joined the long list of unfunded facility and other capital projects



TAX BASE DECLINES

Sales tax base continues to decline as the State delays reforms.

Other tax bases that funds core services also declining.

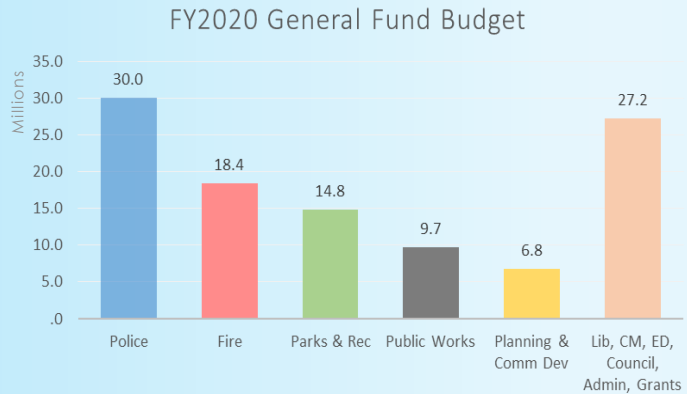
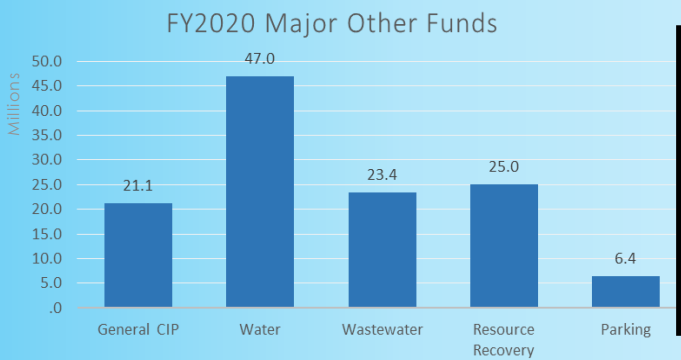
A GAME CHANGER SALES TAX REFORM



BACKFILL PENSION INVESTMENTS

Poor State investment returns result in another doubling of costs. Reforms are helping but not quickly enough.

The \$263.9 million FY2020 Adopted Budget is reflective of the decades of forward-looking fiscal strategies and, except for the General Fund, provides for critical investments to serve our community.



However, the FY2020 General Fund required at least \$3.2 million in budgetary solutions before considering costs for infrastructure & capital investments, or additional community services.

To adopt a balanced General Fund Budget, staff proposed \$3.2 million in service and cost reductions and new revenue options to rebalance the FY 2020 General Fund budget.

During the May 8, 2019 City Council Budget Study Session, an initial package of \$2.4 million in proposed budget solutions was previewed by the City Council for initial feedback. While these solutions were not presented for final direction, there was general support by the City Council for nearly \$2.0 million of the solutions. Staff used the public and City Council feedback to identify and create the proposed \$3.2 million in General Fund budget solutions presented to Council during the Budget Hearings on May 28th and June 11th. These solutions limited the impact on reductions to Fire, Parks & Recreation, and Police.

The solutions included both cost and service reductions (like graffiti abatement, employee training, facility maintenance, and browning out grass & vegetation), as well as options to increase revenue (further study of a first responder fee, further study of increasing Golf fees, expanded cost recovery of credit card fees, and increases for parking).

FY 2020 was a landmark year

The FY 2020 year had emerged in our prior forecasts as the proverbial “fiscal cliff” with deficits ranging up to \$12 million. Due to commitment to the City’s Fiscal 2023 Sustainability Strategies, these deficits were reduced down to \$1.6 million at the start of this budget season but increased to \$3.2 million by the time the proposed budget was presented.

Our forecasts still indicate ongoing out-year deficits, due in large part to: (1) higher levels of cost and service demands; (2) increasing need for emergency capital investments; (3) declining revenue tax bases; and (4) sub-performance of the State Pension investments.

1 Service & cost demands

The City continues to face challenging economic conditions where costs of services continue to increase while the revenue required to support those increases is falling short. As referenced in the City Manager's Message, the City is making big investments to retain employees by covering retirement and health care cost increases while providing employees with resources to remain competitive in the market and provide cost-of-living adjustments.

In addition, there continues to be increased demand and pressure on city operations due to the housing crisis and those living without shelter. While the City is grateful for the State's participation in and funding towards finding local solutions, the demand for housing and basic human services has overwhelmed the County, resulting in demand for City resources. With respect to services for unsheltered persons, staff are developing systems to reasonably report on the cost of providing these services.

Finally, there are some additional fiscal impacts to the City under State proposed bills and within the Governor's budget goals. Staff will continue to monitor these closely as these impacts can influence road funding, as well as create more unfunded mandates or move local control to the State.

2 Capital investment liability



As reviewed in the 3rd part of the FY 2019 Mid-Year Report on March 12, 2019 and highlighted in the "Unfunded" section of the FY2020-FY2024 CIP, the City's capital demand has increased over \$310 million, yet the General Fund is poised to have another year without a dedicated CIP funding source. This will further delay projects like West Cliff Drive erosion protection and various storm drain projects that otherwise would have already been funded. There are also other community investments for projects like Wharf or Civic Auditorium upgrades, or setting aside funding for the replacement of our aging Fire fleet.

3 Tax base declines

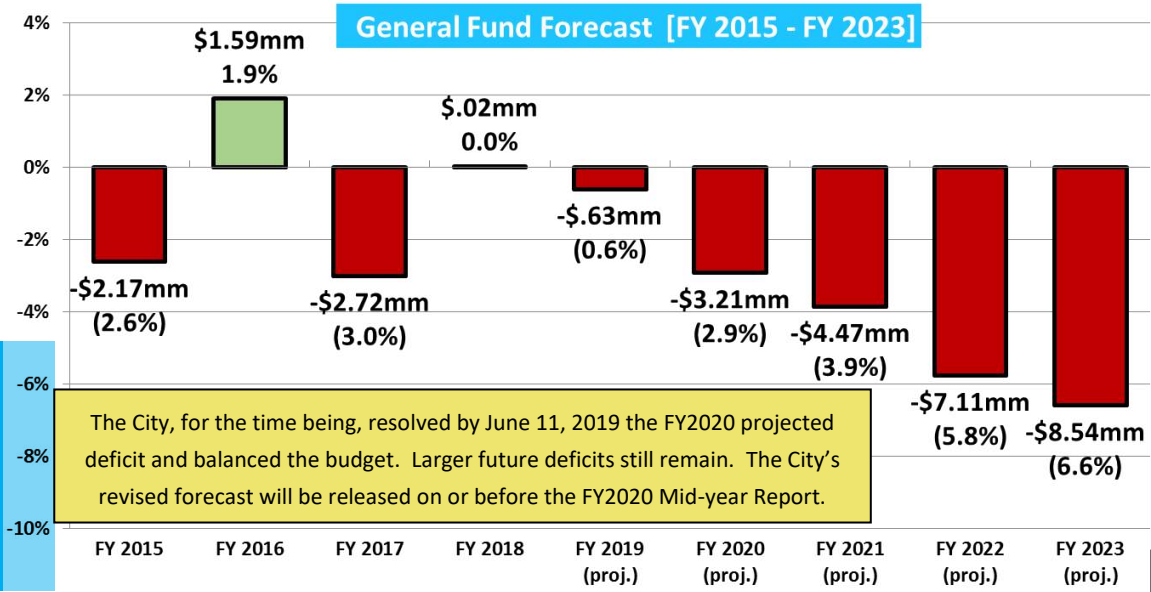
As chronicled in the recent FY 2019 Mid-Year Council reports and prior budget messages, three of the City's top tax bases are eroding. Sales and utility taxable goods are falling into untaxed "service"-like categories (downloads & streaming) while previous declines in gas prices and fuel efficiency have impacted sales tax on gasoline. Locally, the Transient Occupancy Tax base has been eroding by online booking companies that still refuse to be responsible to collect and report on tax collections. However, staff have been successful in identifying and collecting on many of these properties locally.

4 Backfill pension investments

The General Fund is on pace for a second doubling of CalPERS pension costs, driven by Great Recession losses and subsequent market underperformance by the State pension investment fund. As noted in the May 8, 2019 Budget Hearing presentation, if the State had earned their historical averages or average returns from various index funds, the pension system would be fully funded. Instead, the City has seen its share of investment shortfalls increase by over 1,700% since the early 2000s.

This chart from the Proposed Budget illustrates the fiscal challenges facing the General Fund and highlights the need for continued, decisive action to stabilize services.

General Fund

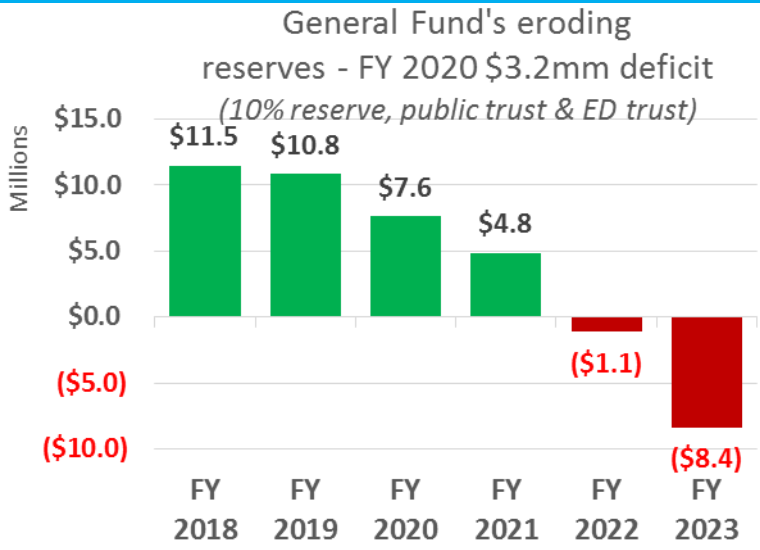


The City, for the time being, resolved by June 11, 2019 the FY2020 projected deficit and balanced the budget. Larger future deficits still remain. The City's revised forecast will be released on or before the FY2020 Mid-year Report.

The Threat to Years of Unbalanced Budgets

Without the City Council's budget solutions made by June 11, 2019, the General Fund's 10% reserve, public trust, and economic development trusts would have all been gone by FY 2022.

The public trust and economic development trust are still in jeopardy.



The General Fund's Adopted FY 2020 Budget of \$107.0 million required \$3.2 million in departmental budget solutions (see the Index section of this document for the complete list of budget solutions). With the high likelihood of future deficits, it was imperative that the bulk of these moderate solutions had to be implemented. By doing so, the City Council relieved some pressure on future service reductions and positioned the General Fund to build up cash reserves for the coming economic slowdown. The City Council actions also continued to invest in pension solutions to counteract the State of California's mandate that all California agencies must pay more to fund pension coffers for poor investment decisions. The City Council unanimously adopted the FY 2020 budget on June 11, 2019.

Solutions to fully fund FY 2020 General Fund

As summarized in the table below, from the City Council Budget Study Session and Budget Hearings on May 8th, May 28 and June 11, 2019, staff identified \$3.2 million in reasonably bearable budget reductions and revenue enhancements to balance the General Fund Budget. In addition to the solutions, several new additions were identified and added to the budget. Many of the proposals required Council and public discussion.

The full list of budget solutions is included in the Index section of this document.

Department	May 8th Baseline	May 28th Approved	Final Adjustments June 11th	Total Changes
City Council	8,000	8,000		16,000
City Manager/Clerk	35,000	40,000	(209,100)	(134,100)
City Attorney			(300,000)	(300,000)
Community	102,000	0	(60,000)	42,000
Economic Development	115,000	152,000		267,000
Finance	236,000	24,300		260,300
Fire	264,000	0		264,000
Human Resources	31,000	50,000		81,000
Information Technology	98,000	144,000		242,000
Parks & Recreation	158,000	360,000		518,000
Planning & Community Dev.	270,000	100,000	60,000	430,000
Police	0	182,000		182,000
Public Works	348,833	212,350	63,000	624,183
Staff labor cost increases			(595,000)	(595,000)
Other/Salary Savings			1,368,000	1,368,000
Totals	1,665,833	1,272,650	326,900	3,265,383

Fiscal 2023 Sustainability Plan

\$13.9 Million Gained



Future year gaps have shrunk

But more work is required to mitigate growing deficits

Starting formally in FY 2016, the Finance Department, in partnership with the City Manager's Office and other City leaders, developed the Fiscal 2023 Sustainability Plan. The primary objective was to develop a potential roadmap to proactively restructure the General Fund's fiscal outlook with the goal of eliminating the deficits projected through Fiscal Year 2023. This last year was targeted due to expected fiscal relief from the retirement of long-term pension debt, the completion of various large-scale development projects, and that the steep increases in costs to backfill the California pension investment funds would start to level out.

FY 2016 - FY 2018 Major Solutions

- \$6.7 million in base budget reductions
- \$1.6 million in updated cost plans and fee recovery
- \$360k in pension cost savings by Trust earnings and advanced payment

FY 2019 Major Solutions

- \$2.8 million in base budget reductions
- \$1.6 million in new sales tax (\$3.3 million annually)
- \$370k in pension cost savings by liability pay-down

FY 2020 - FY 2023 Possible Major Solutions

- Action Lab 2.0
- Budget Reductions
- Phase III Cost Recovery - Building
- Further Reduce Pension Costs
- 2020 Ballot Measures

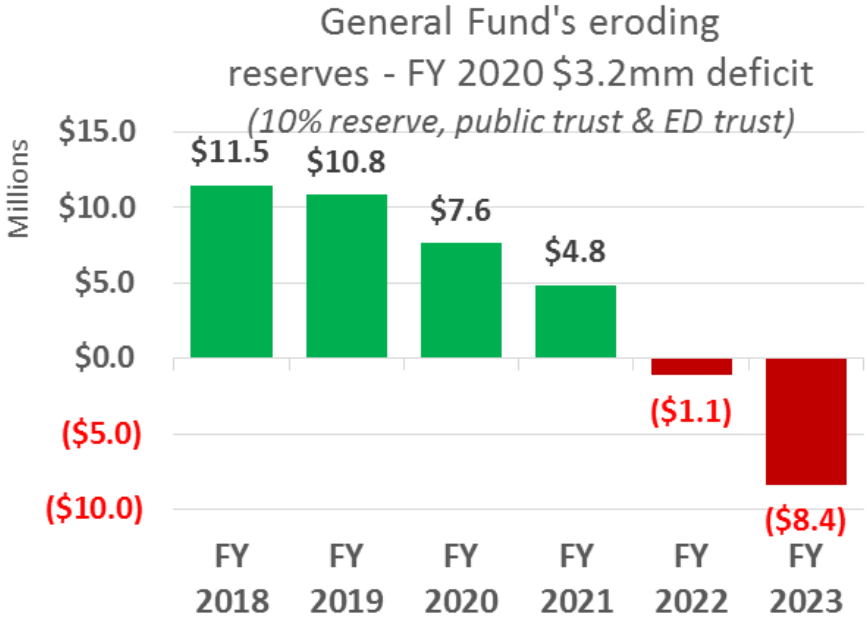


General Fund Declining Reserves, Trusts, and Climate Resiliency Fund

Without solutions to fully fund the FY2020 Budget and future General Fund deficits, the General Fund’s 10% reserve, public trust, and economic development trusts would have been gone by FY 2022.

In addition, the General Fund does not currently hold flexible cash balances that can be applied to immediate needs without pulling from reserves or trust balances. For example, if the City had a sufficient Climate Resiliency Fund, it would be able to sustain itself during a disaster, given the increasing risk of disasters due to climate change. Reserves could also be a resource to help fund any immediate and/or significant capital need or to mitigate a potential asset failure.

The City’s policy identified a goal of a 5% contingency balance to address any of these situations. However, no progress has been made to achieve that goal. The charts below summarize the existing balances as of the last completed, audited financial statements and the projected decline of the General Fund reserves.



Audited General Fund Balances	at 6/30/18
Primary reserve (10%)	\$ 4,215,019
City Public Trust	\$ 4,263,232
Economic Development Trust	\$ 2,998,292
Total	\$ 11,476,543

Frequently Asked Questions

Q: Where is the revenue coming from in the General Fund?

General Fund revenue is made up of several sources. The largest piece, taxes, accounts for 75% of total revenue (Property Taxes, 22%; Sales Tax, 20%; Utility Tax, 12%; Transient Occupancy Tax, 12%; and Other Taxes, 9%). Other pieces of the budget include charges for services (17%), use of money and property (4%), fines and forfeitures (2%), licenses and permits (1%), and other revenues (1%).

Q: Please explain General Fund vs. Enterprise Fund and are there any funds outside the General Fund that impact the General Fund?

The General Fund is the primary operating fund of the City. All revenues that are not allocated by law or contractual agreement to a specific fund are accounted for in the General Fund. The revenue sources in the General Fund can be utilized for any legitimate governmental purpose. The City has five enterprise funds: Water, Wastewater, Storm Water, Refuse, and Parking. These funds generate their own revenue through fees to support their operating and capital needs. Enterprise Funds and General Funds cannot be commingled. With the passage of Proposition 218 in November 1996, restrictions on use of fees make it illegal for Enterprise Funds to be used for General Fund purposes.

Q: Regarding long-term assumptions about declining revenues, will they level out, or not? How are estimates determined?

Annual increases to revenues such as Property Tax are expected to remain in a 2% to 4% growth pattern. However, growth could be restrained by the next economic slowdown. The General Fund's other top three revenue sources are likely to face declines: Utility Users Tax, very modest to no growth; Sales Tax; and modest growth in Transient Occupancy Tax from the re-opening of hotels and future planned development by FY 2023 around La Bahia. Estimates are determined by a combination of historical data (trending), known future changes on the horizon (i.e. completion of a new hotel -TOT revenue), and input from consultants.

Q: What is the unfunded list?

The "Unfunded List" refers to a list of Capital Investment Program projects that will be proposed, once funding has been identified and staff resources are available. The City of Santa Cruz has identified these projects and prioritized a portion of them based on the City's strategic goals.

Q: What is the long-term plan to deal with deferred maintenance? Aren't you just going to end up with more emergency spending to fix things that break?

The City has prioritized budget allocations to fully fund core capital equipment needs as well as leveraged grants and restricted funding for investments in areas like our community parks. In addition, with the increased funding for transportation, the City is making progress on investments in our road and bikeways. The City will also follow policies that prioritize one-time revenues from property sales (like Skypark) to be set aside for future capital projects. However, the size of our unfunded list will require a dedicated funding source that can be used to fund projects like Civic Auditorium remodel, Wharf investments, as well as fund the planned replacement for our fire engines.

Q: Why is my city having trouble now?

Short-term expenditure increases can be attributed to increases from the State for pension investment shortfalls (3 out of the last 6 annual investment returns in this strong economic market were far below 7.5% of expected returns; hitting 0.1%, 2.4%, and 0.6%). Long-term revenue decreases can be attributed to shrinking tax bases (i.e. non-taxable online alternatives) and the changes in consumer spending (shifting from buying goods to buying services: health, travel, streaming, downloads, and consumer experiences).

Q: Is the City exploring how (are we going) to capture that lost revenue (from Sales Tax)?

Yes, with the passage of Measure S in June 2018 (.25% increase in the sales tax rate), the City was able to offset the loss of sales tax revenues due to changes in spending patterns such as an increase in service (non taxable) purchases, and a decrease in supply purchases (taxable). The City is also very active with the League of California Cities in pushing for reforms that have online taxable sales flow back to Santa Cruz, and pushing for elimination of exemption for certain online sales.

Q: Measure S was supposed to solve the City's budget problems. So why is the deficit increasing instead of decreasing?

Measure S was critical in stabilizing our operating costs, but the City does not yet have a dependable funding source for facility and infrastructure (see our current unfunded capital list in the budget). In addition, the City continues to bear a larger proportional share of costs related to our local housing shortage and the support of those experiencing homelessness. The City also continues to see increasing trends of our front-line and professional staff leaving for better pay or areas with lower housing costs, causing higher-than-expected upward pressure on compensation.

Q: How can we create new revenue? Can we leverage technology?

The City is actively looking at new revenue sources including eliminating the subsidies for certain fees for services, as these fees were historically subsidized by other taxes. We also rolled out new online payment options for Business Licenses and recently, Transient Occupancy Taxes. Local efforts have been underway in identifying and re-capturing lost Transient Occupancy Tax revenues.

Q: Enterprise – How much allocation for IT Services, etc.? How much do the Enterprise Fund pick up for service costs provided by the General Fund?

The General Fund does allocate costs to the Enterprise Funds and Internal Service Funds for administrative services (HR, Finance, IT, City Manager, City Attorney) through its cost allocation plan. The Administrative Services personnel budget makes up only 14% of the General Fund budget. About 8% of personnel costs are recuperated through this plan. The largest portion of the General Fund's personnel budget is Public Safety, Parks & Recreation, Public Works, and Planning; none of which is able to be recovered through the cost allocation plan.

Q: I think I do pay sales tax with Amazon. Doesn't the City get it?

Even though for those limited times when Amazon charges your City rate for sales tax, it goes into our County or another County's "pool" and only a proportional share of the total is distributed back to the City. In other words, the sales tax you pay on the Amazon website is allocated to another County or is shared with Santa Cruz County and other cities within the County. Furthermore, 3rd party retailers on Amazon do not collect sales tax.

Q: Regarding pensions, who is managing it? And what is the plan to correct average market returns? And what (local) measures are being considered for new staff and the pensions that are in place?

The CalPERS public pension fund is managed by the State and their investment office. The City of Santa Cruz has no authority over how the pension fund is invested. The League of California Cities is actively looking at solutions for cities to deal with this pension issue. The City of Santa Cruz introduced a tiered retirement system for new employees back in 2011 and added a third tier in 2013. As a result, new employees are entered into the pension system at the lowest tier and pay more into their retirement than those in higher tiers.

Q: What's the City's long term-plan to deal with escalating retirement costs?

The City developed the Fiscal 2023 Sustainability Plan and will be updating it during this coming budget season. The source of the escalating payments is the debt owed to the State to backfill for State Pension investment shortfalls. The total allocated to the City is projected at \$170 million with an approximate 7.25% interest rate.

First, City staff are actively engaged with CalPERS through the League of California Cities Revenue and Taxation Committee, which supported more CalPERS recent reforms that resulted in shortening the payback period, thereby reducing interest costs on the debt owed to the State. Second, the City Council directed that staff advocate and support State Pension efforts to return their investment earnings to prior historical levels. Based on high level analysis, if the Pension Investments had earned returns equal to their historical averages during a recovery period, or what broadly-used investment funds have earned during this current recovery period, the entire \$170 million debt allocated to the City would be gone.

Third, the City is on schedule to fully pay off in June 2022 \$24 million in debt related to Public Safety pensions.

Fourth, the City is making extra principal payments to significantly reduce future interest costs. By the end of FY 2020, the City will have saved over \$1 million in interest.

Fifth, the City has established restricted, retiree investment funds to build up cash towards future payments, and to allow the City to increase the expected rate of return from 2%+ to nearly 5%.

Sixth, the City has taken advantage of annual pre-payment programs to reduce the current year's payroll pension costs. Instead of making payments throughout the year, the City receives a 6% discount by making a single payment at the beginning of the year.

Q: Why is the City spending so much on people experiencing homelessness?

The City is not alone. Communities across the country are struggling with funding programs and services to help those experiencing homeless. Fortunately, the State of California made a historical commitment and allocated a portion of its budget surplus towards nearly \$10 million in one-time funding for our County.

Q: Is the City going to cut jobs? Is the City going to have to do layoffs?

No. The City's Fiscal 2023 Sustainability Plan is based on the premises of retaining current service levels, as well as allowing for fiscal flexibility to provide for strategic investments.

FISCAL YEAR 2019-2020

BUDGET IN BRIEF

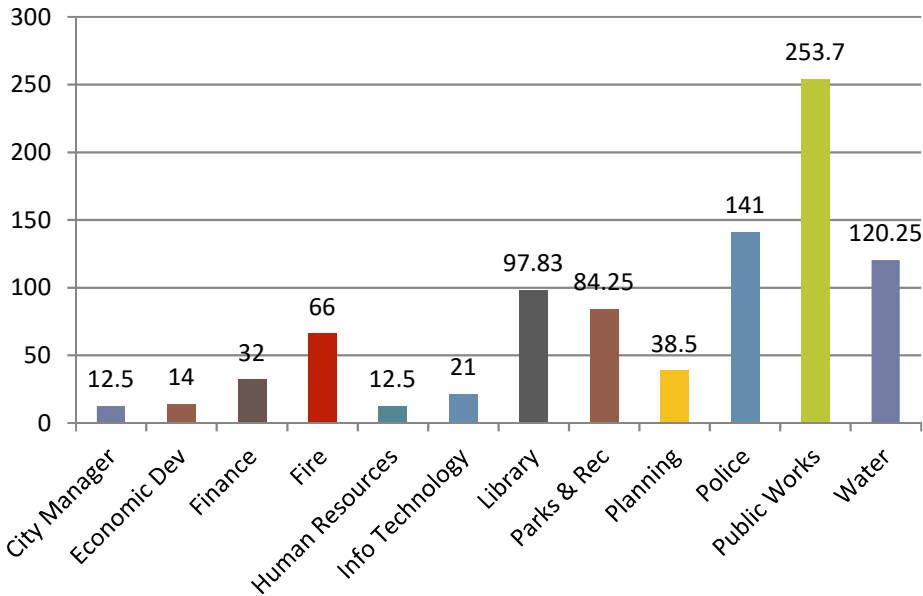


The City of Santa Cruz is home to over 63,000 residents, over 5,000 businesses and receives between 3-4 million visitors per year. With its fantastic beaches, mild temperatures and world famous Beach Boardwalk, Santa Cruz is one of the most desirable destinations along the California coast.

This Adopted budget represents a balanced budget that echoes our continued commitment to safeguarding service and resources to the City's changing needs. By practicing fiscal prudence, the expenditures and revenues presented provide key services, such as Police and Fire, but also necessary services from our Water Department and Public Works Department.

Despite rising pension and personnel costs, the budget is balanced and preserves existing service levels the residents of Santa Cruz expect and deserve.

Citywide Staff



Citywide Budget

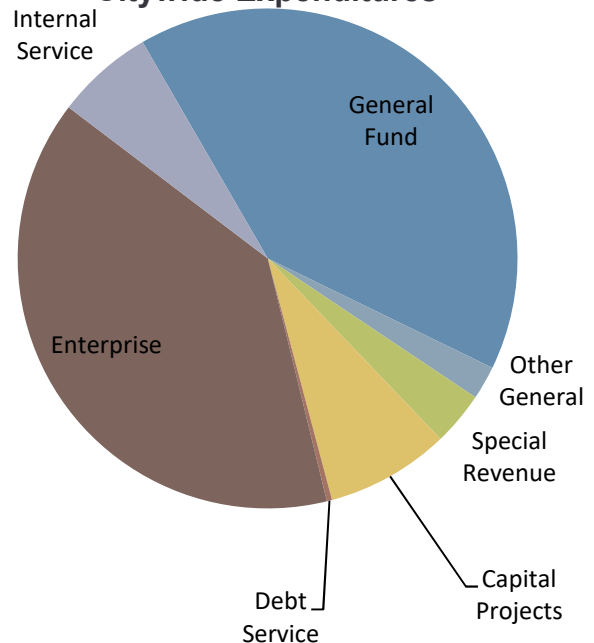
Citywide Expenditure Budget

Fund Type	FY17/18 Actual	FY18/19 Adopted	FY19/20 Adopted
General Fund	\$95.3	\$102.3	\$107.
Other General	\$5.2	\$7.4	\$5.7
Special Revenue	\$13.3	\$8.6	\$9.1
Capital Projects	\$12.9	\$18.	\$21.1
Debt Service	\$.8	\$.9	\$.9
Enterprise	\$91.8	\$112.	\$103.3
Internal Service	\$18.4	\$16.6	\$16.7
Total Expenditures	\$237.8	\$265.7	\$263.9

Citywide Resources

Fund Type	FY17/18 Actual	FY18/19 Adopted	FY19/20 Adopted
General Fund	\$99.9	\$102.3	\$107.1
Other General	\$7.7	\$9.2	\$7.3
Enterprise Fund	\$95.1	\$99.	\$132.
All Other Funds	\$40.9	\$45.2	\$45.3
Total Revenues	\$243.6	\$255.7	\$291.8

Citywide Expenditures



General Fund Budget

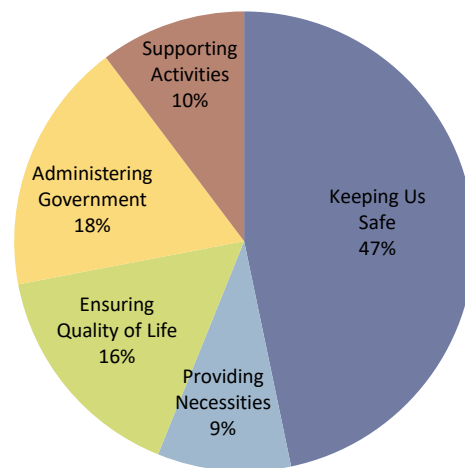
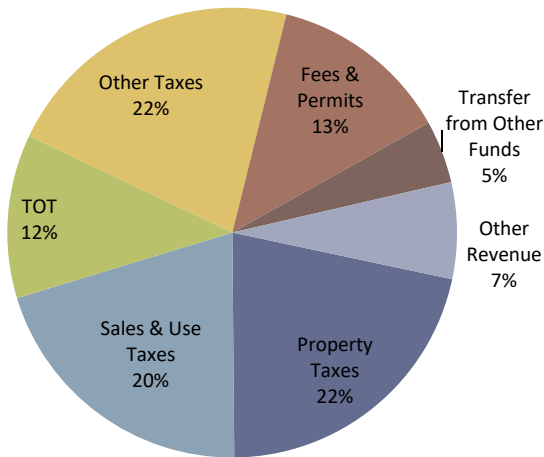
The General Fund serves as the main operating fund of the City. It is used to account for revenues that are not specifically designated for another fund. Revenue sources for the General Fund include property taxes, sales & use tax, transient occupancy tax (TOT), fees, permits, and other charges as well as many other miscellaneous revenue. The General Fund is the primary source of funding for major city services, such as Parks & Recreation, Police and Fire. Personnel costs make up 68.9% of all General Fund uses.

General Fund Sources by Category

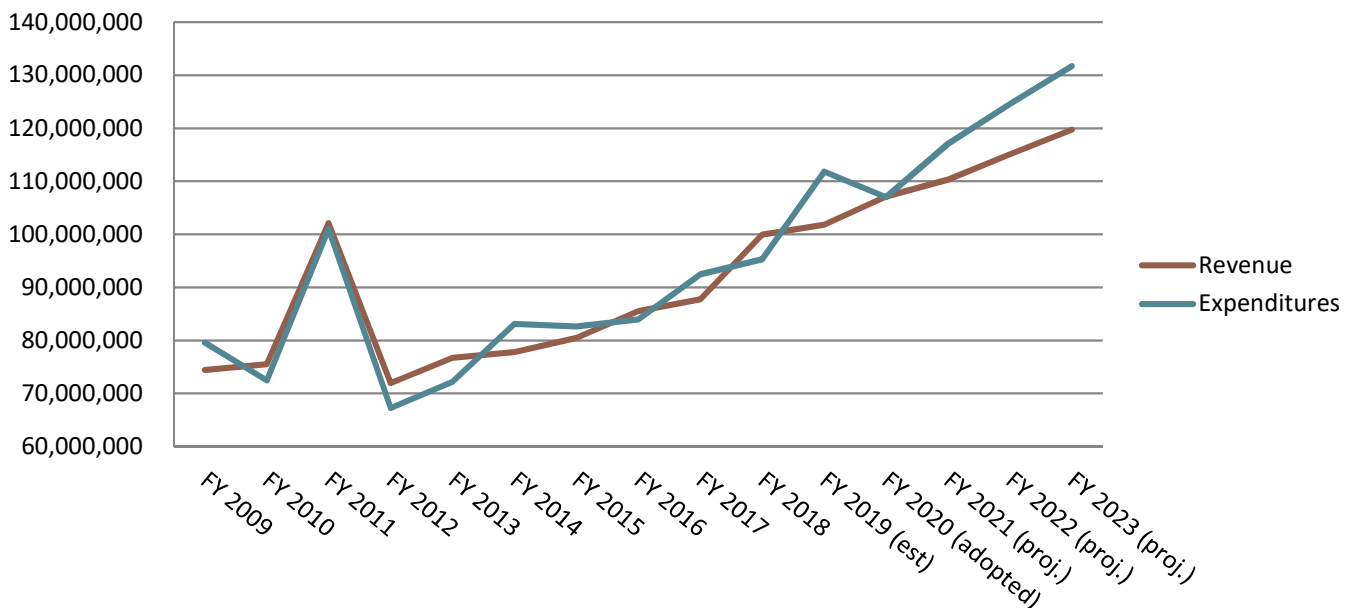
Source Type	FY17/18 Actual	FY18/19 Adopted	FY19/20 Adopted
Property Tax	\$20.7	\$22.	\$23.1
Sales & Use Tax	\$18.1	\$21.8	\$21.9
TOT	\$10.2	\$11.7	\$12.6
Charge for Services	\$16.9	\$17.	\$17.6
Other	\$33.5	\$29.5	\$31.7
Total Revenues	\$99.3	\$102.1	\$106.9
Transfers From	\$.6	\$.2	\$.2
TOTAL SOURCES	\$99.9	\$102.3	\$107.1

General Fund Uses by Function

Function	FY17/18 Actual	FY18/19 Adopted	FY19/20 Adopted
Keeping Us Safe	\$42.6	\$45.8	\$48.4
Providing Necessities	\$7.5	\$9.2	\$9.7
Ensuring Quality of Life	\$14.5	\$15.6	\$16.5
Administering Government	\$16.5	\$17.5	\$18.4
Supporting Activities	\$7.8	\$10.2	\$10.7
Total Expenditures	\$89.	\$98.3	\$103.7
Transfers To	\$6.3	\$3.9	\$3.3
TOTAL USES	\$95.3	\$102.3	\$107.



General Fund Revenues and Expenditures Trend



Community Profile Data FY 2020 Budget

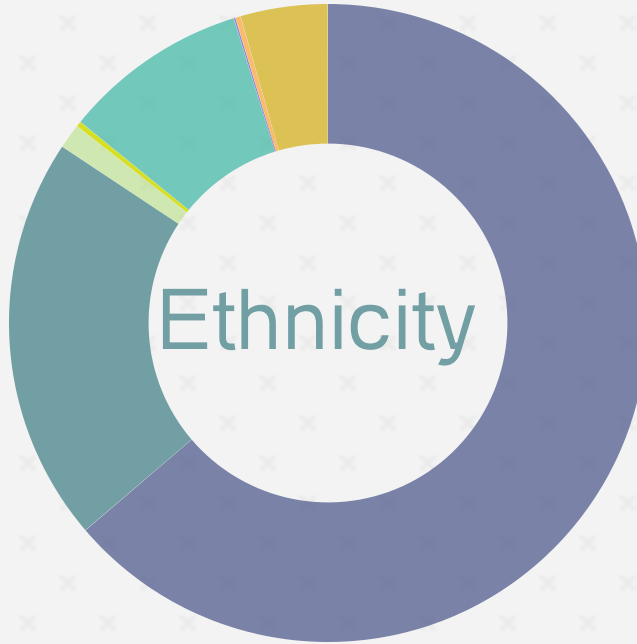


Santa Cruz Population 66,454

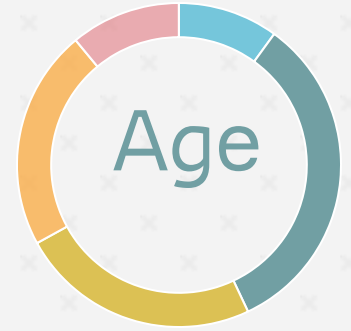
(Source: California Department of Finance)



Male 49% Female 51%



White 64% Hispanic or Latino 21% Black or African American 1% American Indian and Alaska Native 0.3% Two or more races 4% Asian 9%
Native Hawaiian and Other Pacific Islander 0.1% Some other race 0.3%



Under 15 (10%) 15-24 (33%)
25-44 (24%) 45-64 (22%)
65+ (11%)

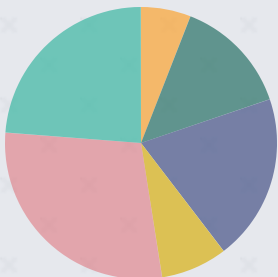
Source: U.S. Census Bureau, 2013-2017 American Community Survey 5-Year Estimates

Education

Santa Cruz City Schools
4 public elementary schools
2 public middle schools
1 public High School
5 small/charter schools

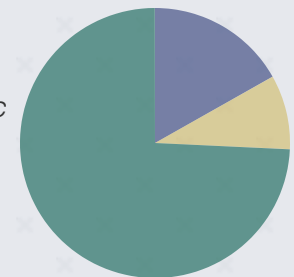
7 WASC accredited private schools*
University of California, Santa Cruz

* source: SCSC, California Department of Education, WASC



Education Attained of Population Over 25 Years Old: 36,173

Less than HS Diploma 6% High School Diploma 14%
Some College, No Degree 20% Associate's Degree 8%
Bachelor's Degree 29% Graduate or Professional Degree 24%



Population 3+ years enrolled in school: 26,364

Nursery/ Elementary 16.5% High School 8.5%
College or Graduate School 75%



Employment, Economy & Housing

Employment

Median Household income: \$65,421
Residents 16 and older: 56,918
In labor force: 61.1 %

Employed: 56%

Unemployed: 5%

Armed Forces: 0%

Not in labor Force: 38.9%

(e.g. students not looking for work)

Source: U.S. Census Bureau, 2013-2017 American Community Survey 5-Year Estimates

Occupation

Management, Business, Science, and Arts: 48%
Service: 19%
Sales and Office Occupation: 23%
Natural resources, construction, and maintenance: 5%
Production, transportation, and material moving: 5%

Housing

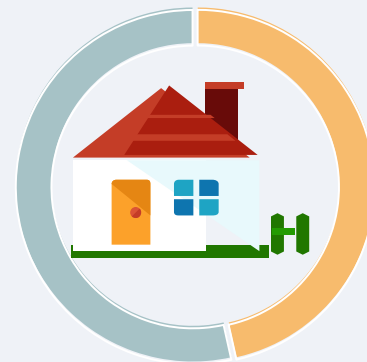
Median home value: 927,400
Total Housing Units: 24,092
Vacant housing units: 1,861
Occupied housing units: 22,231

Owner-Occupied: 10,438
Renter-occupied: 11,793

Median Rental Market Rates:*

1 Bedroom \$2,200
2 Bedroom \$3,175
3 Bedroom \$3,895
4 Bedroom \$4,750

Source: U.S. Census Bureau, 2013-2017 American Community Survey 5-Year Estimates, Trulia.com Feb 2019



● Family Household 46.5%
● Non-Family Household 53.5%

Largest Employers

University of California at Santa Cruz
County of Santa Cruz
City of Santa Cruz
Plantronics
Santa Cruz Beach-Boardwalk
Costco Wholesale Corp
New Teacher Center

Looker Data Sciences, Inc.
Santa Cruz Nutritionals
Crow's Nest
Community Bridges
Santa Cruz Biotechnology Inc.
United Parcel Service

Amenities



25 Parks (8 Dog Off leash Parks)
4 Open Spaces
16 Volleyball Courts
4 Community Gardens Surf Schools
3 Disk Golf Courses

Facilities:
Santa Cruz Wharf
Surfing Museum
Beach Flats Community Center
Louden Nelson Community Center, Santa Cruz
Teen Center and Downtown Senior Center Civic
Auditorium
City Hall
Harvey West Clubhouse, Scout House and Pool
Museum of Natural History
Kaiser Permanente Arena
The Tannery Arts Center
Town Clock
Libraries (Downtown, Branciforte & Garfield)

Beaches:

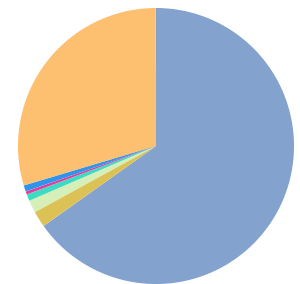
Main Beach
Cowell Beach
Its Beach
Michell's Cove (Beach)

Transit and the Environment

- Mean travel time to work: 23.5 minutes
- Total injury crashes are down 4% from 2016 to 2017
- Bicycle injury crashes are down slightly from 2016, and have decreased nearly 25% since 2015
- During 2012-2016, 56.5% of commuters drove alone, 1% walked, 9.5% bicycled, 8% carpooled, 7% took the bus, and 2% used other modes such as taxi, motorcycle, etc. -Total injury crashes are down 4% from 2016 to 2017.
- Bicycle injury crashes are down slightly from 2016, and have decreased nearly 25% since 2015 Over 2,600 residential solar installations
- Over 72 commercial solar installations
- 163 Certified green businesses

Community Engagement

- -The Council meets on the second and fourth Tuesdays of the month
- -The current agenda packet is available for reference use at the Downtown Branch Library
- -Regular Council meetings are televised on Comcast Community TV, channel 25
- -Public meeting notices, agendas and meeting related documents are available online
- -Live and archived Council meetings can be watched on the City's website.



Democratic 60% Republican 7%
American Independent 2% Green 1%
Libertarian 1% Peace and Freedom 1%
Other 1% No Party Preference 27%

Source: California Secretary of State, October 2018

City Council Members: Mayor Martine Watkins, Vice Mayor Justin Cummings, Sandy Brown, Drew Glover, Chris Krohn, Cynthia Mathews, Donna Meyers

For more information please visit: <http://www.cityofsantacruz.com/>

Introduction

The City of Santa Cruz is located on the northern part of the Monterey Bay, approximately 75 miles south of San Francisco and 30 miles west of San Jose. Incorporated in 1866, it received its first charter in 1876. The City currently operates under the provisions of a charter approved by the voters in 1948 and subsequently amended from time to time.

Santa Cruz is the county seat for the County of Santa Cruz. It has an area of 12 square miles and an estimated population of 66,454. Santa Cruz is the home to the University of California, Santa Cruz (UCSC), which opened in 1965 and has a current enrollment of 19,457 students. The City's major industries include tourism, manufacturing, and technology.

Government/Services

The City of Santa Cruz operates under the council-manager form of government.

Policy-making and legislative authority are vested in a seven-member governing council, consisting of the Mayor, Vice Mayor, and five Councilmembers who are elected on a non-partisan basis. Councilmembers serve four-year staggered terms, with either three or four Councilmembers elected every two years. Councilmembers may serve no more than two terms consecutively. The Mayor is selected by a majority of the City Council and serves a one year term. The City Council is responsible, among other things, for passing ordinances, adopting the budget, approving nominees to commissions, and hiring the City Manager, City Clerk, and City Attorney. In 2011, the City Manager was designated as the City Clerk.

The City Manager is responsible for carrying out the policies and ordinances of the City Council, for overseeing the day-to-day operations of the city, and for appointing department heads.

The City provides a broad range of services including police and fire protection; construction and maintenance of streets, parks, flood control, and other infrastructure; a wharf facility; and recreational and cultural activities including a performing arts center and human services programs. It operates four municipal utilities, including water, wastewater, storm water, and refuse collection/landfill operations, as well as enterprise operations for parking facilities. The water utility provides water service beyond the municipal boundaries and has a total service population of approximately 98,000.

Before the February 1, 2012 elimination of the City's legally separate Redevelopment Agency, the City elected to serve as the successor agency for the Redevelopment Agency's operations, including housing operations. As the successor agency, the City is responsible for winding down operations, paying off debt service, and completing certain remaining infrastructure construction and community development activities as included in the Recognized Obligation Payment Schedule (ROPS).

Many major public services delivered within the City's boundaries, such as education and public transportation, are provided by other public agencies. Library services are provided through a Joint Powers Authority agreement that includes the cities of Santa Cruz, Capitola, and Scotts Valley and the County of Santa Cruz.

Expenditures

Appropriations provide for expenditures that will be incurred and encumbrances that will be established during the fiscal year. Council policy establishes appropriation control at the department and fund level. Changes in appropriations within the same department and fund may be approved by the City Manager, except that transfers greater than \$50,000 between major expense categories (e.g., personnel services, services and supplies, or capital outlay) must be approved by Council. The City Manager is also authorized to accept and appropriate donations and grants of up to \$50,000 for projects and programs previously approved by the City Council. All transfers between funds must be approved by Council.

Major Revenue Sources

The City's major revenues sources are credibly projected using a combination of an analysis of historic trends, current and future indicators, and external sources like the County of Santa Cruz, the State Budget, State Legislative Analysis Office, League of California Cities, and sales tax consultants.

Taxes

The City's major tax revenues include property tax, sales and use taxes, and transient occupancy (hotel) tax. The Financial Summaries section of this document includes charts showing revenue history.

As with other charter cities in California, the City of Santa Cruz has limited ability to set tax rates. The State constitution, under the provisions of Proposition 13 passed by the voters in 1978, establishes a maximum rate for property tax and limits the growth of assessed value. The constitution sets the limit for increases of the property tax rate to 2% per year, but upon sale, market value becomes the assessed value. The property tax collected is allocated among the jurisdictions in the City's tax rate areas based on criteria established by the State legislature. The rates and tax base of two of the City's other general revenues, sales and use tax and motor vehicle in lieu fees (VLF), are also controlled by the Legislature.

Changes to existing local taxes and any new taxes require voter approval. Taxes used for general purposes are subject to approval by a simple majority of voters while taxes levied for specific purposes require a two-thirds majority of voters. Assessments must be approved by a majority vote with voting rights apportioned based on the amount of the assessment. Property-related fees require public majority protest procedures and property-related fees for services other than water, wastewater, and refuse must also be approved by a majority of property owners or two-thirds of the electorate.

Recent ballot measures approved by City voters include the following:

- June, 2018—Measure S. Increased the local Sales Tax from 9.00% to 9.25, the maximum allowed by the state.
- November, 2016—Measure D. County Transportation Tax. Impose a half-cent sales tax for 30 years for safety, pothole repair, traffic relief, and transit improvement.

Major Revenue Sources (continued)

Recent ballot measures approved by City voters include the following (continued):

- November, 2016 —Measure L. Cannabis Business Tax. Impose a tax of no more than 10% on gross receipts of cannabis (marijuana) businesses in the city.
- June, 2016—Measure S. Community Facilities District Library Bond. Authorize parcel tax on real property and authorize bonds to be issued by the Santa Cruz Libraries Facilities Financing Authority.
- November, 2012—Measure Q. Increased the Transient Occupancy Tax from 10.0% to 11.0%, effective in July, 2013.
- November, 2010—Measure H. Increased the Utility Users Tax from 7.0% to 8.5%, effective in spring, 2011.

Fees & Charges for Services

Fees for services, the City's next largest major revenue source, are subject to requirements that they be set at levels that do not exceed the reasonable costs of providing services. Fund balances generated by differences between fee revenue and related expense are retained and designated for services and facilities that benefit fee payers.

Budget Basis – Basis of Accounting

The City Council adopts a structurally balanced budget—one that supports financial sustainability multiple years into the future. The City uses a modified accrual basis of accounting in preparing the budget for both Governmental and Proprietary funds. The modified accrual basis is consistent with how the Governmental Funds are reported in the City's Comprehensive Annual financial Report (CAFR) fund statements; however the Proprietary Funds (Enterprise and Internal Service Funds) are reported on a full accrual basis in the annual report. Fiduciary Funds (Trust and Agency Funds) are not reported in the annual budget. However, the City does provide an Agency Summary of the Redevelopment Successor Agency (Trust Fund) as a supplement to the Economic Development Department's schedules.

Under "modified accrual basis," amounts are recognized as revenue when earned, so long as they are collectible within two months after the end of the fiscal period to be used to pay liabilities of the current period. Encumbrances that are outstanding at year-end are re-appropriated in the next year.

Budget Preparation and Review Process

Under the City Charter, the fiscal year of the City begins on the first day of July of each year. The City Manager is responsible for preparing the annual budget and submitting it to the Council for adoption.

As part of the budget process, all City departments submit budget requests for the next fiscal year. These requests are consolidated by the Finance Department and reviewed by the City Manager to ensure that requests and departmental objectives are consistent with the City's overall goals. The City Manager then presents to the City Council a structurally balanced budget—one that supports financial sustainability multiple years into the future. As required by the Charter, this budget is transmitted to the City Council and made available to the public for review no later than the first Council meeting in June. A public hearing is conducted in May, before final adoption of the budget by the City Council no later than the first regular Council meeting in June to allow the new budget to be integrated into the City's financial system for July 1.

Any new appropriation or appropriation transfer between funds or departments requires approval by the City Council. The City Manager is authorized to approve appropriation transfers within any department and the appropriation of grants, donations, and contributions under \$50,000 for projects and programs previously approved by Council. Expenditures may not exceed appropriations at the department and fund level.

The City Council receives requests for amendments to the budget as needed, and reviews budget results mid-year and at budget adoption. The ongoing review and long range planning focus for financial management provides numerous opportunities to identify and respond to changes in revenues and expenditures and in community priorities.

The Annual Budget Process Calendar illustrated on the following page shows the relationship between City residents, City Council, and City Administration in developing the City's Annual Budget.



Santa Cruz Harbor

Introduction

Fiscal Year 2020 Budget Preparation and Review Process Calendar:

Month	Residents	Mayor & City Council	City Administration
Oct.	Input on budget priorities and direction through direct contact with Mayor and City Council, community surveys and meetings		Budget schedule and guidelines provided to Departments. Capital Investment Program (CIP) preparation begins.
Nov.	↓	Review and approval of CAFR providing an understanding of the fiscal status and condition of the City	Presentation of prior year financial results to City Council and community (CAFR)
Dec.		↓	CIP strategic planning and review. City Manager Approved CIP posted to Internet.
Jan.		On-going discussions of budget priorities based on input from community and staff	Fiscal Year 2019 year-end estimates and Fiscal Year 2020 department requests due to Finance Department
Feb.		↓	Department meetings with City Manager & Finance to review Fiscal Year 2020 requests. CIP study session.
Mar.		↓	↓
Apr.	Community meeting	Department Budget Hearings and Discussions, review and approval of Final Capital Investment Program (CIP)	Presentation of Final Capital Investment Program (CIP) to City Council and community Proposed Budget completed and made available online
May	Department Budget Hearings open to the public	Changes made per direction from Council and Council adoption of Fiscal Year 2020 Annual Budget	Changes made per direction from hearings and Final Budget Adoption

Fund Structure

The following is a listing of the funds included in the City's Adopted Budget, with each major fund identified. Descriptions of each of the City's active funds, with a detailed presentation for FY 2018 actual expenditures and revenues; FY 2019 beginning fund balance, adopted and amended budgets, year-end estimates, and ending fund balance projections; and FY 2020 beginning fund balance, adopted expenditures and revenues, and ending fund balance projections can be found in the "Estimated Available Fund Balance Projections" section of this document. Funds are listed in order of their fund number as found in the Estimated Available Fund Balance Projections section which can be found in the Financial Summaries of this document.

Governmental Funds

Most of the City's programs and functions are provided and financed through the following governmental funds, which are focused on the acquisition, use and balances of the City's expendable financial resources and the related current liabilities. Governmental Funds use the modified accrual method of accounting and are focused on financial position, rather than on determining net income.

General Funds

General Fund – *Major Fund*

- Primary General Fund
- Code Enforcement/Civil Penalties
- Municipal Wharf Fund
- General Plan Update Reserve Fund
- Green Building Educational Resource Fund
- Civic Equipment Maintenance/Replacement
- Co-op Retail Management
- Kiosk Maintenance
- Street Tree Fund
- City Public Trust Fund
- City Stabilization Reserve
- Carbon Reduction Fund
- Economic Development Trust Fund
- Unemployment Insurance
- Housing In-Lieu Program
- DeAnza Hardship Fund Contributions
and Donations (2)
- Interest Holding

Special Revenue Funds

- Police Special Revenue Funds
 - Supplemental Law Enforcement Services
 - Traffic Offender
 - Police Asset Seizure
 - Asset Seizure/Youth Crime Prevention
- State and Highway Funds

Gasoline Tax – ***Special Revenue Funds (continued)***
Traffic Congestion Relief

Traffic Impact Fee Fund
Clean River, Beaches & Ocean Tax Fund
Parks and Recreation Funds
 Parks Quimby Act Funds (4)
 Parks & Rec Tax Combined Fund
Housing and Community Development Funds
 HOME Funds (3)
 Community Development Block Grant
 Red Cross Housing Reconstruction Loan Repayment
 First Time Home Buyers (2)
 Affordable Housing Trust Fund
City Housing Successor Agency Funds (2)
Transportation Development Act

Capital Investment Funds

Capital Project Fund – ***Major Fund***
 General Capital Investment Projects
 Capital Investment Public Art Fund
 Sidewalk Construction In-Lieu Fund
 Street Maintenance, Rehabilitation and Improvements
 Wharf Tenant Capital Improvement Contributions
 SC Street Improvements – Harvey West

Debt Service Funds

GO (General Obligation) Bonds
Lease Revenue Bonds

Proprietary Funds

The City's Proprietary Funds include both Enterprise and Internal Service Funds. Enterprise Funds are similar to private sector enterprises in that the costs of providing services are recovered through financing and/or user fees and charges rather than through taxes or similar revenues. Internal Service Funds are used to report on activities that provide goods or services to other funds or departments in the City on a cost-reimbursement basis.

Enterprise Funds

Water Fund – ***Major Fund***
 Water
 Water Rate Stabilization
 Water Public Art
 Water System Development Fees
 Water Emergency Reserve
 Water June Beetle Endowment

Wastewater Fund – **Major Fund (continued)**

Wastewater
Wastewater Public Art

Refuse Fund – **Major Fund**

Refuse Fund
Refuse Landfill Closure
Refuse Landfill Post Closure Maintenance
Refuse Public Art

Parking Fund – **Major Fund**

Parking
Parking Public Art

Storm Water Fund – **Major Fund**

Storm Water
Storm Water Overlay
Storm Water Public Art

Internal Service Funds

Equipment Operations
Information Technology
Insurance Internal Service Funds
Workers' Compensation Insurance
Liability Insurance
Group Health Insurance



West Lake Park

Introduction

Department and Fund Relationship

The City's Annual Budget is organized by the Department Summary—showing expenditures by character, expenditures by activity (program), and resources by fund. Following the Department Summary is the Activity Summary—showing expenditures by activity and activity resources by revenue category for each of the department's programs. A portion of the City's General Fund supports all departments except for the Water Department. That specific percentage of General Fund support is identified in the "Percentage of Primary General Fund Budget" chart shown on the Budget at a Glance page located before the Department Summary page.

The following list shows the relationship between the Department, their supporting fund(s) and the fund's support to the City services provided by the Department:

Department	Fund(s)	City Service Support
City Attorney	General Fund	City Administration
City Council	General Fund City Public Trust Fund	City Administration Community Development
City Manager	General Fund	City Administration
City Non-Department Development	General Fund Kiosk Maintenance Fund Transportation Development Act Fund	City Administration Economic Development Community
Economic Development	General Fund Co-Op Retail Management Kiosk Maintenance Fund Home Rehabilitation Fund Home Acquisition Projects Fund Home Investment Partnership Comm. Development Block Grant Fund CalHome – FTHB Revolving Fund Affordable Housing Trust Fund	Economic Development Economic Development Economic Development Community Development Community Development Community Development Community Development Community Development Community Development
Finance	General Fund Liability Internal Service Fund City Stabilization Reserve Equipment Internal Service Fund	City Administration Public Safety/Risk Services City Administration City Internal Services
Fire	General Fund	Public Safety
Human Resources Services	General Fund Workers Comp Internal Service Fund	City Administration City Administration/Risk
Information Services	General Fund Information Technology Service Fund	City Administration City Internal Services
Parks and Recreation	General Fund Municipal Wharf Fund Civic Equipment Maintenance Fund Parks Quimby Tax Funds Parks Tax Fund	Community Development Community Development Community Development Community Development Community Development

Department and Fund Relationship (continued)

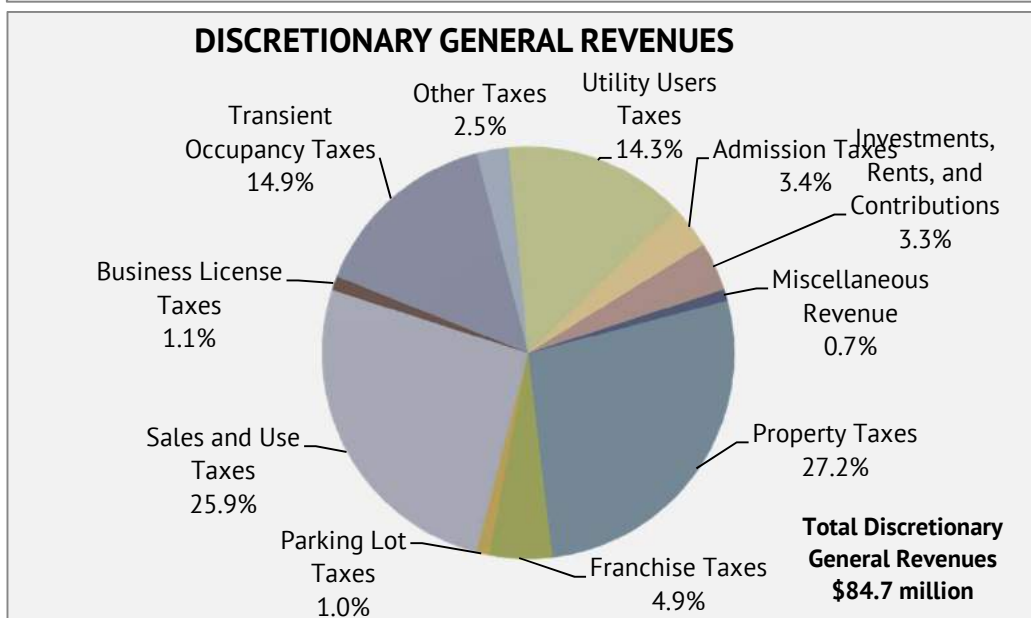
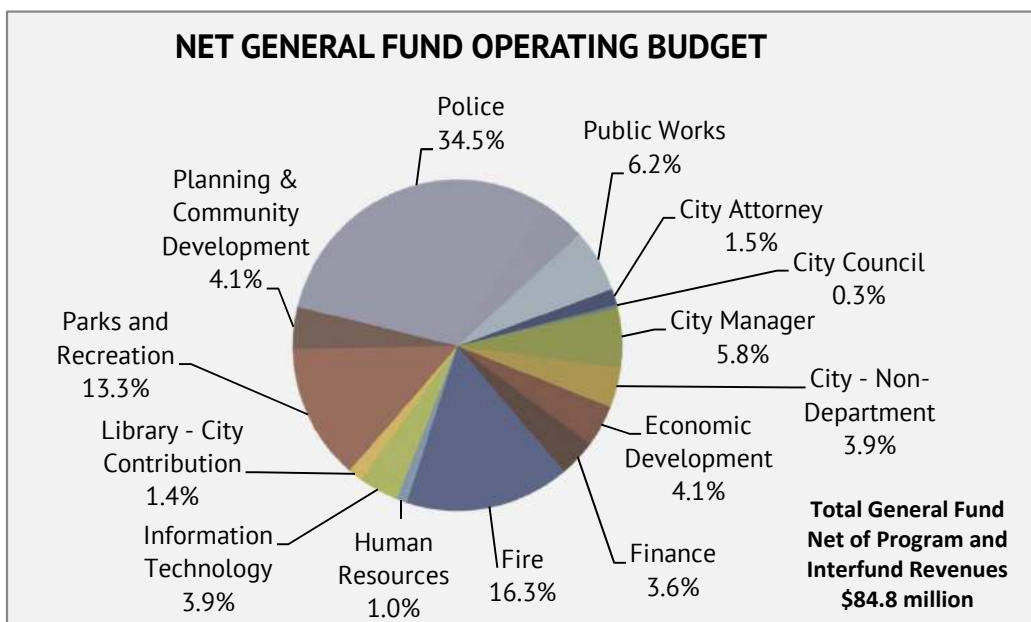
<u>Department</u>	<u>Fund(s)</u>	<u>City Service</u>
Planning & Community Development Development	General Fund	Community
	Code Enforcement	Public Safety
	General Plan Update Fund	Community Development
	Green Building Education Fund	Community Development
Police	General Fund	Public Safety
	Supplemental Law Enforcement Fund	Public Safety
	Traffic Offender Fund	Public Safety
	Police Asset Seizure Fund	Public Safety
Public Works	General Fund	Community Development
	Municipal Wharf Fund	Community Development
	Gasoline Tax Fund	Community Development
	Traffic Impact Fund	Community Development
	Clean River, Beaches, Oceans	Community Development
	Wastewater Enterprise Funds	Public Utility Services
	Refuse Enterprise Funds	Public Utility Services
	Parking Enterprise Funds	Community Development
	Storm Water Enterprise Funds	Community Development
	Water	Water Enterprise Funds



Primary General Fund Budget and General Revenues

FISCAL YEAR 2020 ADOPTED

The charts below present different perspectives for the Primary General Fund. The top chart represents the spending side and shows the net operating cost (budgeted appropriations less budgeted revenues) of the departments in the fund. Public safety (Police and Fire) accounts for most of the spending in the fund at 50.8%. The bottom chart represents the funding side and shows the discretionary revenues received by the fund. These are general revenues that are not specifically tied to departments, and are the main revenues that fund the City's core services such as Police, Fire, Administration, and Parks & Recreation. Most of the discretionary funding comes from taxes, with Sales Tax and Property Tax comprising the largest portion at approximately 53.1% combined.



Primary General Fund Budget Net of Program Revenues

FISCAL YEAR 2020 ADOPTED

General Fund Departments/Programs	Expenditures and Other Uses	Program Revenues	Interfund, Interagency Sources	Net (Expenditure) Revenue and Changes in Fund Balance	% of Net GF Cost
City Attorney	1,415,343	-	115,228	(1,300,115)	1.5%
City Council	472,087	-	205,725	(266,362)	0.3%
City Manager	5,792,308	24,700	883,218	(4,884,390)	5.8%
City - Non-Department	3,313,562	-	-	(3,313,562)	3.9%
Economic Development	3,863,138	396,200	-	(3,466,938)	4.1%
Finance	4,312,203	-	1,230,412	(3,081,791)	3.6%
Fire	18,411,761	4,662,000	-	(13,749,761)	16.3%
Human Resources	1,517,629	-	659,916	(857,713)	1.0%
Information Technology	4,871,550	-	1,534,224	(3,337,326)	3.9%
Library - City Contribution	1,674,751	-	462,438	(1,212,313)	1.4%
Parks and Recreation	14,797,330	3,537,650	-	(11,259,680)	13.3%
Planning & Community Development	6,791,301	3,329,500	-	(3,461,801)	4.1%
Police	30,035,349	879,838	-	(29,155,511)	34.5%
Public Works	9,748,483	4,334,233	191,802	(5,222,448)	6.2%
Total General Fund	\$ 107,016,795	\$ 17,164,121	\$ 5,282,963	\$ (84,569,711)	100%

General Revenues and Other Unallocated Sources:

Taxes:

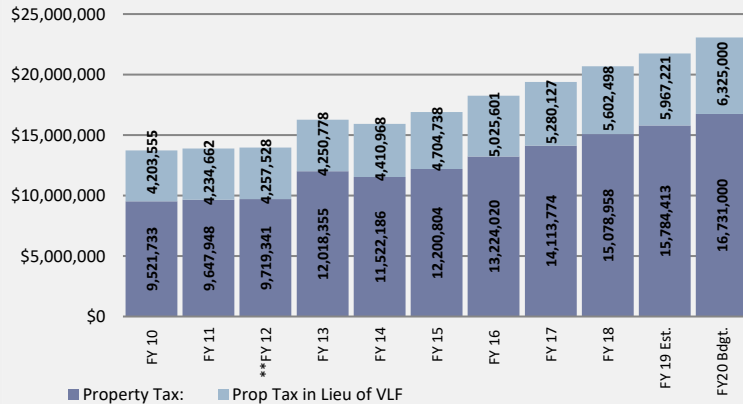
Property Taxes	23,056,000
Sales and Use Taxes	21,895,000
Business License Taxes	955,000
Franchise Taxes	4,151,000
Utility Users Taxes	12,116,000
Transient Occupancy Taxes	12,602,000
Admission Taxes	2,882,000
Parking Lot Taxes	849,000
Other Taxes	2,139,000
Total Taxes	80,645,000

Intergovernmental	80,000
Investments, Rents, and Contributions	3,205,994
Fines and Forfeitures	190,000
Charges For Services and Other Miscellaneous	528,100
Total General Revenues and Other Unallocated Sources	84,649,094
Change in Fund Balance	79,383
Estimated Fund Balance - Beginning of Year	(8,319,270)
Estimated Fund Balance - End of Year	\$ (8,239,887)

Primary General Fund Budget and General Revenues

FISCAL YEAR 2020 ADOPTED

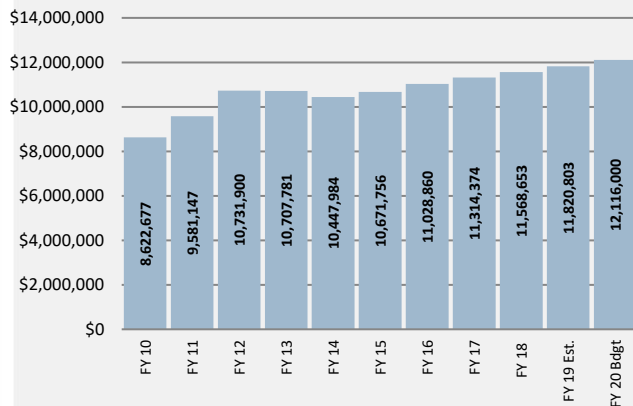
Property Tax Trend



** Starting FY 2012, amounts include additional \$\$ from dissolution of redevelopment agencies.

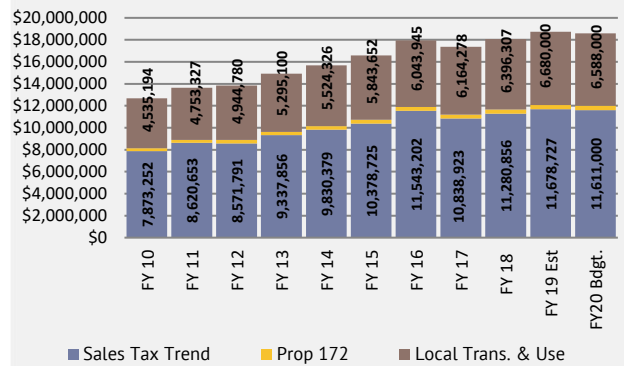
Property and Sales taxes are the two largest sources of tax revenues for the City's primary General Fund. Property taxes and sales taxes account for approximately 29% and 25%, respectively, of the fund's total estimated tax revenues for fiscal year 2019.

Utility Users Tax Trend

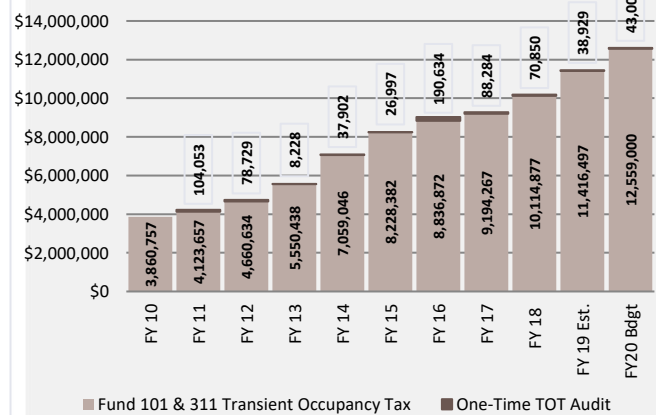


Utility users taxes are imposed on all utility services within the City limits at a rate of 8.5% of taxable services. Transient occupancy taxes are levied at a rate of 11% on all individuals occupying dwellings for 30 days or less. They each accounted for 16% and 15%, respectively, of the fund's total estimated tax revenues for fiscal year 2019.

Sales Tax Trend



Transient Occupancy Tax Trend



Note: Transient Occupancy Tax rate increased from 10% to 11% in FY 2014.

Debt Service Obligations

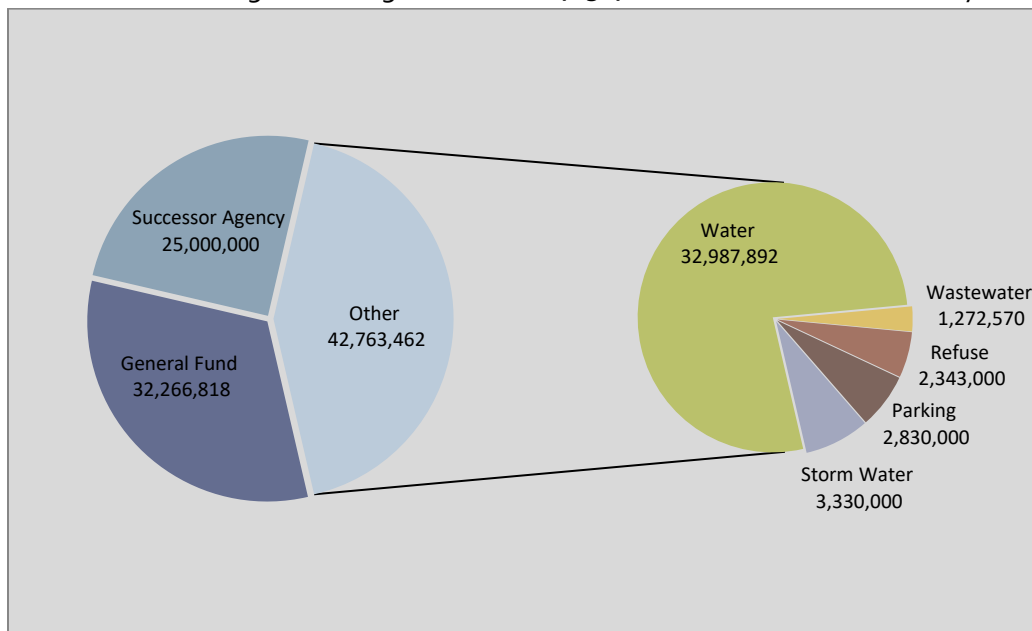
OVERVIEW

The City of Santa Cruz debt service obligations include revenue bonds, general obligation bonds, pension obligation bonds, loans, leases, and former Santa Cruz Redevelopment Agency debt, transferred to the Successor Agency Trust (Resolution NS-28,450 dated January 24, 2012). Also included are the State of California Public Employees' Retirement System (PERS) and other post-employment benefits (OPEB) liabilities. All debt issuance is approved by the Santa Cruz City Council subject to the City's legal debt limit.

- *Revenue Bonds* – issued to purchase or construct assets owned by the City and the City pledges income resulting from the asset or enterprise to pay the debt service.
- *General Obligation Bonds* – issued to finance various public improvements in the City for which the main security for repayment is property taxes.
- *Pension Obligation Bonds* – issued by the City to pay its commitment to the pension fund in which its employees are members.
- *Santa Cruz Redevelopment Successor Agency Debt* – debt issued to finance redevelopment activities in accordance with California Community Redevelopment Law. With the dissolution of Redevelopment Agencies, the principal source of debt repayment is from property tax revenues of the Successor Agency to the Redevelopment Agency.

DEBT STATUS

The graph below estimates outstanding debt obligations, excluding pension, of \$25,000,000 in RDA Successor agency debt, \$32,266,818 in the General Fund, and \$42,763,462 in the City's Enterprise funds for a total outstanding debt obligation of \$100,030,280 at the end of the fiscal year.



FY 2019 DEBT ISSUES

The following debt issues have been completed in FY 2019:

Debt Service Obligations

- Water Department – On December 28, 2018, and on March 29, 2019, the Water Department received principal advances in the amount of \$1,500,000 and \$2,500,000, respectively, from their Revolving Line of Credit to meet short-term cash flow needs and enable the Department to meet all of its financial targets.

FY 2020 PLANNED DEBT ISSUES

The following are planned debt issues for the FY 2020:

- The Water Department is planning to retire a Revolving Line of Credit used for bridge financing with proceeds from an issuance of revenue bonds in FY 2020. The Department is also pursuing two Drinking Water State Revolving Fund (DWSRF) loans for an estimated total exceeding \$100 million. The approval process takes several months and the anticipated approval will be received before the end of calendar year 2019. The size of the revenue bond issuance is highly dependent on whether the DWSRF loans are approved. Because of this unknown, the revenue bond issuance is estimated to range from \$40 - \$70 million.

FY 2019 PERS AND OPEB LIABILITIES

The City of Santa Cruz participates in PERS to provide pension benefits for past, current and future employees. In addition it provides modest, capped health retiree obligations as required by the CalPERS health program and as negotiated locally by City employees.

CITY OF SANTA CRUZ
FY 2020 ANNUAL BUDGET
Debt Service Obligations
(A) Summary of Changes in Long-Term Debt

Description	Maturity Date	Balance June 30, 2018	Additions to Debt	Principal Paydown and Maturities	Balance June 30, 2019	Balance	
						Due Within One Year	Due in More Than One Year
<u>GENERAL LONG TERM DEBT</u>							
2017 Refunding Lease Revenue Bonds 2007	11/1/2037	5,140,000	-	165,000	4,975,000	175,000	4,800,000
2017 Refunding Lease Revenue Bonds Ser B	11/1/2031	2,420,000	-	140,000	2,280,000	140,000	2,140,000
2010 Pension Obligation Bonds	6/1/2022	11,100,000	-	2,415,000	8,685,000	2,645,000	6,040,000
2009 General Obligation Refunding Bonds	9/1/2029	5,205,000	-	290,000	4,915,000	315,000	4,600,000
2012 Golf COP Refunding Loan	5/1/2029	2,131,023	-	161,605	1,969,418	167,311	1,802,107
PG&E Loan - LED Streetlights (Interest free)	1/1/2023	113,845	-	24,953	88,892	24,953	63,939
ZION Bank Loan - Homeless Shelter Project	1/1/2021	152,536	-	49,723	102,813	50,837	51,976
I-Bank Arterial Streets and Roads Loan	8/1/2024	10,142,438	-	1,375,440	8,766,998	1,399,235	7,367,763
California Energy Commission Loan	12/22/2033	483,697	-	-	483,697	-	483,697
Total General Long Term Debt		36,888,539	-	4,621,721	32,266,818	4,917,336	27,349,482
<u>ENTERPRISE DEBT</u>							
2014 Water Refunding Revenue Bonds	3/1/2036	9,425,000	-	410,000	9,015,000	420,000	8,595,000
I-Bank Water Infrastructure Loan	8/1/2046	24,494,633	-	521,741	23,972,892	538,646	23,434,246
2018 Water Fund BofA Commerical Loan	6/19/2020	3,500,000	4,000,000	-	7,500,000	7,500,000	-
Total Water Liabilities		33,919,633	-	931,741	32,987,892	958,646	32,029,246
2013 Wastewater Refunding Bonds	11/2/2022	4,888,944	-	3,616,374	1,272,570	304,712	967,858
Total Wastewater Liabilities		4,888,944	-	3,616,374	1,272,570	304,712	967,858
2009 Refuse Refunding Loan Agreement	10/1/2023	1,412,900	-	229,900	1,183,000	241,200	941,800
2010 Enterprise Revenue Bonds	10/1/2021	1,525,000	-	365,000	1,160,000	375,000	785,000
Total Refuse Liabilities		2,937,900	-	594,900	2,343,000	616,200	1,726,800
2017 Parking Refunding LRB	11/1/2028	3,065,000	-	235,000	2,830,000	240,000	2,590,000
Total Parking Liabilities		3,065,000	-	235,000	2,830,000	240,000	2,590,000
2014 Storm Water Refunding Revenue Bonds	10/1/2028	3,640,000	-	310,000	3,330,000	315,000	3,015,000
Total Storm Water Liabilities		3,640,000	-	310,000	3,330,000	315,000	3,015,000
Total Enterprise Debt		48,451,477	-	5,688,015	42,763,462	2,434,558	40,328,904
<u>SUCCESSOR AGENCY TRUST</u>							
2004 RDA TAB Merged	10/1/2031	3,395,000	-	180,000	3,215,000	190,000	3,025,000
2011 Housing TABS Series A	10/1/2024	5,130,000	-	600,000	4,530,000	640,000	3,890,000
2011 Taxable TABS Series B	10/1/2026	13,995,000	-	1,185,000	12,810,000	1,265,000	11,545,000
2011 Tax-Exempt TABS Series C	10/1/2026	4,895,000	-	450,000	4,445,000	470,000	3,975,000
TOTAL Successor Agency Trust		27,415,000	-	2,415,000	25,000,000	2,565,000	22,435,000
SUBTOTAL DEBT BEFORE PENSION		112,755,016	-	12,724,736	100,030,280	9,916,894	90,113,386

CITY OF SANTA CRUZ
FY 2020 ANNUAL BUDGET
Pension Obligations
(B) Summary of Funding Progress

Description	Measurement Date	Actuarial Value of Assets	Accrued Liability	Unfunded Liability	Unfunded Percentage of Assets	Prior Valuation Unfunded Liability
<i>Public Employees' Retirement System (PERS)</i>						
Miscellaneous employees	6/30/2017	290,611,313	393,332,342	102,721,029	73.9%	91,626,354
Safety	6/30/2017	182,678,118	250,089,380	67,411,262	73.0%	58,326,239
Total PERS Obligations		473,289,431	643,421,722	170,132,291	73.6%	149,952,593
<i>Other Post Employment Benefits (OPEB)</i>						
All employees	6/30/2017	-	39,367,937	39,367,937	0.0%	33,441,987
Total OPEB Obligations		-	39,367,937	39,367,937	0.0%	33,441,987
SUBTOTAL PENSION		473,289,431	682,789,659	209,500,228	69.3%	183,394,580

CITY OF SANTA CRUZ
FY 2020 ANNUAL BUDGET
Pension Obligations
(C) Comparative Summary of Total Obligations

Description	FY 2018 Budget Balance as of Reporting Date	Percentage of Total Debt	FY 2019 Budget Balance as of Reporting Date	Percentage of Total Debt
City-wide Debt before Pension	112,755,016		100,030,280	
Less: Pension Obligation Bonds	(11,100,000)		(8,685,000)	
Net City-wide Debt before all Pension costs	101,655,016	32.3%	91,345,280	27.8%
Pension Obligation Bonds	11,100,000		8,685,000	
City-wide Unfunded Pension Liability	183,394,580		209,500,228	
City-wide Pension Obligations	194,494,580	61.8%	218,185,228	66.4%
Other future obligations without annual debt service				
Compensated absences	1,719,932		1,755,751	
Claims and judgments	9,931,581		9,931,581	
Landfill closure	7,118,649		7,449,025	
Other future debt obligations	18,770,162	6.0%	19,136,357	5.8%
TOTAL OBLIGATIONS	314,919,758		328,666,865	

City of Santa Cruz Strategic Plan

The City Council established seven major strategic goals to guide the City's efforts in 2015, addressing the community's most pressing issues.

The seven goal areas are as follows:



The City of Santa Cruz Strategic Plan was first developed in 2011 to serve as a vision of the City's key goals and initiatives. The plan was to fill the dual roles of a high-level work-plan and a communication tool to the greater community about the City's priorities. The plan was revised in 2013 and then in 2015. Then in 2017, a Two-Year Work Plan was developed based on this Strategic Plan. Council plans to update this plan to help direct the FY2021 budget cycle.

Goal 1: Environmental Sustainability and Well-Managed Resources
<i>Preserve, protect and maintain natural resources and public space by proactively managing the City's greenbelt, beaches, parks and waterways</i>
<i>Promote environmental stewardship through the implementation of the City's Climate Action Plan</i>
<i>Ensure the availability of a safe, sustainable water supply through an integrated water quality and supply strategy</i>

Goal 2: Community Safety & Well-Being
<i>Create an environment that fosters a feeling of personal safety, physical security and individual well-being through the continued implementation of the Public Safety Citizen Task force recommendations</i>
<i>Develop and maintain ongoing coordination, partnerships and programs to focus on proactive prevention and analysis, lowering the occurrence of crime, early intervention and supervision for at-risk populations and effective rehabilitation to minimize recidivism</i>
<i>Foster a community of safe, attractive and well-maintained neighborhoods and business districts through the resolution of property crimes and nuisance issues</i>
<i>Support and encourage a variety of agencies that provide for the health and welfare of the community from youth to seniors</i>
<i>Enhance the City's level of disaster preparedness through improved planning, training, response, mitigation, and community outreach</i>

Goal 3: Economic Vitality
<i>Increase tourism to the City by supporting the supply and quality of hotel stock</i>
<i>Facilitate and encourage the growth and development of existing and newly emerging, high potential business sectors in our community</i>
<i>Enhance the downtown, beach, wharf, and city-wide commercial corridor vibrancy by increasing the supply and variety of retail shopping options and through other activities and amenities that improve the overall visitor experience</i>
<i>Provide for the development of diverse and affordable housing opportunities throughout the City</i>
<i>Attract and retain a well-balanced mix of businesses that contribute to the community's sustainability and promote the creation of quality jobs</i>

Goal 4: Organizational Health

Foster an organization where all employees are engaged, inspired and striving for continuous improvement

Attract, retain and develop high quality city employees

Maintain an organizational culture of excellent customer service that builds trust, inspires confidence and promotes accountability

Goal 5: Fiscal Sustainability

Sustains a resilient financial position supported by sustainable, balanced revenue streams, fiscally responsible expenditures and pursuit of cost-savings measures

Explore new revenue opportunities to fund City priorities

Goal 6: Reliable & Forward-Looking Infrastructure and Facilities

Provide a system of safe, clean, reliable and well-maintained streets, sidewalks, bridges, parks and parking facilities

Design, construct and proactively maintain a reliable utility infrastructure that delivers safe, clean water, controls storm water drainage and effectively manages sewage treatment

Actively seek opportunities to leverage existing and develop new revenue sources to ensure steady support to maintain and improve the City's infrastructure

Strategically develop new infrastructure to meet future needs and desires of the community

Goal 7: Engaged and Informed Community

Encourage civic engagement and participation in the achievement of our goals

Build an informed and engaged community by providing accurate and useful information about City priorities, functions and services

Provide timely response to issues and concerns raised by community members

Personnel Profile – Overview of Changes

Each year, City Council establishes an authorized position list (by department) through the budget adoption process. For FY 2020, there will be a .50 FTE (full-time equivalent) decrease to the total positions within the General Fund and a net 6.50 FTE increase to the total positions proposed within Enterprise and other funds as compared to the FY 2019 amended budget. For the independent Library JPA, there is a net change of 5.0 FTE net increase in positions. Following is a summary of the position changes:

Human Resources – No net change. Increase of 1.0 FTE Administrative Assistant III. Department is requesting a higher level administrative assistant position to accommodate job duties more in line with classification. It is anticipated that a position will be deleted at mid-year budget. No new position counts for the department.

Library JPA – Increase of 5.0 FTE. An increase of 3.0 FTE Library Assistant II positions and 2.0 FTE Librarian I/II. The Capitola and Felton libraries will both finish their new buildings adding additional square footage. Capitola will become 52% larger, adding 7,380 square feet, and Felton will become 89% larger, adding 7,650 square feet. These facilities have also planned for new amenities including teen, study, community gathering and programming rooms as well as outdoor spaces. The additional square footage and amenities will require more staff supervision and coordination to help ensure safety and security. As the City merely provides administrative services to the independent Library JPA, these positions do not impact costs or position counts for the City and are contingent upon being approved by the Library JPA Board.

Planning and Community Development – Decrease of 1.00 FTE Transportation Planner I/II. The full time Transportation Planner position currently is split in funding and workload between the Planning and Public Works Departments. However, the need for full time Transportation Planner services in Public Works has become acute and the department proposes to move this positions' funding and work load to 100% Public Works. Addition of a .50 FTE Associate Planner I/II position and although this is an add position the funding is offset by the elimination of the partial funding of the Transportation Planner I/II position.

Police – No net change. Increase of 2.0 FTE Administrative Assistant III. Department is requesting two higher level administrative assistant positions to accommodate job duties more in line with classification. It is anticipated that two positions will be deleted at mid-year budget. No new position counts for the department.

Public Works – Increase of 2.5 FTE. 2.0FTE Resource recovery Worker I/II positions were added. Resource Recovery Facility has been required to adhere to numerous new regulatory requirements over the last several years. Additional time intensive new tasks related to the Industrial General Storm Water permit, Department of Toxic Substance Control (Universal Waste) and our Local Enforcement Agency Cal Recycle have increased exponentially. Additional

Personnel Profile – Overview of Changes

challenges meeting new quality control limits in Recycling Processing have stretched staff's abilities to market recyclables. Positions are required due to issues related to systematic use of temporary staff, leave of absences, retention, staffing shortfalls and shift coverage. Also, 1.00 FTE Transportation Planner I/II was moved from Planning to Public Works. The full time Transportation Planner position currently is split in funding and workload between the Planning and Public Works Departments. However, the need for full time Transportation Planner services in Public Works has become acute and the department proposes to move this positions' funding and work load to 100% Public Works. Public Works traffic engineering has acquired a number of time intensive new tasks over the last year with required additional time. This includes the expansion of the Transportation Demand program and parking management, active transportation planning and projects in traffic engineering, keeping up with current workloads and working with additional grant funding.

Information Technology – Increase of a 1.0 FTE Business Systems Analyst III was added to support the Water Department's information technology needs related to implementation of the Capital Investment Program. This position will be located within the Information Technology Department and fully funded by the Water Operations Fund (Fund 711).

Water – Increase of 3.0 FTE. A 1.0 FTE Management Analyst position is being added to provide analytical support and project management to the Water Department's operations sections. Additional analytical capacity is needed for regulatory reporting, outreach, project management and to support the daily work of the Production, Watershed, Laboratory and Distribution sections of the Department. A significant effort of the Capital Investment Program is related to the Graham Hill Water Treatment Plant upgrades and to increase our ability to treat more turbid winter water. We plan for this position to play an important role in these efforts. Also, a 1.0 FTE Water Treatment Operator OIT/I/II/IV and a 1.0 FTE Water Facilities Mechanical Technician II will be added. As described above, the Water Department Capital Investment program, over the near term, will focus on a major rehabilitation of the Graham Hill Water Treatment Plant. The Treatment Plant's last major upgrades took place over 30 years ago and, in addition to the replacement of the plant's concrete tanks, a rehabilitation of the remaining treatment facilities and systems, including a substantial upgrade to the treatment process is planned. Two, new water treatment plant positions are being requested to address increased workload issues resulting from the Treatment Plant upgrades as treatment and mechanical staff are needed to help design, test, operate and maintain new infrastructure.

Personnel Profile

AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2018 Amended Budget	FY 2019 Adopted Budget	FY 2019 Amended Budget	FY 2020 Adopted Budget	FY 2020 Adopted Change From FY 2019 Amended
City Manager					
Administrative Assistant I/II	2.50	2.50	2.50	2.50	-
Assistant City Manager	1.00	1.00	1.00	1.00	-
Assistant to the City Manager	-	1.00	1.00	1.00	-
City Clerk Administrator	1.00	1.00	1.00	1.00	-
City Manager	1.00	1.00	1.00	1.00	-
Community Program Specialist	1.00	-	-	-	-
Deputy City Clerk Administrator	1.00	1.00	1.00	1.00	-
Deputy City Manager	1.00	-	-	-	-
Executive Assistant to the City Manager	1.00	1.00	1.00	1.00	-
Principal Management Analyst	1.00	1.00	1.00	1.00	-
Records Coordinator	1.00	1.00	1.00	1.00	-
Special Events Coordinator	-	1.00	1.00	1.00	-
Sustainability and Climate Action Coordinator	-	-	-	-	-
Climate Action and Sustainability Manager	0.75	0.75	0.75	1.00	0.25
	12.25	12.25	12.25	12.50	0.25
Economic Development					
Administrative Assistant II	1.00	1.00	1.00	1.00	-
Administrative Assistant III	1.00	1.00	1.00	1.00	-
Arts Program Manager	1.00	1.00	1.00	1.00	-
Business Liaison	-	1.00	1.00	1.00	-
Development Manager	4.00	3.00	3.00	3.00	-
Economic Development Coordinator	1.00	-	-	-	-
Economic Development Director	1.00	1.00	1.00	1.00	-
Economic Development Manager	1.00	1.00	1.00	1.00	-
Housing and Community Development Manager	-	1.00	1.00	1.00	-
Housing and HUD Programs Specialist	1.00	1.00	1.00	1.00	-
Management Analyst	-	-	1.00	1.00	-
Principal Management Analyst	-	2.00	2.00	2.00	-
Principal Planner	1.00	1.00	-	-	-
	12.00	14.00	14.00	14.00	-
Finance					
Accountant I/II	5.00	4.00	5.00	5.00	-
Accounting Assistant I	-	-	-	-	-
Accounting Assistant II	4.00	5.00	5.00	5.00	-
Accounting Services Supervisor	2.00	2.00	1.00	1.00	-
Accounting Technician	3.00	3.00	3.00	3.00	-
Administrative Assistant III	2.00	2.00	1.00	1.00	-
Assistant Director of Finance	1.00	1.00	1.00	1.00	-
Buyer I/II	1.00	1.00	1.00	1.00	-
Director of Finance	1.00	1.00	1.00	1.00	-
Finance Manager	2.00	1.00	1.00	1.00	-
Management Analyst	1.00	1.00	1.00	1.00	-
Payroll Technician	2.00	2.00	2.00	2.00	-
Principal Management Analyst	-	3.00	3.00	3.00	-
Purchasing Assistant	1.00	1.00	1.00	1.00	-
Purchasing Manager	1.00	1.00	1.00	1.00	-
Revenue Collections Specialist	1.00	1.00	1.00	1.00	-
Risk and Safety Manager	1.00	1.00	1.00	1.00	-
Senior Accountant	2.00	2.00	2.00	2.00	-
Senior Payments Technician	-	-	1.00	1.00	-
	30.00	32.00	32.00	32.00	-

Personnel Profile

AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2018	FY 2019	FY 2019	FY 2020	FY 2020
	Amended Budget	Adopted Budget	Amended Budget	Adopted Budget	Adopted Change From FY 2019 Amended
Fire					
Administrative Assistant I/II	1.00	1.00	1.00	1.00	-
Administrative Assistant III	1.00	1.00	1.00	1.00	-
Chief of Fire Department	1.00	1.00	1.00	1.00	-
Deputy Fire Marshal	1.00	1.00	1.00	1.00	-
Fire Battalion Chief	4.00	4.00	4.00	4.00	-
Fire Captain	16.00	16.00	16.00	16.00	-
Fire Division Chief	2.00	2.00	2.00	2.00	-
Fire Engineer	15.00	15.00	15.00	15.00	-
Fire Prevention Inspector II	1.00	1.00	1.00	1.00	-
Fire Prevention Technician	1.00	1.00	1.00	1.00	-
Firefighter	21.00	21.00	21.00	21.00	-
Marine Safety Officer	1.00	1.00	1.00	1.00	-
Principal Mangement Analyst	1.00	1.00	1.00	1.00	-
	66.00	66.00	66.00	66.00	-
Human Resources					
Administrative Assistant I/II	1.50	1.50	1.50	1.50	-
Administrative Assistant III	-	-	-	1.00	1.00
Director of Human Resources	1.00	1.00	1.00	1.00	-
Human Resources Analyst I/II	4.00	4.00	4.00	4.00	-
Human Resources Technician	2.00	2.00	2.00	2.00	-
Principal Human Resources Analyst	3.00	3.00	3.00	3.00	-
	11.50	11.50	11.50	12.50	1.00
Information Technology					
Administrative Assistant III	1.00	1.00	1.00	1.00	-
Assistant Director of Information Technology	1.00	1.00	1.00	1.00	-
Business Systems Analyst II	1.00	1.00	1.00	1.00	-
Business Systems Analyst III (1)	-	-	-	1.00	1.00
Director of Information Technology	1.00	1.00	1.00	1.00	-
Information Technology Specialist I/II	2.00	2.00	2.00	2.00	-
Information Technology Specialist III	2.00	2.00	2.00	2.00	-
Information Technology Manager	2.00	2.00	2.00	2.00	-
Network and Systems Administrator	3.00	3.00	3.00	3.00	-
Programmer Analyst I/II	2.00	2.00	2.00	2.00	-
Project Manager/Training Coordinator	2.00	2.00	2.00	2.00	-
SCADA Systems & Network Administrator	2.00	2.00	2.00	2.00	-
Systems Coordinator	1.00	1.00	1.00	1.00	-
Telecommunications Manager	-	-	-	-	-
	20.00	20.00	20.00	21.00	1.00
Library					
Accounting Assistant I	1.50	1.50	1.50	1.50	-
Administrative Assistant I/II	3.53	3.53	3.53	3.53	-
Assistant Director of Libraries	1.00	1.00	1.00	1.00	-
Bookmobile Library Assistant	1.80	1.80	1.80	1.80	-
Building Maintenance Worker I	1.00	-	-	-	-
Building Maintenance Worker II	1.00	2.00	2.00	2.00	-
Courier/Driver	1.00	1.00	1.00	1.00	-
Director of Libraries	1.00	1.00	1.00	1.00	-
Information Technology Manager	1.00	1.00	1.00	1.00	-
Information Technology Specialist I/II	1.00	1.00	1.00	1.00	-
Information Technology Specialist III	2.00	2.00	2.00	2.00	-
Librarian I/II	18.01	18.01	18.01	20.01	2.00
Librarian III	4.00	4.00	4.00	4.00	-

Personnel Profile

AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2018	FY 2019	FY 2019	FY 2020	FY 2020 Adopted
	Amended Budget	Adopted Budget	Amended Budget	Adopted Budget	Change From FY 2019 Amended
Library (continued)					
Library Assistant I	1.00	1.00	1.00	1.00	-
Library Assistant II	28.50	28.50	28.50	31.50	3.00
Library Assistant III	10.00	10.00	10.00	10.00	-
Library Assistant IV	2.00	2.00	2.00	2.00	-
Library Division Manager	-	-	-	-	-
Library Information Specialist	5.00	5.00	5.00	5.00	-
Library Information Technology Manager	-	-	-	-	-
Library Specialist	2.00	2.00	2.00	2.00	-
Management Analyst	1.00	-	-	-	-
Network & Systems Administrator	2.00	2.00	2.00	2.00	-
Principal Management Analyst	-	1.00	1.00	1.00	-
Programmer Analyst I/II	1.00	1.00	1.00	1.00	-
Service Field Crew Leader	1.00	1.00	1.00	1.00	-
Systems Coordinator	1.00	1.00	1.00	1.00	-
Volunteer Coordinator Assistant	0.50	0.50	0.50	0.50	-
	92.83	92.83	92.83	97.83	5.00
Parks and Recreation					
Accounting Assistant I	1.00	1.00	1.00	1.00	-
Administrative Assistant I/II	3.00	3.00	3.00	3.00	-
Associate Planner I/II	1.00	1.00	1.00	1.00	-
Box Office Representative	1.25	1.25	1.25	1.25	-
Building Maintenance Worker I	1.50	1.50	1.50	1.50	-
Building Maintenance Worker II	1.00	1.00	1.00	1.00	-
Chief Ranger	1.00	1.00	1.00	-	(1.00)
Construction Specialist	1.00	1.00	1.00	1.00	-
Custodian	3.00	3.00	3.00	3.00	-
Director of Parks and Recreation	1.00	1.00	1.00	1.00	-
Facility Attendant	2.50	2.50	2.50	2.50	-
Field Supervisor	3.00	3.00	3.00	3.00	-
Golf Course Superintendent	1.00	1.00	1.00	1.00	-
Light Equipment Mechanic	2.00	2.00	2.00	2.00	-
Office Supervisor	1.00	1.00	1.00	1.00	-
Parks Field Crew Leader	4.00	4.00	4.00	4.00	-
Parks Maintenance Worker	28.00	28.00	28.00	28.00	-
Principal Management Analyst	1.00	1.00	1.00	1.00	-
Ranger I/II	9.00	3.00	3.00	-	(3.00)
Recreation Assistant	5.00	5.00	5.00	5.00	-
Recreation Superintendent	1.00	1.00	1.00	1.00	-
Recreation Supervisor	5.00	5.00	5.00	5.00	-
Senior Parks Maintenance Worker	7.00	7.00	7.00	7.00	-
Senior Ranger	3.00	-	-	-	-
Service Field Crew Leader	1.00	1.00	1.00	2.00	1.00
Superintendent of Parks	1.00	1.00	1.00	1.00	-
Urban Forester	1.00	1.00	1.00	1.00	-
Wharf Construction Crew Leader	1.00	1.00	1.00	1.00	-
Wharf Construction Worker	4.00	4.00	4.00	4.00	-
Wharf Supervisor	1.00	1.00	1.00	1.00	-
	96.25	87.25	87.25	84.25	(3.00)
Planning and Community Development					
Administrative Assistant I/II	4.00	3.00	3.00	3.00	-
Administrative Assistant III			1.00	1.00	-
Associate Planner I/II	3.00	3.00	3.00	3.50	0.50
Asst Director of Planning and Comm Dev	1.00	1.00	1.00	1.00	-
Building Inspector	2.00	2.00	2.00	2.00	-
Chief Building Official	1.00	1.00	1.00	1.00	-
Code Compliance Manager	1.00	1.00	1.00	1.00	-

Personnel Profile

AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2018	FY 2019	FY 2019	FY 2020	FY 2020
	Amended Budget	Adopted Budget	Amended Budget	Adopted Budget	Adopted Change From FY 2019 Amended
Planning and Community Development (continued)					
Code Compliance Services Technician	1.00	1.00	1.00	1.00	-
Code Compliance Specialist	5.00	5.00	5.00	5.00	-
Deputy Building Official	1.00	1.00	1.00	1.00	-
Director of Planning and Comm Dev	1.00	1.00	1.00	1.00	-
Green Building Environmental Specialist	1.00	1.00	1.00	1.00	-
Management Analyst	1.00	1.00	1.00	1.00	-
Office Supervisor	1.00	1.00	1.00	1.00	-
Principal Management Analyst	1.00	1.00	1.00	1.00	-
Principal Planner	2.00	2.00	2.00	2.00	-
Records Coordinator	1.00	1.00	1.00	1.00	-
Senior Building Inspector	2.00	2.00	2.00	2.00	-
Senior Planner	5.00	5.00	5.00	5.00	-
Senior Plans Examiner	2.00	2.00	2.00	2.00	-
Supervising Building Inspector	1.00	1.00	1.00	1.00	-
Supervising Plans Examiner	1.00	1.00	1.00	1.00	-
Sustainability and Climate Action Coordinator	-	-	-	-	-
Transportation Planner	1.00	1.00	1.00	-	(1.00)
	39.00	38.00	39.00	38.50	(0.50)
Police					
Administrative Assistant I/II	2.00	2.00	2.00	2.00	-
Administrative Assistant III	1.00	1.00	1.00	3.00	2.00
Chief of Police	1.00	1.00	1.00	1.00	-
Community Relations Specialist	1.00	1.00	1.00	1.00	-
Community Service Officer I	9.00	8.00	8.00	8.00	-
Deputy Police Chief	2.00	2.00	2.00	2.00	-
Police Crime Analyst	-	1.00	1.00	1.00	-
Police Lieutenant	5.00	5.00	5.00	5.00	-
Police Officer (2)	76.00	76.00	76.00	76.00	-
Police Payroll and Purchasing Clerk	1.00	1.00	1.00	1.00	-
Police Property and Evidence Specialist	3.00	3.00	3.00	3.00	-
Police Records Manager	1.00	1.00	1.00	1.00	-
Police Records Technician	7.00	7.00	7.00	7.00	-
Police Sergeant	15.00	15.00	15.00	15.00	-
Principal Management Analyst	1.00	1.00	1.00	1.00	-
Ranger I/II	-	6.00	6.00	9.00	3.00
Senior Police Records Technician	1.00	1.00	1.00	1.00	-
Senior Ranger	-	3.00	3.00	3.00	-
Victim Advocate	1.00	1.00	1.00	1.00	-
	127.00	136.00	136.00	141.00	5.00
Public Works					
Accounting Assistant II	1.00	1.00	1.00	1.00	-
Administrative Assistant I/II	4.00	4.00	4.00	4.00	-
Assistant Engineer I/II	7.00	7.00	7.00	7.00	-
Associate Planner I/II	1.00	1.00	1.00	1.00	-
Associate Professional Engineer	5.00	5.00	5.00	5.00	-
Asst Dir of Public Works/City Engineer	1.00	1.00	1.00	1.00	-
Building Maintenance Worker I	1.00	1.00	-	-	-
Building Maintenance Worker II	1.00	2.00	2.00	2.00	-
Chemist I/II	1.80	1.80	1.80	1.80	-
Community Relations Specialist	1.00	1.00	1.00	1.00	-
Construction Specialist	2.00	2.00	2.00	2.00	-
Custodian	1.00	1.00	1.00	1.00	-
Director of Public Works	1.00	1.00	1.00	1.00	-
Engineering Associate	2.00	2.00	1.00	1.00	-
Engineering Technician	4.00	4.00	4.00	4.00	-
Environmental Compliance Inspector	2.00	2.00	2.00	2.00	-
Environmental Microbiologist III	1.00	2.00	2.00	2.00	-

Personnel Profile

AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2018	FY 2019	FY 2019	FY 2020	FY 2020
	Amended Budget	Adopted Budget	Amended Budget	Adopted Budget	Adopted Change From FY 2019 Amended
Public Works (continued)					
Environmental Programs Analyst I/II	2.00	2.00	2.00	2.00	-
Equipment Mechanic I/II	7.00	7.00	7.00	7.00	-
Equipment Service Worker	3.00	3.00	3.00	3.00	-
Facilities Maint and Energy Projects Coord	1.00	1.00	1.00	1.00	-
Facilities Maintenance Supervisor	1.00	1.00	1.00	1.00	-
Field Supervisor	1.00	1.00	1.00	1.00	-
Garage Service Supervisor	1.00	1.00	1.00	1.00	-
Landfill Gate Attendant	1.00	1.00	1.00	1.00	-
Lead Equipment Mechanic	1.00	1.00	1.00	1.00	-
Management Analyst	2.00	2.00	2.00	2.00	-
Office Supervisor	1.00	1.00	1.00	1.00	-
Parking Attendant	9.40	9.40	9.40	9.40	-
Parking Control Maintenance Worker	7.00	7.00	7.00	7.00	-
Parking Enforcement Officer	7.00	7.00	7.00	7.00	-
Parking Facility Maintenance Assistant	8.50	8.50	8.50	8.50	-
Parking Office Representative	3.50	3.50	3.50	3.50	-
Parking Office Supervisor	1.00	1.00	1.00	1.00	-
Parking Program Manager	1.00	1.00	1.00	1.00	-
Parking Services Supervisor	3.00	3.00	3.00	3.00	-
Plant Mechanic	2.00	2.00	2.00	2.00	-
Principal Management Analyst	1.00	1.00	1.00	1.00	-
Public Works Operations Manager	2.00	2.00	2.00	2.00	-
QA/QC Laboratory Chemist	1.00	1.00	1.00	1.00	-
Recycling Center Maintenance Mechanic	1.00	1.00	1.00	1.00	-
Resource Recovery Equipment Operator	4.00	4.00	4.00	4.00	-
Resource Recovery Supervisor	6.00	6.00	6.00	6.00	-
Resource Recovery Worker I/II	15.00	15.00	15.00	17.00	2.00
Senior Professional Engineer	2.00	2.00	2.00	2.00	-
Senior Parking Attendant	1.00	1.00	-	-	-
Senior Parking Controls Maint Worker	1.00	1.00	-	-	-
Senior Parking Services Worker	-	-	2.00	2.00	-
Senior Resource Recovery Worker	5.00	5.00	5.00	5.00	-
Senior Service Maintenance Worker	4.00	4.00	4.00	4.00	-
Senior Wastewater Collection Maint Tech	4.00	4.00	4.00	4.00	-
Senior Wastewater Plant Operator III/IV	5.00	5.00	5.00	5.00	-
Service Field Crew Leader	5.00	7.00	7.00	7.00	-
Service Maintenance Worker	8.00	8.00	8.00	8.00	-
Solid Waste Worker	39.50	39.50	39.50	39.50	-
Superintendent of Parking Services	1.00	1.00	1.00	1.00	-
Supt of RR Disposal	1.00	1.00	1.00	1.00	-
Supt of RR Collect Sweeping	1.00	1.00	1.00	1.00	-
Supt of WW Col/ Treatmnt Facility	-	-	-	-	-
Traffic Engineer	1.00	1.00	1.00	1.00	-
Transportation Coordinator	1.00	1.00	1.00	1.00	-
Transportation Planner I/II	-	-	-	1.00	1.00
Utility Maintenance Technician	1.00	1.00	1.00	1.00	-
Waste Reduction Assistant	2.00	2.00	2.00	2.00	-
WasteWater Collection Field Crew Leader	2.00	2.00	2.00	2.00	-
WasteWater Collection Maint Tech I	3.00	3.00	3.00	3.00	-
WasteWater Collection Maint Tech II	2.00	2.00	2.00	2.00	-
WasteWater Collection Manager	1.00	1.00	1.00	1.00	-
WasteWater Collection Mech Technician I/II	1.00	1.00	1.00	1.00	-
WasteWater Facilities Electr/InstrTech I/II	4.00	4.00	4.00	4.00	-
WasteWater Facilities Electrical/Instr Sup	1.00	1.00	1.00	1.00	-
WasteWater Facilities Lead Mech Tech	1.00	1.00	1.00	1.00	-
WasteWater Facilities Mechanical Tech I/II	7.00	7.00	7.00	7.00	-
WasteWater Facilities MechSupervisor	1.00	1.00	1.00	1.00	-

Personnel Profile

AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2018	FY 2019	FY 2019	FY 2020	FY 2020
	Amended Budget	Adopted Budget	Amended Budget	Adopted Budget	Adopted Change From FY 2019 Amended
Public Works (continued)					
WasteWater Lab/Envl Compliance Mgr	1.00	1.00	1.00	1.00	-
WasteWater Plant Operator II/III	9.00	9.00	9.00	9.00	-
Wastewater System Manager	1.00	1.00	1.00	1.00	-
WasteWater Treatment Facility Opr Mgr	1.00	1.00	1.00	1.00	-
Wastewater Treatment Operations Supervisor	1.00	1.00	1.00	1.00	-
	248.70	252.70	250.70	253.70	3.00
Water					
Administrative Assistant I/II	2.00	2.00	2.00	2.00	
Administrative Assistant III	2.00	2.00	2.00	2.00	
Administrative Services Manager	-	-	-	-	
Assistant Engineer I/II	3.00	4.00	4.00	4.00	
Associate Planner I/II	2.00	2.00	2.00	2.00	
Associate Professional Engineer	5.75	5.75	5.75	5.75	
Chief Ranger	1.00	1.00	1.00	1.00	
Community Relations Specialist	1.00	1.00	1.00	1.00	
Customer Service Manager	1.00	1.00	1.00	1.00	
Deputy Water Director/Engineering Mgr	1.00	1.00	1.00	1.00	
Deputy Water Director/Operations Mgr	1.00	1.00	1.00	1.00	
Director of Water Department	1.00	1.00	1.00	1.00	
Engineering Associate	1.00	1.00	1.00	1.00	
Engineering Technician	3.00	2.00	2.00	2.00	
Environmental Microbiologist I/II/III	2.00	2.00	2.00	2.00	
Environmental Programs Analyst I/II	4.00	4.00	4.00	4.00	
Finance Manager	1.00	1.00	1.00	1.00	
Laboratory Technician	2.00	2.00	2.00	2.00	
Management Analyst	1.00	1.00	1.00	2.00	1.00
Principal Management Analyst	1.00	1.00	1.00	1.00	
Ranger I/II	4.00	3.00	3.00	3.00	
Ranger Assistant	3.50	3.50	3.50	3.50	
Senior Electrician	1.00	1.00	1.00	1.00	
Senior Environmental Projects Analyst	2.00	2.00	2.00	-	(2.00)
Senior Plant Maintenance Mechanic	1.00	1.00	1.00	1.00	
Senior Professional Engineer	1.00	1.00	1.00	1.00	
Senior Ranger	-	2.00	2.00	2.00	
Senior Water Distribution Operator	6.00	6.00	6.00	6.00	
Sup of Water Treatment and Production	1.00	1.00	1.00	1.00	
Superintendent of Water Distribution	1.00	1.00	1.00	1.00	
Utility Account Specialist	4.00	4.00	4.00	4.00	
Utility Maintenance Technician	4.00	4.00	4.00	4.00	
Utility Service Field Technician I/II	2.00	2.00	2.00	2.00	
Utility Service Representative I/II	6.00	6.00	6.00	6.00	
Utility Supervisor	1.00	1.00	1.00	1.00	
WW Facilities Mechanical Supervisor	-	(1.00)	(1.00)	(1.00)	
Water Conservation Manager	1.00	1.00	1.00	1.00	
Water Conservation Representative	2.00	2.00	2.00	2.00	
Water Distribution Crew Leader III/IV	6.00	6.00	6.00	6.00	
Water Distribution Operator II/ III	9.00	9.00	9.00	9.00	
Water Distribution Sup V Chief Distr Opr	1.00	1.00	1.00	1.00	
Water Facilities Electrical/Instr Tech II/III	1.00	1.00	1.00	1.00	
Water Facilities Field Supervisor	1.00	1.00	1.00	1.00	
Water Facilities Mechanical Tech II/III	1.00	1.00	1.00	2.00	1.00
Water Facilities Mechanical Supervisor	-	1.00	1.00	1.00	
Water Meter Specialist	3.00	3.00	3.00	3.00	
Water Meter Supervisor	1.00	1.00	1.00	1.00	
Water Meter Technician	1.00	1.00	1.00	1.00	
Water Quality Chemist I/II/III	2.00	2.00	2.00	2.00	
Water Quality Manager	1.00	1.00	1.00	1.00	

Personnel Profile

AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2018 Amended Budget	FY 2019 Adopted Budget	FY 2019 Amended Budget	FY 2020 Adopted Budget	FY 2020 Adopeted Change From FY 2019 Amended
Water (continued)					
Water Resources Analyst	-	-	-	3.00	3.00
Water Resources Supervisor	-	-	-	2.00	2.00
Water Treatment Operator II/III/IV	8.00	8.00	8.00	8.00	
Water Treatment OIT II/III/IV	-	-	-	1.00	1.00
Water Trtmt Sup IV/V-Chief Plant Opr	1.00	1.00	1.00	1.00	
Watershed Compliance Manager	1.00	1.00	1.00	1.00	
	<u>113.25</u>	<u>114.25</u>	<u>114.25</u>	<u>120.25</u>	<u>6.00</u>
Total Positions Authorized	868.78	876.78	875.78	893.53	17.75

(1) The Business Systems Analyst III is funded by the Water Department.

RESOLUTION NO. NS-29,546

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF SANTA CRUZ ADOPTING A BUDGET FOR FISCAL YEAR 2020

BE IT RESOLVED, by the City Council of the City of Santa Cruz, that the budget of the City of Santa Cruz for Fiscal Year (FY) 2020, as proposed in that certain document entitled "City of Santa Cruz Proposed Annual Budget Fiscal Year 2020," on file with the City Clerk, is hereby adopted for FY 2020, effective July 1, 2019, with the amendments and addenda thereto as determined by the City Council on June 11, 2019 and included in the attached Exhibit:

- (1) Exhibit A – Proposed June 11, 2019 Schedule of Budget Changes and Budget Solutions

BE IT FURTHER RESOLVED that the City Manager is authorized to allocate within the applicable Funds the FY 2020 Budget Solutions to the appropriate accounting classifications and to approve related and applicable transfer in/out between funds.

BE IT FURTHER RESOLVED that the Finance Director is authorized to create additional appropriations in order to provide for necessary commitments carried over from the prior fiscal year, including contract and purchase order encumbrances and unexpended project balances, so long as there is a sufficient fund balance to finance these commitments.

PASSED AND ADOPTED this 11th day of June, 2019, by the following vote:

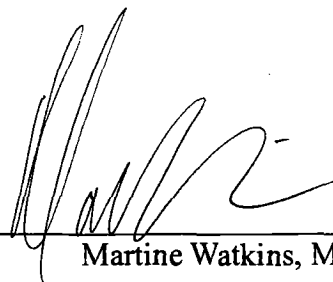
AYES: Councilmembers Krohn, Glover, Meyers, Brown, Mathews; Vice Mayor Cummings; Mayor Watkins.

NOES: None.

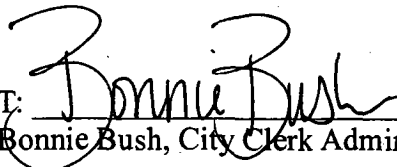
ABSENT: None.

DISQUALIFIED: None.

APPROVED: _____


Martine Watkins, Mayor

ATTEST: _____


Bonnie Bush, City Clerk Administrator

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City Attorney

City Attorney



Department Description

This year marks the 55rd consecutive year that the law office of Atchison, Barisone, and Condotti has provided contractual legal services to the City of Santa Cruz as the City's Attorney.

Having been in business for more than 50 years, the firm is one of the oldest continuously operating law firms in Santa Cruz County, and this factor contributes to their credibility among other local, legal professionals and public agency sectors, as well as the law enforcement community. Most of the attorneys in this firm have historically resided in the City, raised families here, and maintained active levels of participation with local non-profits and service clubs, proving their full investment in the community, both professionally and personally.

The firm's current nine attorney's provide general legal services at a total cost of \$750,343 for up to 260 hours per month (for a total of 3,120 hours annually). These services to the City's eleven departments include drafting and review, personnel work, public utilities work, election law, labor law, construction law, real estate transactional work, the review of drafting of legislation, ordinances and resolutions, and land use and environmental law. The City Attorney likewise advises the City Council pursuant to the

general legal services provision of the contract. Additional special services may be requested at preset contractual rates depending upon the level of service requested. And, if in any particular month the amount of legal hours provided exceeds the 260 monthly allowance, each additional hour will be billed at a blended rate of \$250 per hour. Other budget amounts included in this departmental budget include other professional legal services that may be required to be provided outside of the firm as well as contractual expense reimbursements and retirement contributions.



City Attorney

DEPARTMENT SUMMARY

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Personnel Services	19,483	16,345	16,345	20,377	-
Services, Supplies, and Other Charges	1,348,695	1,115,343	1,115,343	1,115,343	1,415,343
Total Expenditures	<u>1,368,178</u>	<u>1,131,688</u>	<u>1,131,688</u>	<u>1,135,720</u>	<u>1,415,343</u>
EXPENDITURES BY ACTIVITY:					
City Attorney	1220 <u>1,368,178</u>	<u>1,131,688</u>	<u>1,131,688</u>	<u>1,135,720</u>	<u>1,415,343</u>
Subtotal General Fund	<u>1,368,178</u>	<u>1,131,688</u>	<u>1,131,688</u>	<u>1,135,720</u>	<u>1,415,343</u>
Total Expenditures	<u>1,368,178</u>	<u>1,131,688</u>	<u>1,131,688</u>	<u>1,135,720</u>	<u>1,415,343</u>
RESOURCES BY FUND					
General Fund	101 <u>107,470</u>	<u>110,372</u>	<u>110,372</u>	<u>110,372</u>	<u>115,228</u>
Total Resources	<u>107,470</u>	<u>110,372</u>	<u>110,372</u>	<u>110,372</u>	<u>115,228</u>
Net General Fund Cost	<u>(1,260,708)</u>	<u>(1,021,316)</u>	<u>(1,021,316)</u>	<u>(1,025,348)</u>	<u>(1,300,115)</u>

*Sums may have discrepancies due to rounding



City Council

City Council



Department Description

The City Council is comprised of six Councilmembers and a Mayor. They are the legislative and policy-making body of city government; setting city policy by passing ordinances and resolutions. The Council determines the city budget, appoints and removes certain appointive officials, and generally oversees city functions. They are elected at large by city voters and are directly responsible to the people. The term of office for a Councilmember is four years and a Councilmember may serve a maximum of two consecutive terms. Each year, the Council elects one of its members to serve as Mayor for a one-year term. The Mayor presides at all Council Meetings and is recognized as the head of the city government for all ceremonial purposes.

Mayor Martine Watkins
mwatkins@cityofsantacruz.com

Vice Mayor Justin Cummings
jcummings@cityofsantacruz.com

Sandy Brown
sbrown@cityofsantacruz.com

Drew Glover
dglover@cityofsantacruz.com

Chris Krohn
ckrohn@cityofsantacruz.com

Cynthia Matthews
cmatthews@cityofsantacruz.com

Donna Meyers
dmeyers@cityofsantacruz.com

Strategic Plan

Goal 1: Environmental Sustainability and Well-Managed Resources

Strategies:

- Preserve, protect and maintain natural resources and public space by proactively managing the City's greenbelt, beaches, parks and waterways
- Promote environmental stewardship through the implementation of the City's Climate Action Plan
- Ensure the availability of a safe, sustainable water supply through an integrated water quality and supply strategy





Goal 2: Community Safety & Well-Being

Strategies:

- Create an environment that fosters a feeling of personal safety, physical security and individual well-being through the continued implementation of the Public Safety Citizen Task force recommendations
- Develop and maintain ongoing coordination, partnerships and programs to focus on proactive prevention and analysis, lowering the occurrence of crime, early intervention and supervision for at-risk populations and effective rehabilitation to minimize recidivism
- Foster a community of safe, attractive and well-maintained neighborhoods and business districts through the resolution of property crimes and nuisance issues
- Support and encourage a variety of agencies that provide for the health and welfare of the community from youth to seniors
- Enhance the City's level of disaster preparedness through improved planning, training, response, mitigation, and community outreach

Goal 3: Economic Vitality

Strategies:

1. Increase tourism to the City by supporting the supply and quality of hotel stock
2. Facilitate and encourage the growth and development of existing and newly emerging, high potential business sectors in our community
3. Enhance the downtown, beach, wharf, and city-wide commercial corridor vibrancy by increasing the supply and variety of retail shopping options and through other activities and amenities that improve the overall visitor experience
4. Provide for the development of diverse and affordable housing opportunities throughout the City
5. Attract and retain a well-balanced mix of businesses that contribute to the community's sustainability and promote the creation of quality jobs

Goal 4: Organizational Health

Strategies:

- Foster an organization where all employees are engaged, inspired and striving for continuous improvement
- Attract, retain and develop high quality city employees
- Maintain an organizational culture of excellent customer service that builds trust, inspires confidence and promotes accountability

Goal 5: Financial Stability

Strategies:

- Sustains a resilient financial position supported by sustainable, balanced revenue streams, fiscally responsible expenditures and pursuit of cost-savings measures
- Explore new revenue opportunities to fund City priorities



Goal 6: Reliable & Forward-Looking Infrastructure and Facilities

Strategies:

- Provide a system of safe, clean, reliable and well-maintained streets, sidewalks, bridges, parks and parking facilities
- Design, construct and proactively maintain a reliable utility infrastructure that delivers safe, clean water, controls storm water drainage and effectively manages sewage treatment
- Actively seek opportunities to leverage existing and develop new revenue sources to ensure steady support to maintain and improve the City's infrastructure
- Strategically develop new infrastructure to meet future needs and desires of the community

Goal 7: Engaged & Informed Community

Strategies:

- Encourage civic engagement and participation in the achievement of our goals
- Build an informed and engaged community by providing accurate and useful information about City priorities, functions and services
- Provide timely response to issues and concerns raised by community members



City Council

DEPARTMENT SUMMARY

Department Description:

The City Council is the legislative and policy-making body of the City of Santa Cruz. The City Council's seven members are elected on a non-partisan basis for 4-year terms. Each year the Council elects one of its members to serve as Mayor. The City Council meets regularly to conduct the public's business.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Personnel Services	250,550	271,344	271,344	296,886	310,827
Services, Supplies, and Other Charges	132,328	124,268	176,868	121,393	158,860
Capital Outlay	-	-	-	-	2,400
Total Expenditures	<u>382,878</u>	<u>395,612</u>	<u>448,212</u>	<u>418,279</u>	<u>472,087</u>
EXPENDITURES BY ACTIVITY:					
City Council	1110 382,878	395,612	448,212	418,279	472,087
Subtotal General Fund	<u>382,878</u>	<u>395,612</u>	<u>448,212</u>	<u>418,279</u>	<u>472,087</u>
Total Expenditures	<u>382,878</u>	<u>395,612</u>	<u>448,212</u>	<u>418,279</u>	<u>472,087</u>
RESOURCES BY FUND					
General Fund	101 191,875	197,055	197,055	197,055	205,725
Total Resources	<u>191,875</u>	<u>197,055</u>	<u>197,055</u>	<u>197,055</u>	<u>205,725</u>
Net General Fund Cost	<u>(191,003)</u>	<u>(198,557)</u>	<u>(251,157)</u>	<u>(221,224)</u>	<u>(266,362)</u>

*Sums may have discrepancies due to rounding

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City Manager

City Manager's Department



Workload Indicators

Produced fully supported Council meetings with agenda development, proper posting and materials development, live meeting support and post-meeting minutes production and papers processing. Regular and Special City Council meetings supported:

FY18	33
FY17	31
FY16	29

Receives, coordinates and responds to Public Records Requests:

FY18	254
FY17	273
FY16	235

Department Description

The City Manager is the City's chief administrator. The City Manager develops the City's budget, appoints the City's department heads, and conducts the affairs of the City pursuant to the policies set by the City Council. The City Manager and his/her staff also handle special projects and Council assignments which do not fall within the jurisdiction of any other City department. The City Manager's Office encompasses the City Clerk's Office, Climate Action Program, Special Events Office, Commission for the Prevention of Violence Against Women, and the Police Auditor function.

FY2019 Accomplishments

Economic Vitality

- Supported the City Council Housing Blueprint Subcommittee in the development of housing policy and action prioritization from the December 2017 Santa Cruz Voices on Housing Report.
 - Supported the advancement of Downtown housing development including the 100 Laurel Street project with 205 housing units.
 - Supported amendments to the City's Accessory Dwelling Unit ordinances to reduce barriers to development.
 - Supported amendments to the Affordable Housing Inclusionary Ordinance.
 - Assisted with development of the affordable housing and homelessness Measure H, which appeared on the November 2018 ballot but did not meet the voter threshold for passage.
- Partnered with Grid Alternatives to secure CDBG funding from the City to provide three solar PV installations to income eligible homeowners, reducing the energy cost burden.



Community Safety and Well Being

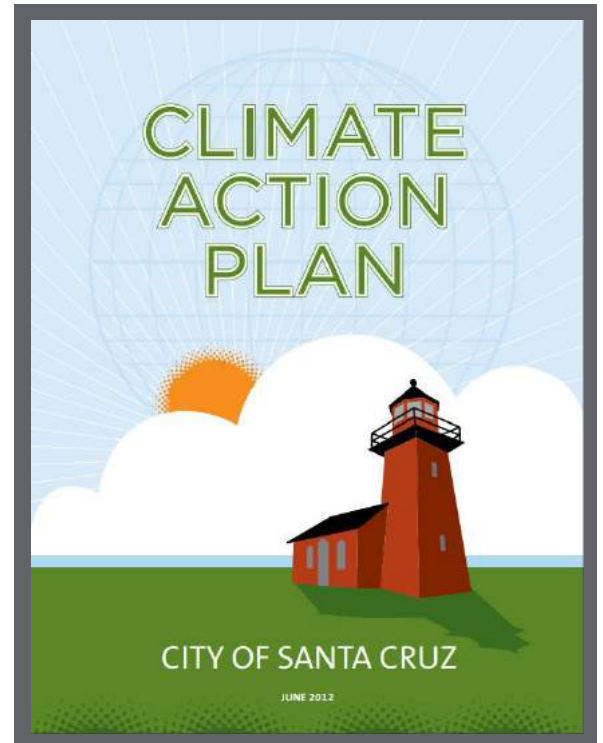
- Completed or advanced 17 of the 20 May 2017 Homelessness Coordinating Committee's recommendations (see December 11, 2018 agenda report for fuller details) including:
 - Supported the implementation and success of Coordinated Entry Infrastructure, which successfully launched in April 2018
 - Increased homeless outreach services and mobile behavioral health/mental health response by adding a Downtown Outreach Worker for a total of two, providing 7-day-a-week, 10-hour-per-day coverage and adding a second Mental Health Liaison partnering directly with the Police Department for behavioral health intervention and assessment in the field.
 - Extended the contract with the Downtown Streets Team to provide homeless outreach and jobs opportunities to include the Harvey West Area effective July 2018, in addition to the Downtown and Riverwalk contract.
 - Continued additional hygiene resources by contracting with the Homeless Services Center to increase access to the showers and restrooms by 15 hours per week.

- Continued participation and support of the 2X2 Committee with the County.
- Worked with the County to support a Focus Strategies countywide assessment and recommendations about the local system of homelessness care.
- Updated the homelessness resource and information page on the City's website
- Developed a Three-Phased Plan to enhance homeless shelter resources that transitions from a temporary managed campground, to an interim 1-2 year homeless shelter, to a permanent Navigation Center shelter, to further the long-range goals of the Homelessness Coordinating Committee's Recommendations.
- As Phase 1 of this plan, operated and managed the temporary River Street Camp from late February 2018 to November 2018 to provide safe and stable shelter for over 130 individuals experiencing homelessness with 58% moving on to improved living circumstances and employing 30 people.
- Successfully applied for a \$1.4 million grant from the State HEAP/CESH one-time allocation to the Countywide Continuum of Care (the HAP) for the purchase of a property to support long-range master homeless facility planning and short-term homeless services.
- Revised the City's Communications Work Plan to focus on Council goals of enhanced community engagement and communications.
- Continued the Employee Newsletter.
- Launched the Community Liaison for Latino and Spanish-speaking community members
- Conducted a Social Media Strategy Evaluation.
- Developed Emergency Communications Protocols and Planning.
- Conducted intensive community engagement and communications trainings for City staff to enhance connections and collaborations throughout City operations.
- Enhanced the City's communications coordinating committee to support departmental coordination and training.
- Successful launch of the Keep It Cool business energy conservation campaign through a collaborative partnership with Santa Cruz Climate Action Network, enlisting 215 businesses to keep their doors closed when running heat or air conditioning.



Reliable & Forward-Looking Infrastructure and Facilities

- Completed and adopted the Climate Adaptation Plan Update.
 - Conducted an extensive Climate Adaptation Plan outreach campaign, earning a Merit Award from the NorCal American Planning Association chapter for its focus on socially vulnerable communities.
 - Secured over \$0.5 million in grant funding to implement two measures from the Climate Adaptation Plan Update: Completion of a West Cliff Drive Adaptation and Management Plan and a Local Coastal Program Amendment with Sea Level Rise Policies.



- Supported the work on the Farmer's Market expansion and Downtown Library Advisory Committee to envision opportunities for the Downtown Library.

In addition to Work Plan items, the City Manager's Office accomplished:

- Staffed and supported the Council-formed Charter Amendment Committee
- Proactively engaged with the City's state and federal legislators about climate action, public safety, housing, and community concerns and priorities.
- Continued implementation of the Cowell Beach Working Group recommendations to help reduce pollutant sources and improve water quality.
- Sustained the City's strong fiscal position and maintained an AA+ bond rating, the second highest rating attainable.
- Entered into the next phase of the Collective of Results and Evidence-Based (CORE) Model in partnership with the County Human Services Department.
- Successfully recruited the Parks and Recreation Director.
- Achieved the City's 2020 greenhouse gas emissions target a year early through the implementation of Monterey Bay Community Power.
- Facilitated over 160 special events, film permits, and block parties that provide community benefits and attract visitors.
- Facilitated two elections (June and November 2018).
- Participated in a Teen Summer Program to complete a City Clerk Office project to preserve historic City Council ordinances, resolutions, and minutes.



Main Beach

FY2020 Goals

- Continue implementation of City Council's Two-Year Work Plan to include projects that support housing affordability, community safety and well-being, and 21st Century infrastructure maintenance and enhancements.
- Support the Council in developing a calendar year 2019 Work Plan and initiate a robust process to develop a revised three-year strategic work plan.
- Improve the Cowell Beach water quality score on the annual "Heal the Bay" Report.
- Advance long-term water supply and demand solutions.
- Continue to advance recommendations from the Homelessness Coordinating Committee and implement plan for a permanent navigation center in partnership with the County, cities and other partners.
 - Emphasize legislative advocacy to garner the necessary State and Federal support to address homelessness.
 - In partnership with the County and other HAP jurisdictions, develop year-round homeless shelter capacity.
 - Pursue the acquisition of the Coral Street property, funded by the HEAP grant for long-range facility master planning and homeless services enhancement.
 - Support the Focus Strategies homelessness system assessment work and develop recommendations for City involvement and enhanced countywide governance structure.

- Improve the City's overall community engagement and communications to cultivate a more informed and involved community in City decisions.
- With the Council Revenue Subcommittee, consider additional revenue opportunities for housing, infrastructure and general City operations.
- Eliminate the structural deficit and achieve a balanced budget.
- Proceed with planning for a new or improved Downtown Library.
- Enhance Downtown investment and operational support.
- Maintain the City's strong financial position (prudent reserves and excellent bond rating).
- Support the employee-driven Organizational Development Committee to identify opportunities for employee development and organizational well-being.
- Implement the City Manager's Office Employee Engagement Plan.
- Begin preparing for the conversion to OnBase, a more sophisticated agenda management program that will allow more accessibility of online City documents.
- Continue support and facilitate recruitment and training for 12 City Council Advisory Bodies.



Downtown on Pacific Avenue

City of Santa Cruz FY 2020 Community Programs Budget

Agency	Program	City Funding
Advocacy, Inc.	Long Term Care Ombudsman Program	\$15,000
Big Brothers Big Sisters Agency of Santa Cruz County, Inc.	One to One Mentoring and After School Enrichment	\$15,000
Boys & Girls Clubs of Santa Cruz County	Project Learn	\$43,000
Cabrillo College Stroke and Disability Learning Center	Cabrillo College Stroke and Disability Learning Center	\$15,000
Cabrillo Community College	Cabrillo Children's Center Lab School	\$15,000
Coastal Watershed Council	Watershed Ranger	\$20,000
Community Action Board of Santa Cruz County Inc (CAB)	Rental Assistance Program - North/Mid-County	\$30,000
Community Bridges	Child Development Division	\$73,000
Community Bridges	Nueva Vista Community Resources	\$53,000
Court Appointed Special Advocates of Santa Cruz County "CASA"	Court Appointed Special Advocates of Santa Cruz County "CASA"	\$20,000
Dientes Community Dental Care	Integrated Dental Care for Our Community	\$52,000
Encompass Community Services	Housing Pathways	\$50,000
Encompass Community Services	Nuevo Día Women's Program	\$100,000
Encompass Community Services	TAY (Transition Age Youth) Youth Advocacy Project	\$33,000
Families In Transition of Santa Cruz County, Inc.	Family Housing Stabilization	\$15,000
Family Service Agency of the Central Coast	Counseling Services	\$29,000
Family Service Agency of the Central Coast	Suicide Prevention Service	\$22,000
FoodWhat, Incorporated	FoodWhat or "Food, What?!"	\$15,000
Grey Bears	Healthy Food for Seniors	\$15,000
Homeless Garden Project	Transitional Employment and Job Training Program	\$17,000
Homeless Services Center	180/2020	\$115,000
Mental Health Client Action Network (MHCAN)	Drop-In Center	\$17,000
Mental Health Client Action Network (MHCAN)	Motivational Interviewing Peer Support Counseling	\$17,000
Monarch Services-Servicios Monarca	Monarch Services-Servicios Monarca	\$60,000
NAMI-Santa Cruz County National Alliance on Mental Illness	"Sustaining Families" Program	\$15,000
Planned Parenthood Mar Monte (PPMM)	PPMM: Westside & Watsonville Health Centers	\$60,000
Santa Cruz Barrios Unidos, Inc	Educational Outreach Program	\$15,000
Santa Cruz Barrios Unidos, Inc	Kids Club	\$24,000
Santa Cruz Community Health Centers	Santa Cruz Community Health Centers	\$15,000
Santa Cruz Toddler Care Center	Santa Cruz Toddler Care Center	\$38,000
Senior Citizens Legal Services	Senior Citizens Legal Services	\$15,000
Senior Network Services, Inc.	Aging in Community: Housing and Home Help Services	\$15,000
The Diversity Center	The Diversity Center's 60+ Program	\$17,000
Volunteer Center of Santa Cruz County	Friends Outside	\$15,000
Volunteer Center of Santa Cruz County	Seniors Programs	\$15,000
Walnut Avenue Family & Women's Center	Walnut Avenue Early Education Center	\$35,000
Total		\$1,135,000

SET ASIDE FUNDING (FY 2019 Funded Programs)		
Community Action Board	Thriving Immigrants Initiative	\$10,100
Diversity Center	Transgender Program	\$8,300
Santa Cruz Community Health Centers	Wellness for Women with Chronic Pain	\$8,300
Partnership for Children	Partnership for Children	\$2,800
Second Harvest Food Bank	Food Bank Services	\$4,600
Downtown Streets Team	Team Technology Support	\$2,800
Community Bridges	Meals on Wheels	\$4,600
Foster Grandparent	Foster Grandparent	\$3,500
Total		\$45,000

GRAND TOTAL \$1,180,000

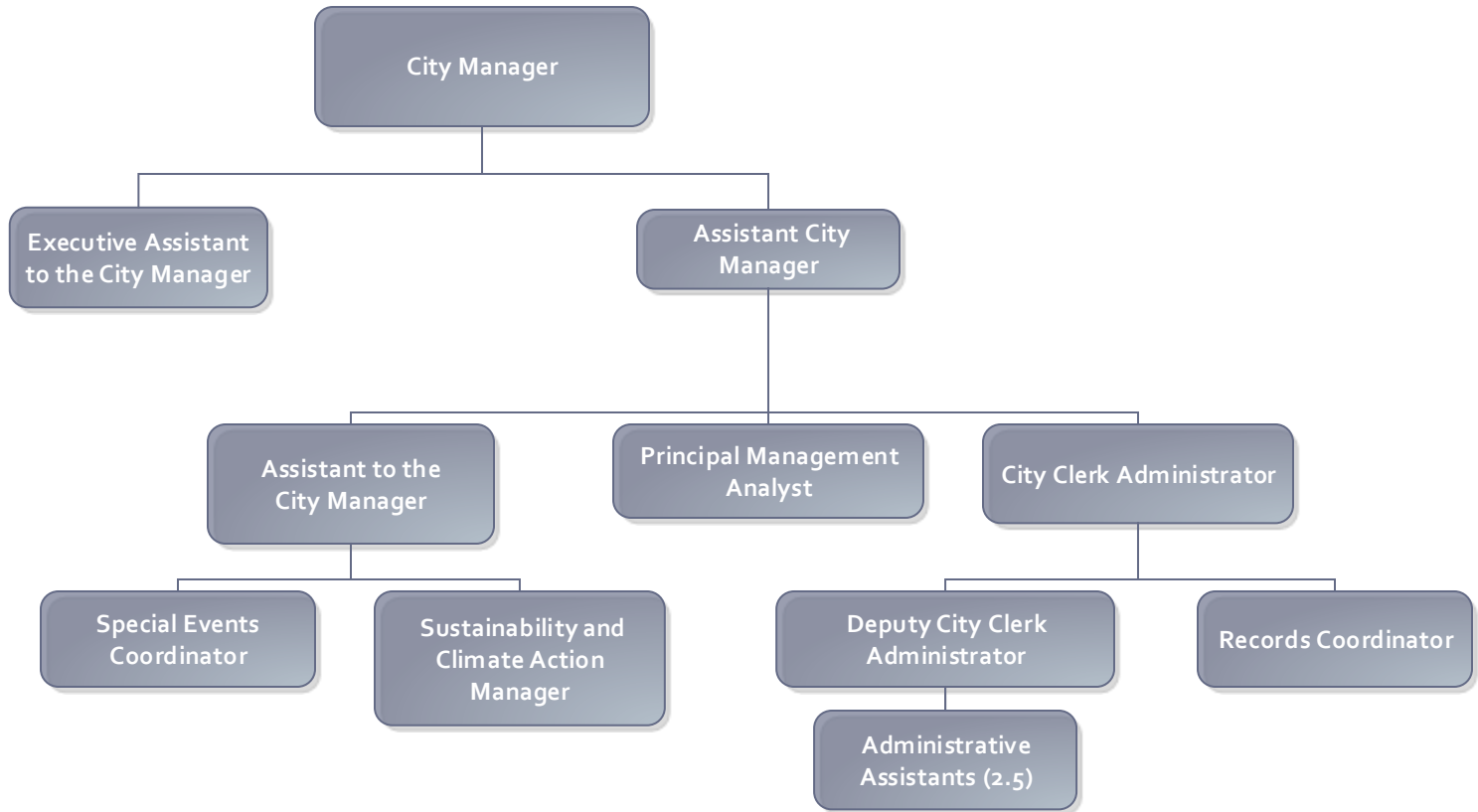
City Manager

DEPARTMENT SUMMARY

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Personnel Services	1,879,132	1,878,524	1,902,524	2,094,968	2,095,391
Services, Supplies, and Other Charges	3,513,259	3,708,908	4,194,772	3,669,230	3,687,317
Capital Outlay	-	-	-	-	9,600
Total Expenditures	5,392,391	5,587,432	6,097,296	5,764,198	5,792,308
EXPENDITURES BY ACTIVITY:					
City Manager	1210 1,834,572	1,640,877	1,882,743	1,792,833	1,687,998
CPVAW	1211 34,416	39,019	66,418	51,000	39,019
Police Auditor	1212 55,920	56,170	56,170	56,070	51,170
City Clerk	1214 599,391	879,264	1,005,264	753,031	939,881
Climate Action Plan	1217 -	20,000	47,000	131,412	191,516
City Membership, Dues and Fees	1910 142,628	146,900	146,900	149,650	156,525
Animal Control	2401 562,320	587,289	587,289	587,289	610,589
Animal Services - Other	2402 3,600	3,600	3,600	3,600	3,600
Community Programs	6102 1,257,125	1,180,125	1,098,325	1,180,125	1,202,125
Community Programs & Services	6103 902,419	1,034,188	1,203,587	1,059,188	909,885
Subtotal General Fund	5,392,391	5,587,432	6,097,296	5,764,198	5,792,308
Total Expenditures	5,392,391	5,587,432	6,097,296	5,764,198	5,792,308
RESOURCES BY FUND					
General Fund	101 965,551	868,394	1,131,733	891,244	907,918
Carbon Reduction Fund	133 98,443	100,000	100,000	100,000	100,000
Total Resources	1,063,994	968,394	1,231,733	991,244	1,007,918
Net General Fund Cost	(4,426,841)	(4,719,038)	(4,965,563)	(4,872,954)	(4,884,390)
	FY 2018			FY 2019	FY 2020
TOTAL AUTHORIZED PERSONNEL:	12.25			12.25	12.50

*Sums may have discrepancies due to rounding

City Manager's Office





Economic Development

Economic Development



Department Description

Economic Development

The Economic Development Division advocates for all businesses so they can succeed and grow in the City of Santa Cruz. The Economic Development Division is responsible for business retention and expansion (BRE) efforts. Offering tailored permitting assistance, incentive programs, business planning and promotions, this Division assists businesses and grows the local economy. Since 83% of Santa Cruz businesses have nine or fewer employees, the team works to grow small and medium-sized businesses at every stage.

Workload Indicators

Economic Development

Number of businesses visited for assistance and retention

FY18	145
FY17	205
FY16	234

Number of Economic Development façade & signage, downtown activation, business development grants or Grow SC loans

FY18	11
FY17	8
FY16	14

Dollar amount total of above business support

FY18	\$72,994
FY17	\$42,297
FY16	\$373,689



Workload Indicators

Housing & Community Development

Number of affordable housing units monitored	
FY18	1,413
FY17	1,404
FY16	1,386

Number of affordable housing units developed	
FY18	52
FY17	44
FY16	40

Affordable Housing Inclusionary Fees collected

FY18	\$330,181
FY17	\$86,886
FY16	\$159,032

Housing & Community Development loans and grants awarded (non General Fund)

FY18	\$2,027,865
FY17	\$1,357,407
FY16	\$1,950,141

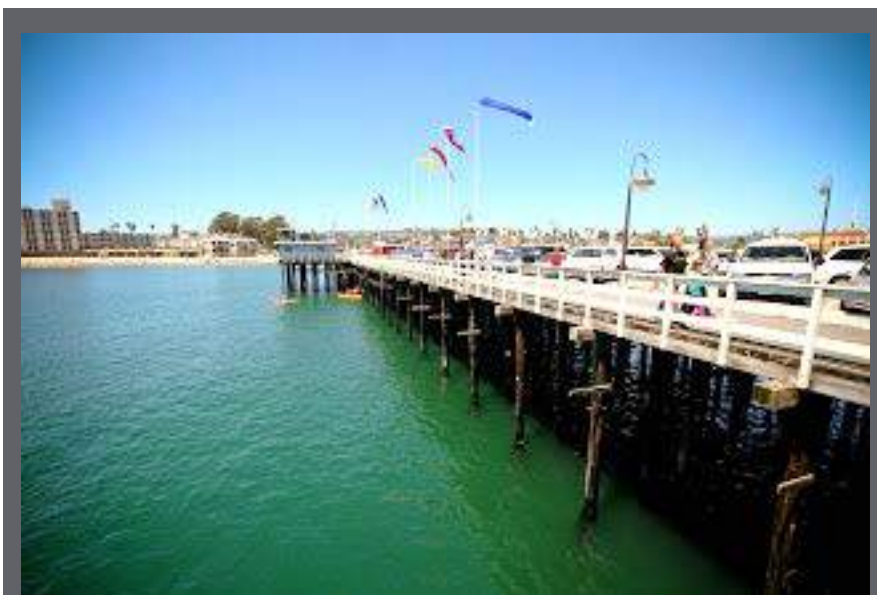
Housing & Community Development

The Housing and Community Development Division works with both non-profit and for-profit housing developers to create and preserve affordable housing in the Santa Cruz community. This Division acts in multiple capacities ranging from financial assistance to project and construction management to address the City's housing crisis. This Division administers funding through the federal HOME and CDBG Programs as well as the City's Inclusionary and Affordable Housing Trust Fund Programs and also monitors over 1,600 restricted units. As the City's housing arm, the division tracks housing issues, pursues new resources, and works to develop effective programs, such as the City's nationally recognized ADU Program.

Infrastructure & Development/Successor Agency

Economic Development serves as the lead agency on a number of infrastructure and housing projects throughout the City, including city-wide Wayfinding, the Municipal Wharf Master Plan, and key projects downtown including future development opportunity sites. In addition to management of major infrastructure projects, Economic Development is also the Successor Agency to the former Redevelopment Agency and is charged with the management of assets developed by the Redevelopment agency; including ongoing housing

monitoring and development. With the elimination of Redevelopment in 2011, the main focus of the Successor Agency is to facilitate the expenditure of the 2011 bond funds and the completion of related infrastructure projects approved by the City Council, the Oversight



Board to the Successor Agency (Oversight Board), and the California Department of Finance (DOF).

Asset Management

The Asset Management Division provides property management of City-owned assets including acquisition, disposition, and development of real property. This Division helps to grow tenant businesses, while also ensuring a strong return on investment for City owned properties. The Division manages over 80 commercial license agreements and commercial leases on the Santa Cruz Municipal Wharf and in other city- owned buildings and parcels. Tenant spaces include commercial leases in parking garages, the Homeless Services Center campus, café extensions and downtown kiosks, as well as properties once owned by the former Redevelopment Agency such as the Del Mar Theatre and Tannery Arts Center campus. This Division also manages the city-wide graffiti abatement program, including maintenance of the graffiti database used by local law enforcement.

Public Art

Managed by the Arts Program Manager in consultation with the City’s Arts Commission, ongoing programs include sculpTOUR, Graphic Traffic Signal Boxes, the Mural Matching Grant program and Santa Cruz Recycled Art Program (SCRAP). The Percent for Art Program allocates 1% of eligible Capital Improvement Program project expenditures to enhance the City’s infrastructure with art. Outreach and education efforts and long-term planning projects such as the Rail Trail Art Opportunities Master Plan lay out strategies and plans for public art. Partnerships with local organizations that support a vibrant arts culture in Santa Cruz to develop artists marketing skills and also multiply the impact of City Arts funds. Additionally, the Commission continues to focus on projects and partnerships that address Equity, Inclusivity and

Workload Indicators

<u>Asset Management</u>	
Rental revenues, including café extensions	
FY18	\$2,346,636
FY17	\$2,043,152
FY16	\$1,979,235
Land sales, including easements	
FY18	\$552,396
FY17	\$0
FY16	\$1,848,302
Dollar amount total of above business support	
FY18	\$72,994
FY17	\$42,297
FY16	\$373,689

<u>Public Art</u>	
Number of city art projects, programs and initiatives	
FY18	14
FY17	15
FY16	15
Amount spent on City art projects	
FY18	\$198,473
FY17	\$198,472
FY16	\$49,785

FY2019 Accomplishments

Economic Vitality

Housing Policy Updates

- Coordinated with Assembly member Stone's staff to facilitate the introduction of State Assembly Bill 411 (Stone): Funding for Affordable Housing in the City of Santa Cruz to lift the 35% cap that currently prevents the City of Santa Cruz from expending the remainder of its 2011 redevelopment bond proceeds. Without the state-imposed limit on using redevelopment bond proceeds, the City of Santa Cruz would have access to an additional \$16 million for affordable housing and facilities for individuals experiencing homelessness.
- Finalized amendments to the City's Density Bonus Ordinance to facilitate increased housing production and to the Inclusionary Housing Ordinance to address rental housing needs.
- Amended the agreement with the Housing Authority of Santa Cruz County for the Landlord Incentive Program to allow for participation from Landlords with longer-term tenants.
- Along with Planning and the City Manager's Office, staffed the City Council Housing Blueprint Subcommittee to identify and recommend housing policy changes both Downtown and Citywide which were unanimously approved by the City Council.

Engaged and Informed Community

- Began construction on the third My House My Home project through Habitat for Humanity to address the needs of the homeowner as well as the existing tenant who is low-income, disabled, and also is a Housing Choices Voucher (Section 8) holder. This project was made possible with additional HOME funds from the City of Santa Cruz, approved by City Council at their August 28, 2018 meeting.



- Developed the Housing Project page on ChooseSantaCruz.com, which features a map of all affordable housing projects in the City and provides information about available housing programs and resources.
- Housing and Community Development Manager participated as a panelist at the SFASA conference on November 17, 2018 providing information to attendees about the benefits of ADUs and the ways ADUs can provide support for Bay Area adults with autism and related developmental disabilities.

Regional Revenue Measure to Develop Housing

- Supported the development of Measure H, the regional housing revenue measure.

Downtown Projects

- Coordinated with colleagues across departments to review proposed housing projects that would deliver greatly needed housing in the downtown and identify the best path forward to build affordable housing in our community.
- Continued to work with partners for the future development of the Pacific Station project that would create 60-100 affordable housing units downtown.
- Assembled additional land parcels for the Pacific Station Affordable Housing Project.
- Worked with the Governor's Office of Business and Economic Development to establish two federally designated Opportunity Zones.



Rendering of
proposed
METRO
Pacific Station

Community Safety & Well-Being


Homelessness

- Provided Homeless Services Center with a 2018 CDBG infrastructure grant of \$150,000 to fund a sewer line replacement project.
- Continued to use the 2014 homelessness strategic plan, called “All In - Toward a Home for Every County Resident”, to guide a coordinated regional approach to providing services for homeless persons.
- Began implementing the Final Report Recommendations of the City Council’s Homeless Coordinating Committee. The Final Report is aligned with All In, while addressing issues unique to Santa Cruz. City staff remained engaged in the Continuum of Care (CoC) Homeless Action Partnership (HAP) serving on the Executive Committee, and participating in CoC working groups, such as the Smart Path Coordinated Entry System (CES) Steering Committee.
- CDBG, HOME, and Red Cross funds were awarded to community partners for key programs preventing and addressing homelessness, including the Housing Authority’s Security Deposit Program and the Community Action Board’s (CAB) Rental Assistance Program. \$15,000 in CDBG funds, \$50,000 in HOME funds, and \$11,000 in Red Cross funds were awarded for these programs.
- The City committed increased overall funding to further its ability to help meet the priorities and objectives of both the All In county-wide homeless strategic plan and the complementary Final Report and Recommendations of the City Council’s Homeless Coordinating Committee.
- Conducted outreach to the Tannery community and businesses impacted by the River Street and Gateway Camps and contracted for private security patrols in those locations.



Nuisance Crime

- The Graffiti Abatement Program addressed a total of 2,622 tags representing 52,283 square feet of graffiti removed from July 1, 2018 through February 25, 2019.
- Streamlined the submission of graffiti tickets into one portal by linking the City's CRSP on-line reporting system to the graffiti contractor's backend system. This has eased reporting and reduced staff time required to effectively manage graffiti abatement efforts.
- Managed Storefront Beautification Grants that provide matching funds to improve the appearance and visibility of buildings in commercial corridors. These improvements also combat the "broken window effect" and deter nuisance crimes such as graffiti and vandalism.
 - Completed Façade Improvement Grant project for Bohemian Boutique retail store (1306 Pacific) and Commercial Signage Grant for Workbench (129 Bulkhead) and Fybr Bamboo retail store (1528 Pacific)
 - Initiated Façade Improvement Grant projects with Emily's Bakery (1129 Mission) and Latos Property Management (304 Ocean)
- Initiated Commercial Signage Grant for Mission Hill Creamery (1101 B Pacific)
- Coordinated with local businesses and city departments to increase security in public spaces like Frazier Lewis Lane.
 - Staff worked with the IT Department and Police Department to install video cameras that provide surveillance of Frazier Lewis Lane in response to safety concerns of the adjacent merchants.
 - Staff continues to seek more holistic solutions to address city-wide environmental design challenges that lead to undesirable loitering and other nuisance crimes.



**SANTA CRUZ
ECONOMIC
DEVELOPMENT**

**GRAFFITI FREE
SANTA CRUZ**

**HOW TO
REPORT GRAFFITI:**

Report Online:
CityofSantaCruz.com/Graffiti

24 hours a day, 7 days a week

Please provide a description of the tag on the object or surface and the location with nearest address or cross street.

Inclusive Community

- Participated in State of the City presentation through logistical support and presentations by the ED Director and Arts Program Manager..
- Conducted targeted outreach with City's Community Liaison for translation assistance to over 50 business owners to encourage them to apply for the Department's Storefront Beautification Grant programs with an emphasis on minority business owners and businesses located in lower income neighborhoods.
- Arts Commission and City Arts staff developed Equity, Inclusivity and Environmental Justice policies and projects and an Equity, Inclusivity and Environmental Justice Resource Guide.

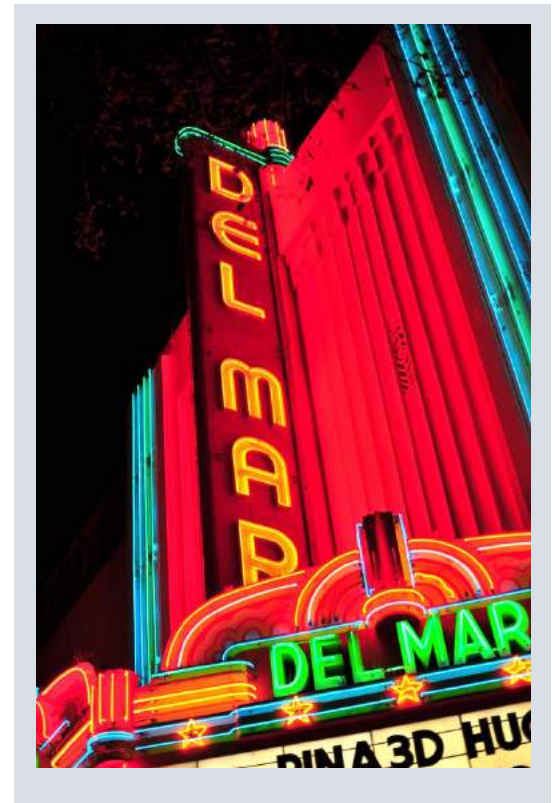
Reliable & Forward-Looking Infrastructure and Facilities

Asset Management

- Secured a competitively awarded Monterey Bay Air Resources District grant for the Trolley Goes Electric Program which will support the purchase of an electric shuttle vehicle.
- Continued oversight of the Tannery Arts Center campus including property management of the lease for Arts Council Santa Cruz County offices and the Colligan Theater.
- Contracted for landscaping project design documents including pedestals for sculpture installations as a final phase of the campus development. The landscaping project will be completed in 2019 .
- Facilitated nine Del Mar Theatre community use event rentals.
- Completed the construction documents for the new citywide wayfinding signage and issued an RFP for manufacturing and installation which is intended to occur in fall 2019.



- Entered into purchase and sale agreement with Scotts Valley Town Green Land LLC for Skypark property.
- Administered commercial leases including, annual rent adjustments, at the Wharf and other City properties.
- Held quarterly meetings between Wharf tenants and City staff.
- Assigned the lease agreement on the Santa Cruz Wharf from Vino Prima to Vino Locale, which is owned by a local operator of a successful wine and tapas bar business in Palo Alto.
- Completed demolition of the former Miramar restaurant structure on the Wharf to clear the way for new investment and businesses in its place.
- Completed phase 1 renovations to combine two commercial spaces at the Del Mar Theatre and issued RFP seeking a new tenant (opening anticipated Fall 2019).



Downtown Library Project

- Provided project management support for outreach and the project evaluation process for Downtown Library Mixed-Use Project.
 - Facilitated three study sessions with a focus on the Downtown Vision and how the project meets the needs and goals for a vital Downtown.
 - Hosted a Library Open House with over 170 attendees.

Facilitated over 75 small group and community meetings



Core City Services – Economic Development Strategic Workplan Housing & Community Development Division

- Key accomplishments attained through CDBG and HOME program funding reported in the Consolidated Annual Performance Report (CAPER) include:
 - Assisting 40 households in obtaining housing under the City's Security Deposit Program, 16 of whom were homeless.
 - Began construction on 41 unit affordable housing project located at 708-718 Water Street which will be completed in 2019.
 - CDBG funded Code Enforcement in Target Areas (CETA) Program worked toward preserving the City's older housing stock opening 104 new cases and closing 63 cases, 24 of which involved multi-agency coordination and cleaned up significant community problems.
- Recommitted City grant funding for 350 Ocean Street affordable housing project

Economic Development Division

- Created and staffed the Business Liaison role to assist businesses with accessing the resources and City Departments they need to be successful.
- Provided outreach and assistance to over 400 businesses and entrepreneurs through recruitment and retention efforts.
- Conducted 140 formal and informal business visits City-wide.
- Welcomed over 200 new businesses with letters from the Mayor and ED Director and resources and information for business support programs.
- Launched an interdepartmental process to develop a Downtown Maintenance Plan to streamline resources and responsibilities for maintenance and establish standards for the level of service Downtown.
- Released the fourth video in "The Sit Down" series all about collaborations in Santa Cruz featuring Stockwell Cellars, an urban winery on the Westside.
- Continued to oversee management of the Downtown Management Corporation and its Board of Directors to provide beautification and hospitality services in the Cooperative Retail Management district.

- Celebrated National Small Business Week (April 30-May 6) with a “pass the check” campaign to promote local shopping and share resources available through Economic Development.



- Produced 2,000 Holiday Shopping Guides including over 165 businesses to provide additional marketing exposure for Santa Cruz retailers citywide.
- Supported the launch of the Alliance of Women Entrepreneurs group downtown through coordination assistance of the launch event and marketing materials for the group to support their collective marketing efforts to promote women-owned and/or operated downtown businesses. The organization also received coverage in the SF Chronicle which included a quote and data provided by ED’s Business Liaison.
- Continued to maintain and update the side street signage downtown to enhance exposure for businesses located on the side streets off of Pacific Avenue.
- Partnered with California Manufacturing Technology Consulting (CMTc) to host a Manufacturing Meetup with over 100 people in attendance to provide resources to local manufacturers about ways to access funding and increase their productivity.

- UCSC and the City of Santa Cruz served as one of seven host cities for the Americas Competitiveness Exchange on Innovation and Entrepreneurship (ACE) conference on October 22nd.
- Continued to support the tech ecosystem and startup culture with sponsorships of Santa Cruz Tech Beat, Tech Raising, and Event Santa Cruz.
- Sponsored the 5th annual UCSC: Cruz Hacks hackathon with contribution of the Tech Transfer Bonus prize, which provided 3 months of mentorship through the Santa Cruz Accelerates tech incubator program.
- Continued to co-host the monthly Santa Cruz New Tech Meetup, an event which averages attendance of over 200 people and promotes the growth of the local tech ecosystem.
- Continued to serve as a founding member and partner of Santa Cruz Works, a local non-profit collaboration with UCSC focused on connecting and supporting the local science and tech ecosystem through marketing, job networking, and educational forums and events specific to the science and tech community.
- Continued to support Santa Cruz Accelerates, a startup accelerator program in collaboration with grant funding from UCSC.
- Hosted 4th annual Choose Santa Cruz PopUp Market with over 500 people in attendance and seven local vendors to shop from.
- Hosted the Silicon Valley Economic Development Alliance Conference once again in the City of Santa Cruz to bring together economic developers, planners, commercial and residential real estate developers, brokers, and other interested partners to discuss best practices in the industry and ideas for continuing to grow strong local economies in the larger Silicon Valley region.
- Co-presented and co-hosted with UCSC as one of seven host cities for the Americas Competitiveness Exchange on Innovation and Entrepreneurship (ACE) conference on October 22nd at the Seymour Center.



Public Art Division

- Completed and received Council approval for the Rail Trail Arts Master Plan.
- Completed 2018 SCRAP program with eight artists completing pieces including spoken word/poetry artists
- Sponsored “Follow That Flush” 5k Walk and Event with tours of the Waste Water Treatment Plant and education about wastewater technology.
- Installed *Unify, Decolonize, Thrive!* Mural at the Loudon Nelson Center, in partnership with the Diversity Center Youth Program
- Worked with the Arts Commission to develop a draft Statement of Intent and a Resource Guide regarding equity, inclusivity and environmental justice.
- Continue to audit the Commission’s programs through this lens of equity, inclusivity, and environmental justice.
- Continue to conduct outreach to form relationships with local organizations and individuals to inform the work – including Senderos, the Amah Mutsun Tribal Band, Santa Cruz Diversity Center, Clarity Arts, Shared Adventure Artists, and many more.
- Completed large-scale murals by Elijah Pfothenauer at the City’s Wastewater Treatment Plant through funding from the City’s 1% for art program



- Continued to promote the adoption of CruzCal.org as the arts and culture calendar for Santa Cruz with 717 events posted on the calendar in 2018.
- Provided \$3,000 in matching funds for Riverwalk Mosaics, “Invertebrates of the San Lorenzo River.”
- Installed “Regenerosity in Five Movements” sculpture downtown as part of sculpTOUR.
- Published Downtown Public Art Walking Map information about all of the 37 pieces of public art that can be found downtown.
- Contributed \$20,000 through the Mural Matching Grant program and assisted with permits from CalTrans for a 600 foot ocean-themed mural along Mission Street on the Bay View Elementary School wall. The project is made possible through a collaboration between the City of Santa Cruz, Santa Cruz City Schools, Clean Ocean’s International, the Tim Brauch Foundation and the Fresh Walls Collective-led by local artists Taylor Reinhold and Scotty Greathouse.
- Launched the Artist Meetup with an average of 60 participants to provide a bi-monthly networking and resources sharing forum through a collaboration between Santa Cruz City Arts, Arts Council Santa Cruz County and the Santa Cruz Art League.
- Opened call for artists for a San Lorenzo Storm Drain Murals Pilot Program at Felker Street and Pryce Street in partnership with the Coastal Watershed Council to deter pollution in storm drains along the San Lorenzo River and raise awareness that these drains go straight into the river.
- Sponsored the following festivals: *Ebb & Flow*; the *Gualetza* by Senderos; the *Mole & Mariachi Festival* and *Dance Week*.
- Completed installation of “River Motion” interactive LED public art piece on the Soquel Bridge by local creative design firm Visual Endeavors as part of Ebb & Flow festival.



FY2020 Goals

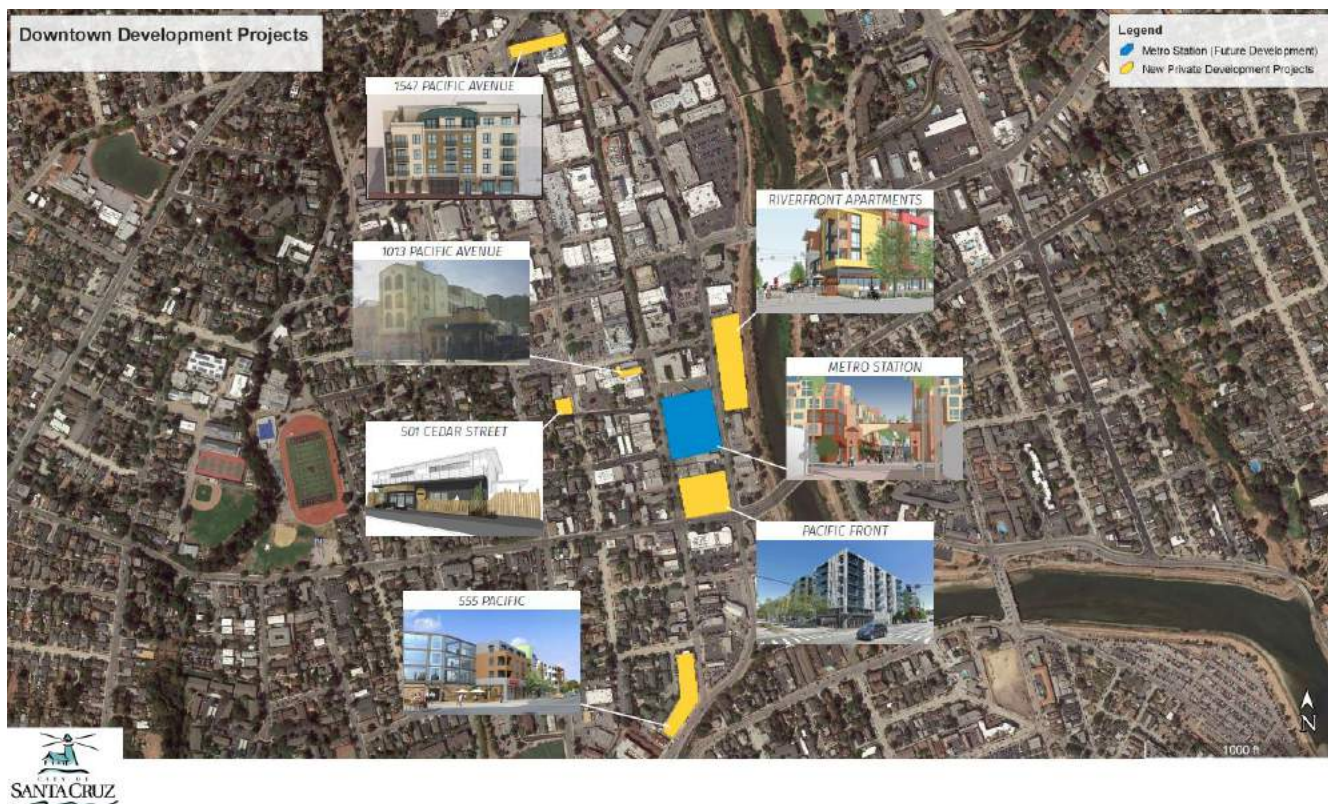
Housing

Community Outreach and Education

- Identify opportunities and develop materials to educate and mobilize the community around various Santa Cruz's housing challenges.
- Prepare the next 5 year HUD Consolidated Action Plan, including an update to the Community Participation section.

Housing Policy Updates

- Support preservation of existing affordable housing as a valuable community resource.
 - Continue annual monitoring of affordability restricted properties.
 - Track at-risk units with the goal to preserve affordability.
 - Preserve older housing stock, which tends to have lower rents.
- Effectively manage HUD CDBG and HOME Programs to maximize their community benefits.
- Work in conjunction with the City's Planning Department on ADU ordinance updates and implementation.
- Continue to work on goals and activities outlined in the Housing Blueprint Subcommittee.
- Continue to advocate for State legislation to allow for use of the Redevelopment Successor Agency bond proceeds to develop affordable housing and infrastructure for the homeless.



Public safety and well-being

Homelessness

- Help those at risk of homelessness stay housed and those who are homeless obtain housing through programs like the City's Security Deposit Program administered by the Housing Authority and Emergency Rental Housing Program administered by the Community Action Board.
- Continue to implement the recommendations of the All In plan and City Council's Homeless Coordinating Committee.

Nuisance Crime

- Continue to coordinate with neighborhood policing teams to provide resources for impacted businesses to address nuisance crime activities.
- Facilitate Storefront Beautification Grants to provide at least two façade improvement grants and five commercial signage grants to beautify commercial corridors and deter nuisance crimes.
- Develop a working stakeholder group to address issues at Frazier Lewis Lane and other Downtown alleys and public spaces.

Inclusive Community

- Continue to coordinate with City's Community Liaison for engagement with Beach Flats and Lower Ocean citizens to provide resources and support from all divisions of the department.
- Continue to implement programs and services through an equity and inclusivity lens to ensure Department's resources are accessible to all community members.



Infrastructure

Asset Management

- Continue to manage the City's Commercial Lease and License Agreements.
- Select a tenant for the Del Mar Theatre commercial space and complete tenant improvements to fully lease the space.
- Select new tenants for Downtown Kiosks on Pacific Avenue.
- Secure a new Lease with provision for development of the former Miramar site.
- Support completion of tenant improvements for the new restaurant at the DeLaveaga Recreation Area.
- Complete fabrication and installation of the citywide Wayfinding project.



Café Compesino
Kiosk Downtown

Fiber Strategy

- Coordinate with the Planning Department to address policy changes as needed to encourage adoption and expansion of fiber citywide.

Downtown Library Project

- Facilitate feasibility analysis on the number of affordable housing units to be included in the project.
- Continue outreach and engagement efforts.
- Incorporate public art where feasible and desired

INCORPORATING PUBLIC ART





Core City Services

Economic Development Division

- Develop new Economic Development Strategy for 2020-2022 following the completion of the 2017-2019 Economic Development Strategic Workplan.
- Provide targeted outreach to growing businesses to assist with expansion and identify key resources to remain in Santa Cruz.
 - Host semi-annual broker meetings and special workshops on incentives and suitability of sites for development (jointly with the Planning Department).
 - Conduct 1-2 formal business retention visits every month and 100 informal visits citywide annually to meet with top 25 sales tax producers, share business resources, and engage with businesses across targeted industries and at all stages of growth.
 - Continue to partner with Santa Cruz Works to encourage local science and tech workers to find jobs in Santa Cruz.
- Promote Economic and Cultural Vibrancy in Santa Cruz
 - Support growth in the Downtown to attract and retain the mix of retail and local stores and to accommodate existing and future housing and office uses.
 - Support the development of public assembly facilities that can accommodate special events such as a permanent arena facility, improvements to the Civic Auditorium, Abbott Square, and Museum of Art and History.
- Actively Support Knowledge and Innovation
 - Support capital investment in local companies by supporting opportunities for companies to connect with venture capitalists.
 - Connect innovators with resources and support needed to create and sustain their products.

Public Art Division

- Develop plan to utilize Percent for Art funding particularly from the water, stormwater and parking funds.
- Continue work on the Ebb & Flow River Arts Festival in collaboration with ACSCC and CWC.
- Identify new artists for refresh of Graphic Traffic artwork.
- Continue to oversee SCRAP program and call for artists to produce work for show in December 2019.
- Provide matching funds for at least one mural project on private or commercial property.
- Develop several large mural projects that meet goals around Equity, Inclusivity and Environmental Justice
 - Complete Mission St Mural with Clean Oceans International, the Fresh Walls Collective, and Bay View Elementary.
- Begin process to update Arts Master Plan including developing scope of work, and assembling an advisory group.
- Continue to support monthly Artists meetup with regional arts nonprofit partners to provide networking and skills sharing among the artist community.

Bay View Elementary Wall



600 foot wall on Bay and Mission st. Santa Cruz



Section of the new mural concept

Economic Development

DEPARTMENT SUMMARY

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Personnel Services	1,171,903	1,479,471	1,479,471	1,277,563	2,056,568
Services, Supplies, and Other Charges	3,935,418	3,660,469	5,173,690	4,920,437	3,107,810
Capital Outlay	5,112,853	360,000	1,107,147	1,107,147	731,958
Total Expenditures	<u>10,220,175</u>	<u>5,499,940</u>	<u>7,760,307</u>	<u>7,305,147</u>	<u>5,896,336</u>
EXPENDITURES BY ACTIVITY:					
Community Promotion-Downtown Business Promotion	1502 247,837	240,000	240,000	242,800	247,700
Community Promotion-Arts Council-SC County	1503 75,000	70,875	70,875	70,875	70,875
Economic Development-Project Admin	5401 1,463,659	2,118,654	2,233,042	2,008,934	2,632,563
Economic Development-Project Admin	5590 518,085	762,500	1,099,897	940,937	637,000
Property Management	5591 176,867	225,000	347,504	348,351	205,000
City Arts	5592 88,532	100,000	271,860	271,860	70,000
Subtotal General Fund	<u>2,569,981</u>	<u>3,517,029</u>	<u>4,263,178</u>	<u>3,883,757</u>	<u>3,863,138</u>
Cafe Extensions & Kiosks	1504 15,000	15,000	15,000	15,000	15,000
Cafe Extensions & Kiosks	1505 17,418	25,700	41,700	25,700	25,700
Cooperative Retail Management	1506 214,794	220,000	220,000	220,000	220,000
Subtotal Other General Funds	<u>247,212</u>	<u>260,700</u>	<u>276,700</u>	<u>260,700</u>	<u>260,700</u>
Housing & Community Development	5201 1,757,156	894,571	1,637,024	1,577,284	569,400
CDBG Programs	5204 104,599	101,600	110,219	110,219	101,600
CDBG Programs	5205 6,485	15,500	15,500	15,500	15,500
HOME Program Administration	5207 28,743	34,100	34,100	34,100	34,100
Public Improvements Other	5579 -	20,000	20,000	20,000	20,000
Rental Assistance Programs	5604 11,000	22,000	22,000	22,000	22,000
Rental Assistance Programs	5605 9,571	-	-	-	-
Low & Mod Housing Property Acquisition	5610 5,106,111	345,000	1,038,889	1,038,889	691,958
Low & Moderate Housing Production	5650 239,316	189,440	242,698	242,698	242,940
CDBG Programs	6203 115,000	75,000	75,000	75,000	50,000
Red Cross Social Services Programs	6204 25,000	25,000	25,000	25,000	25,000
Subtotal Other Funds	<u>7,402,982</u>	<u>1,722,211</u>	<u>3,220,429</u>	<u>3,160,690</u>	<u>1,772,498</u>
Total Expenditures	<u>10,220,175</u>	<u>5,499,940</u>	<u>7,760,307</u>	<u>7,305,147</u>	<u>5,896,336</u>

*Sums may have discrepancies due to rounding

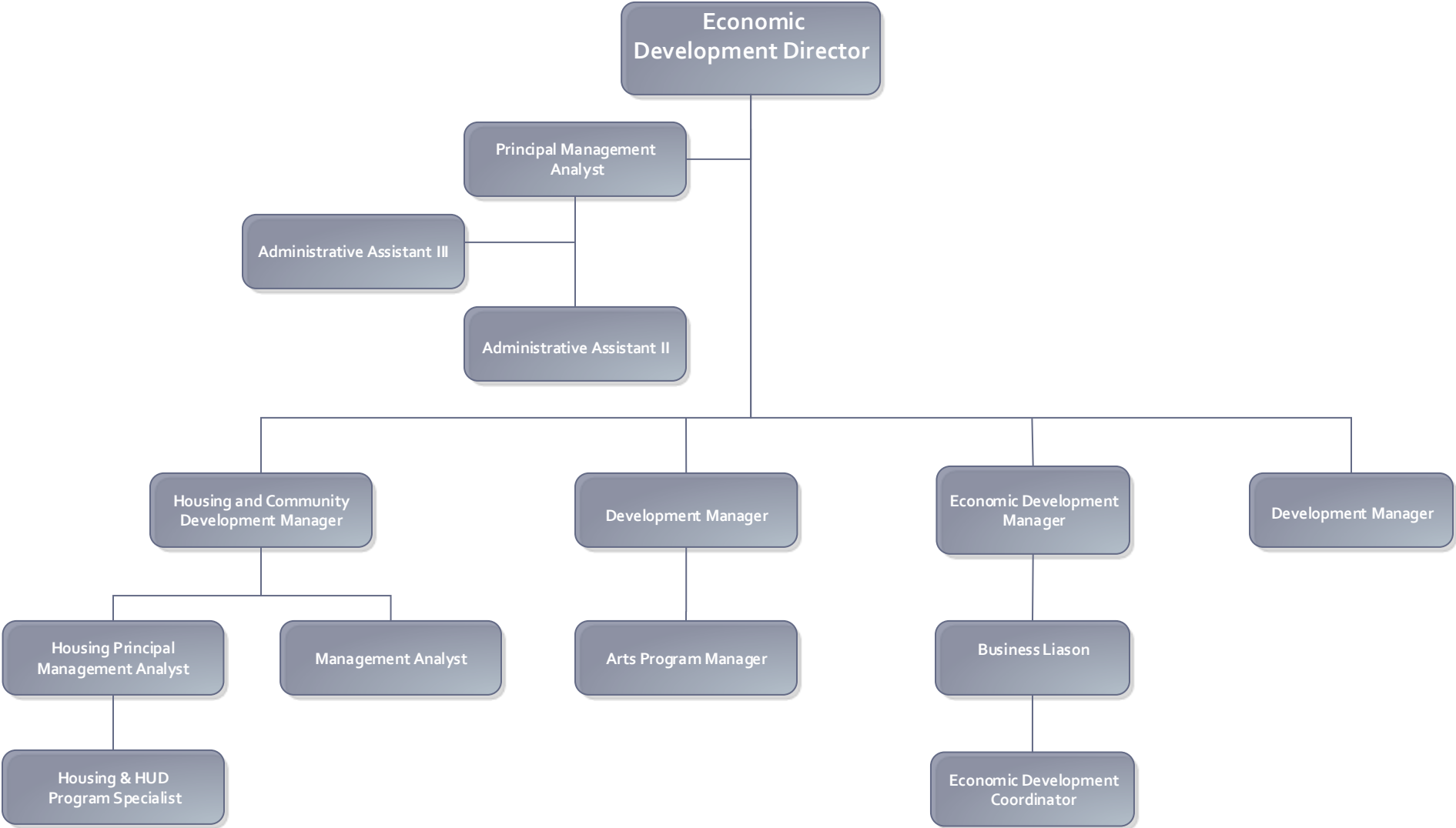
Economic Development

DEPARTMENT SUMMARY

	Fiscal Year*	Fiscal Year 2019			Fiscal Year 2020 Adopted	
		2018 Actuals	Adopted Budget	Amended* Budget		Estimated Actual
RESOURCES BY FUND						
General Fund	101	368,025	389,500	622,204	419,868	396,200
Co-op Retail Management	122	218,898	220,000	220,000	220,000	220,000
Kiosk Maintenance	123	42,597	39,090	39,090	39,670	39,680
HOME Rehabilitation Projects	251	8,140	3,000	3,000	3,000	3,000
HOME Investment Partnership	253	865,497	250,000	117,697	625,850	295,100
Community Development Block Grant	261	684,072	508,000	860,387	643,395	496,000
Affordable Housing Trust Fund	279	336,238	117,000	117,000	1,779,000	117,000
SA (H) LMIH-Merged 2-1-12	281	144,562	23,357	401,658	812,018	485,474
Total Resources		2,668,028	1,549,947	2,381,036	4,542,801	2,052,454
Net General Fund Cost		(2,201,956)	(3,127,529)	(3,640,974)	(3,463,889)	(3,466,938)
		FY 2018		FY 2019		FY 2020
TOTAL AUTHORIZED PERSONNEL:		12.00		14.00		14.00

*Sums may have discrepancies due to rounding

Economic Development Department



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Finance Department

Finance Department



Department Description

The Finance Department is available to provide strategic fiscal leadership and risk mitigation support for the City, including contractual fiscal services for the Santa Cruz

Public Libraries, while providing reasonable assurance that the City's financial decisions are in compliance with Federal, State, regional, and City regulations and procedures.

The Finance Department's primary objective is to support the development of fiscal strategies to ensure that sufficient fiscal resources are available to meet the City's goals and objectives. It does this primarily through timely and accurate financial reporting, development of credible and reliable forecasting, and ensuring compliance with applicable fiscal rules and regulations.

The Finance Department is organized into four functional areas: (1) Accounting, Budget, Financial Reporting, and Revenue; (2) Accounts Payable, Payroll, and Contractual Bargaining support; (3) Purchasing; and (4) Risk and Safety Management, Revenue Audits, and Advanced Debt Collection.

Some atypical services included within the Finance Department are City-wide employee safety coordination, external tax compliance identification and audit programs, advanced debt collection including court room representation, a growing risk prevention program, internal debt issuance program, external debt issuance support, employee fiscal training certification, and lead on certain internal and external communication initiatives.

Workload Indicators

GFOA award for Annual Budget and Annual Financial Report

FY18	Yes
FY17	Yes
FY16	Yes

General Fund Budget Forecast accuracy

FY18	99.4%
FY17	101.8%
FY16	97.1%

Number of vacation rentals registered

FY18	326
FY17	271
FY16	238

FY2019 Accomplishments

Financial Stability

\$ Further development of the fiscal sustainability plans. The Finance Department completed the development of the Fiscal 2023 Sustainability Plan road map in support of Strategic Goal #5. It has been the tool used over the past four budget cycles to navigate the City to adopt balanced budgets and confidently prepare for the current fiscal challenges that are expected to continue through Fiscal Year 2023.



\$ Receive the Government Finance Officers Association awards for Excellence in Financial Reporting and for Distinguished Budget Presentation. The City received for the fifth consecutive year the national award for excellence in financial reporting and budget presentation. This designation is an important factor in validating that the City's financial reporting processes meet best practices. In addition, this designation can provide assurance to the Council and community that they can rely on financial reporting to support long-term decisions.

\$ Implement Phase III of the citywide fee study to incorporate cost recovery of building fees. This is the final, major phase to confirm the City has appropriate levels of cost recovery and long-term security with all of the City's applicable General Fund building fees. The Planning and Community Development Department is the lead, with the Finance Department providing as needed support services. Due to the high level of complexity and the new workloads surrounding housing and homelessness that have emerged in early 2019, this project is expected to be delayed and continued into FY 2020

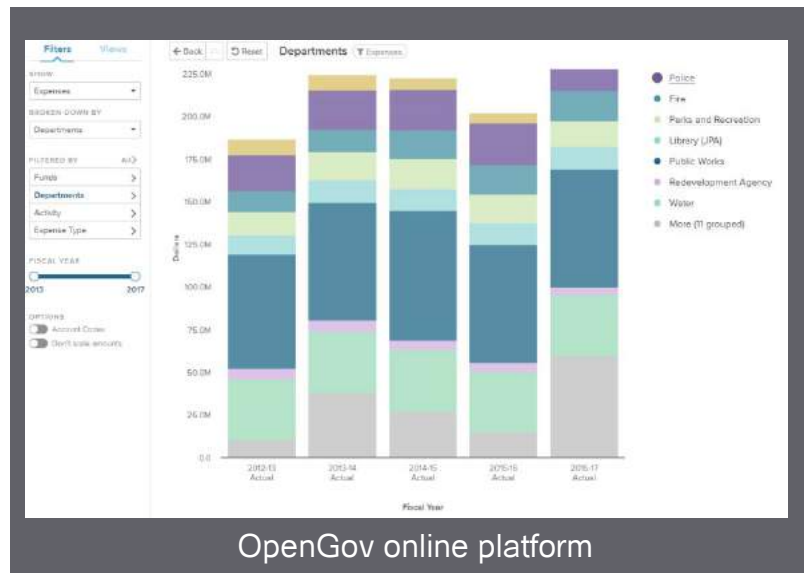
Financial Stability and Reliable & Forward-Looking Infrastructure and Facilities

\$ Expand to a 5-year Capital Investment Program plan. The department, in partnership with other City departments, successfully converted from a 3-year Capital Investment Program to a 5-year Capital Investment Program as part of the development of the FY 2020 Budget.

\$ Work with FEMA to make sure the City received all funds entitled to it for the repair of damages caused by the 2017 and 2018 major storms. The department continues to be the lead on compiling, reconciling, correcting, and submitting all FEMA and Cal-OES reimbursement claims and reports for damage cost recovery. This process has been hampered by changes in Federal administrative policies and Federal staffing and is expected to continue into FY 2020. This has been a challenging project but has remained a top department priority to ensure that all City General Fund, Water, and infrastructure operations have the resources to invest in restoration efforts or reimbursing previously allocated capital investment proceeds that were diverted to storm damage projects

Financial Stability and Engaged & Informed and Inclusive

\$ Partner with OpenGov to bring transparency in financial reporting to the public. This initiative was supported by the City's Information Technology department and led by the City's new Budget analyst. This initiative is expected to be completed and go



live before the start of FY 2020. It will provide additional tools to the City Council and public to view the City's financial information to support long-term fiscal decision making, provide another layer of financial transparency to improve community communication and engagement on fiscal status, and add value to City staff in the analysis of their operations.

\$ Continue to pursue more online payment platforms. The department was successful in providing new online payment options for two high-frequency and important revenue streams: Business License and Transient Occupancy Tax. These solutions have allowed for more effective staff resource utilization and in some cases, downsizing operational support. Future efforts will focus on new objectives to providing a one-stop digital payment home where any City resident or community member can view and make a payment for any and all City functions. Currently, the City has numerous, independent, online payment portals that require a community member to login to each narrow website to make each separate payment (Parks and Recreation classes, Parking tickets, Civic auditorium events, Utility bills, Business License, Transient Occupancy Tax, etc.).

Supports all the City Council Goals

Adopt a balanced FY 2019 and FY 2020 Budget. The Finance Department led new City Council, community outreach, and community engagement initiatives that led to the adoption of an operationally balanced FY 2019 Budget. The department plans to build on those strategies to support the Council's adoption of an on-time, balanced FY 2020 Budget. By doing so, the City Council assures the community and stakeholders that it is committed to supporting service levels and that it intends to financially live within its means.

FY2020 Goals

Financial Stability

💰 Update and amend as needed the General Fund's Fiscal 2023 Sustainability plan. This is a continuation of the previously referenced FY 2019 Goal (Further development of the fiscal sustainability plans). With the possible changes in financial priorities, potential changes from new State legislation, unexpected funding mandates, and looming economic slowdown, this plan will need to be regularly reviewed and updated.



💰 Implement Phase III of the citywide fee study to incorporate cost recovery of building fees. This is a continuation of the previously referenced FY 2019 Goal to provide fiscal sustainability and dependability of the City's building fees.

Reliable & Forward-Looking Infrastructure and Facilities

💰 Support City Council subcommittee to identify capital investment funding sources. The department can play a vital support role to providing in-house analysis and certain levels of research in the identification of possible funding sources. The goal would be to restart funding for the City's General Fund Capital Investment Program and to provide required minimum funding for core community safety capital needs (such as replacement of the aging Fire fleet).

Community Safety and Well-Being

- \$ Advance development of the new Santa Cruz Public Library “Library Financing Agreement”. The department is likely to play a support role in the development of the renewal and extension of the current multi-agency, Library Financing Agreement. This agreement sets forth the funding to support the county-wide Santa Cruz Public Libraries system.



Engaged & Informed Community and Community Safety and Well-Being

- \$ Expand outreach and communication on the City’s fiscal condition. The department recognizes the continued need for additional financial analysis, work load indicators, and performance measurement reporting (tied to a new Strategic Goal plan). Accordingly,

the department will continue to lead and work with entire City operations in the development of ways to provide timely and necessary information to support long-term investment decisions.

Financial Stability and Reliable & Forward-Looking Infrastructure and Facilities

- \$ Complete a transition plan for 10-year CIP and for a multi-year adopted budget. To support expansion of outreach and communication, the department will evaluate the benefits from converting to a minimum 10 year CIP plan and multi-year adopted budget aligned with the City Council’s next Strategic Goals and work-plan. Providing for a multi-year adopted budget will prioritize the resources and service commitments to support new goals and work plans.
- \$ Continue FEMA storm damage claims processing. This is a continuation of the previously referenced FY 2019 Goal to support FEMA storm damage reimbursements.

Financial Stability and Engaged & Informed Community

- 💰 Complete an evaluation of the City's investment policy to diversify investments and improve the ability to make safe, community appropriate, investments that could provide higher yields. This project would evaluate the potential for the City's investment policy to provide additional investment security through diversification as well as improve yields. It would also reaffirm that the City's allowable investments are reasonably aligned with the City Council's Strategic Goals and community appropriate.

Financial Stability, Reliable & Forward-Looking Infrastructure and Facilities and Engaged & Informed Community

- 💰 Return to near full staffing complement. The department for most of FY 2019 has fluctuated from staffing shortages ranging from 20% to 30%. While much of this is from the positive outcome of the department's long-term succession development plan, it has impacted the department's ability to support many critical long-term and short-term city-wide and contracted operations (Library Joint Powers Authority). The department is working with and being supported by the Human Resources department with the goal of returning to a full staffing level in FY 2020.

Supports all the City Council Goals

- 💰 Adopt an on-time, operationally balanced FY 2021 Budget

An on-time adoption of a balanced operating budget provides trust and transparency to the Community, as well as providing assurances to meet the City Council Goals and Work Plan initiatives and that the City will be able to reasonably provide the expected current and future services. As the City is on the cusp of a fiscally challenging three to five year period, it is imperative that the current FY 2020 and future FY 2021 Budgets are fiscally prudent and operationally balanced.



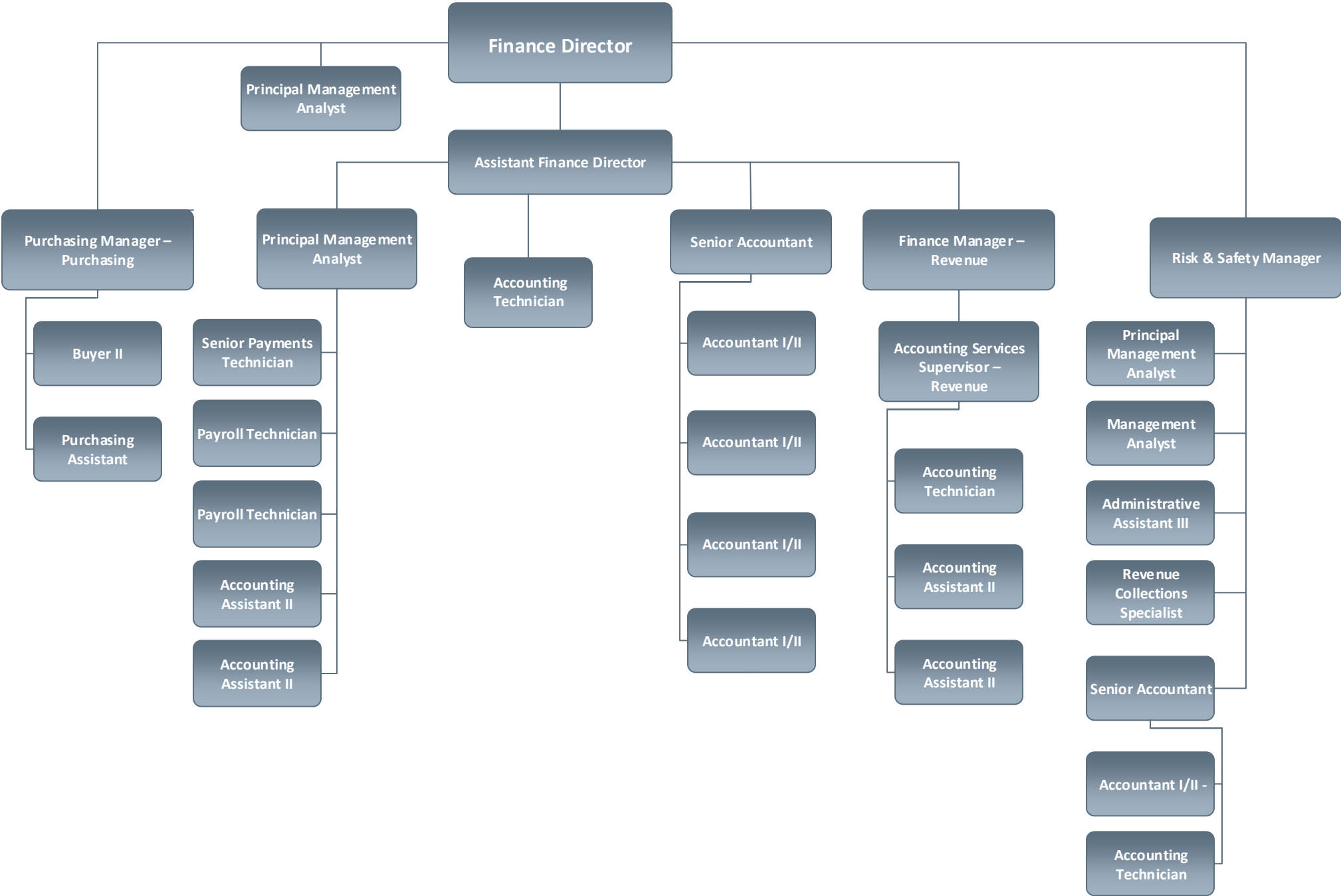
Finance

DEPARTMENT SUMMARY

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Personnel Services	3,262,518	3,797,152	3,797,152	3,455,676	4,174,694
Services, Supplies, and Other Charges	2,964,051	4,499,831	5,336,062	4,858,362	4,607,615
Capital Outlay	364	10,000	25,444	-	1,079,250
Debt Service	-	-	-	-	958,801
Total Expenditures	<u>6,226,933</u>	<u>8,306,983</u>	<u>9,158,659</u>	<u>8,314,038</u>	<u>10,820,360</u>
EXPENDITURES BY ACTIVITY:					
Finance	1241 3,617,127	4,066,604	4,108,168	3,623,556	4,312,203
Subtotal General Fund	3,617,127	4,066,604	4,108,168	3,623,556	4,312,203
Finance	1241 22,767	15,500	15,500	47,179	35,000
Subtotal Other General Funds	22,767	15,500	15,500	47,179	35,000
Liability Insurance	7821 2,587,039	4,224,879	5,034,990	4,643,303	4,460,606
Equipment Lease Program-Gen Fund	7861 -	-	-	-	637,685
Vehicle Lease Program-Gen Fund	7862 -	-	-	-	1,374,866
Subtotal Other Funds	2,587,039	4,224,879	5,034,990	4,643,303	6,473,157
Total Expenditures	<u>6,226,933</u>	<u>8,306,983</u>	<u>9,158,659</u>	<u>8,314,038</u>	<u>10,820,360</u>
RESOURCES BY FUND					
General Fund	101 1,174,574	1,195,556	1,195,556	1,178,556	1,230,412
Liability Insurance	842 4,571,090	4,486,943	5,086,943	4,605,435	3,960,333
Total Resources	5,745,664	5,682,499	6,282,499	5,783,991	7,988,407
Net General Fund Cost	<u>(2,442,554)</u>	<u>(2,871,048)</u>	<u>(2,912,612)</u>	<u>(2,445,000)</u>	<u>(3,081,791)</u>
	FY 2018			FY 2019	FY 2020
TOTAL AUTHORIZED PERSONNEL:	30.00			32.00	32.00

*Sums may have discrepancies due to rounding

Santa Cruz Finance Department



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Fire Department

Fire Department



Santa Cruz City Fire Department working at a second alarm structure fire on Water Street

Workload Indicators

Number of emergency calls

FY18	9,133
FY17	8,596
FY16	8,741

Number of fire inspections

FY18	1,165
FY17	1,475
FY16	2,951

Number of fire stations

FY18	4
FY17	4
FY16	4

Number of lifeguard headquarters

FY18	1
FY17	1
FY16	1

Department Description

The Santa Cruz Fire Department provides exceptional emergency response services to all residents and visitors 24 hours a day, 7 days a week, responding to structure fires, emergency medical incidents, technical rescues, water rescues, hazardous materials incidents, automobile accidents, wildland fires, Emergency Operation Center activations, Mutual Aid to other local and State agencies, and citizen requests. The Fire Department provides a full-service Fire Prevention Division featuring Community Risk Reduction, Fire Investigation, Public Education, and Training. Through the Fire Department's Marine Safety Division, lifeguard and water rescue services are delivered to Santa Cruz and Capitola City beaches. Additionally, the Fire Department manages the City's Office of Emergency Services program and directs Emergency Operations Center (EOC) activations and disaster training, response, and recovery efforts.

The Fire Department's primary objective is to provide the highest quality Fire Operations, Emergency Management Services, Marine Safety, Emergency Medical Response, and Community Risk Reduction to protect our community.



Station 1 Downtown

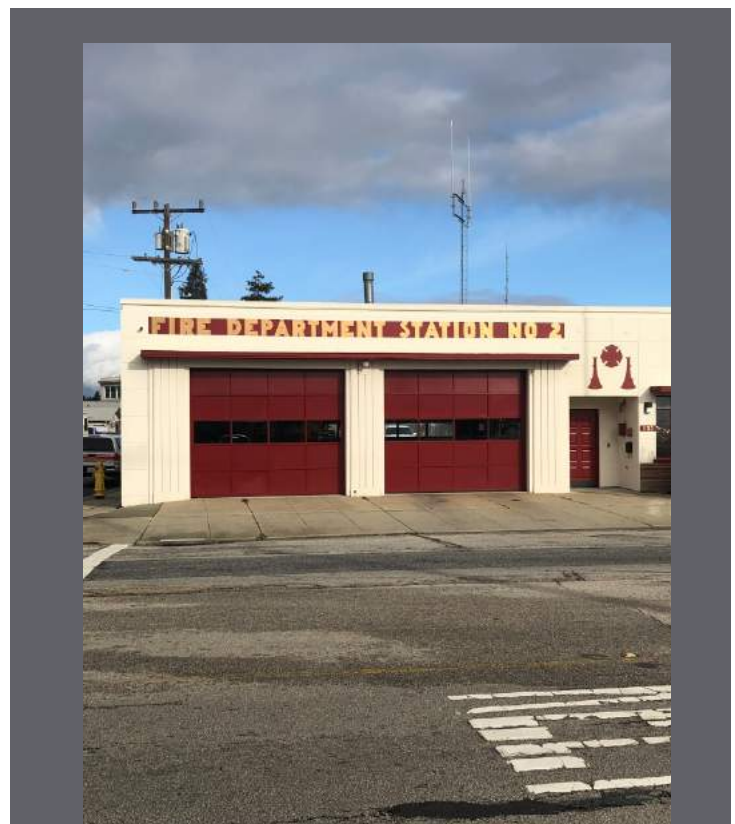
FY2019 Accomplishments

Environmental Sustainability and Well-Managed Resources

- 🔥 Completed the City's five-year update of the Local Hazard Mitigation Plan. Hazard mitigation planning is the process used by state and local agencies to understand risks from natural hazards and develop long-term strategies to reduce the impacts of disasters on people, property, and the environment.
- 🔥 Completed the 2018 update of the City's Emergency Operation Plan. An emergency operation plan is a course of action developed to mitigate the damage of potential events that could endanger the City of Santa Cruz's ability to function. This plan includes measures that provide for the safety of personnel and, if possible, environment, property and facilities.
- 🔥 Identified City owned open space requiring vegetation management and began process of implementing fuel reduction projects to create defensible space within the Wildland Urban Environment.
- 🔥 Coordinated Fire Department Climate Action Planning activities in support of the City's Climate Action Planning Program to prepare for impacts related to large storms, sea level rise and wildland fires.

Community Safety & Well-Being

- 🔥 Responded to more than 9,100 emergency incidents calls within the City of Santa Cruz.
- 🔥 Continued work on the City's Emergency Operation Center radio communications infrastructure. The EOC is the City's central command and control facility responsible for carrying out the emergency coordination and management functions at a strategic level during an emergency, and ensuring the continuity of operation for the City of Santa Cruz.
- 🔥 Nominated and accepted for the position of County Fire Safe Council President
- 🔥 Coordinated the planning and logistical support efforts for the River Street Camp Shelter.



Station 2 East Side



Station 3 West Side

- Executed an annual contract providing beach lifeguard services for the City of Capitola.
- Completed cross department training between Santa Cruz Police and Santa Cruz Fire for Unified Response to Violent Incidents (URVI). After completing training put into service grant funded safety equipment which allows firefighters to enter hostile situations sooner to provide lifesaving care.
- 2018 had the largest and most

destructive fires in State history. Responded to more than 20 incidents within the City, County, and State to support suppression, investigation, and recovery efforts.

- Implementation of an updated emergency dispatch system at Santa Cruz Regional 911 (Net Com). This system provides more efficient service along with increased capabilities.

Financial Stability

- Continuing to coordinate and manage the FEMA and Cal OES disaster cost recovery projects related to the response and public infrastructure damage associated with the 2017 storms events.



SLV Steve

Swift water rescue incident

Reliable & Forward-Looking Infrastructure and Facilities

- Performed emergency maintenance repairs on the City Lifeguard Headquarters located on the Municipal Wharf.

Engaged & Informed Community

- Conducted two (2) 24-hr Community Emergency Response Training (CERT) classes. CERT educates individuals about disaster preparedness for hazards



that may impact their area and trains them in basic disaster response skills such as fire safety, light search and rescue, team organization, and disaster medical operations. Conducted monthly CERT training and all day Saturday refresher class.

- Conducted tabling at public events including: Delaveaga neighborhood fire wise community (2 events), CERT fair and UCSC student housing fair.
- Implemented social media outreach program.

FY2020 Goals

Environmental Sustainability and Well-Managed Resources

- Complete vegetation management for Delaveaga and Pogonip for fuel reduction and creation of defensible space. Work being done in partnership with City Parks & Recreation.

Community Safety & Well-Being

- Strengthen community response and preparedness through Fire Wise, CERT, Map your neighborhood and Ready, Set, Go preparedness efforts. Create additional FireWise neighborhood groups within the City.
- Continue to support and enhance Fire Investigation capabilities with Santa Cruz Police, District Attorney's office, and County wide Fire Investigation Task Force.
- Train and exercise the Unified Response to Violent Incidents within the City as well as within the County.
- Continue strategic planning process which clearly identifies current service delivery, areas for improvement, and recommended actions.



Lifeguard Station on the Wharf

Organizational Health

- 🔥 Complete Firefighter Health and Wellness Program.
- 🔥 Complete a joint fire academy for new firefighters for the City of Santa Cruz Fire Department. Academy includes partner agencies and personnel from Santa Cruz City, Central Fire Protection District, Aptos/La Selva Fire Protection District and Watsonville City Fire.
- 🔥 Strengthen recruitment and retention of diverse workforce.

Financial Stability

- 🔥 Continue to manage the Cal OES/FEMA disaster reimbursement process.

Reliable & Forward-Looking Infrastructure and Facilities

- 🔥 Continue developing plans for fire station #2 replacement (3-5 years).
- 🔥 Continue developing plans for a training center (3-5 years).

Engaged & Informed Community

- 🔥 Conduct two (2) Community Emergency Response Team trainings and monthly meetings.
- 🔥 Conduct a citywide disaster preparedness and EOC training for City staff.



UCSC Station 4

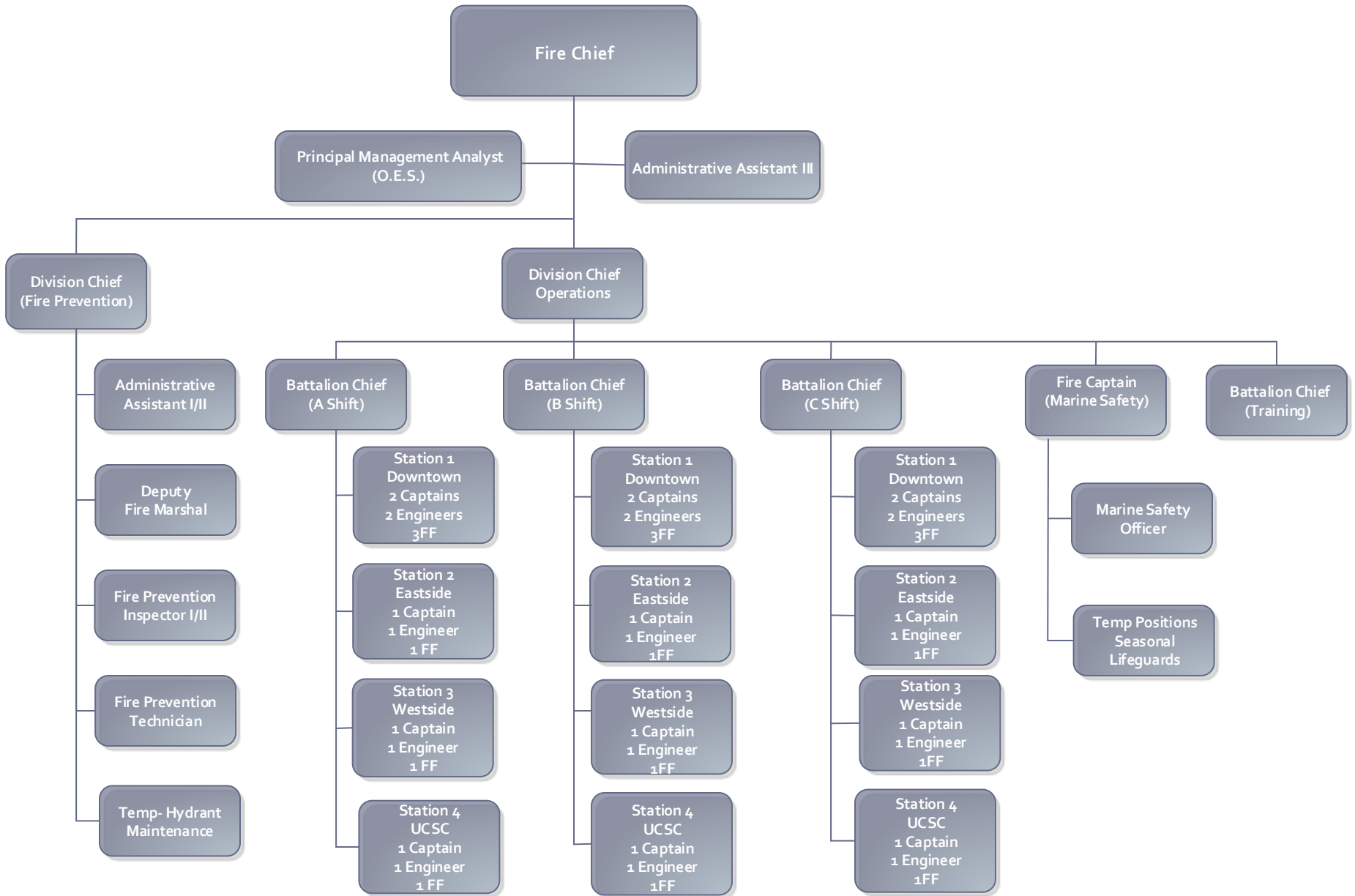


DEPARTMENT SUMMARY

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Personnel Services	16,096,392	15,775,753	15,775,753	17,577,334	16,898,717
Services, Supplies, and Other Charges	2,198,844	2,203,451	2,484,498	2,356,138	2,474,004
Capital Outlay	26,835	13,000	124,540	5,400	-
Total Expenditures	18,322,071	17,992,204	18,384,791	19,938,872	19,372,721
EXPENDITURES BY ACTIVITY:					
Fire Administration	2201 779,040	830,415	830,415	832,234	910,123
Fire/EMS Operations	2202 15,410,185	15,201,236	15,313,502	16,512,959	15,755,880
Fire Prevention	2203 864,160	948,926	1,010,822	1,022,564	1,002,953
Marine Rescue Program	2204 115	-	-	-	-
Office of Emergency Services (OES)	2205 307,268	142,988	361,413	317,818	292,805
	2206 -	-	-	410,000	450,000
Subtotal General Fund	17,360,768	17,123,565	17,516,152	19,095,575	18,411,761
Marine Rescue Program	2204 961,303	868,639	868,639	843,297	960,960
Subtotal Other General Funds	961,303	868,639	868,639	843,297	960,960
Total Expenditures	18,322,071	17,992,204	18,384,791	19,938,872	19,372,721
RESOURCES BY FUND					
General Fund	101 3,603,205	4,223,000	4,432,587	4,023,691	4,662,000
Municipal Wharf	104 31,173	150,000	150,000	128,000	180,000
Total Resources	3,634,378	4,373,000	4,582,587	4,151,691	4,842,000
Net General Fund Cost	(13,757,563)	(12,900,565)	(13,083,565)	(15,071,884)	(13,749,761)
	FY 2018			FY 2019	FY 2020
TOTAL AUTHORIZED PERSONNEL:	66.00			66.00	66.00

*Sums may have discrepancies due to rounding

Fire Department





Human Resources

Human Resources



Department Description

Our Mission:

As a resource and trusted advisor, we strive to cultivate an inspiring and fulfilling work environment that attracts and engages a talented workforce.

Our Values:

Communication, Continuous Improvement, Integrity, Collaboration, Humor, and Fun

Our Purpose: The purpose of the Human Resources Department is to provide a variety of support and assistance to employees and departments in achieving their goals and objectives. We accomplish this by providing a range of services including recruitment, professional development, training, compensation and classification administration, employee relations, benefits administration, and workers' compensation administration.

FY2019 Accomplishments

GOAL 1

Enhance City Culture of Engagement

- Completed 1st Annual Employee Engagement Work Plan
- Employee Engagement Survey : Conducted 2nd Annual Employee Engagement Survey
- Employee & Leadership Development Program: Added MOU language to mandate attendance. Added additional leadership courses.

GOAL 2

Improve Human Resources Department Customer Service

- Established employee on-boarding program.
- Re-organize HR intranet to be more user friendly and informative.
- Provided staff with professional development opportunities.
- Updated Internal Recruitment Administrative Policy
- Improve and enhance harassment prevention programs: Added, Culture & Equity: Race & Gender course.





GOAL 3 Succession Development Program

- Created an on-line tool kit for Managers with succession development programs.
- Created Over Hire Administrative Policy.
- Created Stretch Assignment Administrative Policy

FY2020 Goals

GOAL 1

Enhance City Culture of Engagement

- Employee Engagement Work Plan:
 - Continue to oversee implementation of 2019 Work Plan
 - Conduct FY2020 Employee Engagement Survey
- Continue to implement HR work plan based on survey results.

GOAL 2

Focus on Human Resources Department Customer Service

- Develop an HR Strategic Plan.
- Implement employee on-boarding program.
- Develop internal and external metrics to analyze HR functional areas for process improvement opportunities.

GOAL 3

Succession Development Program

- Enhance existing programs and develop new programs to assist in the succession planning for the City.



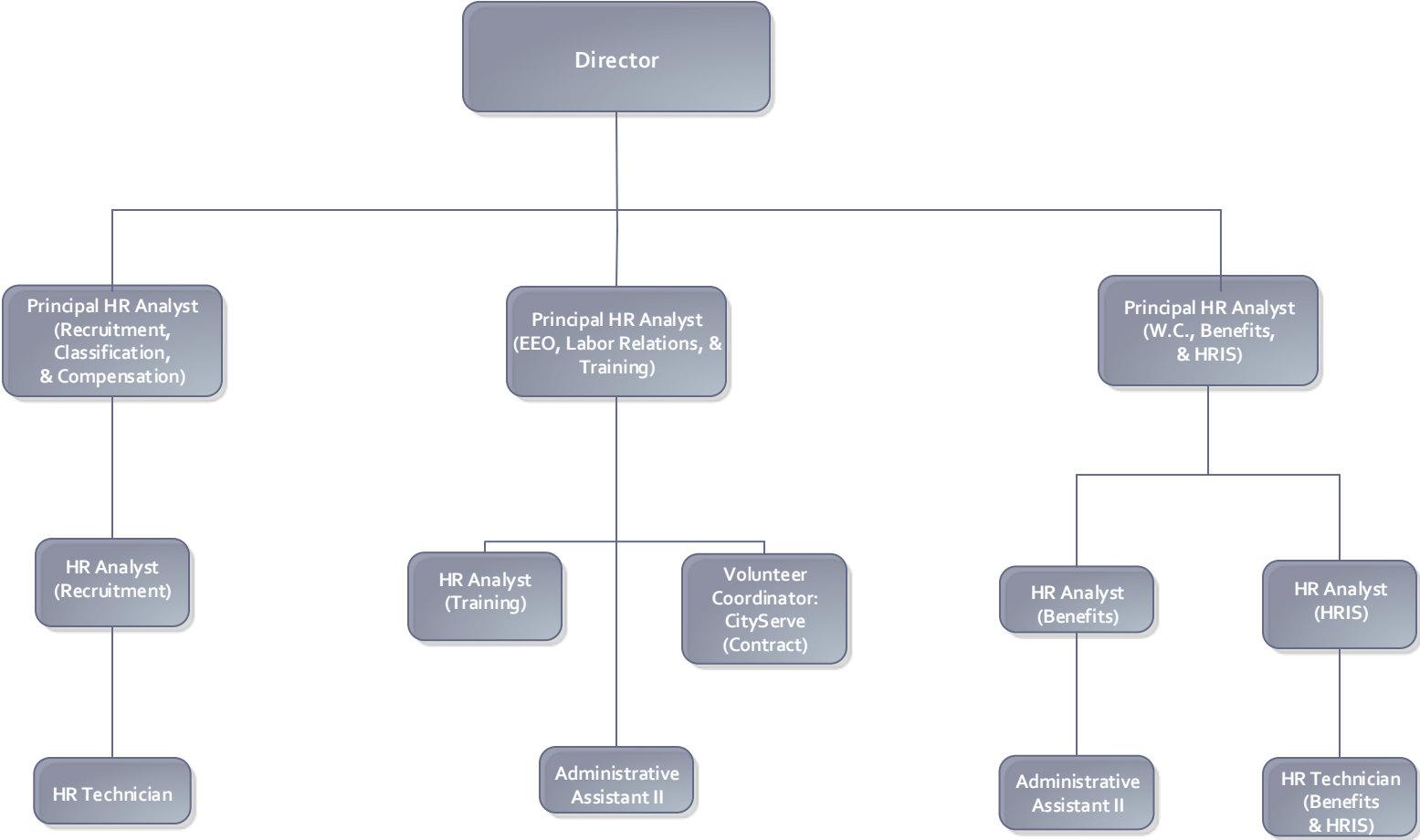
Human Resources

DEPARTMENT SUMMARY

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Personnel Services	1,766,276	1,918,020	1,918,020	1,928,631	2,029,152
Services, Supplies, and Other Charges	5,138,482	5,529,384	5,560,691	5,122,338	4,961,545
Capital Outlay	1,745	-	-	-	-
Total Expenditures	<u>6,906,503</u>	<u>7,447,404</u>	<u>7,478,711</u>	<u>7,050,969</u>	<u>6,990,697</u>
EXPENDITURES BY ACTIVITY:					
Human Resources	1230 1,322,647	1,569,806	1,601,113	1,662,659	1,470,379
Volunteer Program	1231 46,183	47,250	47,250	47,250	47,250
Subtotal General Fund	<u>1,368,831</u>	<u>1,617,056</u>	<u>1,648,363</u>	<u>1,709,909</u>	<u>1,517,629</u>
Workers Compensation Insurance	7820 3,452,614	3,213,273	3,213,273	3,120,914	3,197,708
Medical/Dental/Vision Insurance	7823 2,029,927	2,514,575	2,514,575	2,120,146	2,175,360
Unemployment Ins Trust	7824 55,131	102,500	102,500	100,000	100,000
Subtotal Other Funds	<u>5,537,673</u>	<u>5,830,348</u>	<u>5,830,348</u>	<u>5,341,060</u>	<u>5,473,068</u>
Total Expenditures	<u>6,906,503</u>	<u>7,447,404</u>	<u>7,478,711</u>	<u>7,050,969</u>	<u>6,990,697</u>
RESOURCES BY FUND					
General Fund	101 615,478	632,102	632,102	632,102	659,916
Unemployment Insurance	140 45,325	-	-	-	-
Workers' Compensation Insurance	841 3,829,394	4,009,513	4,009,513	4,236,039	4,031,857
Group Health Insurance	843 1,772,943	2,150,279	2,150,279	2,218,632	2,148,277
Unemployment Insurance	844 529,032	601,993	601,993	601,993	601,993
Total Resources	<u>6,792,172</u>	<u>7,393,887</u>	<u>7,393,887</u>	<u>7,688,766</u>	<u>7,442,043</u>
Net General Fund Cost	<u>(753,352)</u>	<u>(984,954)</u>	<u>(1,016,261)</u>	<u>(1,077,807)</u>	<u>(857,713)</u>
	FY 2018			FY 2019	FY 2020
TOTAL AUTHORIZED PERSONNEL:	11.50			11.50	12.50

*Sums may have discrepancies due to rounding

City of Santa Cruz Human Resources Department





Information Technology

Information Technology



Department Description

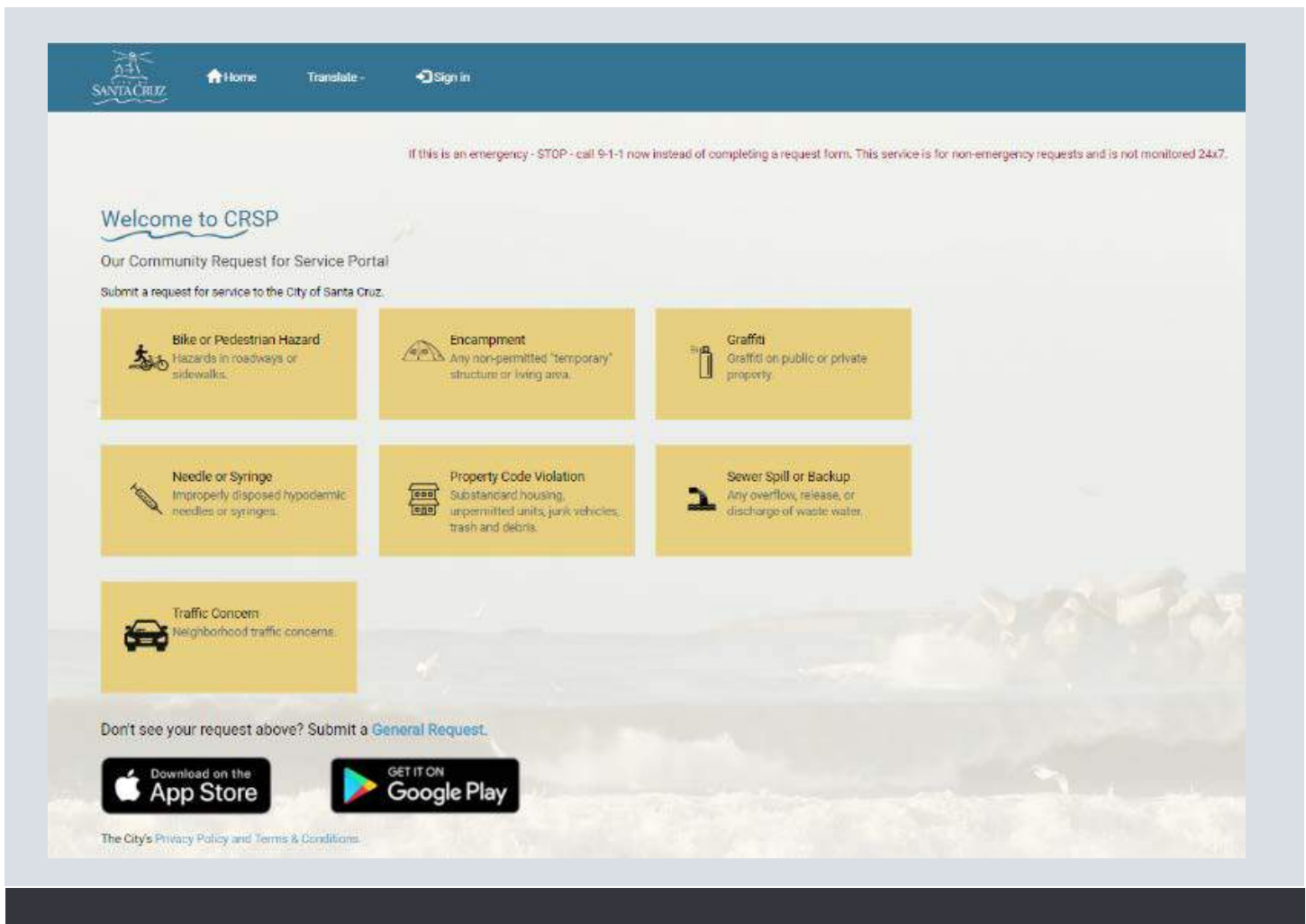
The Information Technology (IT) Department provides technology services to support fellow departments and the community. Our primary objective is to deliver business-driven, efficient, quality technology solutions and services for the City of Santa Cruz staff and the public. To this end, IT is organized into the following major areas: infrastructure and telecommunications; process and applications (including Geographic Information Services – GIS); client and systems administration; strategy and administration.

FY2019 Accomplishments

Community Safety & Well-Being

- Improved and expanded monitoring of City water and waste water treatment infrastructure and sources by completing upgrade of software and deploying to satellite sites.
- Delivered modern interview room capabilities at Police Department.
- Improved physical security at various City locations including City Hall's Annex, the Coast Pump Station, the Corporation Yard, Fire Administration, Fire Station 1, Frazier Lewis Lane (alley long the Soquel/Front Garage), Harvey West Clubhouse, Union/Locust Building, Water Treatment and Waste Water Treatment.
- Upgraded system for police records information.
- Increased stability and administration of police in car video system.
- Simplified and modernized community request for services through new application (Community Request for Service Portal – CRSP) – web-based and also available as an Android or iOS application.

<https://cosc-crsp.mendixcloud.com/p/Home>



Housing

- Supported code compliance through new Planning and Community Development cost recovery program.
- Started first steps (request for proposal) to determine best path forward for land management – permits, business licenses, inspections, code compliance, online plan check, rental, etc.
- Deployed technology at River Street Camp.
- Supported housing committees and various housing/other research needs via maps and GIS on-line layers.

Economic Vitality

- Started first steps (request for proposal) to determine best path forward for land management – permits, business licenses, inspections, code compliance, online plan check, rental, etc.
- Automated interface from parking system to cashiering.
- Increased garage parking and gate control features by beginning replacement of systems at City owned parking facilities.
- Delivered new GIS application for permit data:
<https://cruzgis.maps.arcgis.com/apps/webappviewer/index.html?id=a215bb32bbb94c17baaebfde62599386>



Reliable & Forward-Looking Infrastructure and Facilities

- Improved payment card security by completing network segmentation for Payment Card Industry (PCI) compliance.
- Ensured network performance and reliability via upgrades and replacements at various sites including City Council Chambers, the Corporation Yard, Fire

Stations 2 and 3, Meter Shop, Union/Locust Building, Water Treatment and Waste Water Treatment.

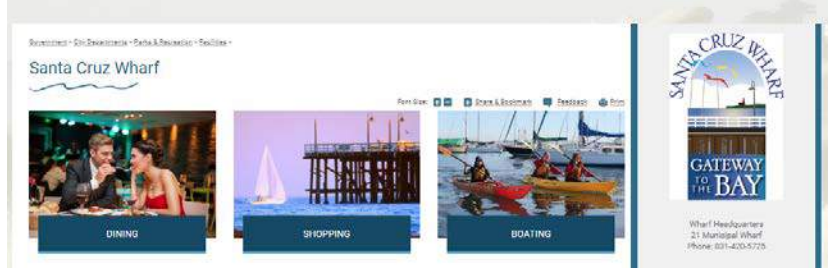
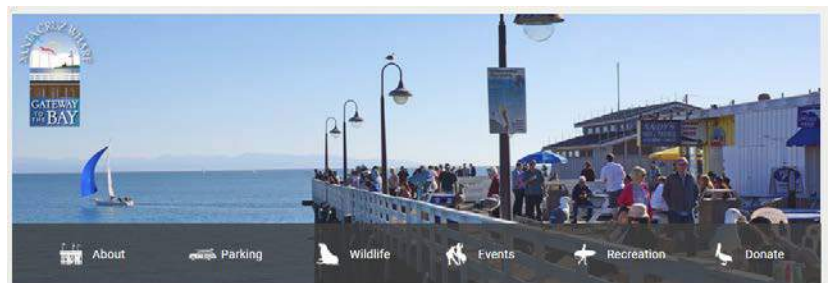
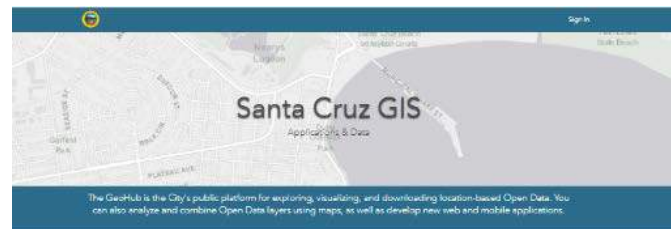
- Improved direct fiber connectivity for the City from the Clock Tower to the River St Garage and Cooper St traffic signal (back to City Hall).
- Supported the improvement of work space efficiency at City Hall's Annex, Loch Lomond/Newell Creek, Police Department and Union/Locust Building.



- Shored up integration between police data center and Santa Cruz Regional 911 (SCR911).
- Reduced risk and improved recovery capabilities with expanded backup and recovery tools, proactive security vulnerability assessments and more anti-virus forensic tools.
- Began vendor performance and technology improvements for network connectivity at various City facilities back to City Hall.
- Expanded capacity and improved reliability for storage at City Hall, the Police Department, Water Treatment and Waste Water Treatment.

Engaged & Informed Community

- Improved telephone features and upgraded version of City's voice over internet protocol system.
- Sized and scoped project to improve the City's agenda and document management platform.
- Supported transparency and access to financial information via new data tool.
- Enabled public to find and use location-based open data via a "hub" page that consolidates many features: <https://data1-cruzgis.opendata.arcgis.com/>
- Revamped design to make Wharf webpages more engaging and easier to navigate.



Financial Stability

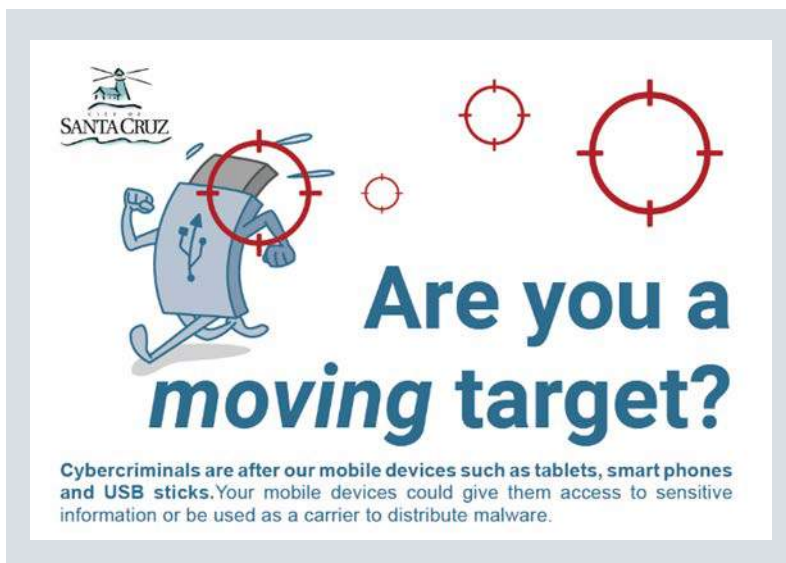
- Completed annual personal computer (PC) replacements and uninterruptible power supply (UPS) maintenance.
- To maintain support and vendor software warranty, upgraded various systems, including the City's core enterprise resource planning, email, Parks & Recreation's reservation and registration system, parking meter management and work order management.
- Implemented annual fee changes for various City departments.
- Added ability for community to contest parking tickets online.
- Reliably managed and cost contained IT software and hardware maintenance.
- Began to improve security of payment card data movement via the issuance of a request for proposal for a file, information and event monitoring platform.

Environmental Sustainability and Well-Managed Resources

- Improved Water ability to report operational data points and make faster edits for elevation charges, storm water, water rates, and water usage.
- Improved management and administration of various resources via upgrades:
 - Fleet fueling (plus migration to virtual environment)
 - Power management
- Assisted with mapping of trees in City via issuance of request for proposal.

Organizational Health

- Educated and engaged City staff to be part of the solution for cyber security.
- Mitigated risks and educated users via more robustly documented standards for technology usage at the City.



- Enabled City staff to be more effective by offering training sessions for reports and systems and providing conference tools in City meeting rooms.
- Reduced processing time in departments and at Human Resources for recurring personnel forms and approvals.
- Updated IT employee skill sets and knowledge base via on-going department training opportunities.

FY2020 Goals

Community Safety & Well-Being

- Continue project to modernize and make sustainable the City's camera systems and physical access/door controls. FY20 targets include:
 - Soquel/Front Garage
 - Various Water pump stations
 - Additional locations based upon urgency of need and age of equipment
- Replace Police Department in car video system.
- Enhance crime analytics capacity and expand data sharing for Police Department.
- Expand police officer access to data and systems via technology improvements in patrol vehicles.
- Begin to work with other local agencies in Santa Cruz Regional 911's replacement of records management system for public safety.
- Add service types to City's Community Request for Service Portal (CRSP).

Housing

- Begin implementation of land management solution – permits, business licenses, inspections, code compliance, online plan check, rental, etc.
- Support housing committees and various housing research needs via maps and GIS on-line layers.
- Support technology needs at homeless housing location(s).

Economic Vitality

- Improve processes and on-line capabilities for land management, business licensing and permitting system.
- Complete roll-out of electronic plan check.
- Finish garage parking and gate control feature rollout by replacing systems at City owned parking facilities.



Reliable & Forward-Looking Infrastructure and Facilities

- Improve network performance and security at various City sites.
- Continue to implement PCI compliance items – specifically security information and event management (SIEM) and file integrity monitoring (FIM).
- Replace data center infrastructure at Water Treatment Plant and Waste Water Treatment Facility as needed for sustainability and supportability.
- Upgrade and/or replace aging network infrastructure as needed.
- Deliver internet access to Delaveaga softball fields to enable process efficiencies for Parks & Recreation leagues.

Engaged & Informed Community

- Begin migration of agenda and document management to vendor's replacement products.
- Refresh design of Human Resources web pages and expand content.
- Re-look at internet standards and site organization based upon compiling newer usage analytics since redesign has been live for over a year.

Financial Stability

- Complete annual personal computer (PC) replacements, with focus on removing Windows 7 machines.
- To maintain supported and under vendor software warranty, upgrade various City systems.
- Train City staff with new campaigns and content for cyber security areas each quarter.
- Continuously re-evaluate maintenance contracts to look for cost reductions via negotiations, consolidations, competitive pricing, etc.

Environmental Sustainability and Well-Managed Resources

- Implement urban tree mapping system.
- Issue request for proposal for new utility management solution.

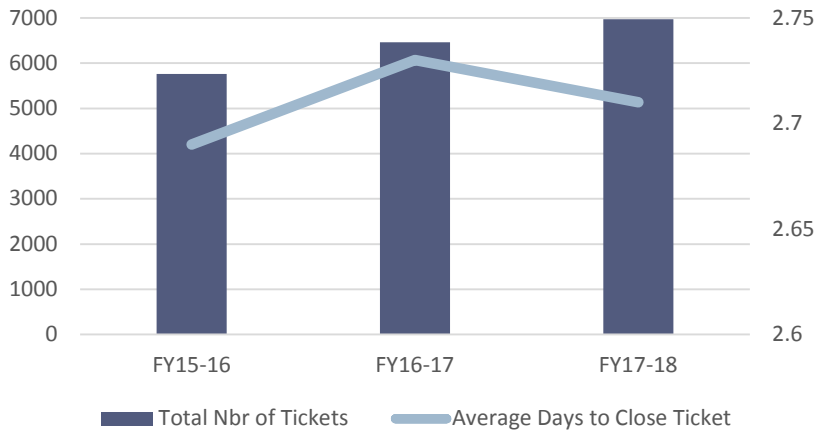
Organizational Health

- Enable City staff to productively use mobile devices as an aid for service delivery by retiring all 3G cellular devices.
- Improve internal communication and sharing via modernization and functionality increases of City's intranet platform.
- Help employees more effectively manage e-waste (electronic waste) and email via recurring "eLighten" process.



From "Sustainability Victoria"

Helpdesk Tickets



Workload Indicators

Number Helpdesk Tickets

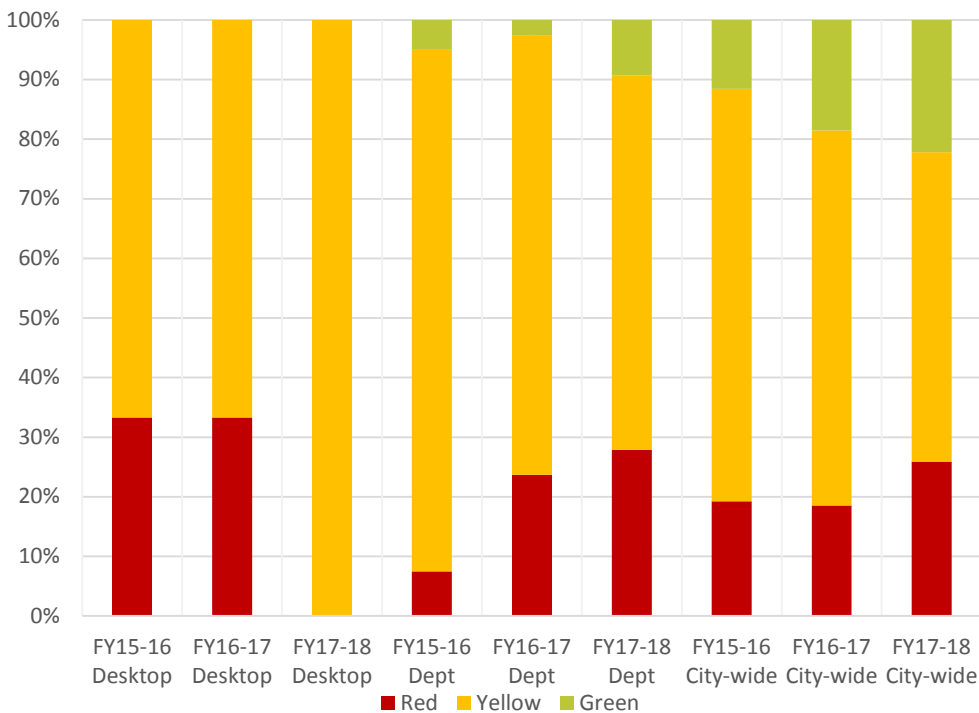
FY18	6,973
FY17	6,464
FY16	5,763

Average days to close Helpdesk Ticket

FY18	2.71
FY17	2.73
FY16	2.69

Health of Applications

(Broken Out by Desktop, Departmental and City-wide)



Health of applications by desktop

	R	Y	G
FY18	0%	100%	0%
FY17	33%	67%	0%
FY16	33%	67%	0%

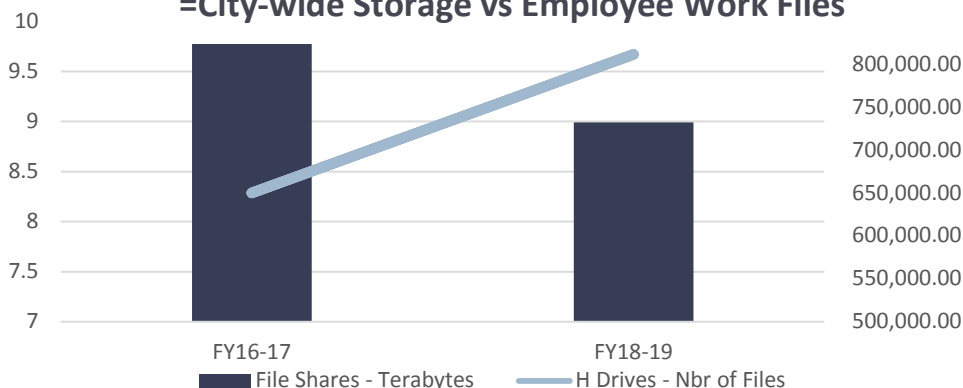
Health of applications by Department

FY18	28%	63%	9%
FY17	24%	74%	2%
FY16	8%	88%	5%

Health of applications City-wide

FY18	26%	52%	22%
FY17	19%	63%	18%
FY16	19%	69%	12%

All Files Shares in TB vs H-Drive Count =City-wide Storage vs Employee Work Files



All files shares in terabytes

FY17	9.8
FY18	8.99

H-drives number of files

FY17	650,000
FY18	811,721

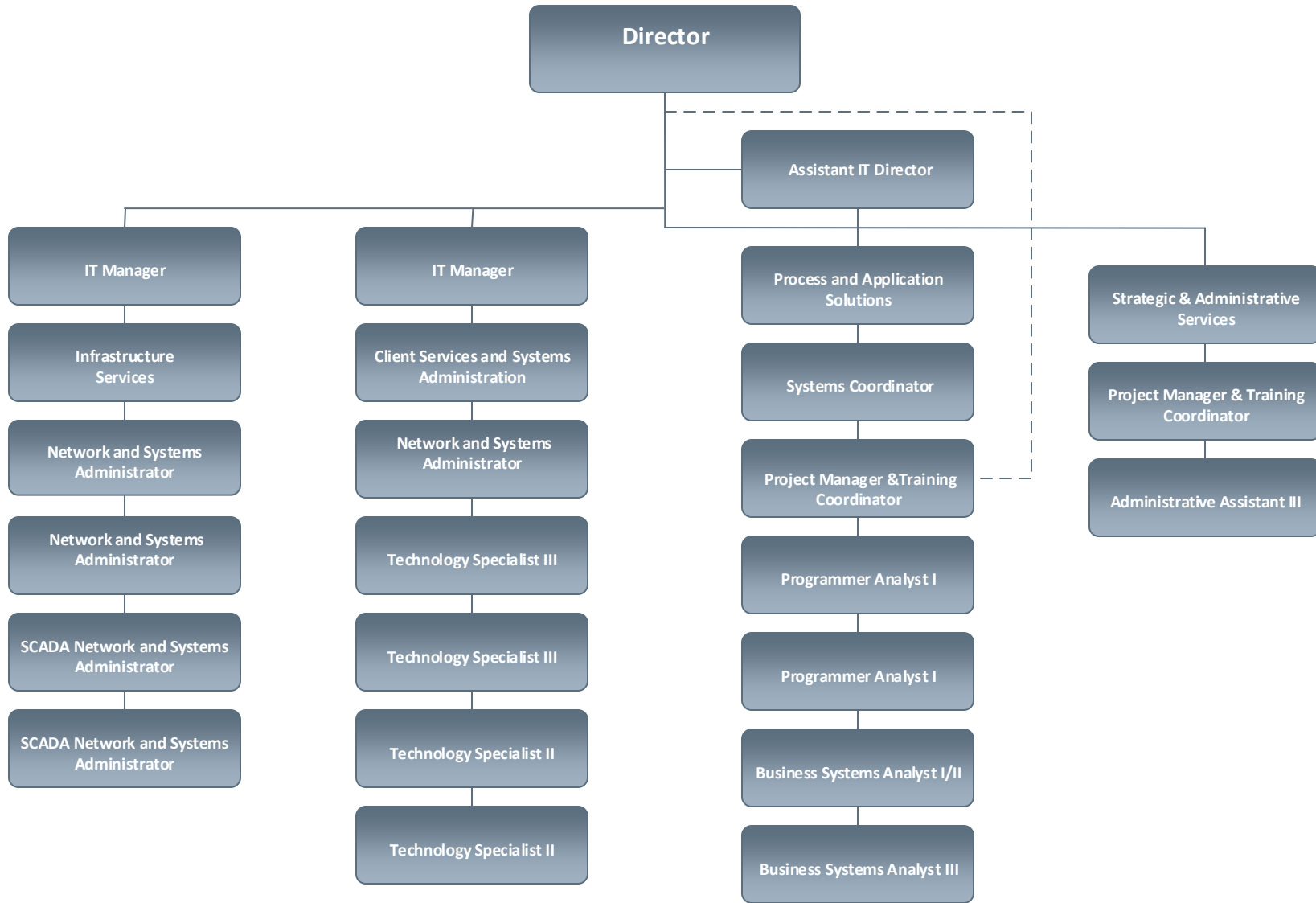
Information Technology

DEPARTMENT SUMMARY

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Personnel Services	2,555,288	2,712,430	2,712,430	2,743,753	2,880,548
Services, Supplies, and Other Charges	1,864,439	1,994,039	2,071,685	2,130,136	1,991,002
Capital Outlay	-	-	66,053	-	835,000
Total Expenditures	<u>4,419,726</u>	<u>4,706,469</u>	<u>4,850,168</u>	<u>4,873,889</u>	<u>5,706,550</u>
EXPENDITURES BY ACTIVITY:					
IT Operations	1251 <u>4,419,726</u>	<u>4,706,469</u>	<u>4,850,168</u>	<u>4,873,889</u>	<u>4,871,550</u>
Subtotal General Fund	4,419,726	4,706,469	4,850,168	4,873,889	4,871,550
	7825 -	-	-	-	835,000
Subtotal Other Funds	-	-	-	-	835,000
Total Expenditures	<u>4,419,726</u>	<u>4,706,469</u>	<u>4,850,168</u>	<u>4,873,889</u>	<u>5,706,550</u>
RESOURCES BY FUND					
General Fund	101 <u>1,440,950</u>	<u>1,469,563</u>	<u>1,469,563</u>	<u>1,469,563</u>	<u>1,534,224</u>
Total Resources	1,440,950	1,469,563	1,469,563	1,469,563	1,534,224
Net General Fund Cost	<u>(2,978,776)</u>	<u>(3,236,906)</u>	<u>(3,380,605)</u>	<u>(3,404,326)</u>	<u>(3,337,326)</u>
	FY 2018			FY 2019	FY 2020
TOTAL AUTHORIZED PERSONNEL:	20.00			20.00	21.00

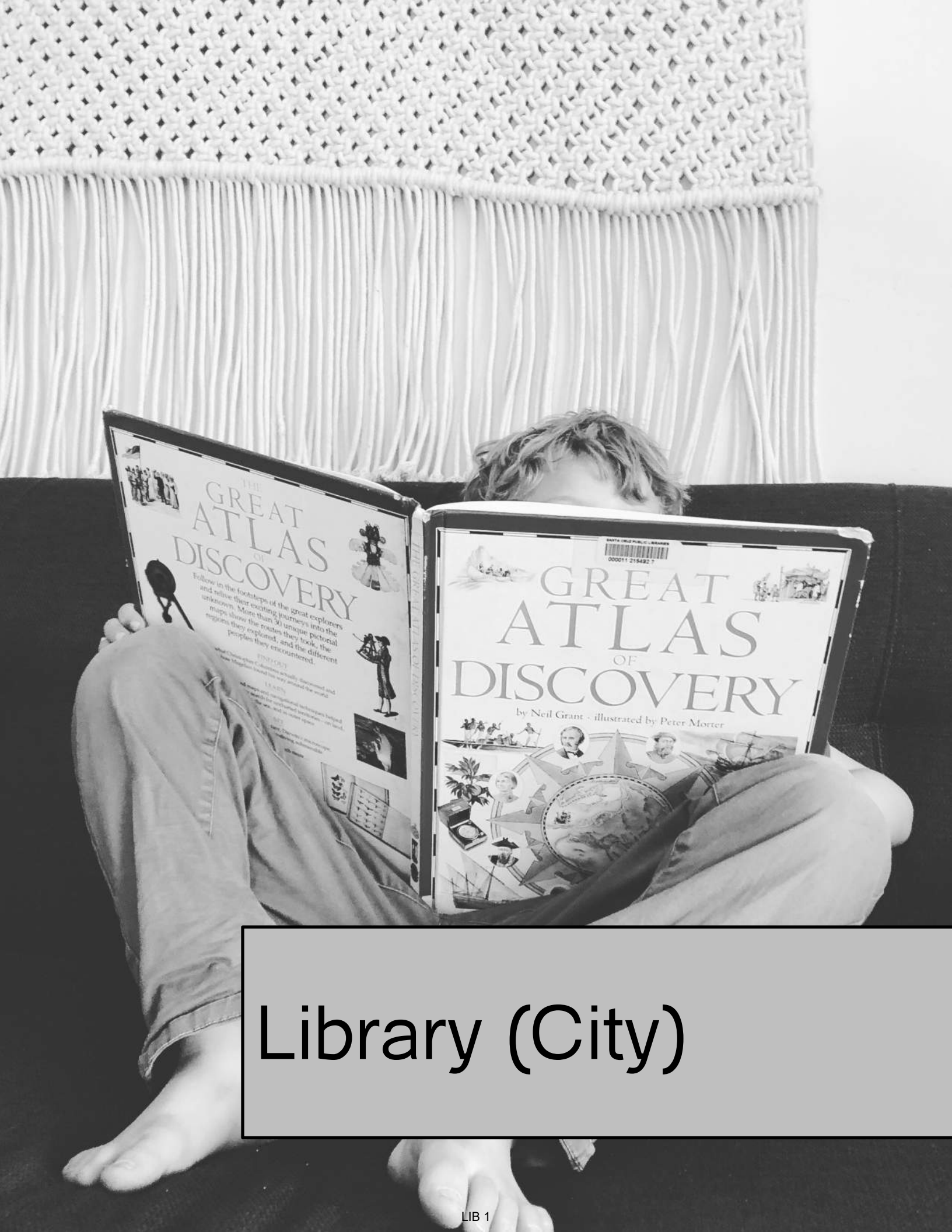
*Sums may have discrepancies due to rounding

City of Santa Cruz Information Technology Department



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Library (City)

Santa Cruz Public Library



Workload Indicators

Number of books in circulation

FY18	1,345,240
FY17	1,360,349
FY16	1,379,825

Number of visitors

FY18	1,108,307
FY17	1,103,607
FY16	1,097,148

Number of public internet use hours

FY18	128,307
FY17	not available
FY16	not available

Department Description

The Santa Cruz Public Library System (SCPL) transforms lives and strengthens communities through a network of 10 neighborhood library branches, a web-based digital library, a Bookmobile, and community-based programming. Branch locations include Aptos, Boulder Creek, Branciforte, Capitola, Downtown Santa Cruz, Felton, Garfield Park, La Selva Beach, Live Oak, and Scotts Valley. We transform the lives of individuals and the community through programs, services, and materials that support learning, digital inclusion, and community building.



FY2019 Accomplishments

Over the last year, the Libraries:

Closed the Capitola Branch Library on May 1, 2018 for construction. Capitola staff has been reassigned to the Aptos and Live Oak Branches and hours have been increased at those sites to accommodate increased demand. The Bookmobile added two additional stops in proximity to the library.

Began construction on the new Felton Branch Library on a new site. The current branch will remain open until the new building is finished.

Worked closely with JPA jurisdictions and community members to: develop design and construction documents for new libraries in Felton and Capitola; create remodeling programs for La Selva Beach, Boulder Creek, and Live Oak; and made recommendations to the Santa Cruz City Council via a citizen Downtown Library Advisory Committee for the scope of services and siting for the branch.

Increased programming for all ages across the library system.

Completed sixteen staff-proposed projects focusing on transforming programs and services and empowering staff. A seventeenth project will be completed in May 2019. A second round of twelve Innovation Grant projects was funded for the calendar year 2019.

Worked closely with the City of Santa Cruz, the County of Santa Cruz, and local nonprofits to expand services for individuals experiencing homelessness.

Expanded services to County Correctional Facilities with additional sessions of People & Stories humanities classes for inmates.

Established staff teams to update the Library's reference service model, customer service model, and collection development plan.



FY2020 Goals

Learning – Develop programs, services, and collections that nurture the learning goals of children and adults.

Digital Inclusion – Ensure that all residents have access to the training, devices, and internet they need to participate fully in community life.



Transformative Spaces – Create enticing and inspiring multipurpose learning zones that support community needs and interests.

User Experiences – Offer patron-centered services designed with input from residents so that each branch reflects its community.

Organizational Capacity – Enhance skills of staff, provide thoughtful stewardship of public resources, and pursue strategic partners in the community



Benchmarks

INCREASE IN: cardholders, circulation, visits, database use, computer use, and program attendance.

CAPACITY INCREASE IN: number of public access computers, hours of computer and wireless use, type, and number of technology programs.

MEASURE SUCCESS: Analyze findings and amend functions to improve patron satisfaction.

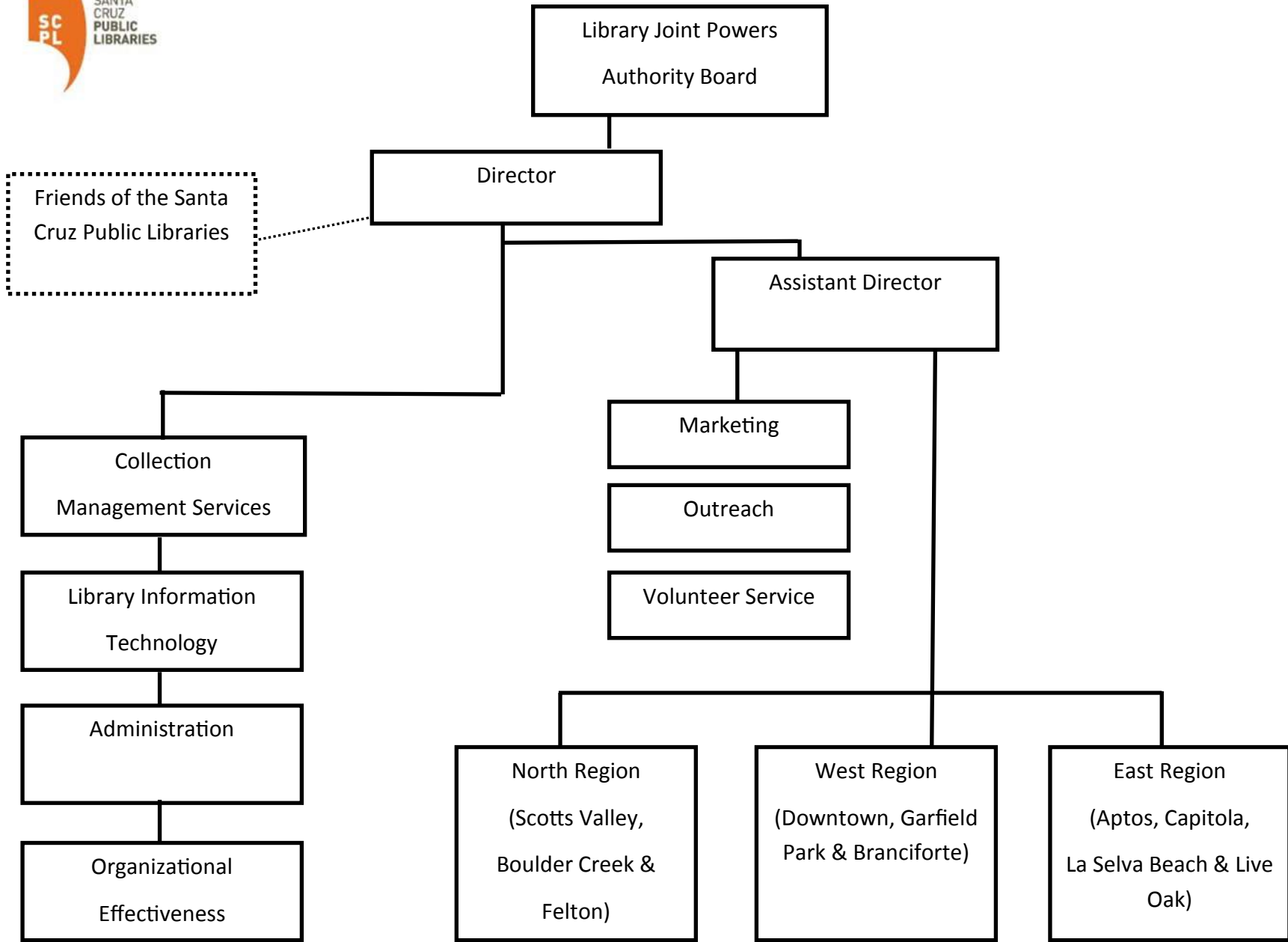
INCREASE PARTNERSHIPS: Maintain current strategic partnerships and identify additional partners.

Library (City)

DEPARTMENT SUMMARY

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Services, Supplies, and Other Charges	1,534,781	1,600,000	1,600,000	1,604,751	1,674,751
Total Expenditures	<u>1,534,781</u>	<u>1,600,000</u>	<u>1,600,000</u>	<u>1,604,751</u>	<u>1,674,751</u>
EXPENDITURES BY ACTIVITY:					
Library System Services - City	3410 1,534,781	1,600,000	1,600,000	1,604,751	1,674,751
Subtotal General Fund	<u>1,534,781</u>	<u>1,600,000</u>	<u>1,600,000</u>	<u>1,604,751</u>	<u>1,674,751</u>
Total Expenditures	<u>1,534,781</u>	<u>1,600,000</u>	<u>1,600,000</u>	<u>1,604,751</u>	<u>1,674,751</u>
RESOURCES BY FUND					
General Fund	101 462,438	462,438	462,438	462,438	462,438
Total Resources	<u>462,438</u>	<u>462,438</u>	<u>462,438</u>	<u>462,438</u>	<u>462,438</u>
Net General Fund Cost	<u>(1,072,343)</u>	<u>(1,137,562)</u>	<u>(1,137,562)</u>	<u>(1,142,313)</u>	<u>(1,212,313)</u>
	FY 2018			FY 2019	FY 2020
TOTAL AUTHORIZED PERSONNEL:	<u>92.83</u>			<u>92.83</u>	<u>97.83</u>

*Sums may have discrepancies due to rounding





Parks & Recreation

Parks & Recreation



Pogonip

Department Description

The Parks and Recreation Department provides parks, community facilities, open spaces, and innovative programs for children, teens, adults, and seniors. We are committed to providing the community with safe, healthy, and exciting programs and facilities.

The Parks and Recreation Department's primary objective is to provide environments, experiences, and programs that enrich lives and build a healthy community.

FY2019 Accomplishments

Environmental Sustainability & Well Managed Resources

- 🌳 The Santa Cruz Tarplant Recovery Program at Arana Gulch yielded 267 Santa Cruz tarplants – the largest number in more than a decade. Seed was collected this year for storage and some will be propagated offsite for bulk seed production.
- 🌳 The Initial Study Mitigated Negative Declaration for the Parks Master Plan 2030 was updated and recirculated to address public comments.

Workload Indicators

Participants in programs, classes, and events

FY18	119,000
FY17	119,000
FY16	127,000

Reservations administered and accommodated for facility, field, court, and picnic areas

FY18	6,445
FY17	3,284
FY16	6,331

Acres of park land maintained and operated

FY18	1,700
FY17	1,700
FY16	1,700

Square footage of facilities maintained and operated

FY18	169,000
FY17	169,000
FY16	169,000

Tons of general refuse/green waste removed from parks and open space by field staff

FY18	240/117
FY17	216/178
FY16	146/84



Summer Youth Trails Crew

- 🌳 Signed Adopt-a-Park agreements for Laurel Park, the Emma McCrary Trail, and UCon Trail.
- 🌳 Worked with 10 participants in the 2018 Summer Youth Trails program to make trail improvements in Wagner Grove and Arana Gulch.
- 🌳 Made repairs to the Ocean View pathway within Ocean View Park in order to enhance the connectivity for cyclists and pedestrians between the Seabright neighborhood and the Santa Cruz River Walk.
- 🌳 Installed ADA access paths to our popular Maple Grove reservation site within Lower DeLaveaga Park.
- 🌳 Made turf and drainage renovations to the San Lorenzo Benchlands to prepare for the busy schedule of city and community events in 2019.
- 🌳 Planted over 350 trees with the help of local volunteers for the CalFire Urban and Community Forestry grant.
- 🌳 Coordinated riparian restoration work days with Measure E funding in Pilkington creek, Bethany curve, and San Lorenzo River to restore local watercourses and promote clean water.

- 🌳 The fifth year of habitat management at Arana Gulch addressed many items raised during the Adaptive Management Working Group process, including providing bird boxes for Western bluebird, reducing populations of invasive thistle, French broom, and Acacia, and collecting and spreading seeds of purple needlegrass.
- 🌳 Received a \$96,000 State Outdoor Education Grant for Pogonip Nature Loop, began trail improvements, and completed the design for six new interpretive panels.



Ocean View Park

- 🌳 Installed a new walking path and split rail fence around the south side of Westlake Park.
- 🌳 Reconditioned the decomposed granite walking surface at the Surfers Memorial.
- 🌳 Completely renovated lawn west of the Lighthouse.



Lighthouse Museum

Community Safety & Well Being

- 🌳 Added Family Fun Day at Simpkins Swim Center, a collaborative event with Santa Cruz County Parks and Watsonville Parks and Recreation to conclude Parks Make Life Better month.
- 🌳 *My Safe California* provided education and Hands Only CPR training to 200 Jr. Lifeguard participants with support from Santa Cruz Fire Department.
- 🌳 Expanded classes offered to our senior community including: Singing from the Heart, Travel Talks and Senior Cinema.
- 🌳 Partnered with Lift Line to provide “Free” rides to LNCC classes for registered Santa Cruz County residents 60 years and over.
- 🌳 Partnered with A Matter of Balance to provide an eight class series “Fall Prevention Class”.
- 🌳 Partnered with Hospice of Santa Cruz County to provide a free 6 –week Grief Support Group.
- 🌳 Through CDBG grant funding the following was accomplished: Subsidized bus trips allowing to reach individuals who otherwise would not be able to participate in the trips and successfully attracting new patrons to the Center and our offerings, created a New logo and branding for the Downtown Senior Center, purchased and installed a new Senior Lounge on the back patio of the Center.



Lawn Bowling



Summer Camp

- Partnered with the SC Library to offer “Play it Forward”, a free large scale event in LNCC and Laurel Park to focus on the benefits of incorporating the elements of play into everyday life.
- Held the Santa Cruz Family Fair in collaboration with Mercy Housing, Downtown Boys and Girls Club & the San Jose State Nurses Association.

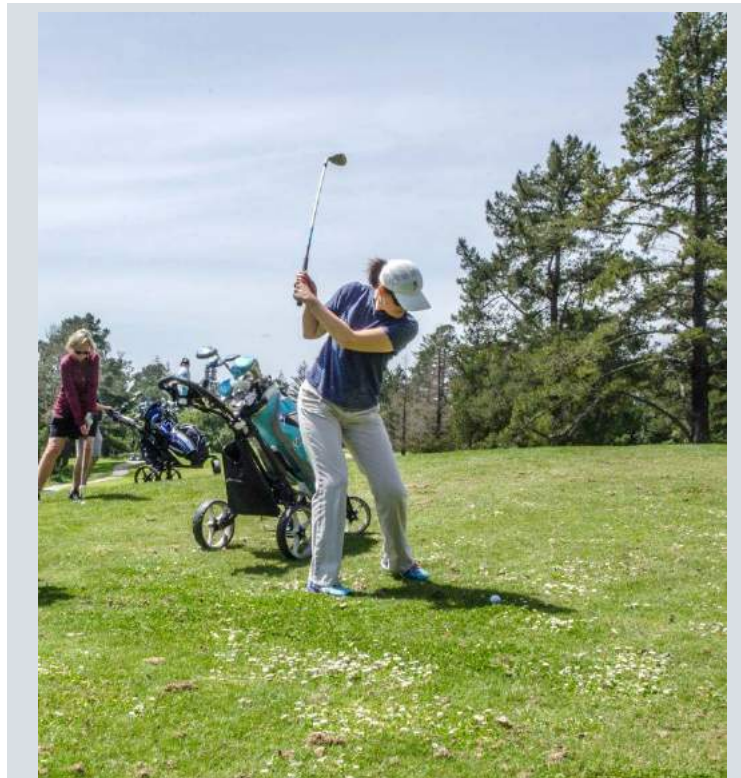
- Continued to offer a multitude of free community events at the Center: Small Bites from Around the World, Juneteenth, Wacky Water Day, Halloween Festival and Haunted House, Frosty Fun Fest.
- Bocce ball- NEW THIS YEAR- offered 2 seasons, 1 league, registered 12 teams and 96 players.
- 16 Summer Teen Interns working in 17 positions within 7 departments.
- Teen Job Fair in April with about 100 attendees.
- Offered lunch time recreation programs at Mission Hill and Branciforte.
- Summer Camps Program with over 830 registrants in 7 camps.
- Teen Center Thanksgiving with 70+ people including Teen Center members and their families.
- Hosted the first ever interns from our Sister City Shingu Japan.
- Hosted high school students from Shingu for two weeks in the summer.
- Worked with Friends of Parks and Recreation (FOPAR) to grant over \$25,000



Over 3,600 players participate in adult softball annually

in youth scholarships.

- 🌳 Parks Department Rangers conducted three cleanup events at Sycamore Grove with the Santa Cruz City Water Department Rangers, volunteers, and contractors, collecting over four tons of debris.
- 🌳 Parks Department Rangers conducted cleanups at Neary Lagoon, Pogonip, Highway 9 and the railroad tracks, Arana Gulch, and lower DeLaveaga, collecting over seven tons of refuse.
- 🌳 Hosted over 60 tournaments at the DeLaveaga Golf Course, including fundraisers for the Dominican Hospital Foundation, Santa Cruz County Boys & Girls Club, Santa Cruz Police Association, and Senior Citizens Legal Services.
- 🌳 Approximately 50,000 rounds of golf and 1,000 rounds of disc golf were played at DeLaveaga Golf Course, including over 1,000 rounds of local school teams at no cost and over 1,000 junior golf rounds.



DeLaveaga Golf Course

Financial Stability

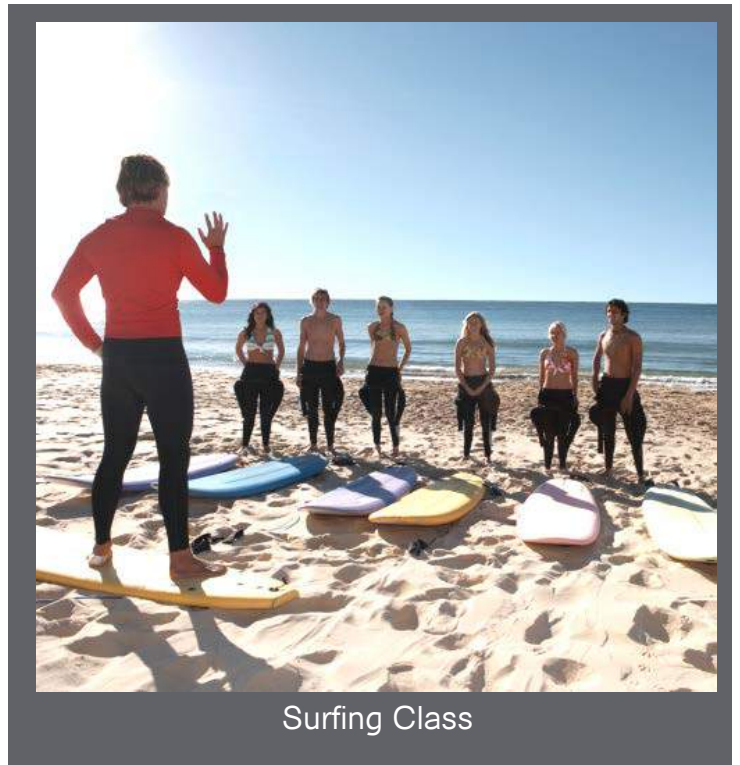
- 🌳 Increased class offering by 15% and revenue by 20%.
- 🌳 Adult Softball - offered 3 seasons and 34 leagues, registered 193 teams and 3620 players, and generated over \$100,000 in revenue.
- 🌳 Adult basketball - offered 3 seasons and 6 leagues, registered 36 teams and 360 players, and generated over \$20,000 in revenue.
- 🌳 Women's Soccer League - offered 3 seasons and 6 leagues, registered 30 teams and 250 players, and generated over \$13,000 in revenue.
- 🌳 40 beach volleyball tournaments were held on Main Beach volleyball courts.
- 🌳 Jr. Lifeguard program registered over 950 participants and generated over \$234,000 in revenue.



Jr. Lifeguards

Engaged and Informed Community

- 🌳 Enhanced Web Trac Splash Page to improve registration and reservation experience for our customers.
- 🌳 “Put a Bow on It” – Holiday Gift Card campaign.
- 🌳 Offered a wide variety of spring break classes this year.
- 🌳 Civic fee structure was simplified and made more user friendly.
- 🌳 Enhanced the neighborhood connection within and around Grant Park through increased communications, ramped up maintenance practices, and public engagement.



Organizational Health

- 🌳 Created a Parks and Recreation Promotions Team which improved communication both internally and externally.
- 🌳 Brought reservations online for two neighborhood picnic areas.
- 🌳 Completed rollout of online cancellations.
- 🌳 Successfully provided support to more than 500 phone calls within a four hour period for Super Saturday summer registration.
- 🌳 Civic staff streamlined the producer agreement process to get agreements out faster and tickets on sale sooner.



West Lake Park

Reliable and Forward-Looking Infrastructure and Facilities

- 🌳 Completed North Agora Roof Covering Project at the Wharf.
- 🌳 Completed Miramar Demolition Project at the Wharf.
- 🌳 Completed Main Beach Promenade Deck Replacement Project.
- 🌳 Implemented access cards at Harvey West Clubhouse.



Santa Cruz Wharf

FY2020 Goals

Environmental Sustainability & Well Managed Resources

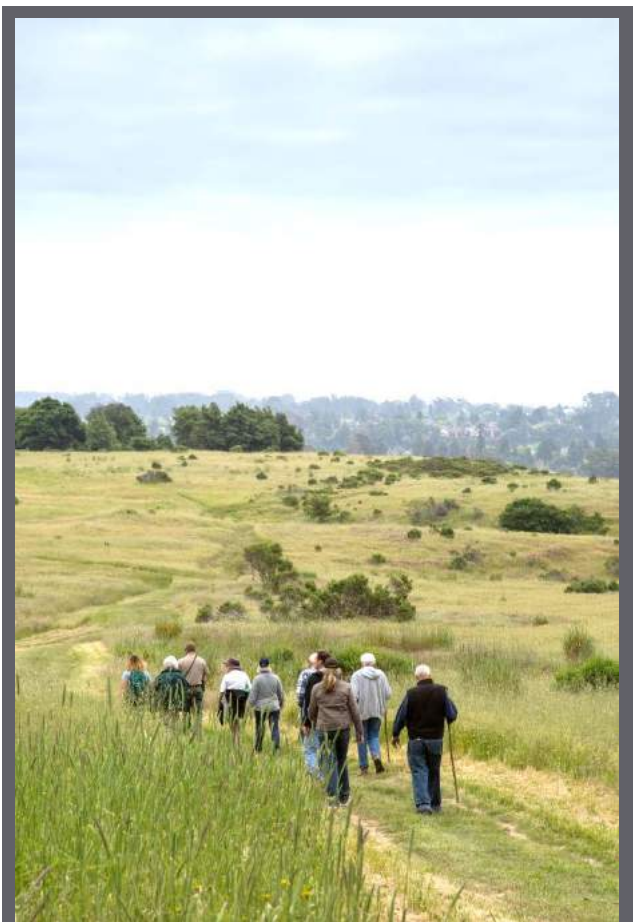
- 🌳 Continue to adjust programming to meet the needs of the public.
- 🌳 Begin implementation of the Parks Master Plan by establishing an annual work and project plan.

Organizational Health

- 🌳 Continue to implement quality assurance standards for reservations and program registrations to enhance efficiency and customer service.



Town Clock



Walking Adventure Group

Community Safety & Well Being

- 🌳 Continue to enhance safety throughout the City's parks, facilities and open spaces.
- 🌳 Increase partnerships with local organizations to maximize service delivery and supplement resources.

Reliable and Forward-Looking Infrastructure and Facilities

- 🌳 Aggressively seek grants and alternative funding for Capital Improvement Program projects.

Engaged and Informed Community

- 🌳 Strengthen communication, programming, and outreach with underserved populations of our community.
- 🌳 Continue to enhance marketing, branding, and public relations to further highlight our parks, facilities, and programs.
- 🌳 Expand volunteer recruitment and develop increased opportunities for volunteer collaboration.



Neary Lagoon

Parks and Recreation

DEPARTMENT SUMMARY

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Personnel Services	9,414,244	9,635,938	9,683,602	9,572,841	10,660,148
Services, Supplies, and Other Charges	5,660,332	6,504,282	7,394,768	7,126,696	6,483,548
Capital Outlay	-	-	10,000	-	-
Total Expenditures	<u>15,074,576</u>	<u>16,140,220</u>	<u>17,088,370</u>	<u>16,699,537</u>	<u>17,143,696</u>
EXPENDITURES BY ACTIVITY:					
Parks and Recreation Administration	3101 1,310,549	1,535,611	1,520,814	1,512,415	1,712,572
Downtown Ranger Program	3102 178,366	-	-	-	-
Urban Forestry	3105 394,192	476,213	846,614	841,380	488,799
Parks Maintenance West	3110 1,128,192	1,337,066	1,361,066	1,345,192	1,444,015
Parks Maintenance Central	3111 1,721,287	1,831,055	1,940,363	1,935,022	2,020,516
Parks Maintenance East	3112 1,755,306	2,005,811	2,006,314	2,015,062	2,162,446
Arana Gulch Habitat Management	3114 102,979	124,413	135,403	132,466	142,383
Youth Summer Trail Crew	3115 21,873	70,486	70,486	44,945	42,086
Parks Ranger Program	3120 1,203,408	544,465	620,622	638,201	579,893
Delaveaga Golf Course	3131 1,810,363	2,211,707	2,189,457	2,181,992	2,281,880
Recreation Classes	3201 326,090	380,819	380,819	365,196	374,245
Special Events/Brochure	3202 273,473	327,728	327,728	280,823	295,601
Beach Flats Community Center	3204 9,915	13,354	13,354	12,250	13,427
Sports	3205 377,592	418,595	418,595	420,422	434,777
Youth Programs	3206 440,992	463,484	463,484	467,820	461,966
Teen Services	3207 254,918	302,740	330,204	338,558	293,803
Aquatics - Pool Programs	3208 81,307	117,016	117,016	119,643	117,052
Museum	3210 39,691	48,376	50,097	44,791	49,182
Louden Nelson Community Center	3212 716,785	813,626	813,626	807,569	889,536
Civic Auditorium	3213 863,936	927,577	937,577	764,925	993,151
Subtotal General Fund	<u>13,011,217</u>	<u>13,950,142</u>	<u>14,543,638</u>	<u>14,268,672</u>	<u>14,797,330</u>
Street Trees	3108 -	-	10,000	-	-
Teen Services	3151 4,373	15,000	57,895	57,895	15,000
Municipal Wharf	3207 41,006	-	54,556	5,700	-
Civic Auditorium	3211 1,997,554	2,165,078	2,381,866	2,355,770	2,313,488
Park & Recreation Trusts	3213 12,548	10,000	10,000	5,000	10,000
Park & Recreation Trusts	3912 7,878	-	30,414	6,500	7,878
Subtotal Other General Funds	<u>2,063,359</u>	<u>2,190,078</u>	<u>2,544,731</u>	<u>2,430,865</u>	<u>2,346,366</u>
Total Expenditures	<u>15,074,576</u>	<u>16,140,220</u>	<u>17,088,370</u>	<u>16,699,537</u>	<u>17,143,696</u>

*Sums may have discrepancies due to rounding

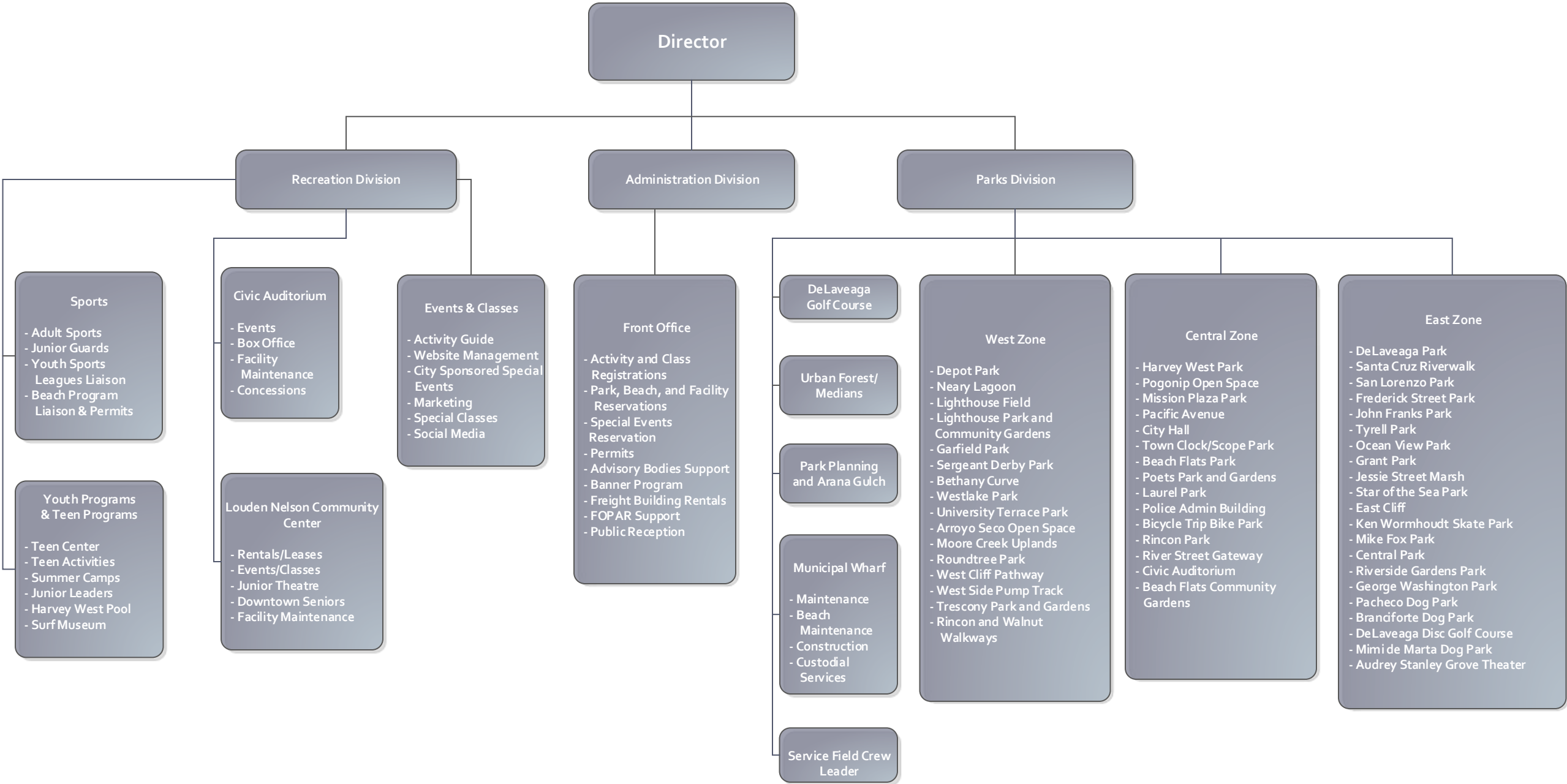
Parks and Recreation

DEPARTMENT SUMMARY

	Fiscal Year*	Fiscal Year 2019			Fiscal Year 2020 Adopted	
		2018 Actuals	Adopted Budget	Amended* Budget		Estimated Actual
RESOURCES BY FUND						
General Fund	101	4,134,757	3,441,050	3,904,339	3,873,153	3,537,650
Municipal Wharf	104	1,831,072	1,300,500	1,300,500	1,400,000	1,400,000
Civic Equip	121	5,690	6,000	6,000	7,000	7,000
Maintenance/Replacement						
Street Tree Fund	125	23,625	18,600	61,495	61,495	18,600
Contributions and Donations - Parks & Recreation	162	15,378	5,000	15,000	7,572	5,000
Total Resources		6,010,521	4,771,150	5,287,334	5,349,220	4,968,250
Net General Fund Cost		(8,876,460)	(10,509,092)	(10,639,299)	(10,395,519)	(11,259,680)
		FY 2018			FY 2019	FY 2020
TOTAL AUTHORIZED PERSONNEL:		96.25			87.25	84.25

*Sums may have discrepancies due to rounding

Parks & Recreation Department



* Shown by function.



Planning/Community Development

Planning and Community Development



Department Description

The Planning and Community Development Department provides long-range planning for the City's future development; processes building and land use applications; protects the life, health, and safety of our community through plan review and inspection; facilitates green building practices; issues permits for construction and inspects for code compliance; facilitates citizen participation in the land use decision-making process; coordinates and develops the City's sustainability programs with other departments; and performs other policy work as required by the City.

The Planning and Community Development Department's primary objective is to provide the best level of service related to planning, permitting, and building safety elements within the City of Santa Cruz.

The Planning and Community Development Department is organized by the following divisions: Administration including the Records program; Advance Planning, Building and Safety; Code Compliance, including Rental Inspection Services; and Current Planning.

Workload Indicators

Number of inspections

FY18	13,013
FY17	14,203
FY16	12,622

Number of planning & building customers served in person

FY18	10,125
FY17	9,772
FY16	8,225

Number of building permits issued

FY18	1,653
FY17	1,704
FY16	1,747

Number of discretionary planning permits approved

FY18	196
FY17	190
FY16	178

Number of code cases closed

FY18	541
FY17	352
FY16	265



Advance Planning Division

FY2019 Accomplishments

Environmental Sustainability and Well Managed Resources

- Continued to make updates our Local Coastal Program to address coastal-specific issues and to ensure its overall consistency with our adopted General Plan 2030.
- Continued to make updates to the City's General Plan, Zoning Ordinance, and policies to support the cities larger housing, economic, infrastructure, and other goals. Continued to facilitate the creation of economic development opportunities in the City's industrial areas, protecting them from incompatible uses.

- Continued to support and participate in Climate Action Plan implementation initiatives.
- Continued to participate in and support interagency collaboration in the areas of traffic and transportation, workforce housing, watershed protection, economic development, campus development, climate action, etc.

Community Safety and Well-Being

- Secured Council approval of a Community Engagement policy that provided framework outlining expected community outreach for development projects and planning policy considerations.
- Secured Council approval of an ordinance stipulating that large rent increases will trigger relocation assistance for tenants should they choose to leave the unit rather than accept the rent increase
- Managed the Rental Housing Task Force process, including recruitment of and contract management for mediation and task force scoping consultant, provided recommendations on various approaches Council may want to consider in moving forward and drafted numerous staff reports in response to council direction and inquiries related to rental housing, landlord/tenant relations and just cause eviction provisions.

Economic Vitality

- Secured Council approval of updates to the City's Cannabis Ordinance to allow cross-jurisdictional cannabis delivery. In partnership with the Finance Department, secured Council approval for a reduction in the tax rate for cannabis distribution and testing businesses, and submitted proposed language to Council for reductions in the tax rate for other cannabis businesses which are still under review.



Advanced Planning Community Meeting and Thinking Together Advance Planning



Financial Stability

- Drafted and submitted a nomination for a Northern California Chapter American Planning Association Public Outreach Award for the Housing Voices Outreach Process, award status to be determined; in partnership with the Climate Action program, received a \$200,000 grant from the California Coastal Commission to update the City's Local Coastal Plan with a Hazards element, addressing issues such as sea-level rise and wildfires; in partnership with Public Works, drafted grant applications for various climate adaptation, urban greening and bicycle/pedestrian improvement projects.

Organizational Health

- In partnership with the City Manager's Office, submitted a proposal for an educational session at the 2019 APA National Planning conference, outlining the Housing Voices Outreach and Implementation processes. Proposal was selected through a nationwide competitive process, and session was presented to a national audience of planning professionals on April 14, 2019.

Economic Vitality and Financial Stability

- In partnership with Public Works initiated amendments to the City's downtown parking standards to facilitate housing development. Updates included allowing a downtown project's parking requirement to be located off-site and updating the downtown in-lieu parking fee program in an effort to improve cost recovery and incentivize use by future development.

Reliable and Forward-Looking Infrastructure and Facilities

- The Local Agency Formation Commission is mandated by State law to undertake Municipal Service and Sphere-of-Influence reviews every five years. Staff worked directly with the LAFCO team to undertake this review in 2018.

Community Safety and Well-Being and Economic Vitality

- Secured Council approval of various updates to the City's ADU ordinance aimed at progressively facilitating more ADU production, such as expanding the definition of conversion ADU, removal of ADU parking requirements and cutting by half specific planning department fees required of ADUs. Certain modifications affecting the coastal zone are pending California Coastal Commission approval.
- In partnership with the Current Planning Division, Code Compliance Division, and the Finance Department, developed the process for issuing short-term rental (STR) licenses. Process included significant operator outreach, establishment of an online permit application and fee payment process and development of internal fee capture and licensing protocol between departments.



Advanced Planning Community Outreach

Reliable and Forward-Looking Infrastructure and Facilities

- The Local Agency Formation Commission is mandated by State law to undertake Municipal Service and Sphere-of-Influence reviews every five years. Staff worked directly with the LAFCO team to undertake this review in 2018.

Additional Accomplishments

- Presented at fifteen City Council meetings and five Planning Commission Meetings.
- Supported and participated in Climate Action Plan implementation initiatives.
- Participated in and supported interagency collaboration in the areas of traffic and transportation, workforce housing, economic development, watershed protection, campus development, climate action, etc.
- Ongoing management of FEMA Floodplain Insurance Maintenance program.

FY2020 Goals

Economic Vitality

- Further examine current ADU permitting process, evaluating Zoning requirements and fee requirements that might be modified to facilitate increased ADU development.
- Develop creative and progressive options for the legalization of unpermitted dwelling units/ ADUs.
- Prioritize our housing strategy to ensure creation of a variety of housing types.
- Update zoning code to allow for efficient use of limited land through higher density projects.
- Continue to update Parking Standards in Zoning Ordinance to promote increased housing options, prioritizing the update and related codification of existing downtown parking standards for residential development to increase efficiency of land use and improve affordability.
- Continue to incentivize development of housing, including affordable and inclusionary options, through use of inclusionary and density bonus regulations, updating those regulations as needed.
- Continue work with interdepartmental team to facilitate the library relocation and public parking garage project.
- Continue to seek and secure grants for programs and projects.

Community Safety and Well-Being

- Advocate for consistent use of the Council-adopted community outreach policy to ensure that the community has the opportunity to participate early in the process for current and advance planning projects/policy modifications.

Current Development Projects



Building and Safety Division

FY2019 Accomplishments

Community Safety and Well-Being

Contribute to the protection of the life, health, and safety of our community by performing our core duties of plan review, inspection, and customer service.

- Performed over 8,900 inspections*
- Performed over 3,600 permit and/or plan reviews*
- Served over 6,500 customers at the public building counter*
- Issued over 1,600 permits*
- Accepted over 1,900 building permit applications*

Economic Vitality

The Building and Safety Division supported the increase of new housing units in the City of Santa Cruz by providing plan review and inspection services for the following new, replacement, or legalized units:

- 378 new apartment or condominium units, 142 of which are new affordable units
- 133 single family residence, ADU, duplex, or townhome units*

**Please Note: Statistics marked with an asterisk are derived from the 2018 calendar year to provide a full year of available data. Statistics without an asterisk are totals from two thirds of the current fiscal year only and do not project estimated totals for the full year.*

Reliable and Forward-Looking Infrastructure and Facilities

- The Green Building Program engaged the community through outreach, education, and collaboration with the Climate Action Program. This included attending special events and conferences, developing future planning measures with Climate Action, and developing and maintaining a library of informational emails on Green Building measures to reduce building-related energy and water use, improve occupant health, and increase building longevity.
- **Green Building Awards** The Green Building Specialist presented 3 awards before City Council on construction projects so far this fiscal year:
 - 555 Pacific Ave – New residential multi-family, mixed use building
 - 252 3rd Ave – New residential single family dwelling
 - 512 Second St – Residential alteration



Engaged and Informed Community

Increased and enhanced communications and outreach with our applicants, contractors, design professionals, and community.

- Provided community support and information at community meetings for ADU ordinance proposals.
- Facilitated in-house meetings with applicants to mitigate and overcome challenges that come up during the design and construction of projects.
- Participated in recurring interdepartmental meetings to ensure cohesive citywide plan reviews for large scale or involved projects.
- Provided updates to the City Manager Report for major milestones and project updates.
- Trained and registered applicants, contractors, design professionals, homeowners, tenants, and property owners to use our online permit portal to track projects, receive plan review responses, and to be better informed and engaged throughout the permit process.
- Attended and provided support for Developers Roundtable meetings.
- Coordinated preconstruction meetings, introducing key city staff to the project construction team; discussing roles, responsibilities and expectations in an effort to get the project off to a good start and to work toward a successful outcome.
- Made customer service surveys available to gain valuable division and department feedback.

Community Safety and Well-Being Organizational Health

- The City of Santa Cruz hosted a California Office of Emergency Services (CalOES) Safety Assessment Program (SAP) Evaluator training for our local licensed engineers, architects, and certified building inspectors. Training our



local design professionals and certified building inspectors to be certified SAP Evaluators ensures that we have local, qualified professionals to call on to assist our community for safety assessment of structures following a natural disaster. All eligible Building and Safety Division staff are now certified SAP Evaluators, as well as many of our local design professionals.

- Staff attended trainings including state sponsored, local, and in house trainings on topics including but not limited to electrical safety, disabled access, photovoltaic solar, structural, and other trades that support the life, health and safety of the community.
- Building & Safety hosted a regional meeting attended by 75-100 Building Officials from Monterey to San Francisco where they gained training on state standards from speakers from various state agencies.
- Staff members attended a Bluebeam conference in preparation for the City of Santa Cruz transition to digital plan review.
- Staff members attended a regional storm water training on Construction Site Best Management Practices to help ensure job sites remain operational and compliant with municipal pollution prevention requirements to help protect our waterways.
- Staff attended City provided trainings on a range of topics including but not limited to conflict management, cultural diversity, as well as trainings in support of supervisory skills.

Services provided for significant projects include:

- Performing inspections for Park Pacific, a 4 story mixed use building which includes 79 residential units (12 of which are affordable), commercial space, and below grade parking.
- Performing inspections on an addition to Breakers Apartments to result in an additional 20 residential units for the existing apartment complex.
- Performing inspections on the Water Street Apartments, a new 41 unit affordable housing complex.

WATER STREET, 708, 712, 718, & 720 - WATER STREET AFFORDABLE HOUSING



- Performing plan review and expect to issue a permit prior to fiscal year close for 65 affordable housing units for the apartments located at 350 Ocean St.
- Performing inspections for a new 4 story Courtyard Marriott hotel.
- Expect to be providing inspection services for the Surf Colony Hotel in early spring, the hotel will include 10 guest rooms, retail, and a rooftop deck.
- Performing inspections on a new 60 room, 3 story Hampton Inn hotel on Mission St.
- Performed plan review for a new 60 room, 3 story La Quinta Inn and Suites hotel to include 60 guest rooms, fitness facility, and lobby. Construction is expected to start in early spring.
- Reviewing plans for the new La Bahia Hotel. This is a 6 story hotel with a basement to include 2 restaurants, 165 hotel rooms, and a ballroom.
- Facilitated tenant improvements and construction of new buildings for businesses including but not limited to Looker, Starbucks, Blaze Pizza, Verve, Alderwood, Barceloneta, Snap Taco, Amazon, Poke House, Costco Wholesale, Westcliff Wellness, ExtraSpace Storage, Toadal Fitness, Shrine Coffee, Georgiana Bruce Kirby, Bay Federal Credit Union, Mynt Systems, Stockwell Cellars, Primal Kitchen, DeLaveaga Golf Lodge, City of Santa Cruz Water Department, City of Santa Cruz Planning Department, Santa Cruz Bikes, Burgerim, Bad Animal Books, Walgreens, ProductOps, KindPeoples, Sandbox Startup, Plantronics, Hom Korean Kitchen, Central Coast Welding and Fabrication, Santa Cruz County Bank, Cat & Cloud, Far West Fungi, Interstitial Systems, Peck Law, Santa Cruz Kitchen and Bath, Vim, Roxa Acai, Red Room, Planet Fresh, Santa Cruz County Probation Department, Dominican Outpatient Facility, Sereno Group, Bank of America, Sweet Curls, Chevron, Humble Sea, Sandbar Solar, etc.

FY2020 Goals

Engaged and Informed Community

- Attend neighborhood and special events to inform attendees about department activities and gather feedback.
- Continue to hold training and information sessions for members of the public and development community on department processes and programs.
- Continue outreach and community participation to support goals of the Green Building Program in concert with the Climate Action Program.



- Continue to engage the development community for feedback on how to improve our services.

Community Safety and Well Being

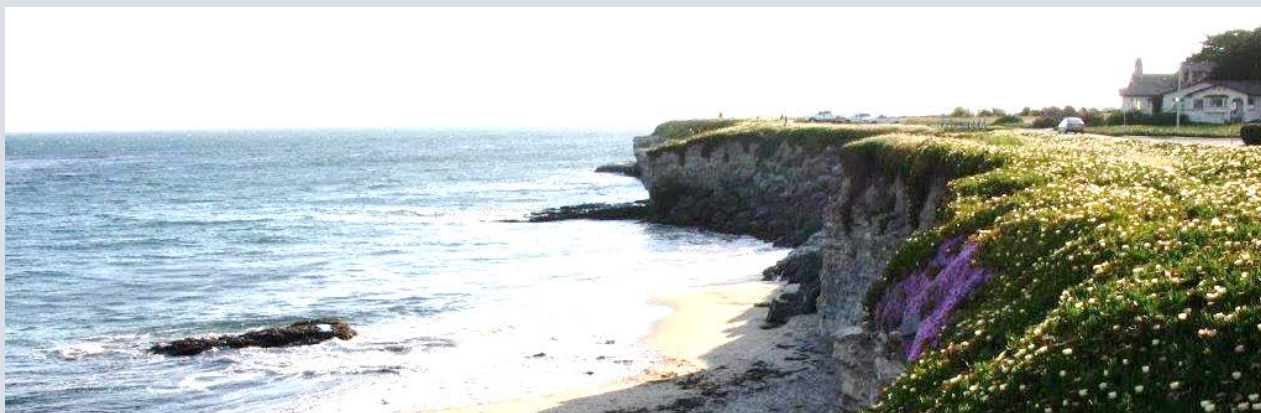
- Prepare the 2019 Building Standards code adoption ordinance, including local amendments and approved appendices.
- Protect and contribute to the life, health, and safety of our community and perform our core duties of plan review, inspection, customer service, and community support.

Economic Vitality

- Facilitate the construction of significant projects in and outside of Downtown, such as Park Pacific, Delaware Addition, Courtyard Marriott (Riverside), Extra Space Storage, Hampton Inn, Breakers Apartment Addition, Water Street Apartments, La Bahia Hotel, La Quinta Inn & Suites, Ocean Street Apartments located at 350 Ocean St, Riverwalk Apartments, the Front Laurel project, and other residential projects ranging from ADUs to multi-family.
- Continue updating website to increase ease of navigation and to include educational information, process explanations, and FAQs.
- Continue internal review of processes from building permit application through issuance to eliminate inefficiencies and increase ease for applicants.
- Explore opportunities to streamline the permit process with a focus on facilitating housing for our community.
- Develop processes, workflows, and standards to facilitate the implementation of Bluebeam and the transition to digital plan review.

Organizational Health

- Fill remaining vacant positions in Department and evaluate best ways to attract and retain qualified employees.
- Continue to provide training and career development opportunities to staff.



Code Compliance and Rental Services Division

FY2019 Accomplishments

Community Safety and Well-Being

- Closed 488 code cases.
- Completed 744 Code Compliance inspections.
- Completed 2,604 Rental Housing inspections.
- Working with 115 properties to legalize units in the ADU legalization program
- Implementation of the Cost Recovery invoices to encourage compliance w properties in violation of public health and safety requirements.
- Implementation of the Cannabis inspection process to ensure public safety and encourage legalization of unpermitted uses.
- Completion of the 4 neighborhood cleans ups for Lower Ocean and Beach Flats areas.
- Updated relocations packets for displaced tenants due to imminent life and hazards. These packets provide information and resources for tenants who have been removed from their homes.
- Implementation of the Short Term Rentals to the Rental Inspection Program to ensure safe homes in all rental conditions.
- Coordinated a process with Finance Department to identify illegal units and complete inspections to ensure life and safety issues are identified and resolved.



Please Note: Statistics are partial numbers for the existing fiscal year, from July 1, 2018 through February 28, 2019.

FY2020 Goals

Community Safety and Well-Being and Engaged and Informed Community

- Update the standard operating procedures for the Residential Rental Inspection Services and Code.
- Continue to work with the property owners through the ADU Legalization Program to provide legal, safe housing.
- Continue coordination with other city departments and property owners to address deficiencies on the property.
- Working with Finance Department to achieve for code compliance with new Short Term Rentals ordinance.
- Update Website to include flow chart for Code process
- Complete the automated response for service requests
- Complete the Cost Recovery process through Cost Recovery Hearing
- Continue to work on the Code Compliance website to better assist the community with Code Compliance and Rental Inspection Services questions.



Current Planning Division

FY2019 Accomplishments

Economic Vitality and Community Safety and Well-Being

- Reviewed a total of 500 plan checks.
- Reviewed a total of 400 Zoning Clearances
- Reviewed a total of 200 discretionary permits.
- Entitled over 250 multi-family residential units.
- As of February 28, 2019, reviewed 201 Short Term Rental permits.
- Served an estimated total of 3,600 customers at the zoning counter, with 80% (through February 2019) meeting the division's goal of being assisted within 15 minutes of arrival.

Please Note: Customer statistics provided are estimations based on past data for current fiscal year.

Engaged and Informed Community

- In conjunction with Advance Planning Staff, prepared and implemented the Community Outreach Policy for City Council adoption; following its adoption, coordinated seven community meetings on major development projects as of February 28, 2018.
- In conjunction with Administrative Staff, updated and enhanced the Development Projects webpage highlighting the status and details of major projects in the City with links to the project planner's e-mail address. Significant projects have their own webpages complete with project plans and technical reports and include fields for members of the public to submit questions or comments.

Environmental Sustainability and Well Managed Resources

- Worked with Sierra Club and development community representatives to create standards for bird safe building design.

Economic Vitality

- Approved various large development projects, such as 205 units at 812 Pacific Avenue



908 Ocean Street

FY2020 Goals



Economic Vitality

- Continue to facilitate an increase the supply and variety of retail shopping options in the Downtown and other commercial corridors.
- Continue to facilitate the construction of significant projects in and outside the Downtown, such as Park Pacific, Delaware Addition, Courtyard Marriott (Riverside),

Hampton Inn, Lanai Lodge, La Bahia, 350 Ocean Street Affordable Housing, Water Street Affordable Housing and other residential projects ranging from ADUs to multi-family.

- Prioritize significant new high density mixed use projects consistent with recently adopted Downtown Plan Amendments.
- Provide planning technical and permit processing support for the library/parking garage, which will benefit the downtown and community through efficient use of centrally available land in the downtown core.
- Continue to facilitate an increase in the supply of housing by working with applicants to entitle a variety of residential projects such as 900 High Street, 916 Seabright Avenue, 190 West Cliff Drive, 101 Felix Street, 428 Front Street, 515 Soquel Avenue, 111 Errett Circle and 314 Jessie Street.
- Continue to facilitate an increase in the supply and quality of hotels in the City in order to generate year round jobs and grow the tourism sector of the economy.
- Continue pre-planning work on the residentially zoned land adjacent to Antonelli Pond that maximizes density while providing strong resource protection suitable for this important coastal property.

Engaged and Informed Community

- Continue to host community meetings pursuant to the City Council-adopted Community Outreach Policy.
- With the completion of the Department's office remodel that includes an expanded public counter capacity in June, increase the number of customers served within 15 minutes by 10 percent.

Administration Division

FY2019 Accomplishments

Engaged and Informed Community

- Completed review of existing plan review process for Current Planning in preparation of moving from a paper process to digital using Bluebeam Revu software. Submitting digital plans results in a number of benefits including time and money savings; and improved communication between departments and applicants.
- Held one Developer Roundtable meeting in late 2017 and two in calendar year 2018. Held several community meetings regarding ordinance changes for members of the public and development community on department processes and programs.
- Implemented public outreach communication strategy that covers expanded mailing, website outreach, and other information handouts and mediums to keep the public engaged and informed of process changes and new developments.
 - Created new major project web pages including detailed process and project information such as plan sets
- With the support of staff and the Information Technology department completed a request for proposal for a new land use tracking system that will improve general services and application and permit automation saving public and staff time and money.



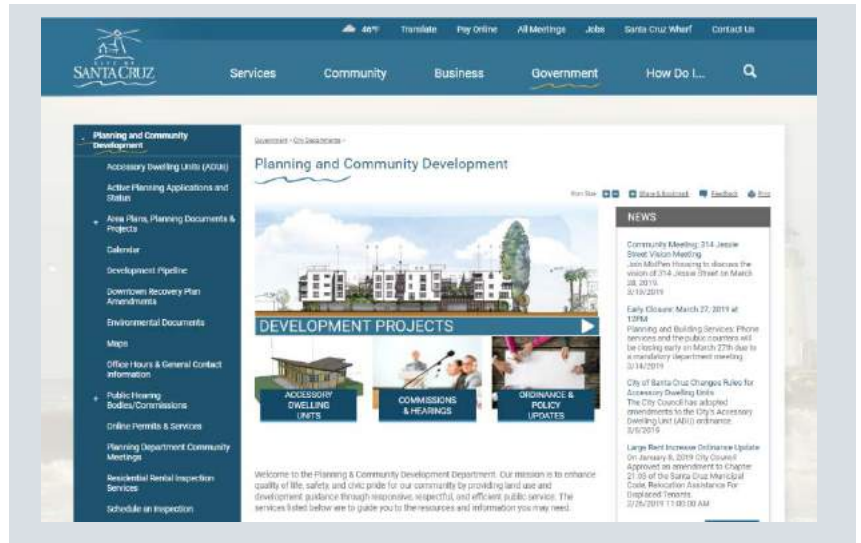
Proposed La Bahia development

- Per Council direction completed transition to live video broadcasting and recordings for Planning Commission meetings.
- Continued improvement for department website improving access to topics and meetings the community is most interested by redesigning the homepage.
- Updated customer survey questions and improved access on main homepage, and reduced costs by using existing web system instead of paying for outside survey programs.
- Digitized over 2,000 documents like permits and plan sets for easier access to public records.

FY2020 Goals

Engaged and Informed Community

- Complete next step of web redesign including improving navigation by reducing redundant links to pages; improve navigation to services on division pages using easily identifiable buttons to major services and information needs.
- Continue gathering education information relating to the planning and building process for web posting; add capability for public comment to upcoming



advisory body public hearings, improve advisory body pages for easier archived agenda retrieval and clear access to live meetings.

- Continue bi-annual Developer Roundtable meetings.
- Continue implementation of Public Outreach Policy and use of news feature to keep public informed of newly added or changed website information.

- Continue digitizing all planning and community development related records for ease of access to public documentation, such as past commission materials, permits and plan sets, and other documentation as dictated by the city's retention schedule.
- Make releasable records available online that would otherwise be requested through a public records request, so the public can search and receive information at their convenience.
- Seek online payment options for department related payments to reduce processing time and make process more convenient for customers.
- Streamline current phone-call requests to system accessible to public 24 hours a day 7 days a week to reduce response time and improve access to the public for planning and building related services.

Economic Vitality

- Implement Bluebeam use on smaller application/permit types and use knowledge gained in pilots to inform the complete Bluebeam implementation.
- Finalize direction for new land use tracking system focusing on automation and improved customer service.
- Complete remodeling and centrally located public counter for services relating to planning, building, and citywide payments.

Financial Stability

- Update the building fee study to achieve cost recovery.



Proposed development on West Cliff Drive

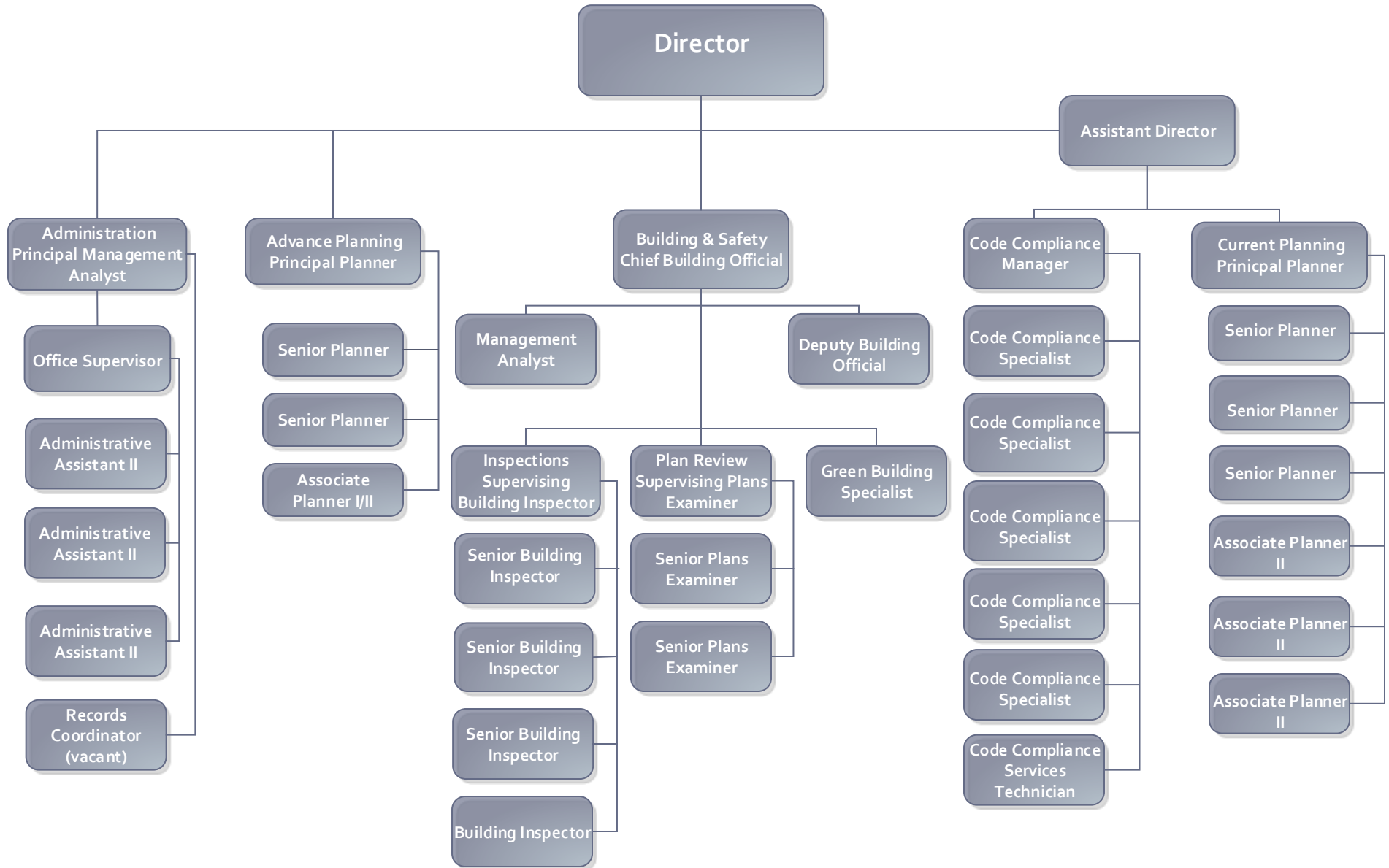
Planning and Community Development

DEPARTMENT SUMMARY

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Personnel Services	4,431,452	5,574,294	5,574,294	5,090,484	6,048,390
Services, Supplies, and Other Charges	1,087,138	1,614,708	1,840,166	1,868,900	1,163,057
Total Expenditures	5,518,590	7,189,002	7,414,460	6,959,384	7,211,447
EXPENDITURES BY ACTIVITY:					
Planning Administration	1301 1,219,641	1,486,756	1,517,370	1,380,483	1,488,821
Current Planning	1302 1,235,862	1,485,929	1,544,019	1,476,973	1,460,059
Advance Planning	1303 651,973	1,087,789	1,224,544	1,213,975	940,379
Building & Safety	2301 1,548,014	1,948,311	1,948,311	1,776,971	1,978,111
Code Enforcement	2302 280,133	460,954	460,954	442,091	504,941
SB 1186 Accessibility Programs	2303 3,507	5,000	5,000	4,404	5,800
Rental Programs	2304 246,555	221,894	221,894	345,756	413,190
Subtotal General Fund	5,185,685	6,696,633	6,922,091	6,640,653	6,791,301
Building & Safety	2301 213,915	323,968	323,968	256,312	348,887
Code Enforcement	2302 23,989	73,401	73,401	62,419	71,259
Subtotal Other General Funds	237,905	397,369	397,369	318,731	420,146
CDBG Code Enforcement	5206 95,000	95,000	95,000	-	-
Subtotal Other Funds	95,000	95,000	95,000	-	-
Total Expenditures	5,518,590	7,189,002	7,414,460	6,959,384	7,211,447
RESOURCES BY FUND					
General Fund	101 4,320,369	3,857,650	3,857,650	3,916,804	3,329,500
Code Enforcement/Civil Penalties	103 19,022	85,600	85,600	20,300	25,900
Green Bldg Educational Resource Fund	108 208,457	250,000	250,000	230,000	200,000
Housing In-lieu Program	150 14	-	-	20	-
Total Resources	4,547,862	4,193,250	4,193,250	4,167,124	3,555,400
Net General Fund Cost	(865,316)	(2,838,983)	(3,064,441)	(2,723,849)	(3,461,801)
	FY 2018			FY 2019	FY 2020
TOTAL AUTHORIZED PERSONNEL:	39.00			38.00	38.50

*Sums may have discrepancies due to rounding

Planning & Community Development Department



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SAFETY THROUGH YIGILANCE



Police Department

Police Department



Workload Indicators

Calls for service:

FY18	100,775
FY17	100,442
FY16	103,106

Number of arrests:

FY18	4,566
FY17	4,618
FY16	5,050

Number of citations issued:

FY18	3,843
FY17	4,476
FY16	2,051

Number of traffic citations issued:

FY18	1,327
FY17	2,141
FY16	3,812

Number of reports written:

FY18	13,750
FY17	10,541
FY16	10,960

Department Description

The Police Department provides protection and law enforcement services to the community.

The Police Department's primary objective is to reduce crime as well as the perception of crime and fear through a commitment to Community Oriented Policing and Problem Solving. This includes the prevention of crime; detection and apprehension of offenders; the safe and orderly movement of traffic through traffic law enforcement accident prevention and investigation; ensuring public safety through regulation and control of hazardous conditions; the recovery and return of lost and stolen property; and the provision of non-enforcement services through programs reflecting community priorities.

The Police Department is organized into the following major areas:

- ❖ Administration: Communications, Internal Affairs, Budget and Hiring
- ❖ Investigations and Property
- ❖ Operations: Police Patrol, Neighborhood Policing Teams, Crime Analysis, Community Service Officers, Rangers and Police Volunteers
- ❖ Records Division



FY2019 Accomplishments

Public Safety and Well-being: Homelessness

The Police Department worked with city and county government to seek more expansive solutions to homelessness, addiction, substance abuse, and mental health, and expanded awareness about legislative issues on enforcement and abatement of homeless encampments.

Public Safety and Well-being: Nuisance Crime Reduction

The Department expanded its neighborhood policing model, with personnel assigned to work on identified problems and crimes in all neighborhoods with a focus on crime reduction and prevention. In addition, the Department launched its formal volunteer program to conduct extra neighborhood patrols, and incorporated nine Park Rangers into the department for downtown, parks, and neighborhood deployment.

Inclusive Community: Neighborhood Policing & Partnerships

Community members had more streamlined communication with the Lieutenants and Supervisors assigned to the Neighborhood Policing Teams in their areas. In addition, the Department held De-Escalation Training for the public, to promote situational awareness and self-protection in crisis situations. We expanded our use of social media to increase communication with individual neighborhood groups, and continued our partnerships with community groups to organize and host events such as the March for MLK.

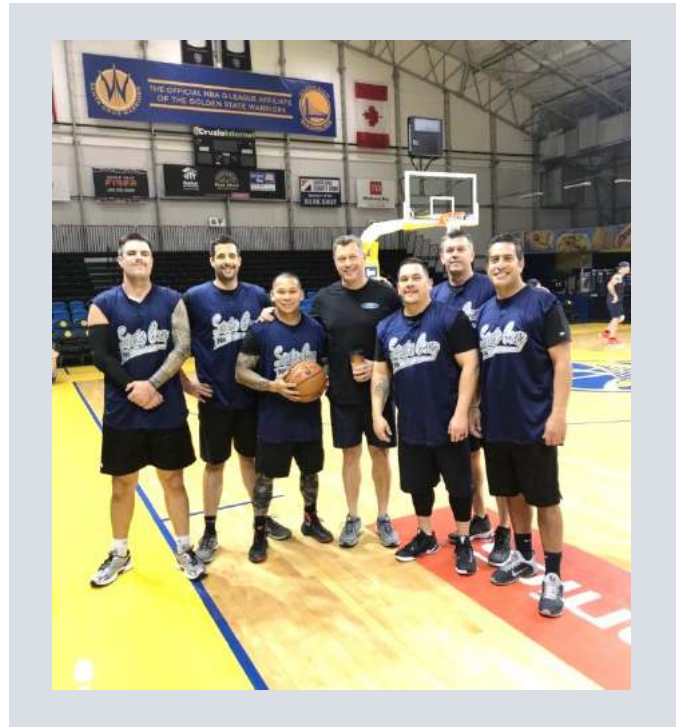


Inclusive Community: Local Recruitment

The Police conducted recruitment efforts by identifying viable local candidates for vacant police jobs. The department was successful in filling all vacant police officer positions by the close of fiscal year 2019. The department was also successful in filling other positions such as Community Service Officers, Rangers, Administrative Assistant, Police Records Technician, Principal Management Analyst, and Crime Analyst.

Community Safety & Well-Being

- ❖ The department will continue to enhance its neighborhood policing model. The Neighborhood Policing Team will work with police patrol and investigations to address identified problems and crimes in all neighborhoods.
- ❖ Continued expansion of data driven enforcement efforts. Through the addition of a full-time Crime Analyst, the department will more effectively develop strategies to combat specific crimes occurring in specific areas.
- ❖ The department will continue to work collaboratively with County Mental Health, County Health, and other city and county officials to find housing solutions and access to treatment for the homeless.
- ❖ Continue to expand the Volunteer Program to provide additional neighborhood security checks, welfare checks on the elderly, vacation home security checks, working at special events, enforcing parking violations, and vehicle abatement.



Organizational Health

- ❖ The department will continue to attract, retain and develop high quality Police staff, through active local recruitment, employee engagement efforts and employee development and mentoring opportunities.
- ❖ Maximize our workforce by modernizing and implementing patrol scheduling technology.
- ❖ Expand our wellness program to promote healthy diet and exercise choices for staff to assist them in managing and preventing the adverse effects of exposure to cumulative traumatic stress.

Engaged & Informed Community

- ✳ Community members will have continued and streamlined communication with the Lieutenants and Supervisors assigned to the Neighborhood Policing Teams in their areas.
- ✳ The department will broaden the scope of its outreach to community organizations to ensure that all concerns are heard.
- ✳ Continued community education training will be held that address traffic safety, crime prevention methods, and social media safety for parents and children.



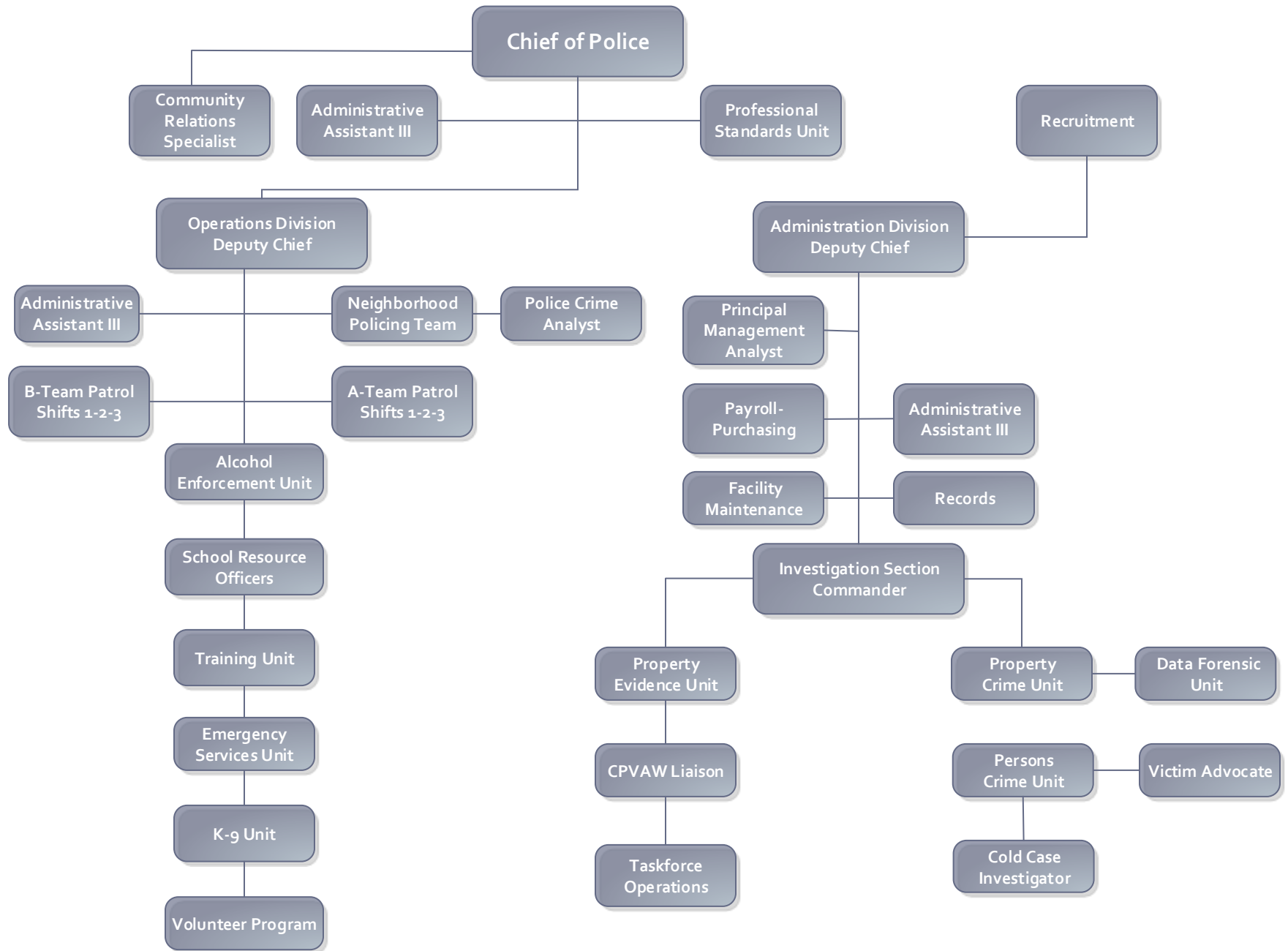
Police

DEPARTMENT SUMMARY

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Personnel Services	20,295,573	23,422,015	23,623,040	24,018,276	24,435,476
Services, Supplies, and Other Charges	5,073,882	5,414,243	5,652,942	5,546,478	5,709,873
Capital Outlay	-	-	10,000	10,000	-
Total Expenditures	25,369,455	28,836,258	29,285,982	29,574,754	30,145,349
EXPENDITURES BY ACTIVITY:					
Police Administration	2101 1,666,882	1,914,425	1,924,425	1,838,910	1,885,965
Police Investigations	2102 3,410,143	3,727,606	3,727,606	3,886,655	3,838,757
Police Patrol	2103 14,345,343	16,083,125	16,151,143	16,688,217	16,475,087
Police Community Services	2104 1,509,637	1,478,699	1,797,174	1,383,652	1,554,611
Police Park Services	2105 53	931,836	931,836	849,287	1,069,131
Police Traffic	2106 1,343,412	1,532,855	1,532,855	1,758,888	1,624,043
Police Records	2107 2,983,985	3,057,712	3,110,944	3,059,145	3,587,755
Subtotal General Fund	25,259,455	28,726,258	29,175,982	29,464,754	30,035,349
Police Patrol	2103 110,000	110,000	110,000	110,000	110,000
Subtotal Other Funds	110,000	110,000	110,000	110,000	110,000
Total Expenditures	25,369,455	28,836,258	29,285,982	29,574,754	30,145,349
RESOURCES BY FUND					
General Fund	101 431,357	547,875	936,921	828,775	879,838
Contributions and Donations - General	161 3,630	500	500	250	40
Supplemental Law Enforcement Services	211 186,040	110,000	110,000	120,000	140,000
Traffic Offender	212 19,489	14,000	14,000	17,000	8,245
Police Asset Seizure	214 34,139	-	-	-	12,000
Total Resources	674,655	672,375	1,061,421	966,025	1,040,123
Net General Fund Cost	(24,828,098)	(28,178,383)	(28,239,061)	(28,635,979)	(29,155,511)
	FY 2018			FY 2019	FY 2020
TOTAL AUTHORIZED PERSONNEL:	127.00			136.00	141.00

*Sums may have discrepancies due to rounding

Santa Cruz Police Department



*Shown by function.

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Public Works

Public Works Department



Department Description

The Public Works Department provides essential municipal services including street maintenance, wastewater collection and treatment, refuse and recycling collection and processing, street sweeping, traffic management, on-street and off-street parking, storm water collection and pollution prevention, environmental compliance, fleet maintenance, facilities maintenance, flood protection, vegetation management, and provides private and public development review and professional engineering services to City projects.

The Public Works Department's primary objective is to efficiently deliver the services above in a safe, professional, sustainable and cost-effective manner to residents, businesses and visitors of Santa Cruz.

The Public Works Department is organized into four major divisions: Engineering & Traffic Engineering (includes Parking and Stormwater), Operations, Resource Recovery and Wastewater.

Engineering & Traffic Engineering Divisions

The Engineering and Traffic Engineering Division develops, coordinates, and implements the department's Capital Investment Program (CIP) related to transportation, wastewater, refuse, storm water/flood control, parking, facilities and emergency repairs. The CIP program includes grant applications and management, environmental review, permitting, design, construction and inspection. Engineering and project management assistance is also provided to other departments as needed. The Division provides review and permitting for certain aspects of property development and utility installation. Traffic Engineering also manages all on-street and off-street parking functions.

Workload Indicators

Completed major capital improvement projects – 29

Completed or implemented major studies or programs – 10

Public Works permits issued – 1,017

Building permits/development design permits reviewed – 759

Off-street downtown public parking spaces managed – 2,135

On-street downtown public parking spaces managed – 815

Residential and monthly parking permits issued – 1,769

Downtown parking district permit persons on waitlist – 734

Downtown District High Parking Occupancy Garage Number of Days over 90% Occupied:
Soquel-Front – 80
Locust Street – 230
Cedar/Walnut – 296

FY2019 Accomplishments

Environmental Sustainability and Well-Managed Resources

-  Completed various wastewater sewer line and wastewater treatment facility rehabilitation projects.
-  Completed commercial food waste collection and started the conversion equipment project and sanitary landfill cell 3B access road.
-  Implemented, trained and enforced new state storm water quality requirements for city and private development projects.
-  Assisted the Operations Division in completion of several California Energy Commission loan funded energy efficiency projects.
-  Completed various green objectives at Public Works' city hall office to have the office Green Business Certified.
-  Constructed the San Lorenzo River trail trestle bridge widening project as key component of the Monterey Bay Scenic Sanctuary Rail Trail Segment 8.

Community Safety and Well Being

- ♻️ Applied for over \$4.8 million in transportation safety and infrastructure grants to fund critical projects.
- ♻️ Completed the grant-funded Bay-King Streets protected left-turns and the Bay Street sidewalk projects.
- ♻️ Awarded the NW corner improvements at the Ocean-Water Streets intersection.
- ♻️ Constructed the Laurel Street signal system controller upgrade.
- ♻️ Reviewed in excess of 750 private development and building permit applications for compliance with codes and laws under Public Works' purview including stormwater plans, public utility improvements, driveway approach, refuse enclosures, etc.
- ♻️ Initiated and implemented several new studies and programs including Bike Share Santa Cruz, the traffic and speed survey, annual traffic report, Transportation Demand Management program, sewer lateral ordinance, and the wastewater treatment facility equipment replacement study.



Administration and Engineering Public Works Staff

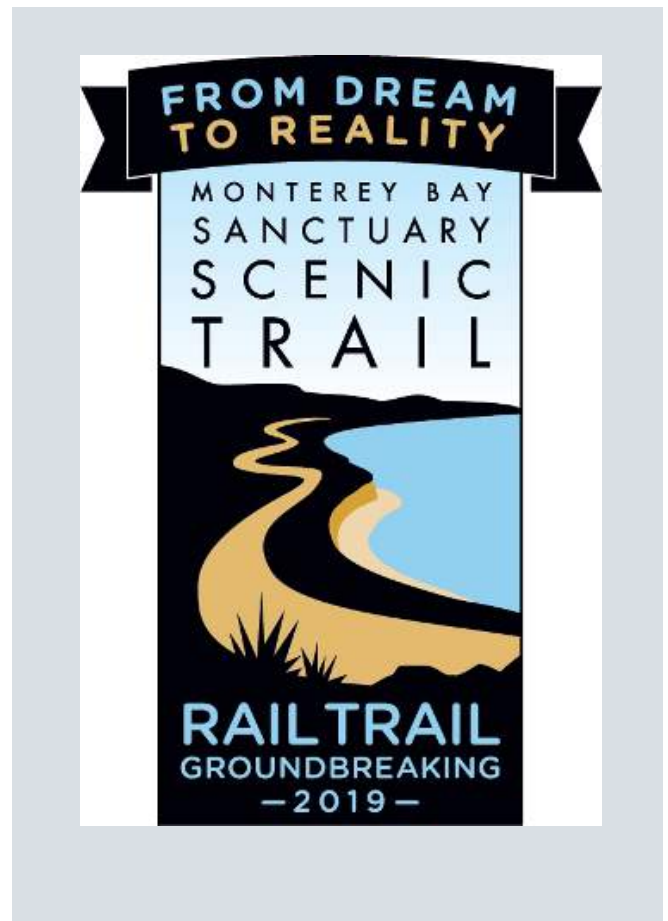
Reliable and Forward-Looking Infrastructure and Facilities

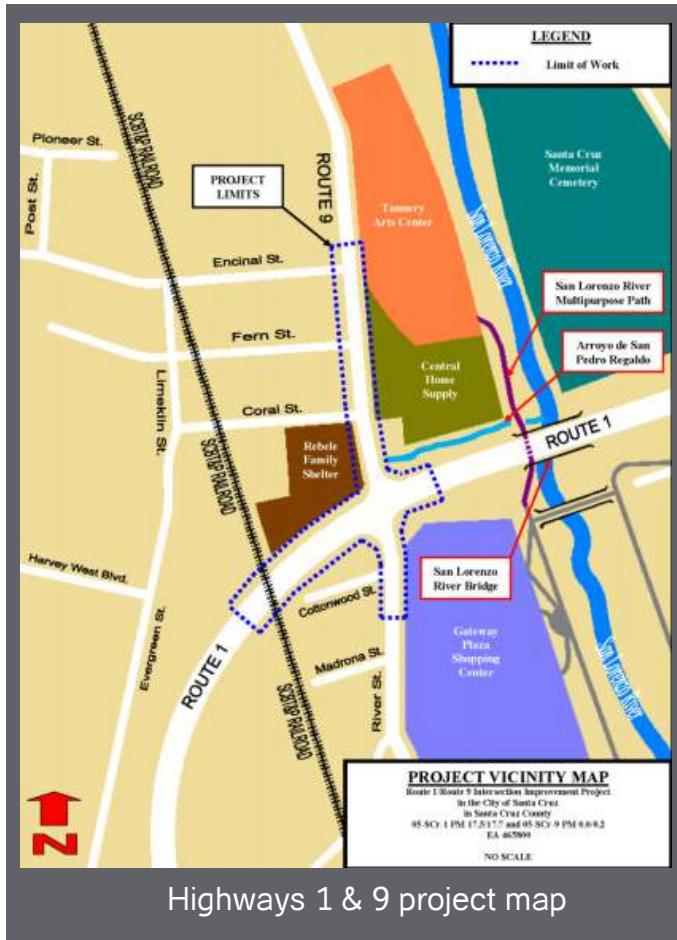
- 🔄 Constructed several 2017 storm damage repair projects including East Cliff-3rd Streets (under construction), Upper Park Road slide, Plymouth Street channel and Parkway Street headwall.
- 🔄 Bid and constructed approximately \$2.3 million in arterial, collector and residential street paving projects through a variety of methods.
- 🔄 Completed several street storm drain repair and catch basin replacement projects with new gas tax funds.
- 🔄 Assisted the Operations Division staff in completion of various projects including the Annex building remodel, Corporation Yard seismic retrofit and the DeLaveaga Golf lodge repairs.
- 🔄 Issued in excess of 1,000 permits coordinating and inspecting a variety of infrastructure work in the public right-of-way.
- 🔄 Completed an asset evaluation of the 30 year old Wastewater Treatment Facility and initiated a 5-year rate increase to fund the required capital improvement projects.
- 🔄 Completed a multi-year structure repair and deck restoration project for two downtown parking structures to extend longevity and delay costly replacement.

FY2020 Goals

Environmental Sustainability and Well-Managed Resources

- 🔄 Construct the Monterey Bay Scenic Sanctuary Rail Trail – Segment 7 Phase I project and acquire grant funding for Phase II.
- 🔄 Initiate design, environmental review and permitting of the Monterey Bay Scenic Sanctuary Rail Trail Segment 8-9 project.
- 🔄 Complete the Active Transportation Plan (ATP) funded Safe Routes to Schools crossings and the Highway Safety Improvement Program (HSIP) pedestrian crossing projects and continue to seek additional grant funding for many more additional ATP projects.
- 🔄 Implement approved solar projects at the Corporation Yard and Resource Recovery Facility recycling center.





Highways 1 & 9 project map

Reliable and Forward-Looking Infrastructure and Facilities

- ♻️ Continue work with Soquel Creek Water District to provide tertiary water from the Wastewater Treatment Facility to support the Pure Water Soquel program.
- ♻️ Complete the right-of-way acquisition and initiate construction of the Highways 1 & 9 intersection improvement project.
- ♻️ Approve design concept and initiate final design for the Bay-High Streets roundabout project.
- ♻️ Evaluate and design various intersection improvement projects downtown and in the beach area.
- ♻️ Assist Operations Division staff with building maintenance and infrastructure projects as needed.

Environmental Sustainability and Well-Managed Resources

- ♻️ Continue to implement a robust street paving program by using Measure H, SB1 gas tax and Measure D grant funding.
- ♻️ Implement the West Cliff Drive emergency repair stemming from the 2017 storm damage declaration.
- ♻️ Construct the Bay Drive storm damage repair project.
- ♻️ Complete the right-of-way process and County Sanitation District sewer design for the Murray Street bridge seismic retrofit project.
- ♻️ Complete various wastewater collection and treatment facility projects.
- ♻️ Work with Planning Department and City Manager's office to complete the West Cliff Drive Master Plan.
- ♻️ Continue work on FEMA certification of the San Lorenzo River flood control project.

Economic Vitality

- 🔄 Implement the downtown Transportation Demand Management program.
- 🔄 Implement the downtown mixed-use library project.

Engaged and Informed Community

- 🔄 Continued evaluation and implementation of large and small Active Transportation Projects derived from the public feedback process.



- 🔄 Continued enhancement of programs to improve transportation options – GO SANTA CRUZ.
- 🔄 Continued focus on traffic safety through educational “Street Smarts” programs.
- 🔄 Assist with West Cliff Drive Management Plan development and implementation.



Parking Enforcement Officers

Resource Recovery Division

The Resource Recovery division collects all refuse, recycling and greenwaste in the City, provides street cleaning services, refuse disposal at the landfill, processes and markets all materials diverted from the landfill including recyclables, green and food waste, and other diverted materials, provides waste reduction and recycling outreach and education programs and materials, provides environmental compliance and engineering services for the Resource Recovery Facility, and administers the enterprise Refuse Fund to collect sufficient revenues from customers and sale of diverted materials to pay all costs of the fund.

The Resource Recovery Division is organized under three main areas: Collections Operations, which includes all refuse, recycling and organics collection as well as street sweeping; the Resource Recovery Facility, which includes the landfill, the recycling center and engineering services; and Waste Reduction, which includes outreach, education and the Green Business program.

Workload Indicators

Debris from City streets and bike lanes swept up and disposed of – 839

Rolling carts emptied – 2,600,000

Commercial containers emptied – 95,000

Street trash cans emptied – 2,600

Tons of recyclable materials the landfill center diverted out of the landfill – 44,000



Resource Recovery Landfill Crew

FY2019 Accomplishments

Environmental Sustainability and Well-Managed Resources

- ♻️ Daily residential refuse and recycling collections routes were optimized and consolidated, thereby reducing the total number of routes by ten. This optimization creates a more effective daily travel time, reduces emissions, and allows expansion into other operations, such as food discards “food waste” collection.
- ♻️ Increased the number of certified and re-certified Green Businesses. The goal of 20 certified and re-certified businesses was exceeded with 19 new certifications and twenty three re-certifications. This brings the total of Green Businesses within the City to 175.



- ♻️ Waste Reduction staff designed and implemented the “Master Recycler” program. This six week program introduces residents and business owners to various aspects of the City’s recycling programs. The Master Recycler program produced 28 Recycling Ambassadors that support City public events and farmers markets by staffing discard stations and outreach tables. The Ambassadors also give interactive recycling presentations at community meetings, multi-family and University housing complexes and neighborhood block parties, starting “green teams” at their places of employment, and even assisting with public and school tours of the resource recovery facility.

RECYCLE GUIDE

DO NOT BAG RECYCLING. KEEP IT LOOSE!

Recycle Right!

PAPER AND CARDBOARD

MIXED PAPER

- White and colored paper (office, binder, typing, etc)
- Envelopes
- Junk mail
- Catalogs and magazines
- Phone books

NEWSPAPER

PAPER BAGS

CARDBOARD
Flatten and fold to fit

PAPERBOARD

- Cereal boxes, shoe boxes
- Paper egg cartons, paper towel rolls
- Beer and soda packs
- File folders

NOT RECYCLABLE:

- NO Shredded or crosscut paper
- NO Cartons or Aseptic packaging (milk, juice boxes, soy containers)
- NO Books
- NO Paper cups, plates, napkins, paper towels or tissues
- NO Food-contaminated paper
- NO Waxed paper or waxed cardboard
- NO Plastic-coated paper or cardboard

Scrap Metal and Rigid Plastics
Accepted at the Resource Recovery Facility only.

Not in Blue Cart!
Includes pots and pans, wire, hardware, 5-gallon buckets, toys, molded plastic chairs, laundry baskets, hangers, and nursery pots.

GLASS, METAL, AND PLASTIC

GLASS BOTTLES & JARS

- Beer, wine, soda, juice, food, etc. (Remove caps and lids, empty and rinse. Recycle lids too)
- No other glass
- No corks

METAL CANS

- Aluminum, tin, and steel cans
- Food aerosol cans (Empty)
- No scrap metal

ALUMINUM CANS & FOIL

- Cans, pie plates, trays
- Foil wrap (Must be clean)

PLASTIC BOTTLES, JUGS, JARS & TUBS

- Water, soda, milk, detergent, peanut butter, yogurt, etc.
- No polystyrene (#6 PS)

PLASTIC BAGS

- Clean, dry, bag & tie!
- No single bags

NOT RECYCLABLE:

- NO Food, garbage, or diapers
- NO Plastic motor oil containers
- NO Ceramics, dishware, glassware, Pyrex, windows, mirrors, light bulbs
- NO Non-food aerosol cans
- NO Polystyrene plastic foam (#6 PS)
- NO Plastic cups or hinged food boxes
- NO Utensils or straws
- NO Tangles – Hoses, wire, electric cords, holiday lights

CURBSIDE BY 5 AM

- Use City-provided cart. No other containers will be serviced.
- Place carts on street with wheels touching curb.
- Leave at least 4 inches between carts, more space is even better.
- Don't place carts under tree branches or overhead wires.
- Take your carts in after they have been serviced.

Questions? 831-420-5220 www.cityofsantacruz.com/recycleright



Waste Reduction Staff

Engaged and Informed Community

- ♻️ The Waste Reduction team completely revised the various outreach materials for the recycling and greenwaste collections programs. Refuse bin stickers, door hangers, “Oops this doesn’t belong in your recycling cart” stickers and the refuse and recycling

annual brochures all feature a more consumer friendly appearance including improved graphics and easy-to-understand formats.

Community Safety and Well Being

- ♻️ Resource Recovery increased the amount of illegal dumping collection work throughout the City. Collected materials range from discarded furniture and appliances to illegal campsite cleanups. The program collected in excess of 190 tons of materials – almost double the amount collected the previous year.



Resource Recovery Collections Crew

FY2020 Goals

Environmental Sustainability and Well-Managed Resources

- ♻️ Continue the full implementation of AB 1383, the “Short-Lived Climate Pollutants” organic waste reductions law. This will include extending monitoring and documentation of organics collections and processing, including a new requirement to monitor and document the activities of all food recovery and distribution activities in the City limits.
- ♻️ Complete the installation of our own food discard processing equipment at the Resource Recovery Facility, reducing transportation time and expense, and allowing for expansion of the program’s tonnage capacity.
- ♻️ Begin preliminary steps for the construction of the next landfill cell 3B. Work includes excavation and storage of approximately 460,000 cubic yards of dirt to allow space for the eventual cell liner construction, as well as extension of the landfill access roads to allow for construction and subsequent operation of the cell.
- ♻️ Return to City Council with a comprehensive update to the Food Packaging Ordinance. The current ordinance, adopted several years ago, focused on both to-go food packaging and general polystyrene elimination. A new suite of ordinances will separate out food service from other types of packaging and focus on the general reduction of plastics use in the City.

Engaged and Informed Community

- ♻️ Produce additional videos for new topics: food waste program or holiday tips. Investigate potential of editing video tour, updating dates and language



Wastewater Division

The Wastewater Division is responsible for the operation and maintenance of a regional award winning wastewater treatment and disposal facility as well as the City's subsurface storm water and wastewater collections systems, 24 related pumping facilities, the leachate facility and pipeline. It also provides inspection, sampling, public education, and monitoring of business and industrial establishments to limit discharge of harmful constituents into the sanitary wastewater and stormwater systems. In addition to within City limits, the wastewater service area includes Live Oak, Capitola, Soquel, Aptos and the Santa Cruz County Sanitation District.



Aerial view of the Wastewater Treatment Facility

Workload Indicators

Average of gallons of effluent the Wastewater Treatment Facility treated daily – 7.26 million

Gallons of effluent for on-site facility reuse water reclaimed – 49.6 million

Kilowatt hours of electricity generated by capturing methane gas from the anaerobic digester operation – 7.9 million

Self-generated power required to operate the Treatment Facility – 68.4%

FY2019 Accomplishments

Environmental Sustainability and Well-Managed Resources

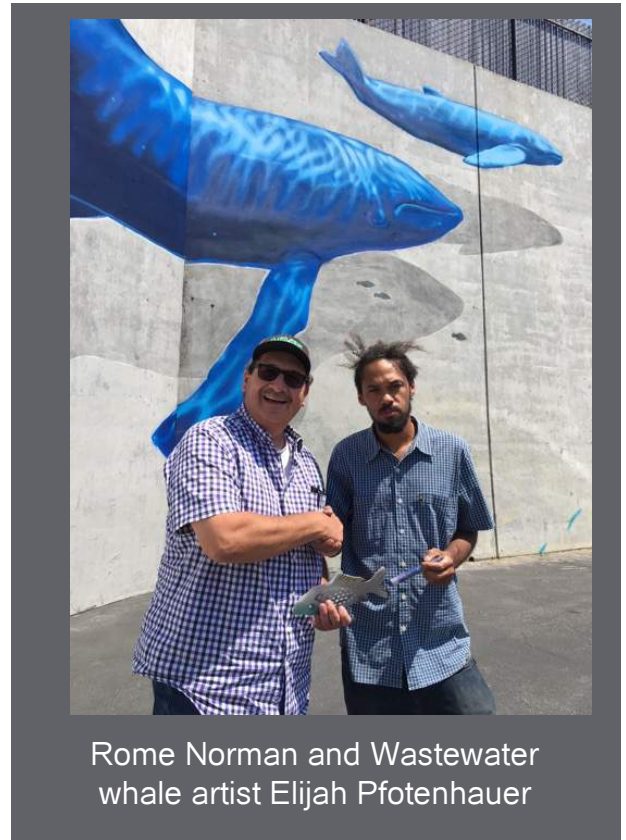
- ♻️ Furthered project development to expand current water reuse equipment to produce Title 22 reclaimed water for offsite use.
- ♻️ Installed energy efficient variable frequency drives on process equipment increasing reliability and decreasing energy consumption and expense.
- ♻️ Wastewater collections passed all state inspections/audits from the Regional Water Quality Control Board, Monterey Bay Unified Air Pollution Control District, Army Corps of Engineers, and the Santa Cruz County Vector Control and County Environmental Health Department.
- ♻️ Collections utilized Title 22 reclaimed water in the hydro-flushing of the sanitary sewer mains to offset the use of domestic water.
- ♻️ Continued work with the Environmental Compliance section on various projects and sampling events as well as implementation of the City's Sewer Lateral Ordinance.
- ♻️ Continued efforts to implement a local limit for industrial wastes expressed as a total organic carbon (TOC) to maintain the integrity of the Wastewater Treatment infrastructure.
- ♻️ Provided sampling and analysis of wastewater and stormwater samples to evaluate water treatment processes and to confirm compliance with City's National Pollutant Discharge Elimination System (NPDES) permits.
- ♻️ Provided all regulatory reporting for wastewater and stormwater state and federal permits.



Wastewater Collections Team

Community Safety and Well-Being

- ♻️ Continued to direct the operation and maintenance of the wastewater collection system, stormwater system, leachate system and all related pumping facilities in a safe and cost effective manner.
- ♻️ Provided prompt and effective cleanup response to all daytime and after hours calls for service to all private lateral sewage spills and sanitary sewer overflows on a 365-24/7 basis and reported all spills and overflows to the California Integrated Water Quality System.
- ♻️ Managed contract ensuring that manufacturers provide collection and disposal of syringes and pharmaceuticals at their end of use cycles under the Enhanced Producer Responsibility Ordinance.



Rome Norman and Wastewater whale artist Elijah Pfothauer

Organizational Health

- ♻️ Continued the professional development of staff through ongoing training and obtaining increased professional certification levels throughout the organization.

Fiscal Stability

- ♻️ Completed in-house toxicity (bioassay) testing, bringing in-house the testing work that was previously done through contract laboratories and greater expense and time for completion.



Reliable and Forward-Looking Infrastructure and Facilities

- 🔄 Delivered critical preventative maintenance and repairs to the wastewater facility providing a reliable process 24-hour a day.
- 🔄 Completed Phase I of the infrastructure and major equipment study which prepared condition assessment data, risk graphs and the preliminary cost development for the wastewater facility.
- 🔄 Commenced the leachate line valve replacement project and air release valve rebuild/replacement project.
- 🔄 Inspected and evaluated the condition of the collections system and all related appurtenances for inflow and infiltration (I&I) and identified areas for CIP and pipeline rehabilitation via lining.
- 🔄 Installed fiber connectivity to front gate at treatment facility and leachate pond pump station at landfill.
- 🔄 Completed several internet technology projects including network wiring in administration building, new treatment facility security access system, five new multi-view security cameras, virtualization of the City side of the treatment plant network, and installation of 10GB switches in the server room for improved performance.

FY2020 Goals

Environmental Sustainability and Well-Managed Resources

- 🔄 Continue development expansion of water reuse equipment project, in support of the Pure Water Soquel project.
- 🔄 Implement the Ultraviolet disinfection equipment replacement project resulting in energy savings and environmental protections.
- 🔄 Analyze complex chemistries and metals with the new ICP/MS.



Wastewater Treatment Facility Operations

Organizational Health

- 🔄 Continue staff development through CWEA training as well as expansion of CMMS usage in the division, SSO spill estimation and all required annual reporting.

Reliable and Forward-Looking Infrastructure and Facilities

- 🔄 Implement Phase II of Infrastructure Study to prepare a prioritized infrastructure and equipment replacement/rehabilitation plan for the treatment facility.
- 🔄 Develop and deliver a complete gravity thickener process rebuilt project.
- 🔄 Develop and complete a cogeneration engine rebuild project. The cogeneration engine generates electrical power on site at costs significantly lower than PG&E.
- 🔄 Review and update Collections' standard operating procedures as well as crafting new SOPs.
- 🔄 Complete the leachate line survey, identify all main line valves and ARV's with correct footages for mapping and GIS application.
- 🔄 Implement leachate line maintenance program including the survey assign asset I.D. and add to CMMS.
- 🔄 Continue to expand the implementation of the connection to the treatment facility SCADA to further enhance our pump station monitoring and alarm capabilities.
- 🔄 Complete several internet technology projects including upgrading septage station software, final additions to security cameras, finish upgrading SCADA software, replacing end-of-life Cisco switches, connecting 26 remote pump stations to SCADA system and migrating physical servers into virtual environment.



Wastewater Treatment Facility Maintenance Crew

Operations Division

The Operations Division provides maintenance, repair, construction and procurement services related to City streets, vehicles, equipment and facilities as well as flood control and vegetation management. The Division is organized into four sections: Operations, Fleet Maintenance, Facilities Maintenance and Streets and Traffic Maintenance.



Workload Indicators

Completed work orders for repairs/maintenance of City facilities by facilities employees – 5,049

Completed work orders for repairs/maintenance of City vehicles and equipment by Fleet employees – 3,939

Completed paving jobs by Streets employees – 87

Filled pot holes by Streets employees – 3,870

Repaired or installed signs by Streets employees – 590

Tons of illegal encampment debris removed – 23

FY2019 Accomplishments

Community Safety and Well-Being

- ♻️ Provided design and project management services related to improvement of homeless services and facilities.
- ♻️ Participated in Neighborhood Safety Team meetings and initiatives.
- ♻️ Assisted in commissioning and decommissioning of the River Street Camp, and provided ongoing support to other encampment areas. Teams also assisted the Police Department and Parks Department in camp logistics and debris cleanup on a weekly basis.
- ♻️ Carried out City-wide vegetation management projects to enhance traffic and fire safety.

Reliable and Forward-Looking Infrastructure and Facilities

- ❧ Completed \$1.2 million in energy efficiency upgrades funded by a 1% California Energy Commission loan.
- ❧ Provided project management for the DeLaveaga Golf Lodge repair, Natural History Museum repair and City Hall Annex remodel projects.
- ❧ Collaborated with the Army Corps of Engineers on San Lorenzo River Levee embankment repairs.
- ❧ Conducted San Lorenzo River annual vegetation management and sedimentation mobilization projects.
- ❧ Managed the Pogonip Creek sediment removal project.



- ❧ Successfully applied to PG&E for no-cost infrastructure improvements at the Corporation Yard that will lead to 16 electrical vehicle charging stations and one downtown trolley charging station.
- ❧ Secured over \$40,000 in utility rebates for energy efficiency projects.
- ❧ Secured PG&E on-bill financing for over \$400,000 in lighting upgrades Citywide.



Bay Street Sidewalk Infill Project – Before and After



- ♻️ Implemented and administered the Corporation Yard Stormwater Pollution Prevention Plan (SWPPP) and completed design of significant improvements to the site sediment control.
- ♻️ Continued the implementation and

data collection for the California Energy Commission Deep Energy Efficiency Grant project.

- ♻️ Paved and repaired areas of the Municipal Wharf, DeLaveaga Golf Lodge, and streets Citywide.
- ♻️ Completed waterproofing and paving project at Fire Administration building to correct water intrusion into offices.
- ♻️ Provided new and replacement signage for streets and parks Citywide.
- ♻️ Completed repairs of median curb on Water Street between Pacific Avenue and River Street.
- ♻️ Responded to and mitigated an unexpected HVAC system failure in the IT server room, preventing the loss of the City's computer firewall.
- ♻️ Responded to and repaired ongoing fire sprinkler system failures at the Police Department.



North East Corner of Cedar and Walnut – Before and After

- ♻️ Conducted testing of fire alarms and fire sprinkler systems in City facilities.
- ♻️ Repainted the exterior of the Parks administration building.
- ♻️ Remodeled the Corporation Yard central receiving office, facilities offices and operations restroom.
- ♻️ Replaced 20 fleet vehicles, including two major trucks, under budget, and sold decommissioned vehicles on the surplus vehicle market.
- ♻️ Continued to identify high energy use (low gas mileage) vehicles and equipment and replace with alternative fuel or fuel/energy efficient models.
- ♻️ Reduced turnaround time for City vehicle service and repair.
- ♻️ Initiated design process for replacing the existing waste wash water treatment system at the corporation yard.
- ♻️ Completed design for abandonment of obsolete service pits in the City garage with work to be done with City staff.

FY2020 Goals

Reliable and Forward-Looking Infrastructure and Facilities

- ♻️ Complete the Storm Water Pollution Prevention Plan (SWPPP) facility upgrades at the Corporation Yard by expanding various department staff responsibilities, BMP supply inventory and communication matrix.
- ♻️ Initiate project to replace additional City lighting with energy efficient fixtures and lamps using the PG&E on-bill financing program.
- ♻️ Initiate and complete expansion of solar photovoltaic electricity generation at the Corporation Yard, Resource Recovery Facility, and DeLaveaga Golf Lodge by receiving proposals for financing and construction from qualified contractors.
- ♻️ Continue to upgrade of older fleet assets and reduce the City's carbon footprint.
- ♻️ Integrate Streets and Traffic assets into the Computerized Maintenance Management System (CMMS) work order system which is already used by the Fleet and Facilities divisions.



Public Works

DEPARTMENT SUMMARY

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
EXPENDITURES BY CHARACTER:					
Personnel Services	24,916,656	29,196,883	29,196,883	27,098,925	31,747,447
Services, Supplies, and Other Charges	23,163,478	27,832,507	27,964,852	27,394,334	29,698,351
Capital Outlay	3,041,821	4,695,388	6,953,440	4,344,448	3,221,866
Debt Service	6,444,637	6,162,224	6,162,224	6,557,935	1,994,577
Transfers Out & Other Financing Uses	-	1,358,141	1,358,141	1,358,141	-
Total Expenditures	57,566,593	69,245,143	71,635,540	66,753,783	66,662,241
EXPENDITURES BY ACTIVITY:					
Public Works Administration	4101 341,207	320,210	334,605	337,801	339,770
Engineering	4102 803,992	1,307,016	1,362,990	1,271,634	1,391,219
Public Works Operations	4103 194,383	276,636	165,010	312,125	294,155
Facilities Services	4110 1,389,343	1,554,778	1,397,058	1,564,679	1,607,171
Street Maintenance and Sidewalk Repair	4210 1,452,972	2,516,605	2,449,865	2,092,004	2,606,394
Traffic Engineering	4220 559,902	754,611	786,530	740,285	853,341
Parking Services	4221 1,678,893	1,900,536	1,972,190	1,868,018	1,989,172
Traffic Maintenance	4223 626,879	-	-	95,822	-
Bicycle/Pedestrian System Maintenance	4227 -	-	-	-	50,000
Parking Citation and Permits Office	4229 470,424	562,990	562,990	539,782	599,335
After Hours Call Duty Program	4901 28,528	32,000	32,000	22,236	17,926
Subtotal General Fund	7,546,524	9,225,382	9,063,237	8,844,386	9,748,483
Wharf Gate Operations	4226 509,779	610,400	610,400	564,178	626,248
Subtotal Other General Funds	509,779	610,400	610,400	564,178	626,248
Traffic Signal Maintenance	4224 209,601	255,190	273,648	264,533	247,405
Street Lighting	4225 351,618	365,275	419,874	385,275	365,275
Bicycle/Pedestrian System Maintenance	4227 32,375	35,000	62,000	62,000	42,000
Traffic Impact	4228 43,083	61,891	61,891	62,756	71,190
Clean River, Beaches & Oceans	4235 478,492	795,830	841,021	864,626	867,508
Transportation Development Act	6301 744,077	744,077	744,077	744,077	744,077
Wastewater Customer Service	7201 304,758	314,513	314,513	314,513	387,316
Wastewater Collection Control	7202 2,413,692	3,381,832	3,391,832	3,262,961	3,600,441
Wastewater Treatment Facility	7203 9,183,266	11,346,842	11,425,961	10,590,710	12,287,495
Secondary Plant Parks Mitigation	7204 477,281	527,108	527,108	468,196	574,511
Wastewater Source Control	7205 584,867	706,823	728,538	665,451	830,447
Wastewater Pump House	7206 82,665	248,000	248,000	248,000	133,000
Wastewater Admin Charges	7207 914,475	939,166	939,166	939,166	967,489
Wastewater Lab	7208 1,073,209	1,524,286	1,947,104	1,490,492	1,692,901
Sewer Debt Service	7242 3,663,208	3,686,089	3,686,089	3,686,089	337,662
Refuse Customer Accounting	7301 468,846	588,166	588,166	588,166	698,952
Resource Recovery Collection - Containers	7302 6,405,806	6,739,959	7,340,440	6,539,835	6,995,104

*Sums may have discrepancies due to rounding

Public Works

DEPARTMENT SUMMARY

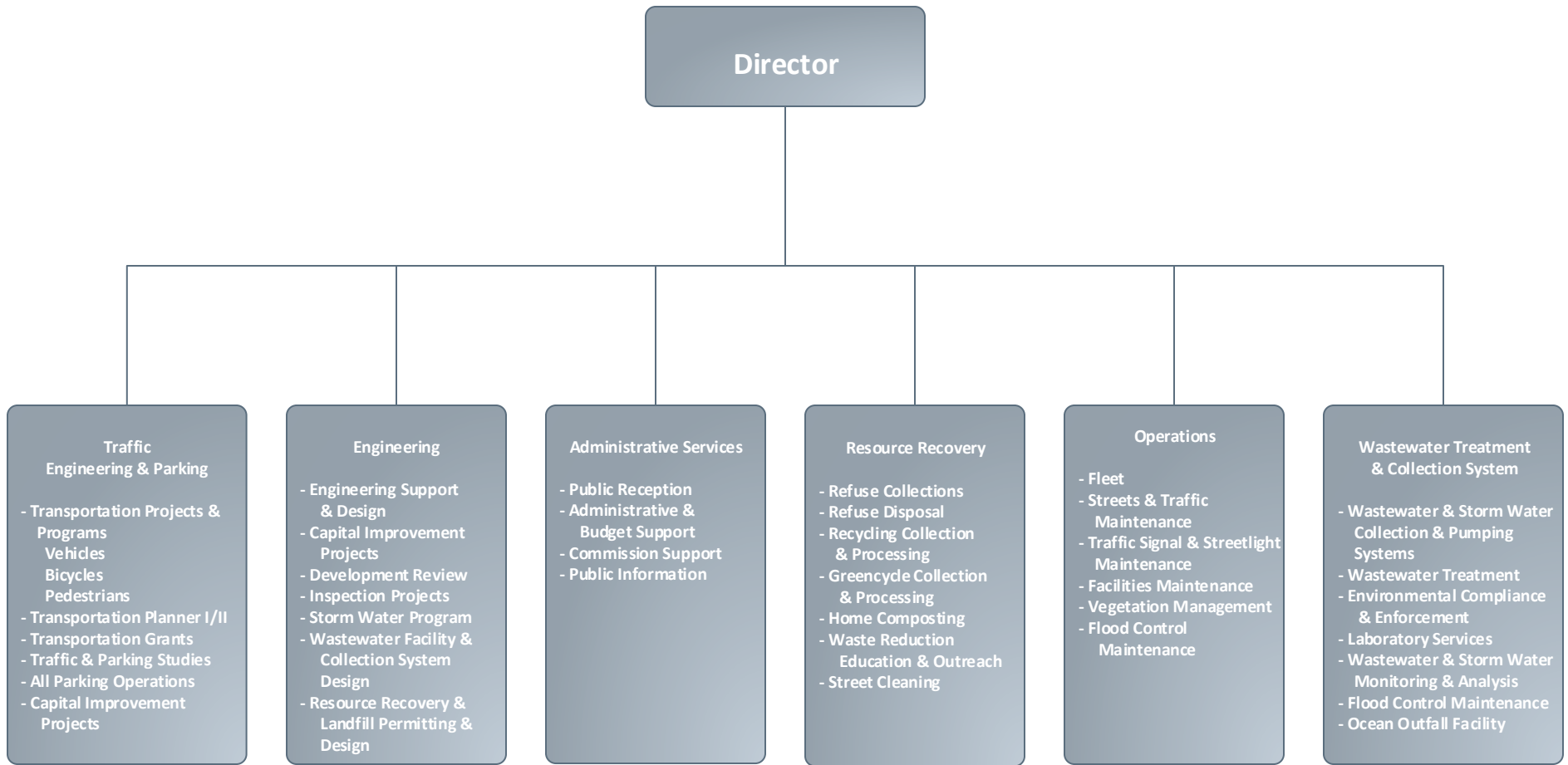
		Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
			Adopted Budget	Amended* Budget	Estimated Actual	
Refuse Disposal	7303	5,608,410	7,548,801	7,577,319	7,036,094	7,362,186
Recycling Program - Processing	7304	1,800,848	2,268,809	2,308,939	2,055,596	2,422,549
Resource Recovery Collection - Cart	7305	2,490,074	3,113,655	3,892,475	3,006,568	3,288,356
Waste Reduction	7306	402,244	578,584	610,103	536,027	542,595
Street Cleaning	7307	1,161,235	869,130	869,130	822,397	801,469
Off-Street Parking	7401	4,851,050	5,160,216	5,177,472	5,043,964	6,072,266
Storm Water Management	7501	924,364	907,622	1,221,119	897,447	896,518
Storm Water Overlay Debt Service	7540	188,920	189,102	189,102	189,102	188,504
Mechanical Maintenance	7831	3,349,726	3,531,624	3,534,474	3,545,395	3,700,699
Communications	7832	5,361	10,880	10,880	10,880	10,880
Employee Commute Van	7833	1,056	2,818	2,818	2,069	2,818
Vehicle Lease Program	7835	1,027,671	2,136,020	2,177,659	2,177,659	-
Pool Vehicles	7836	48,390	37,450	39,106	43,753	155,897
City Schools	7837	23,165	-	-	-	-
Equipment Lease Program	7839	196,830	794,603	811,880	801,422	-
Subtotal Other Funds		49,510,664	59,409,361	61,961,903	57,345,219	56,287,510
Total Expenditures		57,566,968	69,245,143	71,635,540	66,753,783	66,662,241

RESOURCES BY FUND

General Fund	101	4,159,527	4,225,144	4,238,469	4,225,144	4,526,035
Municipal Wharf	104	1,195,204	1,250,000	1,250,000	1,250,000	1,200,000
Gasoline Tax	221	1,669,239	2,751,906	2,778,906	2,681,162	3,061,554
Traffic Impact Fee-Citywide Fund	226	759,806	500,000	500,000	500,000	500,000
Clean River, Beaches & Ocean Tax Fund	235	629,048	635,564	635,564	635,564	635,712
Transportation Development Act	291	744,077	744,077	744,077	744,077	744,077
Wastewater	721	22,611,676	21,800,847	21,800,847	20,210,000	21,960,000
Refuse	731	20,718,349	20,236,000	20,275,681	20,239,681	20,836,378
Parking	741	5,475,052	4,820,500	4,820,500	4,817,500	7,097,400
Storm Water	751	652,696	579,510	579,510	579,510	505,682
Storm Water Overlay	752	329,180	322,916	322,916	322,916	271,052
Equipment Operations	811	3,765,247	3,885,085	3,891,685	3,755,320	3,423,294
Total Resources		62,709,100	61,751,549	61,838,155	59,960,874	64,761,184
Net General Fund Cost		(3,386,998)	(5,000,238)	(4,824,768)	(4,619,242)	(5,222,448)
		FY 2018		FY 2019		FY 2020
TOTAL AUTHORIZED PERSONNEL:		248.70		252.70		253.70

*Sums may have discrepancies due to rounding

Public Works Department



* Shown by function.

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Water Department

Water Department



Rainbow over Loch Lomond

Department Description

The mission of the Water Department is to ensure public health and safety by providing a clean, safe and reliable supply of water. We strive to serve the community in a courteous, efficient, cost effective and environmentally sustainable manner.

We are passionate about our work and try to instill our values of integrity, innovation, objectivity, professionalism, teamwork and transparency in everything we do. We collect water, treat and test it, move it, store it, distribute it, track how much is used and bill our customers for their use. We are at the end of the phone when customers call with questions and we are the smiling faces they see when they visit the department. We educate our customers about the quality of their water, how to use less water and provide them the tools to do so. Our work includes the maintenance and operation of Loch Lomond Recreation area, as well as the protection of the Majors, Liddell, Newell Creek, Zayante and Laguna watersheds. We are stewards of an important community asset; the water system and all it entails, as well as a range of natural resources and ecosystems that we and many species depend upon and that are important elements of a

Workload Indicators

Number of gallons of drinking water produced (billions)

FY18	2.682
FY17	2.258
FY16	2.491

Number of violations of drinking water standards

FY18	0
FY17	0
FY16	0

Number of phone calls and lobby visits handled by the SCMU Customer Service unit

FY18	58,907
FY17	57,227
FY16	61,117

Millions of dollars of capital investment in water system infrastructure (millions)

FY18	\$10.8
FY17	\$11.0
FY16	\$8.6

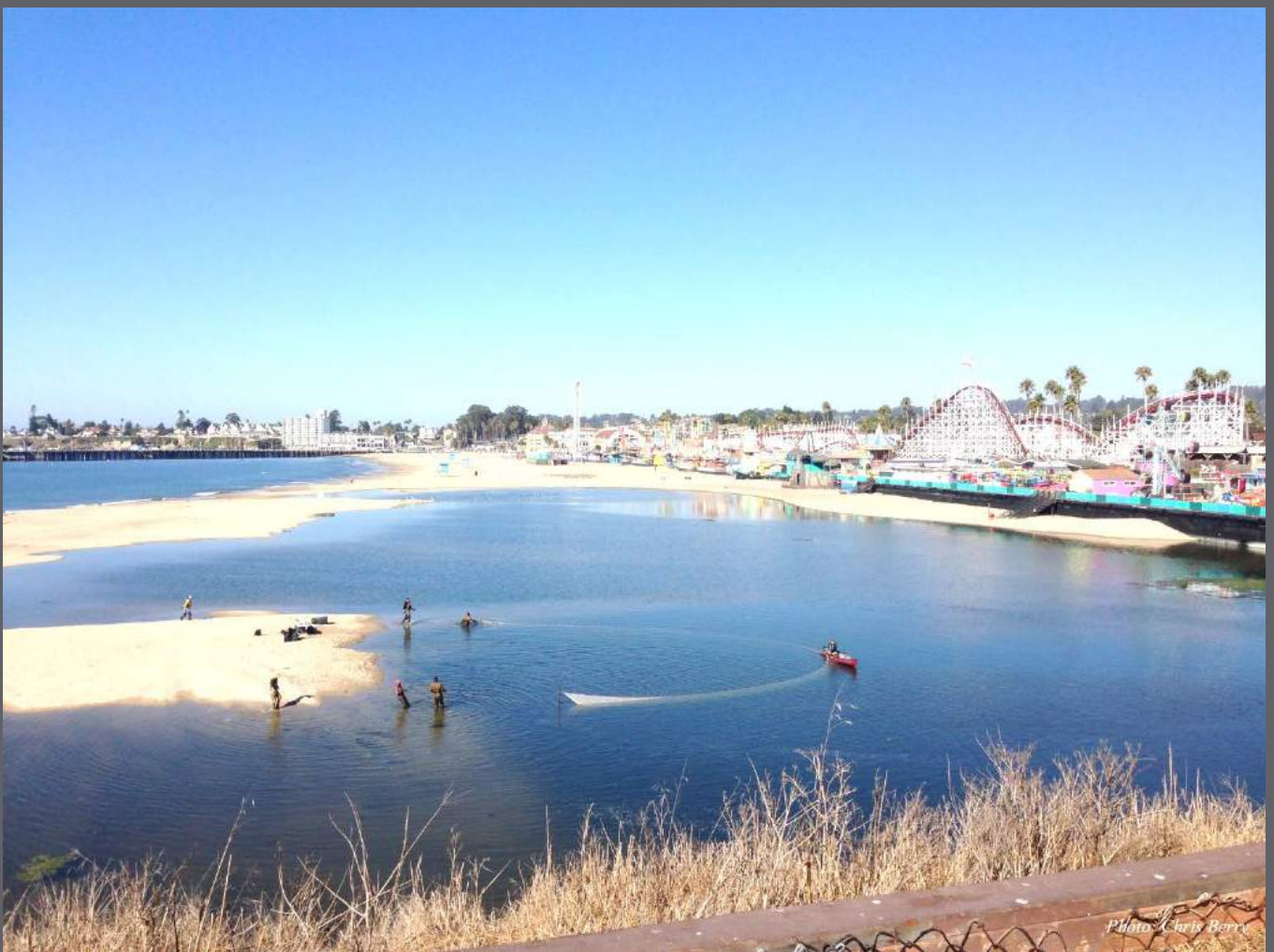
Number of drinking water samples collected and processed

FY18	7,772
FY17	7,897
FY16	6,030

sustainable community. We take pride in meeting the diverse needs of the broad region we serve.

The Department is organized into operational and administrative sections. Operational sections include Production, Water Quality Lab, Distribution, Water Resources and the Loch Lomond Recreation area. These sections are responsible for managing the watersheds; collecting, treating and testing untreated and treated water; and storing and distributing treated water to our customers. The administrative sections are comprised of Finance and Administration, Engineering, Conservation, Customer Service, and Community Relations. Staff in these sections provide leadership, plan and implement the Capital Investment Program (CIP), develop and implement financial plans, read meters, bill customers and collect revenues, help our customers conserve water and support active community outreach and engagement efforts covering a range of department activities.

Everyday, Department staff work hard to produce and deliver millions of gallons of water to over 98,000 customers and perform all the related utility, land and natural resource management activities that often happen behind the scenes, but play a part in providing reliable, high quality water service to our community.

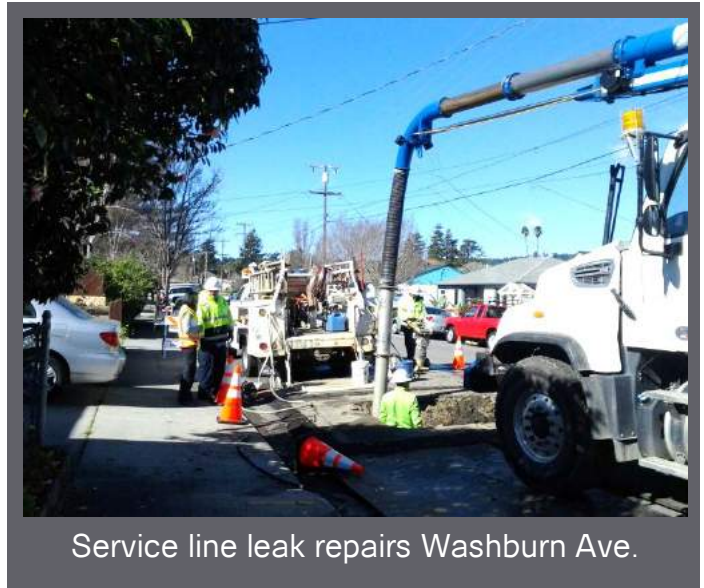


Fish sampling in the San Lorenzo River

FY2019 Accomplishments

Perform core water utility services, 24 hours a day/7 day a week/365 days a year. Ensuring the health and safety of the community by producing 2.65 billion gallons of a clean, safe and a reliable supply of water.

- Performed emergency repairs for 36 leaking water mains and repaired or replaced 79 leaking service lines.



- Maintained the Department's strong performance for providing a water supply that is consistently in compliance with standards set by federal and state regulations including successfully completing all required drinking water compliance sampling, monitoring and reporting.
- Installed a new water ion charge analyzer at the Graham Hill Water Treatment Plant which will allow us to minimize the amount of chemicals used to treat our drinking water.
- Completed ongoing maintenance and repairs on the water system's pumps, valves and electrical systems.

- Flushed all of the water system's dead end distribution mains and completing high-velocity unidirectional flushing on more than half of the 300 miles of distribution mains.



Maintaining a strong customer service ethic is foundational to the work of the Department. We continue working with our customers with bill inquiries and conservation rebates, provide public education on the water system and explain our important work in the community.

- On behalf of the City's three utilities (water, sewer and refuse), staff produced and delivered over 300,000 bills, collected \$68 million revenue as well as \$7 million in utility and franchise tax revenue for the General Fund.



Water Department Customer Service



Water Department Conservation

- Customer Service staff handled over 45,000 calls, served 12,000 customers in our lobby and processed over 700 Leak Forgiveness applications.
- Finished a pilot project for advanced water meter technology with a group of 350 large irrigation meter accounts including local community parks and schools.
 - Conducted an independent evaluation of the pilot project, including an examination of water savings, number of leaks detected, and a customer satisfaction survey.
- Reached another milestone of more than 10,000 clothes washer rebates provided to customers since the program began in the year 2000.

Meet or exceed all regulatory drinking water standards.

As noted above, the water system continues to meet all federal and state drinking water standards but the state of the water system's water treatment infrastructure certainly indicates that continuing to achieve this critically important goal is not a given without a significant investment in rehabilitation and replacement of existing facilities and treatment processes.

Interim measures to ensure the ongoing operability of the Graham Hill Water Treatment Plant include tube settler replacement in the sedimentation basins and major maintenance and repair of the flocculators, which are used as part of the sedimentation process. Both of these projects got underway in FY 2019 and will be completed in FY 2020.

Additional efforts are underway to develop and implement projects that will fully rehabilitate these critical facilities including:

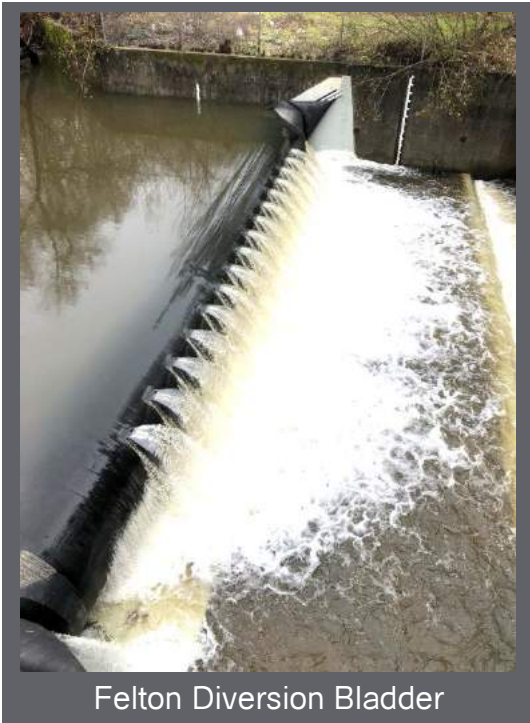
- Collecting and analyzing water samples from Santa Cruz's various sources of water to develop a more comprehensive understanding of source water characteristics, including during peak storm flow events, as an important input to both ongoing water treatment as well as future water treatment.
- Designing the replacement of the Graham Hill Water Treatment Plant concrete tanks including replacing three existing concrete tanks, two associated pump stations, and other ancillary features and site improvements necessary for construction beginning in early 2020.
- Completing a comprehensive facility and treatment process assessment as a basis for developing the longer term facility and process upgrade of the Graham Hill Water Treatment Plant, and
- Beginning pilot testing of new treatment technology to upgrade/modernize the Graham Hill Water Treatment Plant treatment capability.



Felton Diversion



Testing new water treatment method



Felton Diversion Bladder

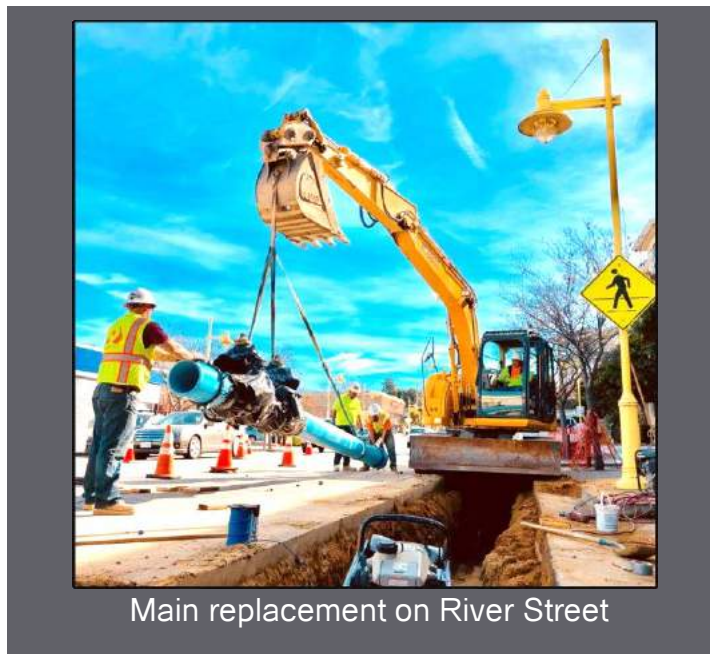
Maintain and improve the reliability of the drinking water system.

The Water Department is partnering with HDR Inc., a leading engineering and consulting firm, in a program management approach to plan, design, and construct over \$300 million in water infrastructure projects to upgrade treatment facilities, replace critical aging pipes, and improve water supply reliability. This partnership is producing significant results that are summarized below.

In 2019, the Department completed construction on several significant infrastructure rehabilitation and replacement projects including:

- Completed construction of a maintenance tank, and replacement of the inlet/outlet pipe in anticipation of replacement of the University Tank No. 5.
- Replaced the aging water main on River and Portrero Streets.
- Rehabilitated Beltz Wells No. 10 and 11.

- Replacement of the new inflatable dam at Felton Diversion.



Main replacement on River Street



Newell Creek Dam Spillway Bridge

- Completed an additional phase of ADA improvements Loch Lomond Recreation Area.
- Completed replacement of the Spillway Bridge at Newell Creek Dam in order to ensure access of fire-fighting equipment to the west side of the Newell Creek Watershed and prepare for the major construction related to the Newell Creek Dam Inlet/Outlet project.

Specific work in progress includes multiple planning, environmental review and design efforts for following CIP projects:

- ◆ Progressed design to 90% level to replace the Newell Creek Dam Inlet/Outlet Pipeline.
- ◆ Progressed to 75% design to replace the Graham Hill Water Treatment Plant Concrete Tanks.
- ◆ Planned for rehabilitation/replacement of all or parts of the Newell Creek Pipeline from Loch Lomond to the Graham Hill Water Treatment Plant.
- ◆ Completed a condition assessment and alternatives analysis on whether the diversion dams on two of our North Coast sources, Laguna and Majors, can be modified or replaced to improve the efficiency and reduce environmental impacts.



Laguna Diversion Dam

- ◆ Completed design and commenced construction on replacement of aging water main in Water Street.
- ◆ Completed design of the Coast Pump Station Raw Water Pipeline Replacement Project.

Finally, one of the Department's major projects, the Newell Creek Dam Inlet/Outlet project has made major progress toward final design and completion of the CEQA with the release of the project draft Environmental Impact Report in November 2018.

Outdated water meter technology is being replaced with advanced metering infrastructure. A business case was completed to quantify the benefits of replacing the current metering infrastructure which is at the end of useful life.



Water Department Meter Shop

Safeguard existing surface and groundwater sources.

After many years of work, Water Department staff reached substantive agreement with federal and state resource agencies regarding in-stream flows to protect endangered fish species, and is working to produce the Habitat Conservation Plan document that is the predecessor to receiving 30 year permits from the federal and state fishery management agencies

that will provide regulatory certainty for the City and significant environmental enhancements. As part of this effort, staff has developed a long-term funding analysis for the Anadromous Salmonid Habitat Conservation Plan.

A related activity is the Water Department's work to modify the City's existing water rights on the San Lorenzo River. Proposed water rights changes include expanding the places of uses for these water rights and enhancing the City's ability to use its water rights with greater flexibility while still meeting its fish flow commitments. Specific progress in FY 2019 included initiating a CEQA process and preparing and filing with the State Water Resources Control Board water right change petition filing. In addition, as a demonstration of the effectiveness of providing reliable instream flows, the Department recorded the first observation of Coho salmon in Liddell Creek, one of our North Coast sources.



One other accomplishment of note demonstrates the Water Department's significant role and engagement with regional partners, which is its engagement with both the groundwater sustainability planning efforts in both the Santa Cruz Mid-County and Santa Margarita groundwater basins.

Finally, the Water Department is continuing efforts to work across City departments to conduct a comprehensive review of the City's

Integrated Pest Management Policy including looking at lessons learned from other jurisdictions, and make recommendations to the City Council for potential amendments to the City's existing policy.

Sustain long-term financial viability.

The Water Department is working to minimize the future cost of borrowing to support its Capital Investment Program by seeking low cost loans from the State Water Resources Control Board Drinking Water State Revolving Fund (SRF) and developing an effective administrative process to identify, secure, and manage grant awards.

One example of this effort are applications for SRF funding for both the Graham Hill Water Treatment Plant Concrete Tanks Project (\$30 million) and the Newell Creek Dam Inlet/Outlet Pipeline Replacement (~\$86 million) that have been submitted to the State. The Drinking Water State Revolving Fund offers very competitive interest rates which reduce financing costs for these projects.



Valve turning event

Implement the City's water supply augmentation strategy.

Recommended by the Water Supply Advisory Committee and accepted by the City Council in November 2015, the Water Department is assessing a number of water augmentation strategies to provide water security for the City.

One strategy is exploring the feasibility of sending available City surface water to neighboring

agencies for the purpose of passively recharging and replenishing the groundwater basins in the near-term and providing additional groundwater back to the City for its drought supply. In FY 2019, the Department initiated the water transfer pilot project with Soquel Creek Water District (District) by transferring

treated water to the District to study the passively recharging the groundwater basin, including co-hosting with the Soquel Creek Water District a "valve turning" ceremony commemorating the initiation of water exchanges between the City of Santa Cruz and Soquel Creek Water District as recommended by the Water Supply Advisory Committee (WSAC).



Water transfer pilot with Soquel Creek Water District

Progress on the potential for Aquifer Storage and Recovery to be part of our long term water supply portfolio is also moving ahead. Beginning in late 2018 and continuing into the spring of 2019 the Department initiated and completed three rounds of Aquifer Storage and Recovery pilot testing at the Beltz Well #12 location.



Aquifer Storage and Recovery

Water and Public Works Departments are continuing their joint efforts evaluating opportunities for developing advanced treated recycled water to reduce the supply gap and beneficially reuse treated wastewater.

Supporting these water supply augmentation efforts, the Water Department:

- Continued to distribute monthly email newsletters with updates on WSAC work and infrastructure investment.
- Produced and distributed the third Annual Report on progress implementing the Council approved recommendations of the Water Supply Advisory Committee (WSAC).

1. Create and foster maximum organizational and operational effectiveness.

The Water Department is working hard to recruit and retain a workforce that is competent, engaged, motivated, and utilizes safe work practices. We are providing opportunities for professional and leadership development.



Water Distribution

- Created a new classification to address succession planning in the mechanical series at the Graham Hill Water Treatment Plant.
- Continued our Utility Maintenance Technician Trainee program to “grow our own.”
- Partnered with Human Resources to complete classification studies for several longer match their daily responsibilities.



Water Production



Water Recreation

Tools, systems, and skills are being established and instituted to create a well-coordinated, participatory organization dedicated to collaborative problem solving and decision-making. Skill development has been organization wide with a major emphasis on skills development for problem solving, communicating, and meeting participation basics with the idea that organization wide training

builds a common vocabulary and creates organization-wide expectations and accountability.

The multiple devastating wildfires that occurred in California and elsewhere in the Country in recent history have created a clear focus on emergency planning and operations. To be better prepared to both anticipate and respond, the Department began a comprehensive update of its Emergency Response Plan Communications are focused on aligning and unifying the work we do as a Department and involved a variety of significant efforts including:

- Prepared a Water Action Plan to provide a brief overview of the mission, strategic goals, and priorities for the Water Department over the next several years.
- Developed cross departmental work groups to inform the design, construction, and operation of key capital projects.
- Produce a weekly newsletter informing all Department staff of the on-going work of the Department and celebrating our accomplishments.

External communications has also been a priority, with significant focus on engaging community about the Department's various projects and programs.

Watershed education program for local schools



- Expanded watershed educational and interpretive programs for schools and the general public which includes monthly boat tours of Loch Lomond on the newly acquired "Newell Jewell."

- Hosted the Big Dam Deal at Loch Lomond Recreation Area to share plans regarding Newell Creek Dam construction work (as well as information about various other watershed-related efforts) with the community.
- Produced and distributed two issues of the Santa Cruz Municipal Utilities, the SCMU Review, focused on the state of the water system infrastructure and the effort needed to create a 21st century water system.



Newell Jewell Pontoon Boat



FY2020 Goals

The Water Department will continue to build on our FY 2019 accomplishments in the following ways and consistent with the City Council's 2015 Strategic Plan.

Environmental Sustainability and Well-Managed Resources

The Department will continue pursuing the recommendation of the Water Supply Advisory Committee's recommendation by:

- Completing the remaining major milestones in the technical feasibility of supplemental supply options and begin early implementation of whatever options make sense as soon as feasible.



Water Department Water Resources

- Completing CEQA for the water rights revision project and initiate the formal approval process with the State Water Resources Control Board with a goal of completing that process by the end of FY 2020.
- Finalize, publish and initiate formal environmental review (NEPA and CEQA) on the Anadromous Salmonid Habitat Conservation Plan.

Community Safety and Well-Being

Fulfilling our core mission, the Water Department will continue to provide a clean, safe and reliable supply of water for our 98,000+ customers, 24 hours a day/ 7 day a week. The water produced will meet or exceed all regulatory drinking water standards.



Water Department Quality Control Lab

Financial Stability

The Department will continue pursuing lowest cost financing options to fund the Capital Investment Program for the water utility. In addition to the two State Water Resources Control Board Drinking Water State Revolving Fund loans, the Department will explore other State and federal programs as well as grants.

We will be also be working to design and implement billing, noticing, and payment arrangement changes related to SB998, the Water Shutoff Protection Act.

Reliable and Forward-Looking Infrastructure and Facilities

The Water Department is committed to maintaining and improving the reliability of the water system while addressing environmental, climate and regulatory demands. Specifically in FY 2020, we plan to:

- ◆ Complete projects to replace the tube settlers and flocculators at the Graham Hill Water Treatment Plant.
- ◆ Begin construction of the concrete tank replacement project at the Graham Hill Water Treatment Plant.
- ◆ Begin design work on the Graham Hill Water Treatment Plant Upgrades Project to modernize the City's only surface water treatment plant.
- ◆ Complete the design and contractor procurement and get ready to initiate the construction of the Newell Creek Dam Inlet/Outlet Project.
- ◆ Provide regional leadership and actively participate in contractor outreach efforts to attract high-quality construction contractors to our CIP projects.
- ◆ Complete construction the U5 tank and Coast Pump Station Raw Water Pipeline Replacement projects.
- ◆ Complete a condition assessment and hydraulic evaluation of the Felton Diversion facility.
- ◆ Initiate the design process to replace high priority segment(s) of the Newell Creek Pipeline.

Staff will also work with a consultant to update the Department's risk assessment and emergency response plan to address potential malevolent acts and natural hazards, as required by the America's Water Infrastructure Act of 2018.



Water Department Engineering

Engaged and Informed Community

The Water Department is committed to public transparency and to keeping the community informed. Tools to help us achieve that goal in FY 2020 include:

- 💧 Monthly Water Commission meetings.



2018 Water Commission

- 💧 Monthly email newsletters.
- 💧 Bi-annual utility newsletters “the SCMU Review.”
- 💧 Regular social media posts.
- 💧 Maintaining current website content and project updates.
- 💧 Annual Water Supply Advisory Committee report which updates the community on the Water Department’s progress on the Committee’s recommendations.
- 💧 Hosting infrastructure tours and open houses to our customers, neighbors and the public.
- 💧 Outreach partnerships with the Mid-County Groundwater Agency; Santa Margarita Groundwater Agency; and Soquel Creek Water District.



Water Distribution System Infrastructure



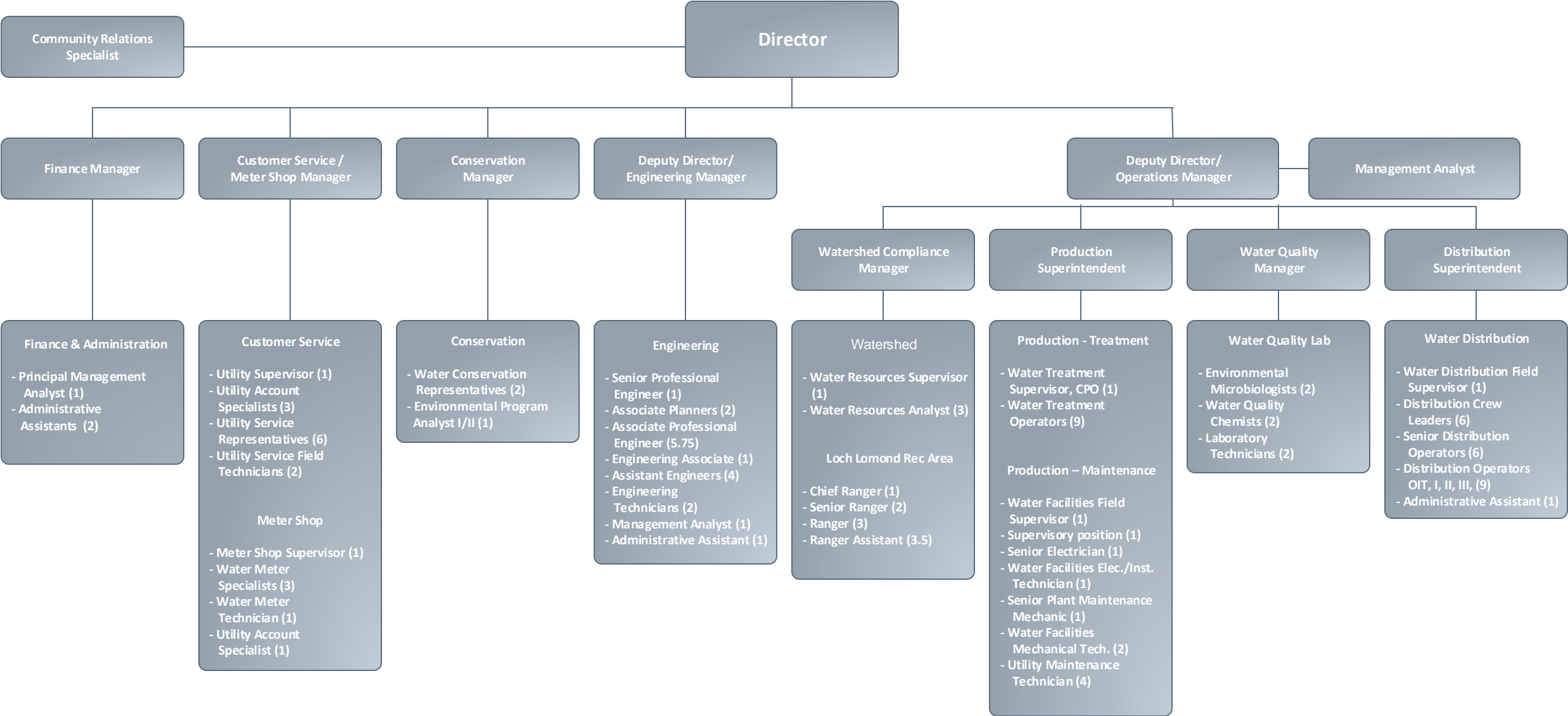
Water supply tabling

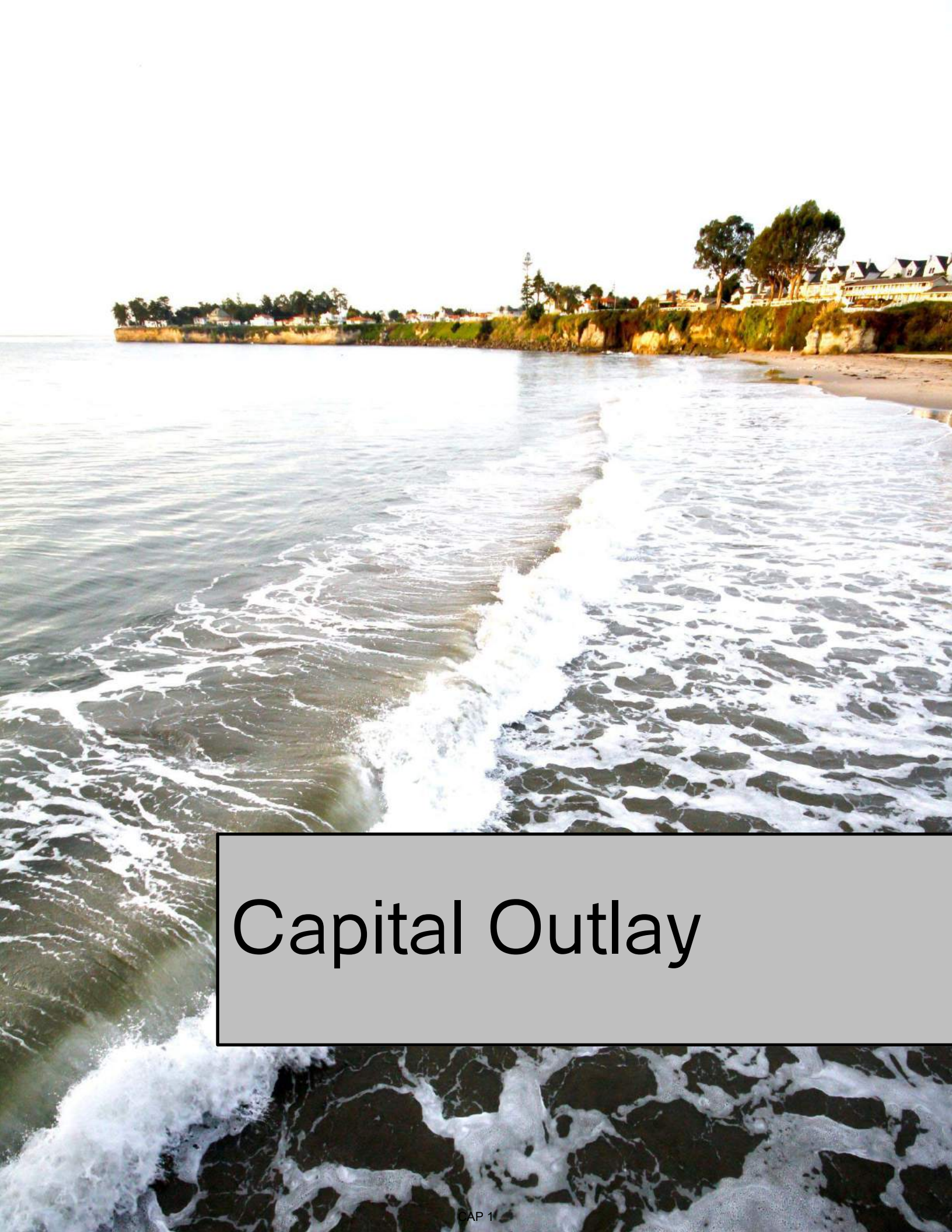
DEPARTMENT SUMMARY

	Fiscal Year*	Fiscal Year 2019			Fiscal Year 2020
		2018	Adopted	Amended*	
	Actuals	Budget	Budget	Actual	Adopted
EXPENDITURES BY CHARACTER:					
Personnel Services	12,406,660	14,724,425	14,724,425	13,960,008	16,837,336
Services, Supplies, and Other Charges	13,763,646	15,436,081	16,823,265	12,776,796	16,037,667
Capital Outlay	965,360	438,000	604,034	166,664	766,000
Debt Service	2,086,043	2,676,489	2,676,489	2,224,989	10,138,026
Total Expenditures	29,221,709	33,274,995	34,828,213	29,128,457	43,779,029
EXPENDITURES BY ACTIVITY:					
Water Administration	7101 5,181,203	6,067,687	6,194,320	5,528,828	6,676,065
Water Engineering	7102 4,118,807	4,102,547	4,941,416	3,288,468	3,347,201
Water Customer Services	7103 1,783,540	1,790,583	1,757,583	1,913,509	2,015,751
Water Conservation	7104 804,957	1,272,934	1,272,934	1,012,732	1,240,293
Water Resources	7105 1,456,601	2,206,623	2,568,464	1,630,061	2,954,266
Water Production	7106 5,803,113	6,790,886	6,699,090	6,322,949	7,404,445
Water Quality	7107 1,196,124	1,535,372	1,568,372	1,242,031	1,775,267
Water Distribution	7108 4,854,452	4,599,237	4,599,237	4,001,501	5,163,688
Water Recreation	7109 980,551	1,213,129	1,280,799	977,817	1,286,763
	7110 -	-	-	-	321,983
Water meter Shop	7113 956,319	1,019,508	1,269,508	985,572	1,455,281
Water Debt Service	7140 2,086,043	2,676,489	2,676,489	2,224,989	10,138,026
Subtotal Other Funds	29,221,709	33,274,995	34,828,213	29,128,457	43,779,029
Total Expenditures	29,221,709	33,274,995	34,828,213	29,128,457	43,779,029
RESOURCES BY FUND					
Water	711 37,149,146	43,885,642	39,278,279	49,564,279	74,356,868
Water Rate Stabilization Fund	713 3,146,864	3,342,244	3,342,244	3,342,000	3,342,000
Water System Development Fees Fund	715 1,349,161	1,600,000	1,600,000	550,000	820,000
Total Resources	41,645,170	48,827,886	44,220,523	53,456,279	78,518,868
	FY 2018			FY 2019	FY 2020
TOTAL AUTHORIZED PERSONNEL:	113.25			114.25	120.25

*Sums may have discrepancies due to rounding

Water Department





Capital Outlay

Capital Outlay Summary by Department/Activity

Account/Item Description	FY 2020 ADOPTED BUDGET
CITY COUNCIL	
Activity: 1110 City Council	
Account: 101-01-01-1110-57990 Other Capital Outlay	
Copier (cost shared with City Manager and City Clerk)	2,400
	Account Total: 2,400
	Activity Total: 2,400
	City Council Total: 2,400
 CITY MANAGER	
Activity: 1210 City Manager	
Account: 101-10-03-1210-57990 Other Capital Outlay	
Copier (cost shared with City Council and City Clerk)	4,800
	Account Total: 4,800
	Activity Total: 4,800
Activity: 1214 City Clerk	
Account: 101-10-03-1214-57990 Other Capital Outlay	
Copier (cost shared with City Council and City Manager)	4,800
	Account Total: 4,800
	Activity Total: 4,800
	City Manager Total: 9,600
 FINANCE DEPARTMENT	
Activity: 1241 Finance	
Account: 101-14-11-1241-57401 Office Furniture/Equipment	
Retrofit 34 workstations for sit-stand capability	25,500
	Account Total: 25,500
	Activity Total: 25,500
Activity: 7861 Equipment Lease Program	
Account: 813-14-11-7861-57404 Parks and Playground Equipment	
Kubota Mower	30,850
Greenmaster Mover #1	40,950
Greenmaster Mover #2	40,950
Scissor Lift	10,000
	Account Total: 122,750
Account: 813-14-11-7861-57409 Computer Equipment	
Multifunctional Printer	8,500
	Account Total: 8,500
Account: 813-14-1-7861-57490 Other Machinery and Equipment	
CAT 308E Mini-Excavator, Mulcher	75,000
Hydraulic Post Puller	10,000
Street Maintenance Backhoe	160,000
	Account Total: 245,000
	Activity Total: 376,250
Activity: 7862 Vehicle Lease Program	
Account: 813-14-11-7862-57402 Vehicle Equipment	
2019 Ford Explorer (replaces #114 Ford Crown Vic)	Police Dept. 65,000
2019 Ford Explorer (replaces #116 Ford Crown Vic)	Police Dept. 65,000
2019 Ford Explorer (replaces #127 Ford Crown Vic)	Police Dept. 65,000
Chevy Volt (replaces #145 Toyota Camry)	Police Dept. 47,500
Chevy Tahoe (replaces #445 Toyota Tacoma)	Fire Dept. 68,000
Ford F250 (replaces #520 Ford)	Fire Dept. 50,000
Ford F250 with Tow Package (replaces #782 Ford 150)	Fire Dept. 50,000

Capital Outlay Summary by Department/Activity

Account/Item Description	FY 2020 ADOPTED BUDGET
Ford F150 Standard Cab (replaces #367 Ford Ranger)	Parks & Rec Dept. 34,000
Ford F150 Standard Cab (replaces #391 Ford Ranger)	Parks & Rec Dept. 34,000
Ford F150 Standard Cab (replaces #475 Chevrolet C1500)	Parks & Rec Dept. 34,000
Ford F350 with Options (replaces #478 Ford F350)	Parks & Rec Dept. 50,000
Vehicle (replaces #101 Ford Taurus)	Planning Dept. 47,000
Ford F150 Standard Cab (replaces #110 Ford)	Planning Dept. 34,000
Ford F150 Standard Cab (replaces #129 Ford)	Planning Dept. 34,000
	Account Total: 677,500
	Activity Total: 677,500
	Finance Department Total: 1,079,250
 LIBRARY (CITY)	
Activity: 3601 Administration	
Account: 951-36-51-3601-57401 Office Furniture/Equipment	18,000
Office Furniture/Equipment	18,000
Account: 951-36-51-3601-57409 Computer Equipment	150,000
Computer Equipment	150,000
Account: 951-36-51-3601-57990 Other Capital Outlay	50,000
Other Capital Outlay	50,000
	Account Total: 50,000
	Activity Total: 218,000
	Library (City) Total: 218,000
 PUBLIC WORKS DEPARTMENT	
Activity: 7202 Wastewater Mains/Flood Control	
Account: 721-40-61-7202-57402 Vehicle Equipment	95,000
Ford F-450 Diesel 4x4 (replaces #512 pump truck)	95,000
Account: 721-40-61-7202-57407 Equipment Components	55,000
Ditch Witch Vacuum Excavator (shared purchase)	55,000
Account: 721-40-61-7202-57409 Computer Equipment	20,000
Data Acquisition System (POSM) for Mainline Sewer and Storm Video Inspection Program	20,000
	Account Total: 20,000
	Activity Total: 170,000
Activity: 7203 Wastewater Treatment Facility	
Account: 721-40-65-7203-57407 Equipment Components	95,000
PGRS Vapex Odor Control Unit	6,000
Bead Blaster Cabinet	350,000
Rebuild Cogen #1 Engine	40,000
Replace SCT #1 Gear Boxes	21,000
Polyethylene Piping-Fusion Piping Welder	9,800
Electric Work Maintenance Cart	28,000
Ferric Feed Pumps	29,000
Spare Secondary Drain Pump	22,000
Grit Rotary Screen	21,000
Spare Prechamber Gas Compressor-Cogen	42,000
Moyno Sludge Pump Assembly for Thickener 2	52,000
Spare Digester Gas Mixing Compressor	55,000
Ditch Witch Vacuum Excavator (shared purchase)	55,000
	Account Total: 770,800

Capital Outlay Summary by Department/Activity

<u>Account/Item Description</u>	<u>FY 2020 ADOPTED BUDGET</u>
Account: 721-40-65-7203-57409 Computer Equipment	
Upgrade Controller and Software for Septage System	50,000
Security Cameras for Plant	15,000
VMWare License	10,000
Network Switch Replacement for Plant	15,000
	Account Total: 90,000
	Activity Total: 860,800
Activity: 7205 Wastewater Source Control	
Account: 721-40-65-7205-57402 Vehicle Equipment	
Replace 867-1999 Ford Ranger	36,000
	Account Total: 36,000
	Activity Total: 36,000
Activity: 7206 Wastewater Pump House	
Account: 721-40-65-7206-57990 Other Capital Outlay	
Pump Parts for Influent Pumps 2-3-4	85,000
	Account Total: 85,000
	Activity Total: 85,000
Activity: 7302 Resource Recovery Collection - Container	
Account: 731-40-63-7302-57402 Vehicle Equipment	
Roll-Off Truck Replacement for 2011 Chassis #712	250,000
Rear Load Truck Replacement for 2010 #736	340,000
	Account Total: 590,000
Account: 731-40-63-7302-57409 Computer Equipment	
Fleetmind Replacement Systems for New Vehicles	10,000
	Account Total: 10,000
	Activity Total: 600,000
Activity: 7303 Refuse Disposal	
Account: 731-40-63-7303-57401 Office Furniture/Equipment	
Office Furniture/Equipment for New Field Crew	5,000
	Account Total: 5,000
Account: 731-40-63-7303-57402 Vehicle Equipment	
Transportation Vehicle for Food Waste Processing	50,000
	Account Total: 50,000
Account: 731-40-63-7303-57405 Heavy Equipment	
Replacement Bulldozer for equipment #941	350,000
	Account Total: 350,000
Account: 731-40-63-7303-57490 Other Machinery and Equipment	
Replacement Tool Shed	7,500
Ripper Attachment for Equipment #925	15,000
Roll-Off Bins	18,000
	Account Total: 40,500
	Activity Total: 445,500
Activity: 7304 Recycling Program - Processing	
Account: 731-40-63-7304-57405 Heavy Equipment	
Replacement Forklift for Equipment #230 Roll-Off Bins	50,000
	Account Total: 50,000
	Activity Total: 50,000

Capital Outlay Summary by Department/Activity

Account/Item Description	FY 2020 ADOPTED BUDGET
Activity: 7305 Resource Recovery Collection - Cart	
Account: 731-40-63-7305-57402 Vehicle Equipment	
Sidearm Replacement for Truck #755	385,000
Sidearm Replacement for Truck #756	385,000
	Account Total: 770,000
	Activity Total: 770,000
Activity: 7401 Off-Street Parking	
Account: 741-40-64-7401-57402 Vehicle equipment	
Replace 404-2008 Ford F150	36,000
	Account Total: 36,000
	Activity Total: 36,000
Activity: 7836 Fuel Island/Pool Vehicles	
Account: 811-40-61-7836-57402 Vehicle equipment	
Ford Focus (replaces #870 Ford Focus)	28,000
Ford Fusion Hybrid (replaces #162 Ford Contour)	40,000
Ford Transit 150 Wagon (replaces #161 Ford E150)	42,000
	Account Total: 110,000
	Activity Total: 110,000
	Public Works Department Total: 3,163,300
 WATER DEPARTMENT	
Activity: 7106 Water Production	
Account: 711-70-95-7106-57402 Vehicle Equipment	
Replace 210-1973 Forklift	40,000
Replace 471-20000 Ford F250	60,000
	Account Total: 100,000
Account: 711-70-95-7106-57408 Building Equipment	
Replacement Roll-Up Door - Coast Pump Station	10,000
	Account Total: 10,000
Account: 711-70-95-7106-57490 Other Machinery and Equipment	
Turbidity Meters	24,000
Chlorine Analyzer	10,500
Clarifier Polymer Skid	15,000
	Account Total: 49,500
	Activity Total: 159,500
Activity: 7107 Water Quality	
Account: 711-70-96-7107-57490 Other Machinery and Equipment	
Laboratory Information Management System (LIMS)	200,000
Laboratory Reagent Water Purification System	20,000
	Account Total: 220,000
	Activity Total: 220,000
Activity: 7108 Water Distribution	
Account: 711-70-97-7108-57402 Vehicle Equipment	
Replace 607-2001 International 4700	135,000
Case 580 Backhoe	150,000
Cat 308E Mini Excavator (joint purchase)	85,000
	Account Total: 370,000
	Activity Total: 370,000
Activity: 7109 Water Recreation	
Account: 711-70-98-7109-57408 Building Equipment	
Office Trailer Remodel	6,000
	Account Total: 6,000
	Activity Total: 6,000

Capital Outlay Summary by Department/Activity

Account/Item Description

FY 2020 ADOPTED BUDGET

Activity: **7113** Water Meter Shop
Account: 711-70-92-7113-57408 Building Equipment
Replacement Roll-Up Door - Meter Shop

	<u>10,000</u>
Account Total:	<u>10,000</u>
Activity Total:	<u>10,000</u>
Water Department Total:	765,500

CAPITAL OUTLAY TOTAL 5,238,050

Capital Outlay Summary by Fund

Account/Item Description **FY 2020 ADOPTED BUDGET**

GENERAL FUND

101-01-01-1110-57990	Copier (cost shared with City Manager and City Clerk)	2,400
101-10-03-1210-57990	Copier (cost shared with City Council and City Clerk)	4,800
101-10-03-1214-57990	Copier (cost shared with City Council and City Manager)	4,800
101-14-11-1241-57401	Retrofit 34 workstations for sit-stand capability	25,500

General Fund Total: 37,500

WATER FUND

711-70-95-7106-57402	Replace 210-1973 Forklift	40,000
711-70-95-7106-57402	Replace 471-20000 Ford F250	60,000
711-70-95-7106-57408	Replacement Roll-Up Door - Coast Pump Station	10,000
711-70-95-7106-57490	Turbidity Meters	24,000
711-70-95-7106-57490	Chlorine Analyzer	10,500
711-70-95-7106-57490	Clarifier Polymer Skid	15,000
711-70-96-7107-57490	Laboratory Information Management System (LIMS)	200,000
711-70-96-7107-57490	Laboratory Reagent Water Purification System	20,000
711-70-97-7108-57402	Replace 607-2001 International 4700	135,000
711-70-97-7108-57402	Case 580 Backhoe	150,000
711-70-97-7108-57402	Cat 308E Mini Excavator (joint purchase)	85,000
711-70-98-7109-57408	Office Trailer Remodel	6,000
711-70-92-7113-57408	Replacement Roll-Up Door - Meter Shop	10,000

Water Fund Total: 765,500

WASTEWATER FUND

721-40-61-7202-57402	Ford F-450 Diesel 4x4 (replaces #512 pump truck)	95,000
721-40-61-7202-57407	Ditch Witch Vacuum Excavator (shared purchase)	55,000
721-40-61-7202-57409	Data Acquisition System (POSM) for Mainline Sewer and Storm Video Inspection Program	20,000
721-40-65-7203-57407	PGRS Vapex Odor Control Unit	95,000
721-40-65-7203-57407	Bead Blaster Cabinet	6,000
721-40-65-7203-57407	Rebuild Cogen #1 Engine	350,000
721-40-65-7203-57407	Replace SCT #1 Gear Boxes	40,000
721-40-65-7203-57407	Polyethylene Piping-Fusion Piping Welder	21,000
721-40-65-7203-57407	Electric Work Maintenance Cart	9,800
721-40-65-7203-57407	Ferric Feed Pumps	28,000
721-40-65-7203-57407	Spare Secondary Drain Pump	29,000
721-40-65-7203-57407	Grit Rotary Screen	22,000
721-40-65-7203-57407	Spare Prechamber Gas Compressor-Cogen	21,000
721-40-65-7203-57407	Moyno Sludge Pump Assembly for Thickener 2	42,000
721-40-65-7203-57407	Spare Digester Gas Mixing Compressor	52,000
721-40-65-7203-57407	Ditch Witch Vacuum Excavator (shared purchase)	55,000
721-40-65-7203-57409	Upgrade Controller and Software for Septage System	50,000
721-40-65-7203-57409	Security Cameras for Plant	15,000
721-40-65-7203-57409	VMWare License	10,000
721-40-65-7203-57409	Network Switch Replacement for Plant	15,000
721-40-65-7205-57402	Replace 867-1999 Ford Ranger	36,000
721-40-65-7206-57990	Pump Parts for Influent Pumps 2-3-4	85,000

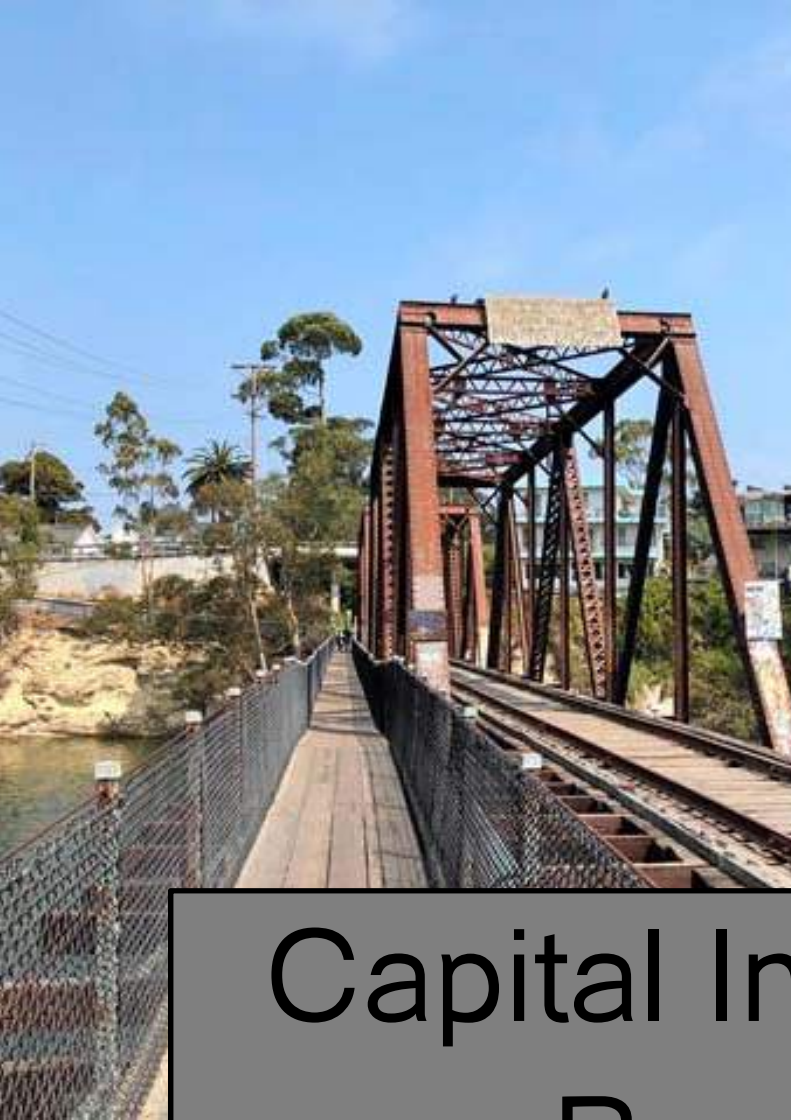
Wastewater Fund Total: 1,151,800

REFUSE FUND

731-40-63-7302-57402	Roll-Off Truck Replacement for 2011 Chassis #712	250,000
731-40-63-7302-57402	Rear Load Truck Replacement for 2010 #736	340,000
731-40-63-7302-57409	Fleetmind Replacement Systems for New Vehicles	10,000
731-40-63-7303-57490	Office Furniture/Equipment	5,000
731-40-63-7303-57402	Transportation Vehicle for Food Waste Processing	50,000

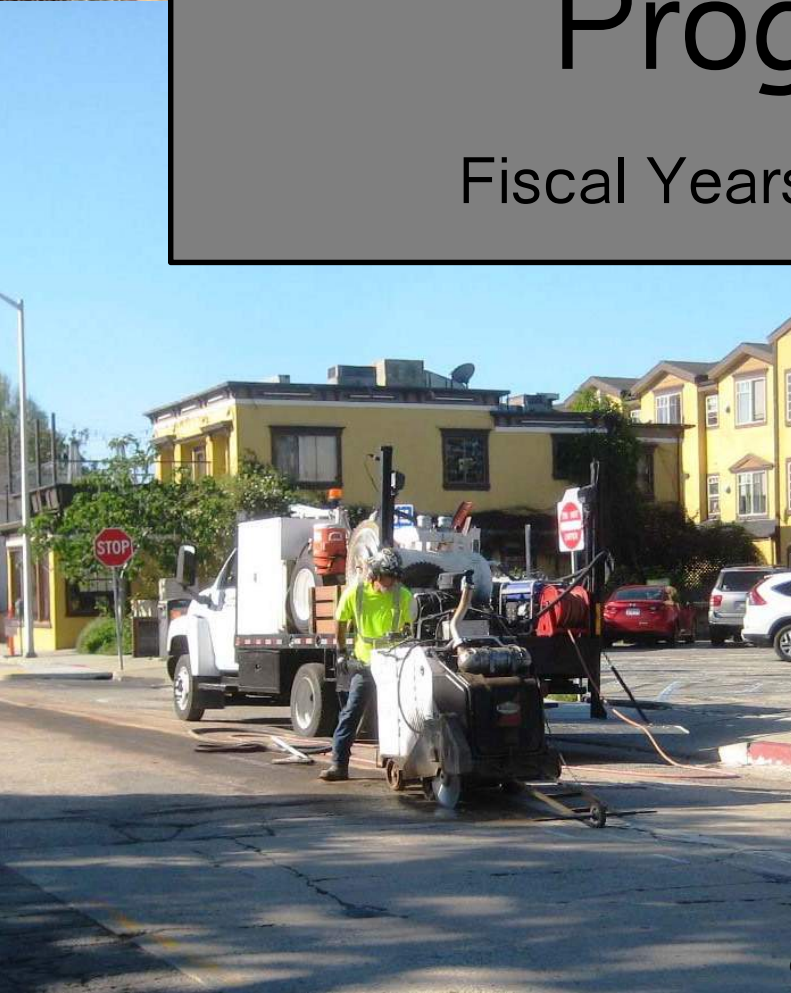
Capital Outlay Summary by Fund

Account/Item Description	FY 2020 ADOPTED BUDGET
731-40-63-7303-57405 Replacement Bulldozer for equipment #941	350,000
731-40-63-7303-57490 Replacement Tool Shed	7,500
731-40-63-7303-57490 Ripper Attachment for Equipment #925	15,000
731-40-63-7303-57490 Roll-Off Bins	18,000
731-40-63-7304-57405 Replacement Forklift for Equipment #230 Roll-Off Bins	50,000
731-40-63-7305-57402 Sidearm Replacement for Truck #755	385,000
731-40-63-7305-57402 Sidearm Replacement for Truck #756	385,000
	Refuse Fund Total: 1,865,500
 PARKING FUND	
741-40-64-7401-57402 Replace 404-2008 Ford F150	36,000
	Parking Fund Total: 36,000
 EQUIPMENT OPERATIONS FUND	
811-40-61-7836-57402 Ford Focus (replaces #870 Ford Focus)	28,000
811-40-61-7836-57402 Ford Fusion Hybrid (replaces #162 Ford Contour)	40,000
811-40-61-7836-57402 Ford Transit 150 Wagon (replaces #161 Ford E150)	42,000
	Equipment Operations Fund Total: 110,000
 EQUIPMENT FINANCING SERVICES FUND	
813-14-11-7861-57404 Kubota Mower	30,850
813-14-11-7861-57404 Greenmaster Mover #1	40,950
813-14-11-7861-57404 Greenmaster Mover #2	40,950
813-14-11-7861-57404 Scissor Lift	10,000
813-14-11-7861-57409 Multifunctional Printer	8,500
813-14-11-7861-57490 CAT 308E Mini-Excavator, Mulcher	75,000
813-14-11-7861-57490 Hydraulic Post Puller	10,000
813-14-11-7861-57490 Street Maintenance Backhoe	160,000
813-14-11-7862-57402 2019 Ford Explorer (replaces #114 Ford Crown Vic)	65,000
813-14-11-7862-57402 2019 Ford Explorer (replaces #116 Ford Crown Vic)	65,000
813-14-11-7862-57402 2019 Ford Explorer (replaces #127 Ford Crown Vic)	65,000
813-14-11-7862-57402 Chevy Volt (replaces #145 Toyota Camry)	47,500
813-14-11-7862-57402 Chevy Tahoe (replaces #445 Toyota Tacoma)	68,000
813-14-11-7862-57402 Ford F250 (replaces #520 Ford)	50,000
813-14-11-7862-57402 Ford F250 with Tow Package (replaces #782 Ford 150)	50,000
813-14-11-7862-57402 Ford F150 Standard Cab (replaces #367 Ford Ranger)	34,000
813-14-11-7862-57402 Ford F150 Standard Cab (replaces #391 Ford Ranger)	34,000
813-14-11-7862-57402 Ford F150 Standard Cab (replaces #475 Chevrolet C1500)	34,000
813-14-11-7862-57402 Ford F350 with Options (replaces #478 Ford F350)	50,000
813-14-11-7862-57402 Vehicle (replaces #101 Ford Taurus)	47,000
813-14-11-7862-57402 Ford F150 Standard Cab (replaces #110 Ford)	34,000
813-14-11-7862-57402 Ford F150 Standard Cab (replaces #129 Ford)	34,000
	Equipment Financing Services Fund Total: 1,053,750
 SANTA CRUZ LIBRARY FUND	
951-36-51-3601-57401 Office Furniture/Equipment	18,000
951-36-51-3601-57409 Computer Equipment	150,000
951-36-51-3601-57990 Other Capital Outlay	50,000
	Santa Cruz Library Fund Total: 218,000
CAPITAL OUTLAY TOTAL 5,238,050	



Capital Investment Program

Fiscal Years 2020-2024



Guide to the Adopted Capital Investment Program Budget FY 2020 – FY 2024

CAPITAL INVESTMENT PROGRAM

The City faces an ongoing challenge to meet its capital needs with limited resources. The preparation and adoption of the Capital Investment Program (CIP) is an important part of the City's planning process to identify and meet those needs. It is a multi-year schedule of projects with their associated costs and proposed funding sources. The CIP represents the best efforts to allocate available resources toward projects that provide the most benefit for the people of Santa Cruz. It also highlights areas where funding is deficient.

Generally, projects in the CIP are relatively large-dollar amount, nonrecurring outlays and are for the purpose of constructing, purchasing, improving, replacing or restoring assets with multi-year useful life. In addition, certain special projects and activities are included.

The CIP includes proposed projects for the next five fiscal years. It also describes projects that will be carried over from the current fiscal year. The first year of the CIP is, by and large, incorporated into and adopted with the annual budget.

FINANCING

The two basic methods to fund the CIP projects are (1) pay-as-you-go, which requires use of current revenues or cash on hand; and (2) pay-as-you-use, which uses debt financing to spread acquisition costs over the period of time the City plans to use a capital asset. Funds dedicated to pay-as-you-go include a share of transient occupancy taxes, grants, parks facilities taxes and fees, traffic impact and other development fees, and user fees in the enterprise funds. Both of these funding methods are useful, depending on the nature of the projects.

USING THE CIP

The Capital Investment Program is divided into four sections:

- Projects that Support the City's Climate Action Plan
- Projects that are unfunded in fiscal years 2020-2024
- New Projects
- Existing Projects

PROJECTS

This section provides information on proposed capital investments over the next 5 years. It is organized by primary fund or funding source and function. Within each subsection projects are arranged alphabetically.

Each project is identified by a project name and number (if previously assigned), and a project description/justification. It also includes a total project cost estimate. If applicable, the project cost estimate is reduced by additional outside funding sources, to arrive at a "net expenditure". This is the net amount that must be funded by the primary funding source of the fund. This information is provided for each of the periods displayed:

- **"Prior Years"** Shows the total amount that has been spent on the project in prior years up to the end of the last fiscal year.
- **"2019 Budget"** Shows the total amount appropriated for the current fiscal year.
- **"2019 Estimated"** Shows the total amount of the FY 2019 funding that will be spent on the project, including what has been spent or encumbered and what will be carried forward into FY 2020.
- **"2020 Adopted", "FY 2021 Estimated", "FY 2022 Estimated", "FY 2023 Estimated", and "FY 2024 Estimated"** Each individual column shows the adopted (year 2020 only) and the future estimated funding required for the project for each identified fiscal year.
- **"Total 2020 - 2024"** Shows the total adopted funding required for the projects in FY 2020, and the total estimated funding required for FY 2021, FY 2022, FY 2023, and FY 2024.

**Guide to the Adopted
Capital Investment Program Budget
FY 2020 – FY 2024**

At the end of each section is a summary of total project costs, funding sources, and net project costs for capital projects, maintenance and other projects, and a combined total for the fund(s).

UNFUNDED PROJECTS

The Unfunded Projects section includes those projects that will be proposed once funding can be identified, and staff resources are available.

FUND BALANCE PROJECTIONS

This section includes a Five-year projection of sources, uses and fund balances for each fund or funding source included in the CIP. An overview of the impact of projected revenue and expenditures on fund balances over time can be seen in these tables. Fund balance projections for the following funds are included in the “Fund Balance Projection” section of the CIP. The following table identifies funding sources for each fund:

Fund	Primary Funding Source	Major Project Types
Governmental:		
Capital Improvement Fund-General	Grants, share of transient occupancy tax, General Fund subsidy	Storm drains, transportation, government, buildings, parks, playgrounds, wharf, sidewalks, utility undergrounding, and lighting
Capital Improvement Fund - Arterial Streets and Roads Fund	Grants, City Public Trust Fund	Transportation
Clean Rivers, Beaches, and Ocean Tax Fund	Tax assessments	Environmental, education
Community Development Block Grant (CDBG) Fund	Grants	Housing and Community Development
Gas Tax Fund	State gasoline tax	Transportation
2016 Transportation Measure D	Sales tax	Transportation
Parks and Recreation Fee Fund	Subdivision developer impact fees	Parks, playgrounds, community centers, and other Parks and Recreation facilities
Parks and Recreation Facility Tax Fund	Residential construction excise tax	Parks, playgrounds, community centers, and other Parks and Recreation facilities
RDA/SA-Redevelopment Obligation Retirement Fund	Property Tax	Successor Agency Funds
RDA/SA - Low/Mod Income Housing Funds	Property Tax	Affordable Housing Construction
RDA/SA-Capital Projects Fund	Property Tax	Street improvements, property rehabilitation, economic development, and other capital improvements
RDA/SA-Capital Projects-Admin	Property Tax	Administrative Costs of Successor Agency.
RDA/SA-Capital Projects-Del Mar Theater	Property Tax	Property management, maintenance and capital improvements to the Del Mar
SA City Low/Mod Income Housing Funds	Property Tax	Housing and Community Development
Enterprise:		
Water Fund	User Fees	Water system infrastructure
Water Fund - SDC	User Fees	Water system Development
Wastewater Fund	User Fees	Wastewater system infrastructure
Refuse Fund	User Fees	Sanitation, recycling and landfill infrastructure
Parking Fund	User Fees	Downtown parking district facilities, including parking lots and structures
Storm Water Fund	Storm water fees	Flood control facilities
Storm Water Overlay Fund	Storm water fees	Flood control facilities

2020-2024 Capital Investment Program
Existing and New Projects that support Implementation of the Climate Adaptation Plan Update

Aquifer Storage and Recovery	c701610	(existing)
ASR and In-Lieu Feasibility Study	c701609	(existing)
Bay Drive Storm Damage Repair	c401507	(existing)
Beltz 10 and 11 Rehab & Development	c700026	(existing)
Bethany Curve Park Improvements	c301915	(existing)
Bethany Curve Path Restoration	c302019	(new)
Brackney Landslide Risk Reduction	c701803	(existing)
Branciforte Creek Channel Repair and Maintenance	c401313	(existing)
Brookwood Dr. Repair	c301804	(existing)
Catch Basin Replacement Program	c401610	(existing)
Chestnut Street Storm Drain Replacement	c401505	(existing)
Cliff Street Walkways Retaining Wall	c302002	(new)
CMP Storm Drain Pipe Replacement	c401709	(existing)
Coast Pump Stat. & Tail Well Flood Reduction	c701804	(existing)
Corporation Yard Main Bldg Seismic	c601101	(existing)
Curtis Street Storm Drain Phase II	c401802	(existing)
DeLaveaga Park Watershed Management	c301203	(existing)
Downtown SLR Drainage System Assessment	c401707	(existing)
East Parking Lot Paving Project	c302026	(new)
Fire Engine (Wild Land Engine)	c211910	(existing)
Garfield Park Irrigation	c301911	(existing)
Irrigation System Improvements	c302012	(new)
Jesse Street Marsh	c409669	(existing)
Ladera Drive Storm Drain Improvement	c401901	(existing)
Main Replacements- Distribution Section	c701507	(existing)
Main Replacements- Eng Section- Transmission	c709833	(existing)
Main Replacements- Engineering Section	c700002	(existing)
Mission Hill Utility Undergrounding	c401004	(existing)
Murray Street Bridge Seismic Retrofit	c409321	(existing)
N Coast System Major Diversion Rehab	c701802	(existing)
N Coast System Repair/Replacement	c709835	(existing)
Nearby Lagoon Park Rehab-Maint. (Annual)	m409668	(existing)
Newell Creek Access Rd Bridge	c701904	(existing)
Newell Creek Pipeline Rehab/Replacement	c701701	(existing)
Parks and Recreation Master Plan	c301528	(existing)
Pogonip Creek Sedimentation Removal	c401306	(existing)
Public Facilities - Maintenance	m609195	(existing)
Recycled Water	c701612	(existing)
Recycled Water Feasibility Study	c701611	(existing)
Repair Irrigation along Riverwalk	c301901	(existing)
Riverside Ave Utility Underground Phase II	c401208	(existing)

2020-2024 Capital Investment Program
Existing and New Projects that support Implementation of the Climate Adaptation Plan Update

San Lorenzo Park Improvements	c301614	(existing)
San Lorenzo Park Redesign	c301907	(existing)
San Lorenzo River Lagoon Management Program	c601403	(existing)
San Lorenzo River Levee Storm Drain Maintenance	c401314	(existing)
San Lorenzo River Pump Station #2	c401207	(existing)
Seabright Beach CMP Replacement	c401803	(existing)
Sgt. Derby Park Irrigation Renovation	c302028	(new)
SLR Flood Control Environ Rest Project	c409512	(existing)
Soquel/Pine Storm Drain	c402002	(new)
Source Water Data Project	c701608	(existing)
State Route 1 Bridge Replacement	c401402	(existing)
Trevethan Storm Drain Project - Phase 1	c401307	(existing)
Wastewater Treatment Facility Infrastructure and Major Equipment	c401706	(existing)
Water Supply Augmentation	c701705	(existing)
West Cliff Drive Multi-use Path Pavement Rehabilitation	m400819	(existing)
West Cliff Drive Revetment Repair	c401501	(existing)
West Cliff Stair Repair at Indicators	m401402	(existing)
Wharf Commons Surfacing Improvements	c302002	(new)
Wharf Master Plan Implementation Projects	c302036	(new)
Wharf Public Landing # 2 Replacement	c302033	(new)
WWTF-Reclaim Water	c401604	(existing)

2020-2024 Capital Investment Program
Existing and New Projects that support Implementation of the Climate Action Plan

Advanced Metering Infrastructure	c701603	(existing)
Bay - West Cliff Intersection Improvements	c401905	(existing)
Bay and King Streets Protected – Turns and Streetlights	c401616	(existing)
Bay High Intersection Improvements	c401103	(existing)
Bay Street Sidewalk Completion Infill	c401615	(existing)
Building Energy Efficiency Advance (CEC Grant)	c401814	(new)
Citywide Safe Routes to School Crossing Improvements	c401617	(existing)
Citywide Traffic Signal Controller Upgrade	c401602	(existing)
Civic Auditorium Theatre Lighting Improvements	c301604	(existing)
DeLaveaga Park Ballfield Lighting	c302005	(new)
Delaware - Swift Intersection Improvements	c401904	(existing)
Downtown Bike Locker Replacement Program	c401812	(existing)
Downtown Intersection Improvements	c401903	(existing)
EV charging station expansion	c101904	(existing)
Farmers Market Structure*	c511901	(existing)
Food Waste Collection and Conversion	c401204	(existing)
Garfield Park Irrigation	c301911	(existing)
Harvey West Ballfield Lighting	c302001	(new)
HSIP Crossing Improvements	c401801	(existing)
Irrigation System Improvements	c302012	(new)
Landfill Master Plan Development	c401909	(existing)
Market Street Bike lanes and Sidewalk	c401806	(existing)
Mission Street Improvement Plan	c402001	(new)
MB Sanctuary Scenic Trail (Rail Trail) Segment 7	c401413	(existing)
MB Sanctuary Scenic Trail (Rail Trail) Segments 8 & 9	c401804	(existing)
Murray Street Bridge Seismic Retrofit and Barrier Rail	c409321	(existing)
Ocean / Water Intersection Improvements	c401410	(existing)
Pacific Avenue Sidewalk - Front to Second	c401902	(existing)
Pacific-Front Intersection Improvements	c401906	(existing)
Parks and Recreation Master Plan	c301528	(existing)
Photovoltaic Systems Evaluations/Construction	c701607	(existing)
Public Facilities –Maintenance - CEC	m609195	(existing)
Recycled Water	c701611	(existing)
Recycled Water- SDC	c701612	(existing)
Repair Irrigation along Riverwalk	c301901	(existing)
Replace Station #2 Natural Gas Furnace - CEC	c211607	(existing)
Retrofit Admin Lighting - CEC	c211601	(existing)
Retrofit Exterior Lighting (City-Wide) - CEC	c601715	(existing)
Retrofit Station #1 Lighting - CEC	c211604	(existing)

2020-2024 Capital Investment Program
Existing and New Projects that support Implementation of the Climate Action Plan

Retrofit Station #3 Lighting - CEC	c211609	(existing)
Riverside – Second Intersection Improvements	c401105	(existing)
San Lorenzo River Walk Lighting	c401910	(existing)
Sgt. Derby Park Irrigation Renovation	C302028	(new)
SLR Parkway Phase III / Trestle Trail	c401807	(existing)
Solar PV at DeLaveaga Park	c101903	(existing)
Solar PV at Landfill	c101902	(existing)
Solar PV Expansion at Corp Yard	c101901	(existing)
Swanton Blvd Multi Use Trail Connector	c401805	(existing)
Trails Study	c301908	(existing)
Trolley Acquisition	c511704	(existing)
UCSC-City Transportation Improvements & Studies	c401008	(existing)

*This project implements the Climate Action Plan if it includes solar PV panels on the canopy structure.

City of Santa Cruz
 Capital Investment Program
 Fiscal Years 2020-2024
 Unfunded General Fund Projects

		ESTIMATED TOTAL
1	<u>Priority level 1:</u>	
	c211901 Fire Station 1 Rear Driveway	24,000
	c211907 Fire Station 3 Rear Driveway	30,000
	c401505 Chestnut Street Storm Drain Replacement	25,000
	c401803 Seabright Beach CMP Replacement	25,000
	c401911 Police Headquarters Piping Repair	275,000
	c601701 Corp Yard Stormwater Pollution Prevention Plan and Implementation	34,500
	Total Priority Level 1 Unfunded Projects:	413,500
2	<u>Priority level 2:</u>	
	c302003 Civic Roof Repair	260,000
	c302001 Harvey West Ballfield Lighting	800,000
	c401501 West Cliff Drive Revetment	250,000
	m609195 Public Facilities - Maintenance	495,000
	Total Priority Level 2 Unfunded Projects:	1,805,000
3	<u>Priority level 3:</u>	
	c101901 Solar PV Expansion at Corp Yard	109,250
	c211908 Fire Station 3 Rear Expansion-Apparatus Bay	350,000
	Total Priority Level 3 Unfunded Projects:	459,250
	Total General Fund Unfunded Projects:	2,677,750

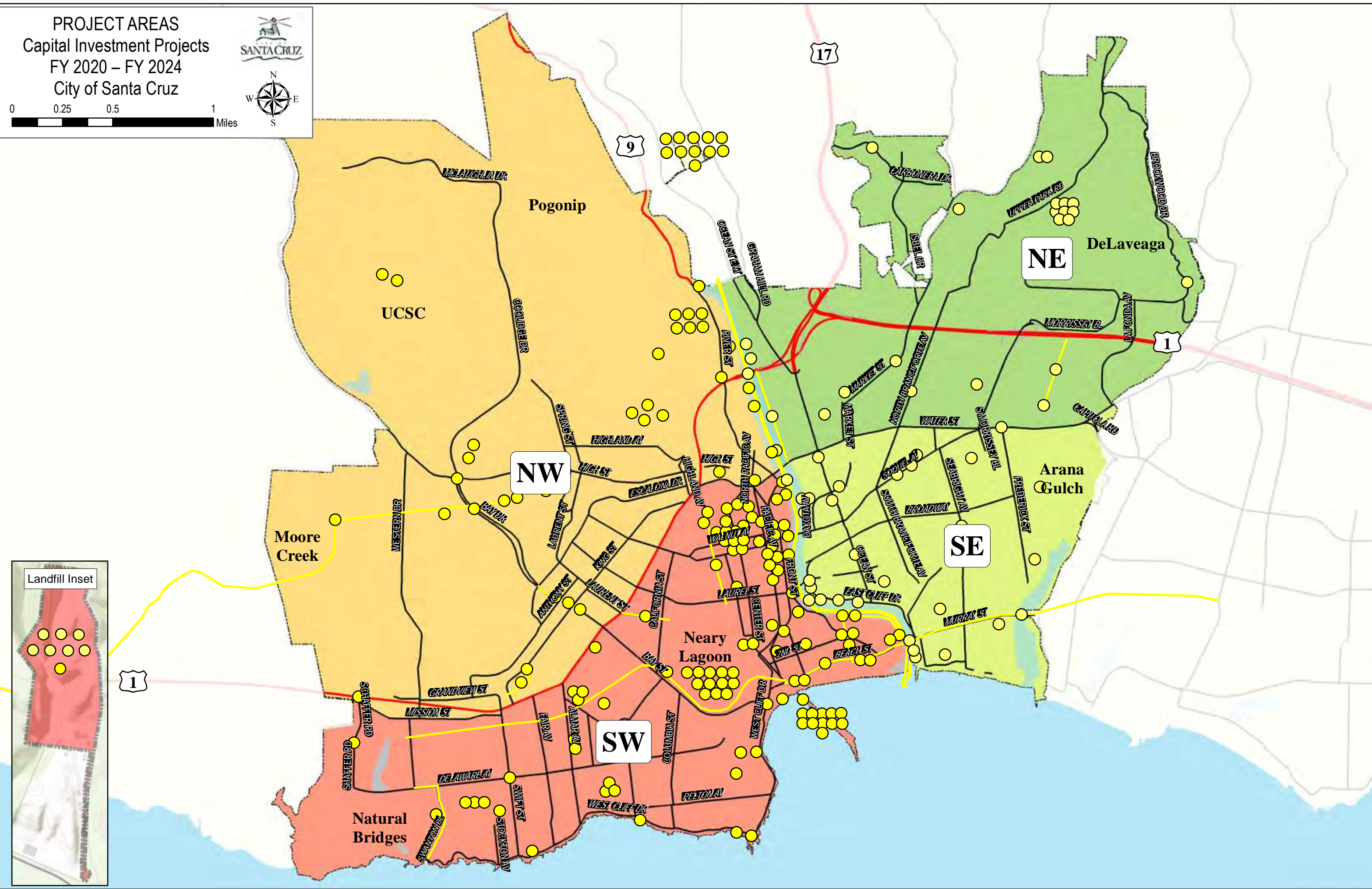
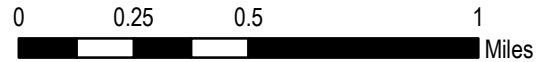
**City of Santa Cruz
Capital Investment Program
Fiscal Years 2020-2024
Other Unprioritized Unfunded Projects**

	ESTIMATED TOTAL
<u>City Manager Department:</u>	
Solar PV Installation at DeLaveaga Park	898,800
Total City Manager Department Unfunded Projects:	898,800
<u>Economic Development:</u>	
Pacific Avenue Beautification Project	2,500,000
Downtown Mixed Use Project	338,000
Total Economic Development Department Unfunded Projects:	2,838,000
<u>Fire Department:</u>	
Fire Department Training Center	2,000,000
Fire Administration Security Fencing	75,000
Fire Station 2 (Eastside) Exercise & Storage Facility	250,000
Fire Station 2 Replacement	5,500,000
Marine Safety Headquarters Replacement	3,750,000
Total Fire Department Unfunded Projects:	11,575,000
<u>Parks and Recreation Department:</u>	
Pogonip Clubhouse Renovation	5,000,000
Delaveaga Park Ballfield Lighting	345,000
Civic Auditorium Renovations - (\$2 to \$22 million)	2,000,000
Wharf Master Plan Implementation - (\$1 to \$20 million)	1,000,000
Total Parks and Recreation Department Unfunded Projects:	8,345,000
<u>Public Works Department:</u>	
<u>Citywide Storm Drains:</u>	
Branciforte Creek Scour Repair and Maintenance	1,500,000
City Hall Parking Lot Repairs	80,000
Curtis Street Storm Drain Phase II	500,000
Data Center Cooling	80,000
Grant Street Storm Drain Installation	400,000
Ladera Storm Drain Improvements	75,000
Mission Street Extension Storm Drain	100,000
Pogonip Creek Sedimentation Removal	100,000
Storm Drain Master Plan Projects	5,000,000
Soquel-Pine Street Improvements and Storm Drain	1,200,000
San Lorenzo River Gravity Outlet System Rehabilitation	400,000
San Lorenzo River Pump Station Rehab and Upgrades	2,000,000
Total Citywide Storm Drains Unfunded Projects:	11,435,000

**City of Santa Cruz
Capital Investment Program
Fiscal Years 2020-2024
Other Unprioritized Unfunded Projects**

	ESTIMATED TOTAL
<u>Transportation Improvements:</u>	
Active Transportation Plan Implementation	135,000,000
Beach Street Streetscape	2,000,000
Cooper Street Streetscape	200,000
Downtown Side Street Streetscape	2,500,000
East Cliff Drive Walkway and Railing Repair (Seabright to 4th)	1,000,000
East Cliff Drive Walkway Widening (end of levee to Buena Vista)	1,000,000
Laurel Street Improvements - Front to Chestnut	3,000,000
MB Sanctuary Scenic Trail (Rail Trail) Segment 9 (Design & Enviro in CIP)	11,900,000
Miscellaneous Traffic Signals and Projects	2,000,000
Neighborhood Traffic Calming Improvements	2,500,000
Ocean Street Improvements	6,000,000
Pacific Avenue Streetscape - Laurel to Beach	2,000,000
Sidewalks and Access Ramps (some are in ATP above)	10,000,000
State Route 1/Bay & Chestnut-King Improvements	3,000,000
State Rte 1 Bridge Replacement (some in CIP)	16,000,000
Street Overlay and Reconstruction (In addition to Measure H, D and Grants)	30,000,000
Third Street Walkway /Front Street Slope Stabilization and Repair	500,000
West Cliff Dr. Stair Rehabilitation (in proposed CIP)	100,000
West Cliff Drive Revetments (some in proposed CIP)	4,250,000
Unimproved Streets	9,000,000
Total Transportation Improvements Unfunded Projects:	241,950,000
<u>Citywide Improvements</u>	
Facilities Master Plan	30,500,000
Total Citywide Improvements Unfunded Projects:	30,500,000
Total Public Works Department Unfunded Projects:	283,885,000
Total Unfunded Projects Citywide:	310,219,550

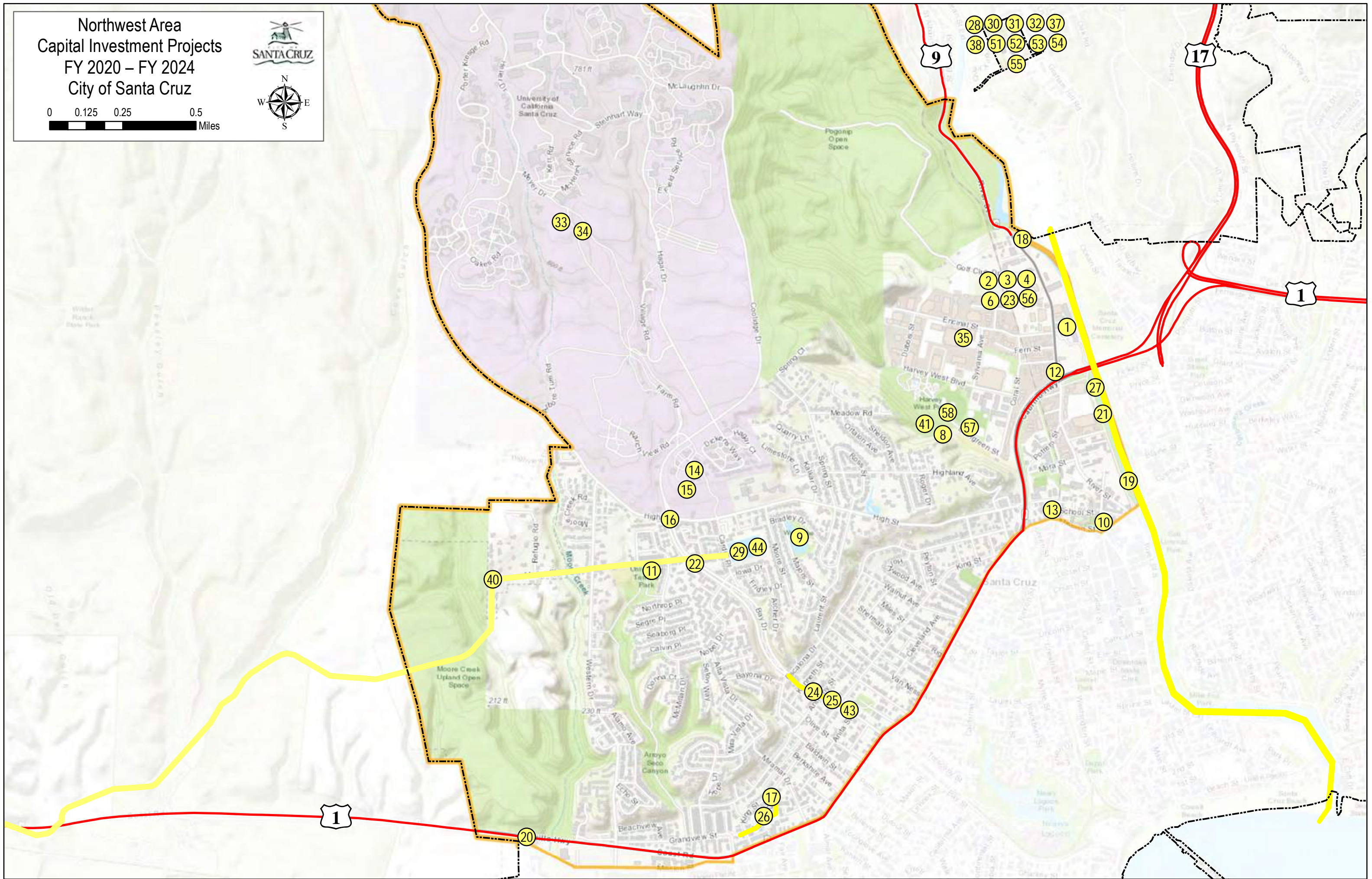
PROJECT AREAS
Capital Investment Projects
FY 2020 – FY 2024
City of Santa Cruz



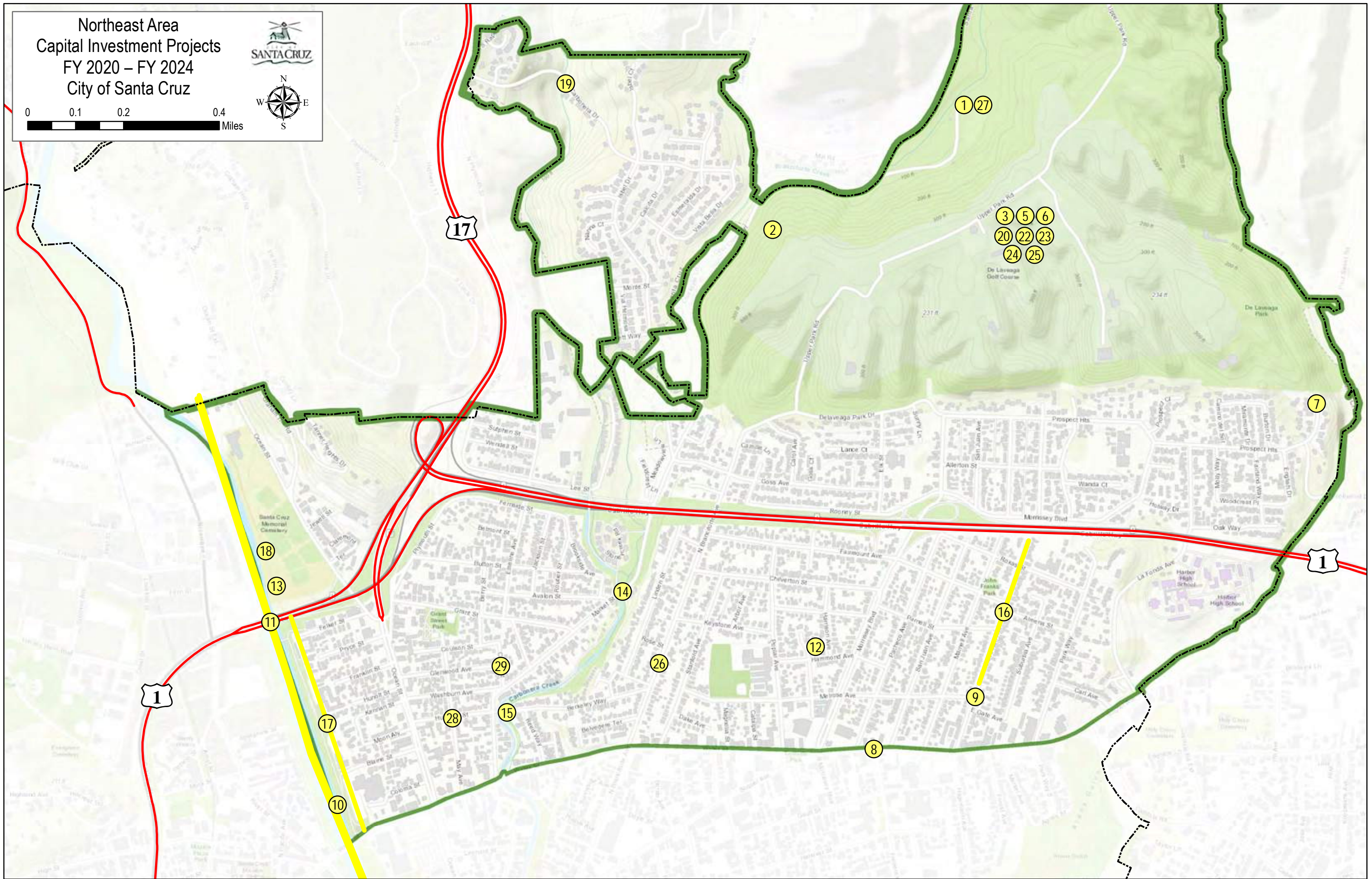
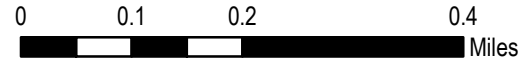
Northwest Area
Capital Investment Projects
FY 2020 – FY 2024
City of Santa Cruz



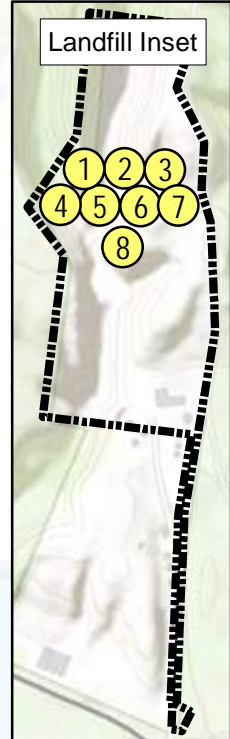
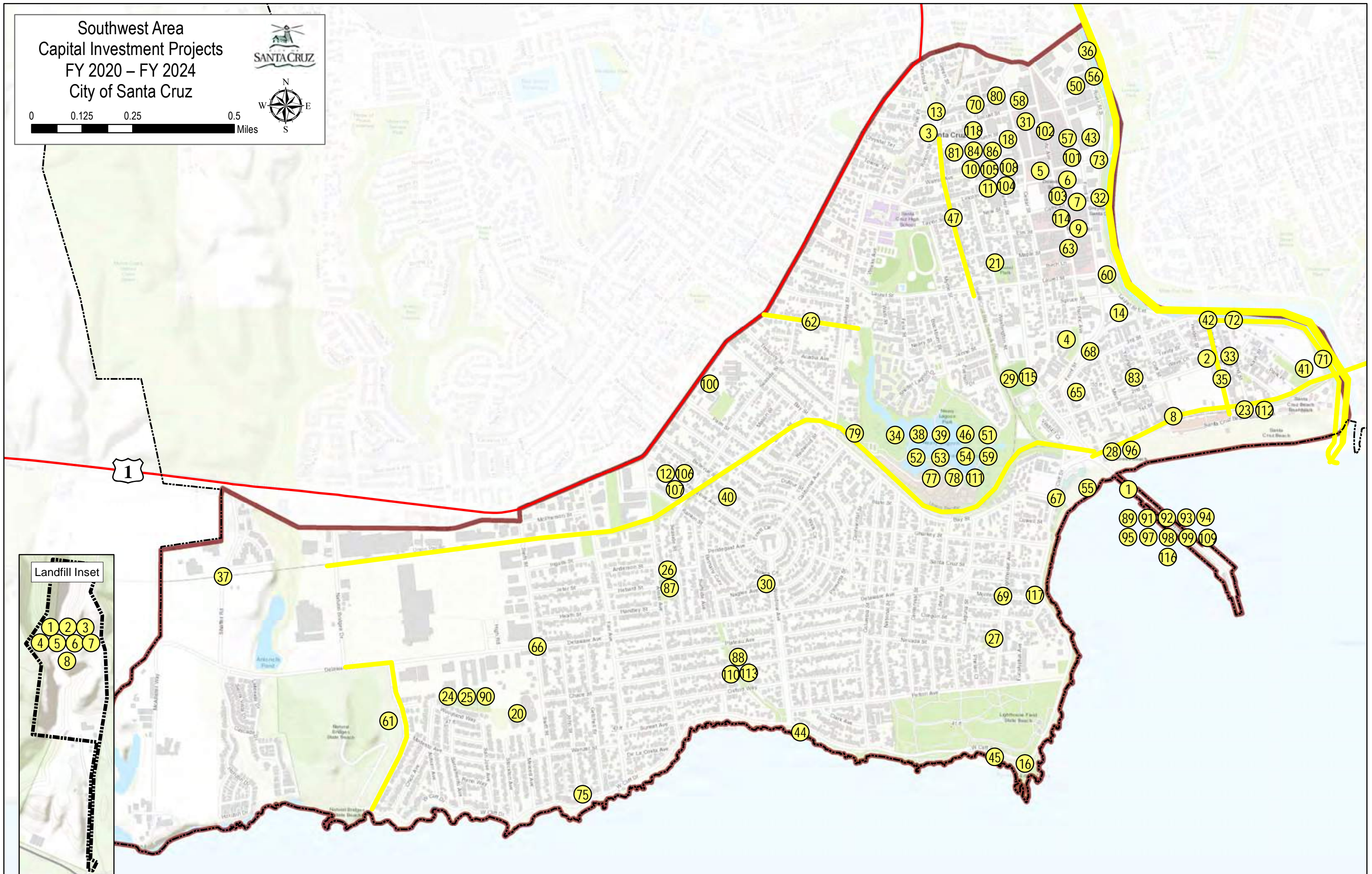
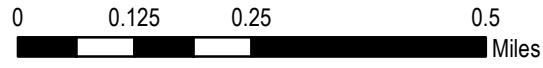
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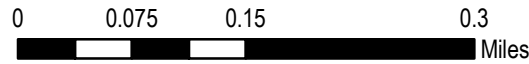
Northeast Area
Capital Investment Projects
FY 2020 – FY 2024
City of Santa Cruz



Southwest Area
Capital Investment Projects
FY 2020 – FY 2024
City of Santa Cruz



Southeast Area
Capital Investment Projects
FY 2020 – FY 2024
City of Santa Cruz



Northwest Quadrant

Map #	Project Name	CIP #
1	Tannery Landscaping	c511706
2	CEC Grant- Bldg Energy Efficiency Advance	c401814
3	Corporation Yard Main Bldg. Seismic	c601101
4	Corp Yard Solar Upgrade	c601501
6	Corp Yard Stormwater Pollution Prevention Plan and Implementation	c601701
8	Harvey West Playground	c301902
9	Westlake Path	c301903
10	Repaint Townclock	c301904
11	University Terrace Restrooms	c301905
12	Route 1/9 Imp	c400805
13	Mission Street Hill Utility Undergrounding	c401004
14	UCSC City Transportation Improvements	c401008
15	UCSC City Clue Public Transportation	c401009
16	Bay/High Intersection	c401103
17	Ladera Drive Sewer	c401305
18	Pogonip Creek Sedimentation Removal	c401306
19	San Lorenzo River Levee Storm Drain Maintenance	c401314
20	Shaffer Rd RR Xing & Rte 1 Traffic Signal	c401403
21	SLR Parkway/Levee Imp	c401416
22	Bay St Storm Damage Repair	c401507
23	CNG Fueling Station and Fleet Maintenance Shop Safety Improvements	c401613
24	Bay Street Sidewalk Completion Infill	c401615
26	Ladera Drive Storm Drain Improvement	c401901
27	SLR Lagoon MGMT	c601403
28	Water Treatment Upgrades	c700025
29	Bay Street Reservoir Reconstruction	c700313
30	WTP Filter Rehabilitation and Upgrades	c701303

Northwest Quadrant (continued)

Map #	Project Name	CIP #
31	WTP Concrete Tank Assessment and Rehabilitation	c701501
32	WTP Flocculator Mixers	c701502
33	University Tank 4 Rehab/Replacement	c701505
34	Recoat University Reservoir No. 5	c701506
35	Spoils and Stockpile Handling Facilities Impro	c701508
37	Aquifer Storage and Recovery	c701609
38	Aquifer Storage and Recovery-SDC	c701610
40	North Coast System Rehabilitation	c709835
41	Harvey West Clubhouse Access	c302008
42	Bay and King Streets Protected Left-Turns and Streetlights	c401616
43	HSIP Cycle 8 Crossing Improvements	c401801
44	Bay Street Reservoir Reconstruction	c700027
49	CPS & SLR Diversion Rehab	c701903
51	GHWTP CC Tanks Replacement	c701501
52	GHWTP Flocculator Rehab/Replacement	c701502
53	Recycled Water Feasibility Study	c701611
54	Recycled Water	c701612
55	Felton Diversion Pump Station Assessment	c701906
56	Solar PV Expansion at Corp Yard	c101901
57	Harvey West Ballfield Lighting	c302001
58	Harvey West Ballfield Lighting	c302004

Northeast Quadrant

Map #	Project Name	CIP #
1	DeLaveaga Park Parking Lot Rehabilitation	c300901
2	DeLaveaga Park Watershed Management	c301203
3	DeLaveaga Golf Course Facility Improvements	c301213
5	Delaveaga Golf Course Public Restroom Improvements	c301513
6	DeLaveaga Golf Course Building Remodel	c301801
7	Brookwood Dr. Repair	c301804
8	Soquel @ Frederick Widening	c401003
9	Trevethan Storm Drain Project - Phase 1	c401307
10	San Lorenzo River Levee Storm Drain Maintenance	c401314
11	Route 1 Bridge Replacement	c401402
12	Fairmount/Harrison Sewer Main Upgrade	c401404
13	SLR Parkway/Levee Imp	c401416
14	Market Street Sidewalk and Bike Lane	c401806
15	Branciforte Creek Sewer Siphon Relocation	c401808
16	Trevethan Ave Sewer	c401810
17	SLR Walk Lighting	c401910
18	SLR Lagoon MGMT	c601403
19	Pump Station P11-SP101 Modifications	m401601
20	Pressure Regulation Stations	c701703
22	Sand Trap Renovations	c302011
23	Irrigation System Improvements	c302012
24	Driving Range Improvements	c302022
25	Facility Improvements	c302023
26	HSIP Cycle 8 Crossing Improvements	c401801
27	Delaveaga Park Ballfield Lighting	c302005
28	May/Coulson/Berry Trunk Sewer	c402003
29	Curtis Street Storm Drain Phase II	c401802

Southwest Quadrant

Map #	Project Name	CIP #
1	Wharf Ticketing Booths/ Gates	c511502
2	Riverside Ave Underground Utility	c401208
3	Broadband Infrastructure	c511501
4	Lower Pacific Avenue Improvements	c511702
5	Downtown Alley Improvements	c511703
6	Trolley Acquisition	c511704
7	Farmers Market Structure	c511901
8	Citywide Sign Program Phase II	r521205
9	Metro Center Housing	r521301
10	Retrofit Fire Admin Lighting - CEC	c211601
11	Retrofit Station #1 Lighting - CEC	c211604
12	Retrofit Station #3 Lighting - CEC	c211609
13	City Hall Parking Lot Repairs	c601301
14	Arena Capital Improvements	c601402
16	Surfing Museum Improvements	c301522
18	Civic Auditorium Theatre Lighting Improvements	c301604
20	Natural Bridges Gymnasium Floor Repair, Replacement	c301702
21	Louden Nelson CC Improvements	c301703
23	Trails Study	c301908
24	Sgt Derby Pickleball Courts	c301909
25	Sgt Derby Improvements	c301910
26	Garfield Park Irrigation	c301911
27	Lighthouse Avenue Park Playground Enhancement	c301912
28	Beach Street Restrooms	c301913
29	Bicycle Trip Bike Park	c301914

Southwest Quadrant (continued)

Map #	Project Name	CIP #
30	Garfield Park Measure S Facility Remodel	c351802
31	Downtown Branch Measure S	c351803
32	Lot 7, Front/Cathcart Rehab	c400007
33	Riverside Second St improvements	c401105
34	Food Waste Collection and Conversion	c401204
35	Riverside Ave Improvements Phase II	c401208
36	San Lorenzo River Levee Storm Drain Maintenance	c401314
37	Shaffer Rd RR Xing & Rte 1 Traffic Signal	c401403
38	WWTF Transformer Replacement	c401405
39	WWTF Water Piping Rehab	c401407
40	MB Sanctuary Scenic Trail (Rail Trail) - Segment 7	c401413
41	SLR Parkway/Levee Imp	c401416
42	SLR Parkway/Levee Imp	c401416
43	SLR Parkway/Levee Imp	c401416
44	West Cliff Drive Revetment Repair	c401501
45	West Cliff Drive Revetment Repair	c401501
46	WWTF Ultraviolet Disinfection System Replacement	c401504
47	Chestnut St Storm Drain Replacement	c401505
50	River-Front Garage Elevator	c401509
51	WWTF Reclaim Water	c401604
52	WWTF Sewer Main Rehabilitation	c401606
53	WWTF Upgrade Digester Equipment	c401607
54	WWTF Laboratory Modernization	c401608
55	Cowell Beach Water Quality Project	c401614
56	Parking Equipment Replacement	c401703
57	Parking Equipment Replacement	c401704
58	Parking Equipment Replacement	c401705

Southwest Quadrant (continued)

Map #	Project Name	CIP #
59	WWTF Infrastructure and Major Equipment Study	c401706
60	Downtown SLR Drainage System Assessment	c401707
61	Swanton Blvd Multi-use Trail Connector	c401805
62	Laurent St Sewer	c401809
63	Downtown Bike Locker Replacement Program	c401812
65	Pacific Avenue Sidewalk	c401902
66	Delaware-Swift Intersection Imp	c401904
67	Bay - West Cliff Intersection Improvements	c401905
68	Traffic Control Options 555 Pacific	c401906
69	Monterey St and Lighthouse Ave Sewer	c401907
70	Parking Lot Repairs	c601301
71	SLR Lagoon MGMT	c601403
72	SLR Lagoon MGMT	c601403
73	SLR Lagoon MGMT	c601403
75	West Cliff Drive Multi-use Maintenance	m400819
77	WWTF Secondary Clarifiers Repairs	m401303
78	WWTF Equipment Replacement	m409659
79	Nearby Lagoon Park Rehab/Restoration	m409668
80	Union/Locust Building Remodel	c701805
81	Fire Truck (Wild Lands)	c211910
83	Cliff Street Walkway Retaining Wall	c302002
84	Civic Roof repair	c302003
86	Civic Sound system upgrade	c302013
87	Garfield Park Playground	c302017
88	Bethany Curve Path Restoration	c302019
89	Firefish Roof Replacement Phase 2	c302027
90	Sgt. Derby Park Irrigation Renovation	c302028

Southwest Quadrant (continued)

Map #	Project Name	CIP #
91	Wharf Commons Surfacing Improvements	c302029
92	Wharf Maintenance Building Improvements	c302030
93	Agora Commons Surfacing Improvements	c302031
94	Wharf Commons Overhead Walkway Repair & Resurface.	c302032
95	Wharf Public Landing # 2 Replacement	c302033
96	Beach Street Restroom Improvements Phase 2	c302034
97	Wharf Equipment & Maintenance Shed	c302035
98	Wharf Master Plan Implementation Projects	c302036
99	South Commons & Agora Exterior Paint	c302037
100	Mission Street Improvement Plan	c402001
101	Elevator Controls Upgrade	c402004
102	Downtown Mixed Use Project	c512002
103	Pacific Avenue Beautification Project	c512003
104	Fire Station 1 (Downtown) Rear Driveway	c211901
105	Fire Station 1 Traffic Alerting & Warning Lights	c211902
106	Fire Station 3 (Westside) Rear Asphalt Project	c211906
107	Fire Station 3 Front Driveway project	c211907
108	Fire Engine (Wild Land Engine)	c211910
109	Lifeguard Headquarters Repairs	c211911
110	Bethany Curve Park Improvements	c301915
111	WWTF-Bioassay Laboratory	c401605
112	Beach/Cliff Traffic Signal	c401303
113	HSIP Cycle 8 Crossing Improvements	c401801
114	Downtown Intersection Improvements	c401903
115	Police Headquarters Piping Repair	c401911
116	Miramar Demolition and Wharf Piling Replacement	c511705
117	West Cliff Stair Repair	m401402
118	Space Utilization Design for City Hall	c101701

Landfill - Southwest Quadrant (continued)

Map #	Project Name	CIP #
1	Solar PV Installation at the Landfill	c101902
2	Food Waste Collection and Conversion	c401204
3	Recycling Center Dust Control System	c401205
4	Dimeo Lane Paving and Storm Drain	c401312
5	Recycling Building and Equipment Improvement	c401811
6	Gas Probe Remediation	c401908
7	Landfill Master Plan Development	c401909
8	Landfill Design/ Partial Constr Cell 3	c400046

Southeast Quadrant

Map #	Project Name	CIP #
1	Replace Station #2 Natural Gas Furnace - CEC	c211607
2	SLR Mouth and Lagoon Mgmt. Plan	c601403
3	San Lorenzo Park Improvement	c301614
5	Repair Irrigation along Riverwalk	c301901
6	San Lorenzo Park Redesign	c301907
7	Branciforte Measure S Facility Remodel	c351801
8	SL River Pump Station #2	c401207
9	Branciforte Creek Channel Repair and Maintenance	c401313
10	San Lorenzo River Levee Storm Drain Maintenance	c401314
11	SL River Sanitary Sewer Siphon	c401315
12	Ocean/Water Intersection Imp	c401410
13	SLR Parkway/Levee Imp	c401416
14	Seabright Beach CMP Replacment	c401803
15	MB Sanctuary Scenic Trail (Rail Trail) - Segments 8 & 9	c401804
16	SLR Parkway Phase III - Trestle Trail Widening	c401807
17	Murray St Bridge Retrofit	c409321
18	SLR Flood Control Environ Rest Project	c409512
19	Jesse Street Marsh	c409669
20	SLR Mouth and Lagoon Mgmt. Plan	c601403
21	SLR Lagoon MGMT	c601403
22	Hagemann Aerial Sewer Rehabilitation	m401403
24	Ken W Skate Park Coping Replacement	c302006
25	Central Park Playground Upgrades	c302020
26	Frederick Street Park Picnic Area	c302021
27	Soquel/Pine Storm Drain	c402002
29	Sewer Realignment Project	c402005
30	Ocean Street Beautification	c512001
31	HSIP Cycle 8 Crossing Improvements	c401801
32	HSIP Cycle 8 Crossing Improvements	c401801

Unmapped

Project Name	CIP #
Aerators at Loch Lomond	c701706
Brackney Landslide Risk Reduction	c701803
Bridge Maintenance	m401302
Coast Pump Stat. & Tail Well Flood Reduction	c701804
Coast Pump Station Line Repairs	c701707
N. Coast System Rehab - Major Diversion	c701802
N. Coast System Rehab- Laguna Diversion	c701801
Public Facilities - Maintenance/CEC Energy Saving Projects	m609195
River Bank Filtration	c701806
Security Camera & Building Access Upgrades	c701704
Tube Settler Replacement	c701708
Water Supply Augmentation Strategy Implementation	c701705
Median Improvements	c302024
Commons Stage Replacement	c302025
East Parking Lot Paving Project	c302026
Parks and Recreation Master Plan	c301528
City Parks' Repairs and Upgrades	c301612
City Arterial and Collector Street Reconstruction and Overlay	c400809
City Residential and Collector Street Reconstruction and Overlay	c400810
Sewer System Improvements	c401511
Citywide Traffic Signal Controller Upgrade	c401602
Catch Basin Replacement Program	c401610
Sidewalk and Access Ramp Program	c401612
Citywide Safe Routes to School Crossing Improvements	c401617
Electric Vehicle Charging Station Expansion in Public City Parking Lots	c101904
Storm Water Trash Capture Program	c401701

Unmapped (continued)

Project Name	CIP #
CMP Storm Drain Pipe Replacement	c401709
Sidewalk/Access Ramp Program	c409452
Permitting Application	c600802
Neighborhood Grant Program - Pilot	c601401
Retrofit Exterior Lighting (City-Wide) - CEC	c601615
Main Replacements- Engineering Section	c700002
Water Main Replacements -Outside Agency	c700003
Water Main Replacements -Customer Initiated	c700004
Water Transmission System Improvements	c700017
Beltz 10 and 11 Rehab & Development	c700026
University Tank No. 5 Replacement	c701506
Main Replacements- Distribution Section	c701507
Spoils and Stockpile Handling Facilities Impro	c701508
Advanced Metering Infrastructure (AMI)	c701603
Source Water Data Project	c701608
ASR and In-Lieu Feasibility Study	c701609
Aquifer Storage and Recovery	c701610
Water Program Administration	c701901
Programmable Logic Controllers (PLC) Upg	c701905
Facility & Infrastructure Improvements	c701907
N Coast System Repair/Replace -Phase 4	c701908
Main Replacements- Eng Section- Transmission	c709833
N Coast System Repair/Replacement	c709835
Loch Lomond Facilities Improvements	c701301
NCD I/O Replacement Project	c701606
Newell Creek Pipeline Rehab/Replacement	c701701
Spillway Bridge Replacement	c701807
Newell Creek Access Rd Bridge	c701904

Fire Department CIP



City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Fire (EXISTING)

311- General Capital Improvement Fund

Fire Engine (Wild Land Engine)

Project Description:

Fire Engine (Wild Land Engine).

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Account # 311-21-00-9620-57402									
Project # c211910									
Project Cost Estimate:	-	426,588	426,588	-	-	-	-	-	-
Project Funding Estimates:									
From General Fund	-	426,588	426,588	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Fire Station 1 (Downtown) Rear Driveway

Project Description:

Needs immediate attention due to damage to vehicles and apparatus. (Priority level 1 - If funded in FY2020, this project would require \$24,000 from the General Fund.)

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Account # 311-21-00-9610-57309									
Project # c211901									
Project Cost Estimate:	-	-	-	-	-	-	-	-	-
Project Funding Estimates:									
From General Fund	-	-	-	1	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Fire (EXISTING)

311- General Capital Improvement Fund

Fire Station 1 Traffic Alerting & Warning Lights

Project Description:

Flashing lights, signage, and striping to protect Firefighters who are in street backing up the Fire Engine in front of Station 1.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c211902	Account # 311-21-00-9610-57305								
Project Cost Estimate:	-	93,412	93,412	-	-	-	-	-	-
Project Funding Estimates:									
From General Fund	-	93,412	93,412	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Fire Station 3 Front Driveway project

Project Description:

Driveway needs replacing because it is beginning to fail. (Priority level 1 - If funded in FY2020, this project would require \$30,000 from the General Fund.)

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c211907	Account # 311-21-00-9610-57309								
Project Cost Estimate:	-	-	-	-	-	-	-	-	-
Project Funding Estimates:									
From General Fund	-	-	-	1	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Fire (EXISTING)

311- General Capital Improvement Fund

Fire Station 3 Rear Expansion-Apparatus Bay

Project Description:

There is a critical need for vehicle and equipment storage in order to prolong life and secure City property. (Priority level 3 - If funded in FY2020, this project would require \$350,000 from the General Fund.)

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c211908	Account # 311-21-00-9610-57201								
Project Cost Estimate:	-	-	-	-	-	-	-	-	-
Project Funding Estimates:									
From General Fund	-	-	-	3	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Lifeguard Headquarters Repairs

Project Description:

Improvements and repairs to LQ Headquarters, include drywall patching, re-painting, waterproofing the roof, adding insulation where openings occur, adding two additional HVAC duct lines to the hall office and master office, installing new lockers, upgrades to the plumbing as well as electrical upgrades. Furnish and install new lighting and new exhaust fans in both restrooms. Install power for two insta hot water tank heaters installed under the sinks. Furnish and install new tower guard door and window.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c211911	Account # 311-21-00-9610-57290								
Project Cost Estimate:	-	80,000	80,000	-	-	-	-	-	-
Project Funding Estimates:									
Workers Comp Fund	-	80,000	80,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Fire (EXISTING)

311- General Capital Improvement Fund

Replace Station #2 Natural Gas Furnace - CEC

Project Description:

Replace one natural gas furnace with 95% high efficient condensing gas furnaces. Energy savings of 1,223 kWh and 71 therms.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c211607	Account # 311-21-00-9610-57290								
Project Cost Estimate:	-	8,099	8,099	-	-	-	-	-	-
Project Funding Estimates:									
Loan proceeds	2,501	5,598	5,598	-	-	-	-	-	-
Net Project Cost Estimates:	(2,501)	2,501	2,501	-	-	-	-	-	-

Retrofit Fire Admin Lighting - CEC

Project Description:

Comprehensive interior lighting upgrade includes F228T8 fluorescent and LED fixtures where appropriate. Energy savings of 1,854 kWh.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c211601	Account # 311-21-00-9610-57305								
Project Cost Estimate:	-	7,642	8,898	-	-	-	-	-	-
Project Funding Estimates:									
Loan proceeds	-	7,642	7,642	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	1,256	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Fire (EXISTING)

311- General Capital Improvement Fund

Retrofit Station #1 Lighting - CEC

Project Description:

Comprehensive interior lighting upgrade includes F228T8 fluorescent and LED fixtures where appropriate. Energy savings of 3,943 kWh.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c211604	Account # 311-21-00-9610-57305								
Project Cost Estimate:	-	8,253	8,253	-	-	-	-	-	-
Project Funding Estimates:									
Loan proceeds	-	8,253	8,253	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Retrofit Station #3 Lighting - CEC

Project Description:

Comprehensive interior lighting upgrade includes F228T8 fluorescent. Energy savings of 828 kWh.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c211609	Account # 311-21-00-9610-57305								
Project Cost Estimate:	-	2,686	2,686	-	-	-	-	-	-
Project Funding Estimates:									
Loan proceeds	-	2,686	2,686	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for General Capital Improvement Fund (311) Totals

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	-	626,680	627,936	-	-	-	-	-	-
Total Project Funding Estimate:	2,501	624,179	624,179	-	-	-	-	-	-
Total Net Project Cost Estimate:	(2,501)	2,501	3,757	-	-	-	-	-	-

Fire Totals for General Capital Improvement Fund (311)

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	-	626,680	627,936	-	-	-	-	-	-
Total Project Funding Estimate:	2,501	624,179	624,179	-	-	-	-	-	-
Total Net Project Cost Estimate:	(2,501)	2,501	3,757	-	-	-	-	-	-

Fire Totals

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	-	626,680	627,936	-	-	-	-	-	-
Total Project Funding Estimate:	2,501	624,179	624,179	-	-	-	-	-	-
Total Net Project Cost Estimate:	(2,501)	2,501	3,757	-	-	-	-	-	-

Parks & Recreation CIP



Welcome

Central Park

Hours - Sunrise to Sunset Daily
Please be a responsible park user

Not Permitted in Park:

- Attaching bicycles to vegetation or trees
- Smoking
- Camping
- Drinking alcohol
- Glass containers
- Off leash dogs
- Loitering

S.C.M.C.

City of Santa Cruz
Community Planning Department
2015-2016
Central Park
10/15/15

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Agora Commons Surfacing Improvements

Project Description:

Remove asphalt, install waterproof barrier membrane, drains plumbed through the deck, and resurface with decorative concrete

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302031	Account # 311-30-43-9120-57303								
Project Cost Estimate:	-	-	-	-	-	-	180,000	-	180,000
Net Project Cost Estimates:	-	-	-	-	-	-	180,000	-	180,000

Beach Street Restroom Improvements Phase 2

Project Description:

Roof waterproofing & skylight replacement, framing repairs to walls, repair exterior stucco and trim, paint inside and out, new plumbing fixtures & carriers, floor surfacing, and partitions, make ADA corrections to grab-bars and fixtures, construct dumpster.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302034	Account # 311-30-43-9120-57303								
Project Cost Estimate:	-	-	-	-	-	-	-	125,000	125,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	125,000	125,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Bethany Curve Path Restoration

Project Description:

Resurface existing Pathway and add new headerboards.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302019	Account # 311-30-41-9110-57312								
Project Cost Estimate:	-	-	-	-	80,000	-	-	-	80,000
Net Project Cost Estimates:	-	-	-	-	80,000	-	-	-	80,000

Central Park Playground Upgrades

Project Description:

Install drainage, create sub base, install Pour N' Play surfacing, and new play equipment structures.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302020	Account # 311-30-41-9110-57312								
Project Cost Estimate:	-	-	-	-	208,000	-	-	-	208,000
Project Funding Estimates:									
CDBG Fund	-	-	-	-	208,000	-	-	-	208,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Civic Roof repair

Project Description:

Replace the Civic main barrel roof and the flat roof along the parking lot side. (Priority level 2 - If funded in FY2020, this project would require \$260,000 from the General Fund.)

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302003	Account # 311-30-42-9120-57203								
Project Cost Estimate:	-	-	-	-	-	-	-	-	-
Project Funding Estimates:				2					
From General Fund	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Civic Sound system upgrade

Project Description:

Replace the aging EAW main loud speaker system with a used NEXONEXO Geo D10 line array system. Price includes delivery, NEXO NS1 prediction software designed plot to optimize venue.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302013	Account # 311-30-42-9120-57390								
Project Cost Estimate:	-	-	-	-	62,000	-	-	-	62,000
Net Project Cost Estimates:	-	-	-	-	62,000	-	-	-	62,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Cliff Street Walkway Retaining Wall

Project Description:

Replace badly deteriorated wooden retaining wall on the Cliff St. walkway with concrete wall that will be more stable and aesthetically pleasing. Currently portions of the wall have collapsed and are being propped up.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302002	Account # 311-30-41-9110-57312								
Project Cost Estimate:	-	-	-	40,000	-	-	-	-	40,000
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	-	-	-	40,000	-	-	-	-	40,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Commons Stage Replacement

Project Description:

Repair stage substrate and pour new decorative concrete stage and entry ramps with geo-foam underlayment and new aluminum handrails.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302025	Account # 311-30-43-9120-57303								
Project Cost Estimate:	-	-	-	-	-	-	-	60,000	60,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	60,000	60,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Driving Range Improvements

Project Description:

Replace driving range net, reconfigure/replace driving range net poles, replace perimeter cyclone fence, and improve stormwater management features.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302022	Account # 311-30-45-9110-57312								
Project Cost Estimate:	-	-	-	-	-	-	300,000	-	300,000
Net Project Cost Estimates:	-	-	-	-	-	-	300,000	-	300,000

East Parking Lot Paving Project

Project Description:

Repair and refasten decking and substrate as needed, lay down giomat product and pave with polymer infused asphalt.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302026	Account # 311-30-43-9190-57309								
Project Cost Estimate:	-	-	-	-	-	-	-	1,000,000	1,000,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	1,000,000	1,000,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Facility Improvements

Project Description:

Replace roofing on the Lodge and Driving Range buildings. Paint all buildings.

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals						
Project # c302023	Account # 311-30-45-9120-57203								
Project Cost Estimate:	-	-	-	-	200,000	-	-	-	200,000
Net Project Cost Estimates:	-	-	-	-	200,000	-	-	-	200,000

Firefish Roof Replacement Phase 2

Project Description:

Replace mechanical equipment, plumbing, and electrical wiring as needed, and replace existing comp roof membrane with IB 80 mil welded membrane on remaining section of the Firefish roof replacement Project.

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals						
Project # c302027	Account # 311-30-43-9120-57303								
Project Cost Estimate:	-	-	-	-	125,000	-	-	-	125,000
Net Project Cost Estimates:	-	-	-	-	125,000	-	-	-	125,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Frederick Street Park Picnic Area

Project Description:

Create, install and provide proper drainage and terracing of the picnic area. Includes new orientation and a designated path of travel to the upper harbor area.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302021	Account # 311-30-41-9110-57312								
Project Cost Estimate:	-	-	-	-	285,000	-	-	-	285,000
Net Project Cost Estimates:	-	-	-	-	285,000	-	-	-	285,000

Garfield Park Playground

Project Description:

Remove existing sand from Playground and replace with Poured in Place Surfacing.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302017	Account # 311-30-41-9110-57312								
Project Cost Estimate:	-	-	-	-	110,000	-	-	-	110,000
Net Project Cost Estimates:	-	-	-	-	110,000	-	-	-	110,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Harvey West Ballfield Lighting

Project Description:

Replacement of existing lighting and wooden poles with LED lighting system. Cost includes installation. (Priority level 2 - If funded in FY2020, this project would require \$800,000 from the General Fund.)

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302001	Account # 311-30-41-9110-57305								
Project Cost Estimate:	-	-	-	-	-	-	-	-	-
Project Funding Estimates:									
From General Fund	-	-	-	2	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Harvey West Clubhouse Access

Project Description:

Improve accessibility around the outside seating area of the HW Clubhouse.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302008	Account # 311-30-41-9110-57312								
Project Cost Estimate:	-	-	-	-	48,000	-	-	-	48,000
Net Project Cost Estimates:	-	-	-	-	48,000	-	-	-	48,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Irrigation System Improvements

Project Description:

Golf Course Irrigation System Improvements.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302012	Account # 311-30-45-9110-57312								
Project Cost Estimate:	-	-	-	-	50,000	50,000	50,000	50,000	200,000
Net Project Cost Estimates:	-	-	-	-	50,000	50,000	50,000	50,000	200,000

Ken W Skate Park Coping Replacement

Project Description:

Complete replacement of the aging coping around the skate pools.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302006	Account # 311-30-41-9110-57312								
Project Cost Estimate:	-	-	-	55,000	-	-	-	-	55,000
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	-	-	-	55,000	-	-	-	-	55,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Median Improvements

Project Description:

Median hardscape improvements throughout the City.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302024	Account # 311-30-41-9130-57312								
Project Cost Estimate:	-	-	-	-	50,000	50,000	50,000	50,000	200,000
Net Project Cost Estimates:	-	-	-	-	50,000	50,000	50,000	50,000	200,000

Sand Trap Renovations

Project Description:

Design/build project to renovate golf course sand traps. Improve layout, drainage, and stormwater management.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302011	Account # 311-30-45-9110-57312								
Project Cost Estimate:	-	-	-	-	50,000	50,000	50,000	-	150,000
Net Project Cost Estimates:	-	-	-	-	50,000	50,000	50,000	-	150,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Sgt. Derby Park Irrigation Renovation

Project Description:

Relocate and redesign irrigation system to increase effectiveness and efficiency of water controls.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302028	Account # 311-30-41-9110-57312								
Project Cost Estimate:	-	-	-	-	-	40,000	-	-	40,000
Net Project Cost Estimates:	-	-	-	-	-	40,000	-	-	40,000

Wharf Commons Surfacing Improvements

Project Description:

Remove asphalt, install waterproof barrier membrane, drains plumbed through the deck, and resurface with decorative concrete

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302029	Account # 311-30-43-9120-57303								
Project Cost Estimate:	-	-	-	-	-	400,000	-	-	400,000
Net Project Cost Estimates:	-	-	-	-	-	400,000	-	-	400,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Wharf Equipment & Maintenance Shed

Project Description:

Erection of a 2000 sq. ft. steel building at the Wharf Corporation Yard after establishment of a longterm lease with RTC to garage heavy equipment and provide space for maintenance work projects out of the weather.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302035	Account # 311-30-43-9120-57201								
Project Cost Estimate:	-	-	-	-	-	-	-	230,000	230,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	230,000	230,000

Wharf Maintenance Building Improvements

Project Description:

Replace two roll-up doors and frames; replace building siding; improve building security and storage capacity.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302030	Account # 311-30-43-9120-57303								
Project Cost Estimate:	-	-	-	-	-	-	100,000	-	100,000
Net Project Cost Estimates:	-	-	-	-	-	-	100,000	-	100,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Wharf Master Plan Implementation Projects

Project Description:

Easr Walkway, Small Boat Landings, Entrance Gate and Improvements, South Large Boat Landing, South End Structural Improvements, and West Walkway.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302036	Account # 311-30-43-9110-57303								
Project Cost Estimate:	-	-	-	-	35,000	35,000	35,000	35,000	140,000
Net Project Cost Estimates:	-	-	-	-	35,000	35,000	35,000	35,000	140,000

Wharf Public Landing # 2 Replacement

Project Description:

Rebuild landing structural substrate, Install slewing davit, ADA compliant gangplanks, flow-through decking on stationary landing and engineered floating dock section.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c302033	Account # 311-30-43-9120-57303								
Project Cost Estimate:	-	-	-	-	-	225,000	-	-	225,000
Net Project Cost Estimates:	-	-	-	-	-	225,000	-	-	225,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (NEW)

311- General Capital Improvement Fund

Wharf Commons Overhead Walkway Repair & Resurface.

Project Description:

Remove pavers and reappear wood framing, install decorative concrete surfacing with trench drains connected to down spouts, replace all hand rails with ADA compliant hand rails.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c302032									Account # 311-30-43-9120-57303
Project Cost Estimate:	-	-	-	-	-	-	225,000	-	225,000
Net Project Cost Estimates:	-	-	-	-	-	-	225,000	-	225,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

New Capital Projects for General Capital Improvement Fund (311) Totals

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	-	-	-	95,000	1,303,000	850,000	990,000	1,550,000	4,788,000
Total Project Funding Estimate:	-	-	-	95,000	208,000	-	-	-	303,000
Total Net Project Cost Estimate:	-	-	-	-	1,095,000	850,000	990,000	1,550,000	4,485,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

Beach Street Restrooms

Project Description:

Renovate the Beach Street Restrooms.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301913	Account # 311-30-41-9120-57203								
Project Cost Estimate:	-	100,000	100,000	-	-	-	-	-	-
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	-	100,000	100,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Bethany Curve Park Improvements

Project Description:

Improvements to Bethany Curve park as part of Coastal Permit mitigation.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301915	Account # 311-30-41-9110-57312								
Project Cost Estimate:	-	40,000	40,000	-	-	-	-	-	-
Project Funding Estimates:									
Capital contributions-developers	-	40,000	40,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

Bicycle Trip Bike Park

Project Description:

Redesign, replace, and enhance the existing bicycle park facility at Depot Park.

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals						
Project # c301914	Account # 311-30-41-9110-57312								
Project Cost Estimate:	-	72,512	72,512	-	-	-	-	-	-
Project Funding Estimates:									
CDBG Fund	-	72,512	72,512	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

Brookwood Dr. Repair

Project Description:

Repair of Brookwood Drive service road located in DeLaveaga Park which provides emergency access for the 911 Center and residents. The road received extensive damage from the winter storms of 2016 and the repair is partially funded by Cal OES.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c301804	Account # 311-30-41-9150-57390								
Project Cost Estimate:	246,238	-	-	-	-	-	-	-	-
Project Funding Estimates:									
Quimby NE Quadrant	50,000	-	-	-	-	-	-	-	-
Parks and Rec Facilities Tax Fund	25,000	-	-	-	-	-	-	-	-
From General Fund	3,347	-	-	-	-	-	-	-	-
OES disaster relief	18,132	149,756	149,756	-	-	-	-	-	-
Net Project Cost Estimates:	149,759	(149,756)	(149,756)	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and recreation (EXISTING)

311- General Capital Improvement Fund

City Parks' Repair and Maintenance

Project Description:

Establish funding for unexpected and unforeseen projects throughout City parks.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301612	Account # 311-30-41-9110-57312								
Project Cost Estimate:	109,874	25,000	25,000	-	-	-	-	-	-
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	55,414	25,000	25,000	-	-	-	-	-	-
Net Project Cost Estimates:	54,460	-	-	-	-	-	-	-	-

Civic Auditorium Theatre Lighting Improvements

Project Description:

Improvements to theatre lighting in the Civic Auditorium

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301604	Account # 311-30-41-9120-57290								
Project Cost Estimate:	62,445	4,555	4,555	-	-	-	-	-	-
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	62,445	4,555	4,555	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

DeLaveaga Golf Course Building Remodel

Project Description:

Structural repairs to the Golf Course Lodge which include the upstairs deck and stairways.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301801	Account # 311-30-41-9110-57203								
Project Cost Estimate:	415,437	625,151	625,151	-	-	-	-	-	-
Project Funding Estimates:									
From General Fund	-	625,151	625,151	-	-	-	-	-	-
Net Project Cost Estimates:	415,437	-	-	-	-	-	-	-	-

DeLaveaga Golf Course Facility Improvements

Project Description:

Repairs and improvements to golf course facilities such as roof repair, plumbing, and painting.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301213	Account # 311-30-45-9190-57106								
Project Cost Estimate:	190,560	9,440	9,440	-	-	-	-	-	-
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	125,169	9,440	9,440	-	-	-	-	-	-
Net Project Cost Estimates:	65,391	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

Delaveaga Golf Course Public Restroom Improvements

Project Description:

Improvements to the public restrooms at Delaveaga Golf Course Lodge.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301513	Account # 311-30-41-9120-57203								
Project Cost Estimate:	58,828	1,172	1,172	-	-	-	-	-	-
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	58,828	1,172	1,172	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

DeLaveaga Park Parking Lot Rehabilitation

Project Description:

Remove old asphalt, fix drainage, apply asphalt and slurry seal, and re-stripe.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c300901	Account # 311-30-41-9110-57309								
Project Cost Estimate:	200,000	-	-	-	-	-	-	-	-
Project Funding Estimates:									
Quimby NE Quadrant	200,000	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

DeLaveaga Park Watershed Management

Project Description:

Provides for watershed management for DeLaveaga Park.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301203	Account # 311-30-41-9110-57312								
Project Cost Estimate:	50,549	-	-	-	-	-	-	-	-
Project Funding Estimates:									
Quimby NE Quadrant	50,549	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Garfield Park Irrigation

Project Description:

Renovate Garfield Park irrigation.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301911	Account # 311-30-41-9110-57390								
Project Cost Estimate:	-	15,000	15,000	-	-	-	-	-	-
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	-	15,000	15,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

Harvey West Playground

Project Description:

Renovate the Harvey West Playground and add ADA features.

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Account # 311-30-41-9110-57312									
Project # c301902									
Project Cost Estimate:	-	125,000	125,000	-	-	-	-	-	-
Project Funding Estimates:									
Quimby NW Quadrant	-	125,000	125,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Lighthouse Avenue Park Playground Enhancement

Project Description:

Renovate the playground at Lighthouse Avenue Park.

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Account # 311-30-41-9110-57312									
Project # c301912									
Project Cost Estimate:	-	30,000	30,000	-	-	-	-	-	-
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	-	30,000	30,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

Louden Nelson Community Center Improvements

Project Description:

Improvements to Louden Nelson Community Center funded by grant from Department of Housing and Community Development.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301703	Account # 311-30-43-9210-57203								
Project Cost Estimate:	586,271	-	-	-	-	-	-	-	-
Project Funding Estimates:									
State capital grants	572,675	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	(1,404)	-	-	-	-	-	-	-	-

Natural Bridges Gymnasium Floor Repair, Replacement

Project Description:

Natural Bridges Elementary School gymnasium is used by City Parks and Recreation for adult and youth sports programs. Located in the southwest quadrant of the City, we request allocation of parks fees and taxes received from this quadrant for the improvements. The expenses related to this project will be shared with the school.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301702	Account # 311-30-41-9210-57203								
Project Cost Estimate:	-	10,000	10,000	-	-	-	-	-	-
Project Funding Estimates:									
Quimby SW Quadrant	-	10,000	10,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

Parks and Recreation Master Plan

Project Description:

Develop a Parks and Recreation Master Plan that helps guide the Department into the future.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301528	Account # 101-50-72-1303-52156								
Project Cost Estimate:	144,281	56,095	56,095	-	-	-	-	-	-
Project Funding Estimates:									
From General Plan Fund	-	-	-	-	-	-	-	-	-
Parks and Rec Facilities Tax Fund	14,304	-	-	-	-	-	-	-	-
Quimby NW Quadrant	35,697	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	94,280	56,095	56,095	-	-	-	-	-	-

Repaint Townclock

Project Description:

Repaint all painted exterior surfaces of Town Clock.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301904	Account # 311-30-41-9120-57290								
Project Cost Estimate:	-	7,500	7,500	-	-	-	-	-	-
Project Funding Estimates:									
Quimby NW Quadrant	-	7,500	7,500	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

Repair Irrigation along Riverwalk

Project Description:

Repair existing irrigation along the Santa Cruz Riverwalk.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301901	Account # 311-30-41-9150-57390								
Project Cost Estimate:	-	25,000	25,000	-	-	-	-	-	-
Project Funding Estimates:									
Quimby NE Quadrant	-	25,000	25,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

San Lorenzo Park Improvements

Project Description:

Funding to make improvements as determined by the Parks and Recreation Master Plan.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c301614	Account # 311-30-41-9110-57312								
Project Cost Estimate:	138,864	24,110	24,110	-	-	-	-	-	-
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	100,000	-	-	-	-	-	-	-	-
Donations-Parks and Recreation	25,000	25,000	25,000	-	-	-	-	-	-
Net Project Cost Estimates:	13,864	(890)	(890)	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

San Lorenzo Park Redesign

Project Description:

San Lorenzo Park redesign master planning process.

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Project # c301907									
									Account # 311-30-41-9110-57312
Project Cost Estimate:	-	100,000	100,000	-	-	-	-	-	-
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	-	100,000	100,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Sgt Derby Improvements

Project Description:

Sgt. Derby Park planning study and improvements.

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Project # c301910									
									Account # 311-30-41-9110-57312
Project Cost Estimate:	-	50,000	50,000	-	-	-	-	-	-
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	-	50,000	50,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

Sgt Derby Pickleball Courts

Project Description:

Construct pickleball courts at Sgt. Derby Park.

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Project # c301909									
									Account # 311-30-41-9110-57312
Project Cost Estimate:	-	55,000	55,000	-	-	-	-	-	-
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	-	55,000	55,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Surfing Museum Improvements

Project Description:

This project will replace all the exterior railing at the Surf Museum which is located at the Lighthouse at Lighthouse Field. The project will include much needed improvement to the 29 year old exhibits on display.

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Project # c301522									
									Account # 311-30-41-9120-57203
Project Cost Estimate:	12,406	35,594	35,594	-	-	-	-	-	-
Project Funding Estimates:									
Quimby SW Quadrant	12,406	35,594	35,594	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

Trails Study

Project Description:

Conduct an Open Space Trails Study.

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Project # c301908									
									Account # 311-30-41-9190-57312
Project Cost Estimate:	-	40,000	40,000	-	-	-	-	-	-
Project Funding Estimates:									
Parks and Rec Facilities Tax Fund	-	40,000	40,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

University Terrace Restrooms

Project Description:

Construct a new restroom at University Terrace Park.

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Project # c301905									
									Account # 311-30-41-9120-57203
Project Cost Estimate:	-	60,000	60,000	-	-	-	-	-	-
Project Funding Estimates:									
Quimby NW Quadrant	-	60,000	60,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Parks and Recreation (EXISTING)

311- General Capital Improvement Fund

Westlake Path

Project Description:

Construct a walking path around Westlake Park.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c301903	Account # 311-30-41-9110-57312								
Project Cost Estimate:	-	40,000	40,000	-	-	-	-	-	-
Project Funding Estimates:									
Quimby NW Quadrant	-	40,000	40,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for General Capital Improvement Fund (311) Totals

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	2,215,753	1,551,129	1,551,129	-	-	-	-	-	-
Total Project Funding Estimate:	1,423,966	1,645,680	1,645,680	-	-	-	-	-	-
Total Net Project Cost Estimate:	791,787	(94,551)	(94,551)	-	-	-	-	-	-

Parks and Recreation Totals for General Capital Improvement Fund (311)

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	2,215,753	1,551,129	1,551,129	95,000	1,303,000	850,000	990,000	1,550,000	4,788,000
Total Project Funding Estimate:	1,423,966	1,645,680	1,645,680	95,000	208,000	-	-	-	303,000
Total Net Project Cost Estimate:	791,787	(94,551)	(94,551)	-	1,095,000	850,000	990,000	1,550,000	4,485,000

Parks and Recreation Totals

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	2,215,753	1,551,129	1,551,129	95,000	1,303,000	850,000	990,000	1,550,000	4,788,000
Total Project Funding Estimate:	1,423,966	1,645,680	1,645,680	95,000	208,000	-	-	-	303,000
Total Net Project Cost Estimate:	791,787	(94,551)	(94,551)	-	1,095,000	850,000	990,000	1,550,000	4,485,000

Public Works CIP



City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (NEW)

221- Gas Tax Fund

Mission Street Improvement Plan

Project Description:

The City's General Plan EIR requires that certain intersections on Mission St (Hwy 1) be improved as mitigation to GP planned growth. This project proposes to develop a coordinated improvement and implementation plan. Improvements are required at Chestnut-King, Laurel, Bay & Swift.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c402001	Account # 221-40-64-9311-57304								
Project Cost Estimate:	-	-	-	-	250,000	1,250,000	-	5,000,000	6,500,000
Project Funding Estimates:									
Traffic Impact - Citywide	-	-	-	-	250,000	500,000	-	1,000,000	1,750,000
State capital grants	-	-	-	-	-	500,000	-	3,000,000	3,500,000
Net Project Cost Estimates:	-	-	-	-	-	250,000	-	1,000,000	1,250,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

New Capital Projects for Gas Tax Fund (221) Totals

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	-	-	-	-	250,000	1,250,000	-	5,000,000	6,500,000
Total Project Funding Estimate:	-	-	-	-	250,000	1,000,000	-	4,000,000	5,250,000
Total Net Project Cost Estimate:	-	-	-	-	-	250,000	-	1,000,000	1,250,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

Bay - West Cliff Intersection Improvements

Project Description:

The Beach/SOLA Plan, and subsequently the General Plan identified traffic circulation improvements at Bay/West Cliff to reduce congestion and improve safety. This is a Traffic Impact Fee intersection and mitigation for the General Plan buildout. Staff will evaluate a future roundabout versus a traffic signal. A roundabout will require additional rights-of-way and will be incorporated in the Dream Inn's proposed development at 190 West Cliff Drive. It is important to delineate the project limits prior to the project approval process.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401905	Account # 221-40-64-9320-57306								
Project Cost Estimate:	-	75,000	75,000	300,000	-	-	-	-	300,000
Project Funding Estimates:									
Traffic Impact - Citywide	-	75,000	75,000	300,000	-	-	-	-	300,000
State capital grants	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

Bay and King Streets Protected Left-Turns

Project Description:

This Highway Safety Improvement Program (HSIP) Cycle 7 safety project converts the permissive left-turns (no arrow indicator) at the intersection to protected left-turns (green-red indicator arrow) on Bay at King and provides street lighting between Escalona and King. This is a very important safety project for bicyclists and pedestrians, and is fully funded by the federal HSIP grant.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401616	Account # 221-40-64-9330-57307								
Project Cost Estimate:	9,564	327,336	327,336	-	-	-	-	-	-
Project Funding Estimates:									
Fed grants - STIP	12,820	324,080	324,080	-	-	-	-	-	-
Net Project Cost Estimates:	(3,256)	3,256	3,256	-	-	-	-	-	-

Bay Street Sidewalk Completion Infill

Project Description:

This Highway Safety Improvement Program (HSIP) Cycle 7 safety project provides sidewalks on the west side of Bay Street, between Escalona and King. This is a very important safety project for pedestrians, and is funded 88.53% by the federal HSIP grant.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401615	Account # 221-40-64-9330-57307								
Project Cost Estimate:	40,956	586,744	586,744	-	-	-	-	-	-
Project Funding Estimates:									
Fed grants - STIP	264,610	538,469	538,469	-	-	-	-	-	-
Net Project Cost Estimates:	(223,654)	48,275	48,275	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

Bay/High Intersection Improvements

Project Description:

With General Plan buildout this intersection will operate at LOS F and improvements will help prevent collisions associated with unprotected left-turns. The proposed improvements are based on recommendations of a transportation study. Improvements may include the installation of protected left-turns on High Street or a roundabout. Concept approval, environmental review and design was initiated, with construction anticipated in FY22 if approved. The cost estimate is preliminary and will be refined during current phase. Project is contingent on City, University and grant approval. Air District grant for concept development was approved. STIP grant application for additional funding has not yet been approved.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401103	Account # 221-40-64-9320-57306								
Project Cost Estimate:	61,890	648,110	648,110	-	-	1,500,000	-	-	1,500,000
Project Funding Estimates:									
Traffic Impact - Citywide	31,890	248,110	248,110	-	-	300,000	-	-	300,000
Local grant - MBUAPCD	30,000	-	-	-	-	-	-	-	-
Fed grants - STIP	-	400,000	400,000	-	-	1,200,000	-	-	1,200,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

Beach/Cliff Traffic Signal

Project Description:

Project is in the approved Beach/SOLA plan and will reduce congestion, and improve pedestrian and bike safety. Project is contingent on collecting traffic impact fees and grant award. Grant application for funding has not yet been filed.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401303	Account # 221-40-64-9320-57306								
Project Cost Estimate:	4,013	225,987	225,987	-	-	-	-	300,000	300,000
Project Funding Estimates:									
Traffic Impact - Citywide	-	70,000	70,000	-	-	-	-	100,000	100,000
Federal capital grants	-	160,000	160,000	-	-	-	-	200,000	200,000
Net Project Cost Estimates:	4,013	(4,013)	(4,013)	-	-	-	-	-	-

Bridge Maintenance

Project Description:

Repair of identified maintenance deficiencies such as damaged deck joints, concrete spalling and rust on several local bridges. Repairs have been identified through regular inspections by Caltrans Structures staff. Contingent on availability of state or federal grant funding.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # m401302	Account # 221-40-64-9370-57310								
Project Cost Estimate:	1,159	200,000	200,000	-	-	-	-	-	-
Project Funding Estimates:									
State capital grants	-	180,000	180,000	-	-	-	-	-	-
Net Project Cost Estimates:	1,159	20,000	20,000	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

Citywide Safe Routes to School Crossing Improvements

Project Description:

The project is a combination of infrastructure projects and non-infrastructure program funded through an approved Active Transportation Program Cycle 2 grant in the amount of \$1.404 million. It includes high-priority selected improvements at intersections around Santa Cruz City Schools and supports education and encouragement programs.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401617	Account # 221-40-64-9330-57307								
Project Cost Estimate:	195,897	1,208,103	1,208,103	-	-	-	-	-	-
Project Funding Estimates:									
State capital grants - ATP	108,880	1,295,120	1,295,120	-	-	-	-	-	-
Net Project Cost Estimates:	87,017	(87,017)	(87,017)	-	-	-	-	-	-

Citywide Traffic Signal Controller Upgrade

Project Description:

This project will upgrade existing traffic signal control systems at 33 intersections Citywide. The current controllers are 1980's technology and should be upgraded to current technology that will improve performance and communications, and in some locations be capable of using the interconnected adaptive technology, such as the project that was recently completed on Ocean Street and Laurel Street.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401602	Account # 221-40-64-9330-57307								
Project Cost Estimate:	11,368	378,632	378,632	400,000	-	-	-	-	400,000
Net Project Cost Estimates:	11,368	378,632	378,632	400,000	-	-	-	-	400,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

Delaware - Swift Intersection Improvements

Project Description:

With the increased development on the west side, primarily at 2120 Delaware, it is time to evaluate improvements at the intersection based on cumulative traffic mitigation measures as identified in the General Plan and TIF Program. In FY22, evaluate roundabout and traffic signal options for the intersection and construct in FY23.

Fiscal Year 2019

	Prior Year	<u>Budgeted</u>	<u>Estimated</u> Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401904									
									Account # 221-40-62-9390-57304
Project Cost Estimate:	-	-	-	-	-	100,000	500,000	-	600,000
Project Funding Estimates:									
Traffic Impact - Citywide	-	-	-	-	-	100,000	100,000	-	200,000
Federal capital grants	-	-	-	-	-	-	400,000	-	400,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

Downtown Intersection Improvements

Project Description:

The Downtown Plan Amendments identified deficiencies at 3 intersections as a result of the cumulative traffic analysis; Pacific/Laurel, Front/Laurel and Front/Soquel. The project includes the design of the improvements in FY20 and construction of the improvements in FY21 and contingent on developer contributions.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401903	Account # 221-40-64-9320-57304								
Project Cost Estimate:	-	-	-	100,000	300,000	-	-	-	400,000
Project Funding Estimates:									
Traffic Impact - Citywide	-	-	-	-	-	-	-	-	-
Capital contributions-developers	-	-	-	-	200,000	-	-	-	200,000
Net Project Cost Estimates:	-	-	-	100,000	100,000	-	-	-	200,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

HSIP Cycle 8 Crossing Improvements

Project Description:

This HSIP Cycle 8 transportation safety grant provides funding to improve safety at 21 identified nonsignalized intersections throughout the city. The project includes pedestrian markings, signs, new curb ramps, streetlights, bulb-outs and Rectangular Rapid Flashing Beacons (RRFB) on the Soquel, Seabright, North Branciforte, King, Bay, Alta and Bethany Curve avenues and streets.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401801	Account # 221-40-64-9330-57307								
Project Cost Estimate:	3,484	968,200	968,200	-	-	-	-	-	-
Project Funding Estimates:									
Federal Grants (HSIP)	-	968,200	968,200	-	-	-	-	-	-
Net Project Cost Estimates:	3,484	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

Murray Street Bridge Seismic Retrofit

Project Description:

Seismic retrofit of existing bridge over the Harbor. The project concept has been approved by Council in the past and includes new railings, wider bike lanes and sidewalk, and street lighting. The relocation of harbor facilities and boat docks is required during construction, as well as one-way traffic control on the bridge. Environmental review was completed in FY 2012, allowing the design and right-of-way process to proceed and is currently underway. Federal grant funds have been approved and the project is eligible for State Proposition 1B funds in lieu of a large local match. Construction has been delayed to address County Sanitation District changes to the force main under the harbor, and is also contingent on right-of-way approval and utility relocation.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c409321	Account # 221-40-62-9370-57310								
Project Cost Estimate:	4,160,741	8,231,775	8,231,775	-	-	-	-	-	-
Project Funding Estimates:									
State capital grants	212,764	-	-	-	-	-	-	-	-
Federal capital grants	3,251,664	7,886,222	7,886,222	-	-	-	-	-	-
Local capital grants	10,000	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	686,313	345,553	345,553	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

Ocean/Water Intersection Improvements

Project Description:

This project is in the Citywide Cumulative Development Traffic Study and General Plan to address build out conditions. It is consistent with the Ocean Street Plan. This project includes a second left-turn lane on Ocean southbound to Water, and a right-turn lane on Water eastbound. The project will include the new Ocean Street design concepts. Signal modifications and widening are also required. The SW corner construction adding the right-turn, larger pedestrian refuge island and green bike lane is complete. Construction of the NW corner to add the second left turn, large pedestrian refuge island and green lane will done be in FY19, contingent on PG&E relocation of a major electrical splice box.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401410	Account # 221-40-64-9320-57304								
Project Cost Estimate:	181,705	439,613	439,613	-	-	-	-	-	-
Project Funding Estimates:									
Donations-other	20,000	-	-	-	-	-	-	-	-
Capital contributions-developers	-	-	-	-	-	-	-	-	-
Traffic Impact - Citywide	60,387	439,613	439,613	-	-	-	-	-	-
Net Project Cost Estimates:	101,318	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

Pacific Avenue Sidewalk - Front to Second

Project Description:

Project proposes to address an important missing link by constructing 200 lineal feet of sidewalk on the east side of Pacific Avenue, between Front and Second Streets, and extending the bike lane. The diagonal parking will be replaced with parallel parking and will result in the loss of about 4 spaces. Drainage will be addressed. Grant funds have been awarded for this project.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401902	Account # 221-40-64-9330-57307								
Project Cost Estimate:	-	-	-	400,000	-	-	-	-	400,000
Project Funding Estimates:									
State grants-RXTPX Funds	-	-	-	250,000	-	-	-	-	250,000
Transfer from 2016 Trnsp Measure D	-	-	-	150,000	-	-	-	-	150,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

Pacific-Front Intersection Improvements

Project Description:

Evaluate additional traffic control options at the intersection, including a roundabout, following occupancy of 555 Pacific project and evaluation of the pedestrian and turn lane improvements installed with the 555 Pacific project.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401906	Account # 221-40-64-9320-57307								
Project Cost Estimate:	-	-	-	-	50,000	-	-	-	50,000
Project Funding Estimates:									
Traffic Impact - Citywide	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	50,000	-	-	-	50,000

Riverside/Second Intersection Improvements

Project Description:

This intersection improvement project has been changed to eliminate the need for Beach/SOLA plan recommended traffic signal or roundabout. The Council approved concept includes pedestrian activated flashers, streetscape, changing Leibrandt one-way inbound and removing the stop controls. This project schedule is included in the Riverside Ave Utility undergrounding project.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401105	Account # 221-40-64-9320-57306								
Project Cost Estimate:	75,296	174,704	174,704	-	-	-	-	-	-
Project Funding Estimates:									
Traffic Impact - Citywide	50,000	174,704	174,704	-	-	-	-	-	-
Net Project Cost Estimates:	25,296	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

Soquel at Frederick Minor Widening

Project Description:

Minor widening of Soquel at Frederick to improve east-bound lane transition, and on Frederick to improve the bike lane and vehicle lane assignments. Includes right-turn overlap phase to improve intersection operational efficiency and highlighting pedestrian crossings. RSTP grant approved for construction.

Fiscal Year 2019

	Prior Year	<u>Budgeted</u>	<u>Estimated Actuals</u>	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401003									
									Account # 221-40-64-9311-57304
Project Cost Estimate:	16,178	321,822	321,822	-	-	-	-	-	-
Project Funding Estimates:									
RDA Successor Agency	1,870	-	-	-	-	-	-	-	-
State capital grants	-	188,000	188,000	-	-	-	-	-	-
Net Project Cost Estimates:	14,308	133,822	133,822	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

State Route 1 Bridge Replacement

Project Description:

The Project Study Report (PDS) has identified the need to replace and widen the critical Highway 1 bridge over the San Lorenzo River. The City has completed the PDS and will start environmental review and design, as staff resources become available. The PDS was approved by Caltrans. The projects goals are to improve traffic capacity, safety, flood flows and fish passage, and provide seismic stability. With the current deteriorated condition of the bridge, replacement is highly recommended. The PDS estimates the construction cost range from \$9 to \$15 million. A grant application has not yet been filed.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401402	Account # 221-40-64-9370-57310								
Project Cost Estimate:	-	1,350,000	1,350,000	-	-	15,500,000	-	-	15,500,000
Project Funding Estimates:									
Federal capital grants	-	500,000	500,000	-	-	14,000,000	-	-	14,000,000
Net Project Cost Estimates:	-	850,000	850,000	-	-	1,500,000	-	-	1,500,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

State Route 1/9 Intersection Improvements

Project Description:

The project had been recommended in the Harvey West Traffic Studies, Citywide Cumulative Development Traffic Study and General Plan to reduce congestion and improve safety. The Project Study Report and environmental review are completed and approved by Caltrans. Project design had been initiated in FY 2015 and right-of-way acquisition initiated in 2017 based on the 65% design plans. Construction of the intersection improvements is anticipated in following row acquisition and state approvals. STIP grants of \$2,853,000 were awarded for construction.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c400805	Account # 221-40-64-9330-57304								
Project Cost Estimate:	877,214	8,546,000	8,546,000	-	-	-	-	-	-
Project Funding Estimates:									
RDA Successor Agency	5,108	500,000	500,000	-	-	-	-	-	-
Traffic Impact - Citywide	870,151	4,697,849	4,697,849	-	-	-	-	-	-
State grants - Prop 1B	-	-	-	-	-	-	-	-	-
Federal capital grants	-	2,853,000	2,853,000	-	-	-	-	-	-
Net Project Cost Estimates:	1,955	495,151	495,151	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

221- Gas Tax Fund

West Cliff Drive Multi-use Path Pavement Rehabilitation

Project Description:

This project will address some of the deferred maintenance of the path surface with patching, edge repair and slurry paving of the multi-use path. The first phase from Bay to Lighthouse Field was completed in FY 2012 and the second phase from Lighthouse to John Street was completed in FY 2015. The third phase is being developed for construction in FY2020 following the storm damage repair near Chico Ave.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # m400819	Account # 221-40-64-9330-57304								
Project Cost Estimate:	367,512	200,000	200,000	300,000	-	-	-	-	300,000
Project Funding Estimates:									
State grants - TDA	306,152	-	-	200,000	-	-	-	-	200,000
Capital contributions-developers	-	100,000	100,000	100,000	-	-	-	-	100,000
General CIP Fund	35,726	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	25,634	100,000	100,000	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for Gas Tax Fund (221) Totals

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	6,006,977	23,882,026	23,882,026	1,500,000	350,000	17,100,000	500,000	300,000	19,750,000
Total Project Funding Estimate:	5,272,022	21,598,367	21,598,367	1,000,000	200,000	15,600,000	500,000	300,000	17,600,000
Total Net Project Cost Estimate:	734,955	2,283,659	2,283,659	500,000	150,000	1,500,000	-	-	2,150,000

Public Works Totals for Gas Tax Fund (221)

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	6,006,977	23,882,026	23,882,026	1,500,000	600,000	18,350,000	500,000	5,300,000	26,250,000
Total Project Funding Estimate:	5,272,022	21,598,367	21,598,367	1,000,000	450,000	16,600,000	500,000	4,300,000	22,850,000
Total Net Project Cost Estimate:	734,955	2,283,659	2,283,659	500,000	150,000	1,750,000	-	1,000,000	3,400,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

235- Clean Rivers & Beaches Fund

Cowell Beach Water Quality Project

Project Description:

Project includes the following tasks, some of which are complete: 1) Installed screening under the wharf on and near shore to prevent pigeons from roosting near the Cowells beach hotspot; 2) Convened a panel of outside experts to review the City's current testing regime and provide advice on future testing; 3) Continue Microbial Source Tracking testing; and 4) Provide funding to the Cowell Beach Working Group.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401614	Account # 235-40-60-9235-57311								
Project Cost Estimate:	74,821	85,179	85,179	-	-	-	-	-	-
Project Funding Estimates:									
Wastewater Fund	40,000	-	-	-	-	-	-	-	-
From General Fund	7,895	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	26,926	85,179	85,179	-	-	-	-	-	-

Stormwater Trash Capture Program

Project Description:

The State Water Resources Control Board adopted an amendment to the SWQC Permit requiring the city to "capture all trash". The permit amendment requires the City to implement a program within 10 years. The funding will assist in developing the program and initial implementation.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401701	Account # 235-40-60-9235-57311								
Project Cost Estimate:	8,998	131,002	131,002	50,000	-	-	-	1,000,000	1,050,000
Net Project Cost Estimates:	8,998	131,002	131,002	50,000	-	-	-	1,000,000	1,050,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for Clean Rivers & Beaches Fund (235) Totals

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	83,819	216,181	216,181	50,000	-	-	-	1,000,000	1,050,000
Total Project Funding Estimate:	47,895	-	-	-	-	-	-	-	-
Total Net Project Cost Estimate:	35,924	216,181	216,181	50,000	-	-	-	1,000,000	1,050,000

Public Works Totals for Clean Rivers & Beaches Fund (235)

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	83,819	216,181	216,181	50,000	-	-	-	1,000,000	1,050,000
Total Project Funding Estimate:	47,895	-	-	-	-	-	-	-	-
Total Net Project Cost Estimate:	35,924	216,181	216,181	50,000	-	-	-	1,000,000	1,050,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (NEW)

311- General Capital Improvement Fund

Soquel/Pine Storm Drain

Project Description:

Alleviate flood on Soquel Avenue between Pine Street and Doyle Street by constructing a 30-inch pipeline on Pine Street from Soquel Avenue to Broadway. Approximate length of pipeline will be 1,800 feet.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c402002	Account # 311-40-64-9340-57311								
Project Cost Estimate:	-	-	-	-	-	-	1,300,000	-	1,300,000
Net Project Cost Estimates:	-	-	-	-	-	-	1,300,000	-	1,300,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

New Capital Projects for General Capital Improvement Fund (311) Totals

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	-	-	-	-	-	-	1,300,000	-	1,300,000
Total Project Funding Estimate:	-	-	-	-	-	-	-	-	-
Total Net Project Cost Estimate:	-	-	-	-	-	-	1,300,000	-	1,300,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Bay Drive Storm Damage Repair

Project Description:

The slope between the median trail and the creek, on the west side and between Escalona and Nobel eroded in a past storms. Ongoing erosion will impacts the walkway and will impact Bay Drive soon if the slope is not repaired. Storm damage funding is not available and costs have escalated.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401507	Account # 311-40-62-9320-57306								
Project Cost Estimate:	22,758	202,242	202,242	150,000	-	-	-	-	150,000
Project Funding Estimates:									
Gas Tax Fund	-	-	-	150,000	-	-	-	-	150,000
Net Project Cost Estimates:	22,758	202,242	202,242	-	-	-	-	-	-

Catch Basin Replacement Program

Project Description:

Upgrade 15 non-standard catch basins with standard Type B catch basins at various locations throughout the city to reduce clogging during rainfall, minimizing maintenance and localized flooding.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401610	Account # 311-40-64-9330-57307								
Project Cost Estimate:	122,905	100,921	100,921	50,000	50,000	50,000	50,000	50,000	250,000
Project Funding Estimates:									
Gas Tax Fund	49,079	100,921	100,921	50,000	50,000	50,000	50,000	50,000	250,000
Net Project Cost Estimates:	73,826	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Chestnut Street Storm Drain Replacement

Project Description:

The Chestnut Street Storm drain, north of Laurel Street to Church Street, is in very poor condition and should be replaced. The design process determined the extent of the deterioration and which sections could be rehabilitated and which will be replaced. There is approximately 2000 lineal feet of storm drain pipe the must be fixed prior to collapse and paving of the street, include the railroad track area. (Priority level 1 - If funded in FY2020, this project would require \$25,000 from the General Fund.)

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401505	Account # 311-40-64-9340-57311								
Project Cost Estimate:	-	-	-	-	600,000	-	-	-	600,000
Project Funding Estimates:				1					
From General Fund	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	600,000	-	-	-	600,000

CMP Storm Drain Pipe Replacement

Project Description:

Corrugated Metal Pipe (CMP) storm drain pipe has a useful life of approximately 50 years. There are several of these storm drains citywide where the pipe has corroded and collapsed, necessitating replacement with plastic pipe which has a longer useful life.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401709	Account # 311-40-64-9340-57311								
Project Cost Estimate:	97,607	100,000	100,000	100,000	50,000	50,000	50,000	50,000	300,000
Project Funding Estimates:									
Gas Tax Fund	50,000	100,000	100,000	100,000	50,000	50,000	50,000	50,000	300,000
Net Project Cost Estimates:	47,607	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Curtis Street Storm Drain Phase II

Project Description:

Design and construct approximately 700 feet of 36 inch diameter storm drain line on Curtis, Coulson and Berry Streets may prevent flooding of streets, sidewalks and private property. This builds on the Phase I project downstream that was completed in FY 2017.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401802	Account # 311-40-64-9340-57311								
Project Cost Estimate:	-	-	-	-	-	-	500,000	-	500,000
Net Project Cost Estimates:	-	-	-	-	-	-	500,000	-	500,000

Electric Vehicle Charging Station Expansion in Public City Parking Lots

Project Description:

This project consists of development, design and installation of networked level 2 electric vehicle charging stations and networked DC fast chargers and all associated infrastructure upgrades required at locations to be determined during development. As recommended by the recently completed Public Electric Vehicle Charging Needs and Use study and Electric Vehicles owner surveys, the project will provide an appropriate level of charging infrastructure for the anticipated increase in electric vehicles within and visiting Santa Cruz. This project funding is currently unknown but will likely be funded through grants at approximately 80%.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c101904	Account # 311-10-00-9410-57290								
Project Cost Estimate:	-	-	-	200,000	-	-	-	-	200,000
Project Funding Estimates:									
Local grant - MBUAPCD	-	-	-	200,000	-	-	-	-	200,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Ladera Drive Storm Drain Improvement

Project Description:

The existing Ladera Drive storm drain currently is an 18 inch pipe that transports rain water from over 30 acres of residential area. The pipe runs from Ladera Drive to Mission Street. The undersized pipe runs from Ladera Drive to Mission Street and is located under two homes, because of its location and size during moderate storm events, Ladera Drive may flood. The proposed project would consist of constructing approximately 1,200 feet of 30 inch pipeline along Ladera Drive, King Street and a City alley thereby avoiding any private property. The project would be constructed with the Ladera Sanitary Sewer Project. Due to the flood waters infiltrating into the sanitary sewer and negatively effecting that system, wastewater funds will fund a portion of the project.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401901	Account # 311-40-64-9340-57311								
Project Cost Estimate:	-	550,000	550,000	-	-	-	-	-	-
Project Funding Estimates:									
Wastewater Fund	-	200,000	200,000	-	-	-	-	-	-
From General Fund	-	350,000	350,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Market Street Sidewalk and Bike Lane

Project Description:

Construct sidewalk within the existing Market Street roadway, between Avalon and Stoney Creek Rd, where none currently exists. Requires installation of a new marked crosswalk near Avalon St to provide continuity on the west side of the street. Project includes minor widening at Market/Goss Ave to complete sidewalk, ramp and bike lane. A grant has not yet been approved.

	Fiscal Year 2019								Total 2020 - 2024	
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate		
Project # c401806	Account # 311-40-64-9330-57307									
Project Cost Estimate:	-	-	-	-	-	-	-	520,000	-	520,000
Project Funding Estimates:										
Transfer from 2016 Trnsp Measure D	-	-	-	-	-	-	-	100,000	-	100,000
Federal capital grants	-	-	-	-	-	-	-	400,000	-	400,000
Capital contributions-developers	-	-	-	-	-	-	-	20,000	-	20,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

MB Sanctuary Scenic Trail (Rail Trail) Segments 8 & 9

Project Description:

This ATP Cycle 3 project includes funding for the design and environmental review of the rail trail segments 8 & 9, between the Wharf Roundabout and 17th Avenue. The proposed 2.18 mile trail is within the City and County of Santa Cruz and will be a joint project for the purpose of this grant. A non-infrastructure component for safety, education and encouragement is included in the funding. The Land Trust of Santa Cruz County is providing the local match to this approved grant. Future City and SCCRTC Measure D funding is allocated to match the grant for construction, which has been applied for.

	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024	
	Prior Year	Budgeted							Estimated Actuals
Project # c401804									
							Account # 311-40-64-9330-57307		
Project Cost Estimate:	-	4,669,000	4,669,000	-	-	-	26,600,000	-	26,600,000
Project Funding Estimates:									
Measure D - SCCRTC	-	-	-	-	-	-	2,000,000	-	2,000,000
Transfer from 2016 Trnsp Measure D	-	-	-	-	-	-	1,700,000	-	1,700,000
Local capital grant-SC County Land Trust	-	1,500,000	1,500,000	-	-	-	-	-	-
Federal capital grants	-	3,169,000	3,169,000	-	-	-	22,900,000	-	22,900,000
Local capital grant-SC County Land Trust	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

MB Sanctuary Scenic Trail (Rail Trail) Segment 7

Project Description:

Project includes the portion of the MBSC Trail (rail trail) Segment 7 that is within the city limits, from Natural Bridges to the MB Sanctuary Center at Pacific and Beach. This segment is explained in further detail in the Master Plan. Working in conjunction with SCCRTC, Railroad and City staff, a consultant has completed the design details for the trail, environmental review documents, permits and construction documents. Construction is contingent on many factors, including a SCCRTC/City agreement, permitting etc. Federal and State grants have been awarded by SCCRTC, Measure D funds allocated and some private contributions have been received. Bids were received and rejected for Phase I, which has been redesigned. A new grant has been applied for Phase 2 with a Measure D match.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401413	Account # 311-40-64-9330-57307								
Project Cost Estimate:	1,150,721	6,578,432	6,578,432	9,951,850	-	-	-	-	9,951,850
Project Funding Estimates:									
Contributions - businesses	42,135	50,000	50,000	-	-	-	-	-	-
Measure D - SCCRTC	-	1,100,000	1,100,000	1,000,000	-	-	-	-	1,000,000
From General Fund	57,420	-	-	-	-	-	-	-	-
State grant-Coastal Conservancy	159,598	-	-	-	-	-	-	-	-
Gas Tax Fund	-	1,000,000	1,000,000	-	-	-	-	-	-
Transfer from 2016 Trnsp Measure D	-	-	-	1,000,000	-	-	-	-	1,000,000
Federal Grants (HSIP)	-	-	-	7,951,850	-	-	-	-	7,951,850
Federal capital grants	325,876	2,929,124	2,929,124	-	-	-	-	-	-
State capital grants-STIP	303,406	1,501,594	1,501,594	-	-	-	-	-	-
Net Project Cost Estimates:	262,286	(2,286)	(2,286)	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Mission Street Hill Utility Undergrounding

Project Description:

The undergrounding of overhead utilities on the City's portion of Mission Street, from Chestnut to Mission Plaza and within the Mission Hill Plaza area, has been approved by Council as the next Rule 20A district. A Rule 20A project is funded by PG&E from rate payer allocations and the City has borrowed funding from future allocations to fully fund the project. The local funding has been used to design the project in anticipation of partial reimbursement from Rule 20A funds. Local funds will be used to address easements and install decorative streetlights when the utility poles with streetlights are removed.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401004	Account # 311-40-64-9360-57390								
Project Cost Estimate:	96,506	60,000	60,000	-	1,150,000	-	-	-	1,150,000
Project Funding Estimates:									
Contributions - Other Agencies	-	-	-	-	-	-	-	-	-
Contributions - PG&E	-	-	-	-	1,000,000	-	-	-	1,000,000
Local capital grants	-	-	-	-	-	-	-	-	-
Gas Tax Fund	-	-	-	-	150,000	-	-	-	150,000
Net Project Cost Estimates:	96,506	60,000	60,000	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Pogonip Creek Sedimentation Removal

Project Description:

The Pogonip Flood Control project was constructed in 1993 to improve drainage conditions in the Harvey West Area by channelizing a portion of the creek, installing some new storm drains, and improving the storm drain lines under Highway 9 to the San Lorenzo River. Sedimentation from steep upstream slopes and private diversion systems have redirected the creek closer to the embankment, impacting private property in Harvey West. This project proposes to remove sediment and overgrown vegetation, and repair a flood wall to reduce impacts of flooding on private property. Work may be subject to a Fish and Wildlife streambed alteration and RWQCB permits.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401306	Account # 311-40-64-9340-57311								
Project Cost Estimate:	7,686	95,314	95,314	-	-	-	-	-	-
Net Project Cost Estimates:	7,686	95,314	95,314	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Riverside Ave Utility Underground Phase II

Project Description:

The project includes undergrounding the overhead utilities on Riverside Avenue, from Third Street to Beach Street, and constructing streetscape improvements (decorative streetlights, sidewalks and street trees). The design concept was approved by Council in FY 2012. The project has changed to a Rule 20A project which is funded by PG&E from rate payer allocations. The City has borrowed funding from future allocations to fully fund the project. The local funding has been used to design the project in anticipation of partial reimbursement from Rule 20A funds. Project bids were rejected due to higher bids in FY19. The project is being re-evaluated.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401208	Account # 311-40-64-9360-57390								
Project Cost Estimate:	450,208	4,864,792	4,864,792	-	-	-	-	-	-
Project Funding Estimates:									
RDA Successor Agency	378,847	-	-	-	-	-	-	-	-
Other agency contributions	-	1,650,000	1,650,000	-	-	-	-	-	-
Property Owner Contributions	-	1,300,000	1,300,000	-	-	-	-	-	-
From ED Trust Fund	238,940	453,974	453,974	-	-	-	-	-	-
Water Fund	-	501,613	501,613	-	-	-	-	-	-
Gas Tax Fund	-	639,503	639,503	-	-	-	-	-	-
From CIP-Street Maint and Rehab Fund	-	500,000	500,000	-	-	-	-	-	-
Net Project Cost Estimates:	(167,579)	(180,298)	(180,298)	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Seabright Beach CMP Replacement

Project Description:

The corrugated metal pipe (CMP) that carries storm water flow from lower Seabright (near the Natural History Museum) and Brook Creek is deteriorated, from the creek to the outlet box on Seabright Beach and is need of replacement. The deteriorated pipe has created a hazard for beachgoers and has now been fenced of until a repair can be done. (Priority level 1 - If funded in FY2020, this project would require \$25,000 from the General Fund, this project is also partially eligible to receive Gas Tax Funding.)

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401803	Account # 311-40-64-9340-57311								
Project Cost Estimate:	-	-	-	-	175,000	-	-	-	175,000
Project Funding Estimates:									
From General Fund	-	-	-	1	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	175,000	-	-	-	175,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Shaffer Road Railroad Crossing and Route 1 Traffic Signal Improvements

Project Description:

Development of a benefit assessment district for the design and funding cost share of a new railroad crossing (with gates and surface improvements) and the installation of a traffic signal at the Shaffer/Route 1 intersection. Development of adjacent properties require crossing installation to reduce impact to Delaware and residential uses, and improve emergency access. The crossing is planned to be multi-modal. Requires SCCRTC, CPUC and Caltrans approval. Costs of assessment district development to be reimbursed if district approved.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401403									Account # 311-40-62-9320-57306
Project Cost Estimate:	-	-	-	-	250,000	-	1,000,000	-	1,250,000
Project Funding Estimates:									
Gas Tax Fund	-	-	-	-	-	-	-	-	-
Assessment District Fund	-	-	-	-	250,000	-	1,000,000	-	1,250,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Sidewalk and Access Ramp Program

Project Description:

Install new handicap access ramps and sidewalks or upgrade existing ramps to meet current state standards as needed when high priority locations are identified. Funding could be included with larger projects to supplement the ability to address access needs. FY19 includes CDBG funding as approved by council.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401612	Account # 311-40-64-9330-57307								
Project Cost Estimate:	-	196,646	196,646	-	-	-	-	-	-
Project Funding Estimates:									
None	-	-	-	-	-	-	-	-	-
CDBG Fund	-	196,646	196,646	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

SLR Parkway Phase III/Trestle Trail

Project Description:

This project proposes to reconstruct the San Lorenzo River Trestle Walkway to increase the width from 4 feet to 10 feet based on a recently completed feasibility study funded by The Land Trust of Santa Cruz County. New safety railing and connections at each end of bridge will be incorporated into the project. The State Resource Agency funding has been approved and will fund the design, environmental review and permitting. Remaining Resource Agency, TDA and Measure D have been allocated to fund construction.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401807	Account # 311-40-64-9330-57307								
Project Cost Estimate:	136,526	2,277,034	2,277,034	-	-	-	-	-	-
Project Funding Estimates:									
Measure D - SCCRTC	-	500,000	500,000	-	-	-	-	-	-
Transfer from 2016 Trnsp Measure D	136,526	1,032,034	1,032,034	-	-	-	-	-	-
State grants - TDA	-	200,000	200,000	-	-	-	-	-	-
State natural resources agency	-	545,000	545,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Solar PV Expansion at Corp Yard

Project Description:

This project consists of designing and installing a 166 kW solar photovoltaic system at the Corporation Yard. This project expands the existing 45 kW solar PV system at the same location and the recent roofing improvement project was designed to accommodate the expansion. The project also includes third party design review , project management, and inspection services. Also included is the cost for a transformer upgrade, which is necessary not only for this project but also to handle the projected increase in electricity load at the Corporation Yard. This project's interconnection agreement application was submitted in December 2017 to grandfather the project with the most valuable electricity rate possible (which was discontinued in 2017). This project will be paid back through annual utility bill savings in 10 years. (Priority level 3 - If funded, this project would require an additional \$109,250 from the General Fund).

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c101901									
								Account # 311-10-00-9410-57290	
Project Cost Estimate:	-	-	-	365,750	-	-	-	-	365,750
Project Funding Estimates:									
Water Fund	-	-	-	114,000	-	-	-	-	114,000
Refuse Fund	-	-	-	185,250	-	-	-	-	185,250
Parking Fund	-	-	-	28,500	-	-	-	-	28,500
Equipment Fund	-	-	-	38,000	-	-	-	-	38,000
From General Fund	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Swanton Blvd Multi-Use Trail Connector

Project Description:

This project proposes a 10-12 foot wide multi-use trail along Swanton Blvd, from West Cliff to Delaware, and along Delaware to Natural Bridges Drive. Improved lighting, curb ramps, islands, signs and striping are proposed at the intersection. This project fills a missing gap between West Cliff Drive and Mission Street Extension, providing improved and safer access to many public, commercial and residential activity centers. This multi-use trail is adjacent to Natural Bridge State Park and State Parks is supportive of the project. A grant has not yet been approved.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401805									Account # 311-40-64-9330-57307
Project Cost Estimate:	-	-	-	-	-	1,900,000	-	-	1,900,000
Project Funding Estimates:									
Transfer from 2016 Trnsp Measure D	-	-	-	-	-	200,000	-	-	200,000
Federal capital grants	-	-	-	-	-	1,700,000	-	-	1,700,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

Trevethan Storm Drain Project - Phase 1

Project Description:

This area has experienced annual flooding episodes and sand bags are typically deployed in the winter to keep water out of private structures. Currently the street surface drains south to catch basins at Trevethan and Soquel. The project proposes to install 450 lineal feet of 24 inch diameter storm drain and 3 catch basins on Trevethan, from East gate to Soquel Ave.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401307	Account # 311-40-64-9340-57311								
Project Cost Estimate:	-	-	-	-	-	25,000	200,000	-	225,000
Project Funding Estimates:									
Wastewater Fund	-	-	-	-	-	-	-	-	-
Measure E fund	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	25,000	200,000	-	225,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

UCSC-City Transportation Improvements & Studies

Project Description:

Implementation of Section 4.13 of the UCSC-City Comprehensive Settlement Agreement to identify for implementation transportation improvements and studies to explore alternative transportation solutions such as traffic signal timing on Mission and Bay, transit traffic signal pre-emption, express bus service, GIS analysis, park and ride lots, long-term vehicular storage, and Zip Car expansion.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401008	Account # 311-40-64-9390-57304								
Project Cost Estimate:	-	250,000	250,000	-	-	-	-	-	-
Project Funding Estimates:									
Other local revenues	-	150,000	150,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	100,000	100,000	-	-	-	-	-	-

UCSC-City-Clue Public Transportation System Planning

Project Description:

Implementation of Section 4.14 of the UCSC-City Comprehensive Settlement Agreement to jointly plan with CLUE, a public transportation system capable of reducing the use of City streets and traffic congestion on city streets.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401009	Account # 311-40-64-9390-57304								
Project Cost Estimate:	50,000	50,000	50,000	-	-	-	-	-	-
Project Funding Estimates:									
Other local revenues	-	50,000	50,000	-	-	-	-	-	-
Net Project Cost Estimates:	50,000	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

West Cliff Drive Revetment Repair

Project Description:

This project proposes to place additional engineered rock protection as needed where areas have been damaged by King tides in recent years and to prevent damage to the West Cliff path. FY2019 funding was used to repair a sink hole near the Surfer Statue. Priority is to protect the base of the retaining wall near Woodrow. Other areas to be identified and may be subject to additional Coastal permit requirements. (Priority level 2 - If funded in FY2020, this project would require \$250,000 from the General Fund.)

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401501	Account # 311-40-62-9330-57307								
Project Cost Estimate:	427,400	251,600	251,600	-	250,000	250,000	250,000	250,000	1,000,000
Project Funding Estimates:									
Liability Insurance Fund	75,000	-	-	-	-	-	-	-	-
From General Fund	-	250,000	250,000	2	-	-	-	-	-
Capital contributions-developers	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	352,400	1,600	1,600	-	250,000	250,000	250,000	250,000	1,000,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

311- General Capital Improvement Fund

West Cliff Stair Repair

Project Description:

The repair of two stairways along West Cliff Drive. Construction is contingent on favorable tide and weather conditions. Improvements include handrails, replacement of degraded concrete treads and construction of new landings.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # m401402	Account # 311-40-62-9320-57306								
Project Cost Estimate:	134,343	170,000	170,000	-	-	-	-	-	-
Project Funding Estimates:									
Liability Insurance Fund	-	100,000	100,000	-	-	-	-	-	-
Capital contributions-developers	-	70,000	70,000	-	-	-	-	-	-
Net Project Cost Estimates:	134,343	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for General Capital Improvement Fund (311) Totals

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	2,696,660	20,415,981	20,415,981	10,817,600	2,525,000	2,275,000	29,170,000	350,000	45,137,600
Total Project Funding Estimate:	1,816,827	20,139,409	20,139,409	10,817,600	1,500,000	2,000,000	28,220,000	100,000	42,637,600
Total Net Project Cost Estimate:	879,833	276,572	276,572	-	1,025,000	275,000	950,000	250,000	2,500,000

Public Works Totals for General Capital Improvement Fund (311)

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	2,696,660	20,415,981	20,415,981	10,817,600	2,525,000	2,275,000	30,470,000	350,000	46,437,600
Total Project Funding Estimate:	1,816,827	20,139,409	20,139,409	10,817,600	1,500,000	2,000,000	28,220,000	100,000	42,637,600
Total Net Project Cost Estimate:	879,833	276,572	276,572	-	1,025,000	275,000	2,250,000	250,000	3,800,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

316- CIP - Santa Cruz Library

Branciforte Measure S Facility Remodel

Project Description:

Measure S facility remodel project.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c351801	Account # 316-35-00-0000-57203								
Project Cost Estimate:	6,503	1,443,497	1,443,497	3,000,000	-	-	-	-	3,000,000
Net Project Cost Estimates:	6,503	1,443,497	1,443,497	3,000,000	-	-	-	-	3,000,000

Downtown Branch Measure S

Project Description:

Measure S facility remodel project.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c351803	Account # 316-35-00-0000-57203								
Project Cost Estimate:	95,000	5,000	5,000	-	-	-	-	-	-
Net Project Cost Estimates:	95,000	5,000	5,000	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

316- CIP - Santa Cruz Library

Garfield Park Measure S Facility Remodel

Project Description:

Measure S facility remodel project.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c351802	Account # 316-35-00-0000-57203								
Project Cost Estimate:	6,503	443,497	443,497	1,250,000	-	-	-	-	1,250,000
Net Project Cost Estimates:	6,503	443,497	443,497	1,250,000	-	-	-	-	1,250,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for CIP - Santa Cruz Library Totals

	Prior Year Totals	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budget	Estimated Actuals						
Total Project Cost Estimate:	108,006	1,891,994	1,891,994	4,250,000	-	-	-	-	4,250,000
Total Project Funding Estimate:	-	-	-	-	-	-	-	-	-
Total Net Project Cost Estimate:	108,006	1,891,994	1,891,994	4,250,000	-	-	-	-	4,250,000

Public Works Totals for CIP - Santa Cruz Library

	Prior Year Totals	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2019 - 2021
		Budget	Estimated Actuals						
Total Project Cost Estimate:	108,006	1,891,994	1,891,994	4,250,000	-	-	-	-	4,250,000
Total Project Funding Estimate:	-	-	-	-	-	-	-	-	-
Total Net Project Cost Estimate:	108,006	1,891,994	1,891,994	4,250,000	-	-	-	-	4,250,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

317- Arterial Streets and Roads Fund

City Arterial and Collector Street Reconstruction and Overlay

Project Description:

Project includes pavement reconstruction, overlay, cape and slurry seal, and asphalt grinding of city arterial and collector streets for maintenance and reconditioning. Projects are selected based on the City's pavement management computerized system and in coordination with other utilities and transportation projects. Gas tax available for paving is estimated at \$500,000 per year and grants average approximately \$500,000 per year. The grants are estimated federal transportation funds that are applied for when available. Approximately \$4.0 million is needed annually to maintain City streets satisfactorily. Approximately 25% of the City's Measure D annual allocation is applied to this project.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c400809	Account # 317-40-64-9311-57304								
Project Cost Estimate:	15,456,644	9,779,701	9,779,701	1,800,000	2,000,000	2,150,000	2,200,000	2,300,000	10,450,000
Project Funding Estimates:									
Wastewater Fund	95,710	-	-	-	-	-	-	-	-
Gas Tax Fund	4,186,625	500,000	500,000	500,000	500,000	500,000	500,000	500,000	2,500,000
Other local revenues	1,962,217	-	-	-	-	-	-	-	-
State capital grants	925,701	1,500,000	1,500,000	-	-	-	-	-	-
Traffic Congestion Relief Fund	402,257	-	-	-	-	-	-	-	-
Fed grants - ARRA	753,076	-	-	-	-	-	-	-	-
From General Fund	1,100,000	-	-	-	-	-	-	-	-
Loan proceeds	23,814	-	-	-	-	-	-	-	-
State grants-RXTPX Funds	675,000	1,275,000	1,275,000	500,000	500,000	500,000	500,000	500,000	2,500,000
Transfer from 2016 Trnsp Measure D	250,000	165,720	165,720	300,000	300,000	300,000	300,000	300,000	1,500,000
Net Project Cost Estimates:	5,082,244	6,338,981	6,338,981	500,000	700,000	850,000	900,000	1,000,000	3,950,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

317- Arterial Streets and Roads Fund

City Residential and Collector Street Reconstruction and Overlay

Project Description:

Pavement reconstruction, overlay, cape and slurry seal, and asphalt grinding of city residential and collector streets for maintenance and reconditioning. Projects are selected based on the City's pavement management computerized system and in coordination with other utilities and transportation projects. Approximately \$1.2 million is needed annually to provide a 10 year rotation on residential streets. Grants are not typically available for residential and collector streets. Approximately 25% of the City's Measure D annual allocation is applied to this project.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c400810	Account # 317-40-64-9311-57304								
Project Cost Estimate:	10,653,934	2,144,826	2,144,826	800,000	800,000	800,000	800,000	800,000	4,000,000
Project Funding Estimates:									
City Public Trust Fund	414,451	-	-	-	-	-	-	-	-
Traffic Congestion Relief Fund	587,025	-	-	-	-	-	-	-	-
Water Fund	17,978	-	-	-	-	-	-	-	-
From General Fund	500,000	-	-	-	-	-	-	-	-
Transfer from 2016 Trnsp Measure D	250,000	165,720	165,720	300,000	300,000	300,000	300,000	300,000	1,500,000
Net Project Cost Estimates:	8,884,480	1,979,106	1,979,106	500,000	500,000	500,000	500,000	500,000	2,500,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for Arterial Streets and Roads Fund (317) Totals

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	26,110,578	11,924,527	11,924,527	2,600,000	2,800,000	2,950,000	3,000,000	3,100,000	14,450,000
Total Project Funding Estimate:	12,143,854	3,606,440	3,606,440	1,600,000	1,600,000	1,600,000	1,600,000	1,600,000	8,000,000
Total Net Project Cost Estimate:	13,966,724	8,318,087	8,318,087	1,000,000	1,200,000	1,350,000	1,400,000	1,500,000	6,450,000

Public Works Totals for Arterial Streets and Roads Fund (317)

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	26,110,578	11,924,527	11,924,527	2,600,000	2,800,000	2,950,000	3,000,000	3,100,000	14,450,000
Total Project Funding Estimate:	12,143,854	3,606,440	3,606,440	1,600,000	1,600,000	1,600,000	1,600,000	1,600,000	8,000,000
Total Net Project Cost Estimate:	13,966,724	8,318,087	8,318,087	1,000,000	1,200,000	1,350,000	1,400,000	1,500,000	6,450,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (NEW)

721- Wastewater Enterprise Fund

May/Coulson/Berry Trunk Sewer

Project Description:

Increase capacity and reliability of this 1,500 foot long, 10 inch diameter sewer. Replace or combine with parallel collection sewer. Project starts at intersection of May Ave/Hubbard St. and ends at Grant/Barry intersection.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c402003	Account # 721-40-62-7259-57301								
Project Cost Estimate:	-	-	-	-	-	-	750,000	-	750,000
Net Project Cost Estimates:	-	-	-	-	-	-	750,000	-	750,000

Sewer Realignment Project

Project Description:

The 900 foot long, 12 inch sanitary sewer from Logan St (MH P5-SM209) to the intersection at Brook Ave and Doane St (MH P5-SM419) is located in inaccessible easement. Realign sewer to improve maintenance and reliability.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c402005	Account # 721-40-62-7259-57301								
Project Cost Estimate:	-	-	-	-	-	500,000	-	-	500,000
Net Project Cost Estimates:	-	-	-	-	-	500,000	-	-	500,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

New Capital Projects for Wastewater Enterprise Fund (721) Totals

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	-	-	-	-	-	500,000	750,000	-	1,250,000
Total Project Funding Estimate:	-	-	-	-	-	-	-	-	-
Total Net Project Cost Estimate:	-	-	-	-	-	500,000	750,000	-	1,250,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

721- Wastewater Enterprise Fund

Front-Spruce-Pacific Sewer Main Rehab

Project Description:

This project is to rehabilitate the 54 inch diameter sewer main that connects to the WWTF. The sewer main, constructed in 1965, requires preventative maintenance and will be lined from Front Street, Spruce and Pacific Avenue to the WWTF. Entry points for the liner will be excavated at approximately 3 manhole locations in the above noted streets.

Fiscal Year 2019

	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401606									
									Account # 721-40-62-7259-57301
Project Cost Estimate:	7	1,099,993	1,099,993	-	-	-	-	-	-
Project Funding Estimates:									
Local capital grants	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	7	1,099,993	1,099,993	-	-	-	-	-	-

Branciforte Creek Sewer Siphon Relocation

Project Description:

Construct a new sanitary sewer siphon under the Branciforte Creek Channel, between 632 Water and 550 Water, to replace the existing siphon and improve access to maintain the sewer line. The project will require easements and coordination with proposed private property development.

Fiscal Year 2019

	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401808									
									Account # 721-40-62-7259-57301
Project Cost Estimate:	19,079	330,921	330,921	-	-	-	-	-	-
Net Project Cost Estimates:	19,079	330,921	330,921	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

721- Wastewater Enterprise Fund

Fairmount/Harrison Sewer Main Upgrade

Project Description:

Upgrade approximately 3000 lineal feet of 10 inch diameter sewer main to 12 inch diameter, in this neighborhood, south of Hwy 1. This trunk sewer has been identified as undersized in the sanitary sewer hydraulic model. Further investigation will be needed prior to finalizing the project scope, priority and cost.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401404	Account # 721-40-62-7259-57301								
Project Cost Estimate:	-	-	-	-	-	-	-	400,000	400,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	400,000	400,000

Hagemann Aerial Sewer Rehabilitation

Project Description:

Rehabilitate Hagemann Court sewer line and strengthen the trestle that supports the aerial sewer. The sewer pipeline on the Hagemann trestle is 30 feet above ground and was constructed in 1980; inspected in 2012. The inspection report recommended structural repairs. Additional funds added to upgrade the upgradient Forest-Mentel aerial sewer.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # m401403	Account # 721-40-62-7259-57301								
Project Cost Estimate:	11,750	288,250	288,250	-	-	-	-	-	-
Net Project Cost Estimates:	11,750	288,250	288,250	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

721- Wastewater Enterprise Fund

Jesse Street Marsh

Project Description:

Funding to implement components of the approved management plan for Jesse Street marsh and park area as needed, including drainage modifications and maintenance to tidal interchange. This project is being coordinated with Parks and Recreation. County pays 8/17 of cost based on wastewater treatment facility capacity dedicated to County and environmental mitigation requirements of the secondary treatment facility.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c409669	Account # 721-40-62-7259-57312								
Project Cost Estimate:	124,847	99,990	99,990	-	100,000	-	-	-	100,000
Project Funding Estimates:									
Local capital grants	63,065	42,764	42,764	-	47,059	-	-	-	47,059
Net Project Cost Estimates:	61,782	57,226	57,226	-	52,941	-	-	-	52,941

Ladera Drive Sewer

Project Description:

Replace a deteriorated and difficult to maintain sewer line in a backyard easement with new 10 and 12 inch diameter, 1,000 lineal feet of sewer line in Ladera Drive, from MH M5-SM506 to MH L4-SM204 to improve capacity and flow.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401305	Account # 721-40-62-7259-57301								
Project Cost Estimate:	23,225	576,775	576,775	-	-	-	-	-	-
Net Project Cost Estimates:	23,225	576,775	576,775	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

721- Wastewater Enterprise Fund

Laurent Street Sewer

Project Description:

The existing sewer line was constructed in 1926 and is in need of replacement. Project includes the design and construction of 1,000 lineal feet of new sewer line, reconnecting laterals and rebuilding manholes.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401809	Account # 721-40-62-7259-57301								
Project Cost Estimate:	6	249,994	249,994	-	-	-	-	-	-
Net Project Cost Estimates:	6	249,994	249,994	-	-	-	-	-	-

Monterey St and Lighthouse Ave Sewer

Project Description:

Sanitary sewer service for the residential block bordered by Santa Cruz St, Monterey St, Lighthouse Ave and West Cliff Dr is located in the backyards of these properties. The 6 inch diameter clay pipe network is difficult and in some cases impossible to access for due to fences, overgrown vegetation and distance from the street. The proposed new sewer incudes 700 feet of 8 inch diameter plastic pipe and new manholes.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401907	Account # 721-40-62-7259-57301								
Project Cost Estimate:	-	600,000	600,000	-	-	-	-	-	-
Project Funding Estimates:									
Local capital grants	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	600,000	600,000	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

721- Wastewater Enterprise Fund

Neary Lagoon Park Rehab-Maint. (Annual)

Project Description:

Rehabilitation and improvements to boardwalks, pathways, restrooms, parks building and natural areas. Removal of tules and sediment as required to maintain open waterways. Improvements are based on the approved Neary Lagoon Management Plan and various studies. County pays 8/17 of the cost based on wastewater treatment facility capacity dedicated to County and environmental mitigation requirements of the secondary treatment facility.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # m409668	Account # 721-40-62-7259-57312								
Project Cost Estimate:	2,499,222	404,438	404,438	450,000	250,000	250,000	250,000	250,000	1,450,000
Project Funding Estimates:									
Local capital grants	1,102,074	189,885	189,885	117,647	117,647	117,647	117,647	117,647	588,235
State capital grants	-	-	-	200,000	-	-	-	-	200,000
Net Project Cost Estimates:	1,397,148	214,553	214,553	132,353	132,353	132,353	132,353	132,353	661,765

Pump Station P11-SP101 Modifications

Project Description:

This 33 year old sanitary sewer pump station in the Carbonera area is in need of modifications to bring the controls, wet well and pump system up to the current city standards. This will increase capacity and reliability, and reduce maintenance and energy requirements.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # m401601	Account # 721-40-62-7259-57301								
Project Cost Estimate:	5,175	194,825	194,825	-	-	-	-	-	-
Net Project Cost Estimates:	5,175	194,825	194,825	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

721- Wastewater Enterprise Fund

San Lorenzo River Sanitary Sewer Siphon

Project Description:

There are 3 sanitary sewer lines (42, 24 and 14 inch diameter) that are below the San Lorenzo River bottom and convey all the wastewater flows from the eastside to the WWTP. The lines were partially cleaned in recent years, but a thorough inspection was not possible at that time. A new pipe cleaning method was used in 2012 and 2013 that allowed a complete inspection of the lines, determining that a repair and lining were needed on the 42 inch diameter sewer main, which was completed in FY 2014. Future inspection and cleaning is anticipated with remaining funds.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401315	Account # 721-40-62-7259-57301								
Project Cost Estimate:	717,978	307,022	307,022	-	-	-	-	-	-
Net Project Cost Estimates:	717,978	307,022	307,022	-	-	-	-	-	-

Sewer System Improvements

Project Description:

Ongoing program to identify and target sewer lines and pump stations with limited capacity and that may be impacted by high storm water infiltration and inflow (I&I), obstructions and other deficiencies. The intent of the program and individual projects is to improve wastewater flow capacity, maintain an excellent environmental compliance record, and reduce treatment costs from I&I. The projects will include pipe bursting, replacement, re-routing, lining and other methods as needed. Project will address street reconstruction needs related to the sanitary system construction. Program includes the development of a public education component. Program will partially fund new storm drain improvements if it is found that drainage deficiencies are overtaxing the sanitary sewer system such as on Curtis Street and Trevethan Avenue.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401511	Account # 721-40-62-7251-57304								
Project Cost Estimate:	2,157,625	1,325,375	1,325,375	600,000	600,000	600,000	600,000	600,000	3,000,000
Net Project Cost Estimates:	2,157,625	1,325,375	1,325,375	600,000	600,000	600,000	600,000	600,000	3,000,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

721- Wastewater Enterprise Fund

Trevethan Avenue Sewer

Project Description:

The existing sewer line is located within existing unused street rights-of-way, which are currently front yards of the Trevethan residences between Parnell and East Gate. This presents operational problems when attempting to do maintenance on the line. The project includes investigating the relocation, and if feasible, constructing a 1,100 lineal feet of new sewer line in the street and reconnecting sewer laterals, from Parnell to Soquel Avenue. A companion storm drain project is proposed in the General CIP fund.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401810	Account # 721-40-62-7259-57301								
Project Cost Estimate:	-	20,000	20,000	-	-	-	200,000	-	200,000
Net Project Cost Estimates:	-	20,000	20,000	-	-	-	200,000	-	200,000

Wastewater Treatment Facility Infrastructure and Major Equipment

Project Description:

Evaluate the Wastewater Treatment facility and prepare a prioritized 10-year infrastructure and equipment replacement/ rehabilitation plan. While there is a consistent and rigorous effort to maintain infrastructure and equipment, the age of the facility warrants a closer look at the longer term needs and priorities. The Phase 1 study has been implemented and Phase 2 is programmed for FY2019-20. Future years will fund capital projects. County pays 8/17 of cost based on wastewater treatment capacity dedicated to County.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401706	Account # 721-40-62-7252-57301								
Project Cost Estimate:	236,319	213,181	213,181	500,000	3,000,000	-	3,000,000	4,000,000	10,500,000
Project Funding Estimates:									
Local capital grants	167,783	94,118	94,118	235,294	1,411,765	-	1,411,765	1,882,353	4,941,177
Net Project Cost Estimates:	68,536	119,063	119,063	264,706	1,588,235	-	1,588,235	2,117,647	5,558,823

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

721- Wastewater Enterprise Fund

WWTF-Bioassay Laboratory

Project Description:

Replace current effluent sampling station with a more functional Bioassay Laboratory with ethernet connection, communications equipment, composite sampler. This laboratory will assist the City in meeting the newest NPES permitting requirements. County pays 8/17 of the cost based on the wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401605	Account # 721-40-62-7259-57301								
Project Cost Estimate:	228,084	71,916	71,916	-	-	-	-	-	-
Project Funding Estimates:									
Local capital grants	107,334	33,842	33,842	-	-	-	-	-	-
Net Project Cost Estimates:	120,750	38,074	38,074	-	-	-	-	-	-

WWTF-Equipment Replacement

Project Description:

The projects proposed in this general category are a variety of projects that replace worn and obsolete equipment, improve automation, reduce energy, maintain environmental compliance and reduce odors at the Wastewater Treatment Plant. County pays 8/17 of cost based on wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # m409659	Account # 721-40-62-7252-57301								
Project Cost Estimate:	6,188,332	2,555,926	2,555,926	500,000	1,000,000	1,000,000	1,000,000	1,000,000	4,500,000
Project Funding Estimates:									
Local capital grants	2,741,973	983,708	983,708	235,294	470,588	470,588	470,588	470,588	2,117,646
Net Project Cost Estimates:	3,446,359	1,572,218	1,572,218	264,706	529,412	529,412	529,412	529,412	2,382,354

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

721- Wastewater Enterprise Fund

WWTF-Laboratory Modernization

Project Description:

The project includes redesigning the existing laboratory consistent with its mission by improving microbiological, chemical and toxicological methods in support of permitting and environmental requirements for NPDES, plant processes and community dischargers. The design may be initiated in FY2019 and constructed in FY 2021. County pays 8/17 of the cost based on the wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401608	Account # 721-40-62-7259-57301								
Project Cost Estimate:	-	250,000	250,000	-	1,500,000	-	-	-	1,500,000
Project Funding Estimates:									
Local capital grants	-	117,647	117,647	-	705,882	-	-	-	705,882
Net Project Cost Estimates:	-	132,353	132,353	-	794,118	-	-	-	794,118

WWTF-Reclaim Water

Project Description:

Improve reliability and performance of existing water filtration system. Further improvements will be required for future and larger scale water reuse programs. County pays 8/17 of the cost based on the system design and installation at the WWTF, based on the wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401604	Account # 721-40-62-7259-57301								
Project Cost Estimate:	47,550	202,450	202,450	400,000	-	-	-	-	400,000
Project Funding Estimates:									
Local capital grants	117,849	-	-	188,235	-	-	-	-	188,235
Net Project Cost Estimates:	(70,299)	202,450	202,450	211,765	-	-	-	-	211,765

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

721- Wastewater Enterprise Fund

WWTF-Secondary Clarifier Repairs

Project Description:

The evaluation and structural repairs of the three secondary clarifiers is complete. To prevent metal fatigue, structural steel in clarifier #3 was coated in FY 15, clarifier #1 was coated in FY17 and clarifier #2 will be coated in FY2019. County pays 8/17 of the cost based on wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # m401303	Account # 721-40-65-7252-57301								
Project Cost Estimate:	689,639	702,822	702,822	-	-	-	-	-	-
Project Funding Estimates:									
Local capital grants	305,183	195,955	195,955	-	-	-	-	-	-
Net Project Cost Estimates:	384,456	506,867	506,867	-	-	-	-	-	-

WWTF-Transformer Replacement

Project Description:

The electrical transformer operates at or above design loads and the facility experiences power fluctuations that impact system reliability and performance. The project proposes the replacement of the facility's main transformer to provide more reliable and consistent electrical power. County pays 8/17 of cost based on wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401405	Account # 721-40-65-7252-57301								
Project Cost Estimate:	-	-	-	-	-	-	1,000,000	-	1,000,000
Project Funding Estimates:									
Local capital grants	-	-	-	-	-	-	470,588	-	470,588
Net Project Cost Estimates:	-	-	-	-	-	-	529,412	-	529,412

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

721- Wastewater Enterprise Fund

WWTF-Ultraviolet Disinfection Sys Repl

Project Description:

Replace the existing and aging ultraviolet light disinfection system, including controls and lamps, with current technology. There are energy savings expected with the new system. County pays 8/17 of cost based on wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401504	Account # 721-40-62-7259-57301								
Project Cost Estimate:	-	3,000,000	3,000,000	-	-	-	-	-	-
Project Funding Estimates:									
Local capital grants	-	1,413,765	1,413,765	-	-	-	-	-	-
Net Project Cost Estimates:	-	1,586,235	1,586,235	-	-	-	-	-	-

WWTF-Upgrade Digester Equipment

Project Description:

Digester equipment including gas compressors, motors, pumps, valves and piping is in operation every day, 24 hours a day. Digesters typically are cleaned on a 8-year rotation and may include equipment replacement. The County pays 8/17 of the cost based on wastewater treatment facility capacity dedicated to the County.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401607	Account # 721-40-62-7259-57301								
Project Cost Estimate:	535,559	214,441	214,441	-	500,000	-	500,000	500,000	1,500,000
Project Funding Estimates:									
Local capital grants	252,028	100,913	100,913	-	235,294	-	235,294	235,294	705,882
Net Project Cost Estimates:	283,531	113,528	113,528	-	264,706	-	264,706	264,706	794,118

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

721- Wastewater Enterprise Fund

WWTF-Water Piping Rehabilitation

Project Description:

A wastewater treatment facility on site reclaimed water supply piping evaluation has shown the system is suffering from corrosion and water pressure reduction and in need of a system replacement. Sporadic repairs/replacement have been done by City staff over the years, however the system is at the point of requiring a comprehensive overhaul. County pays 8/17 of cost based on wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401407	Account # 721-40-62-7259-57301								
Project Cost Estimate:	-	1,000,000	1,000,000	-	-	-	-	-	-
Project Funding Estimates:									
Local capital grants	-	470,588	470,588	-	-	-	-	-	-
Net Project Cost Estimates:	-	529,412	529,412	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for Wastewater Enterprise Fund (721) Totals

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	13,484,397	13,708,319	13,708,319	2,450,000	6,950,000	1,850,000	6,550,000	6,750,000	24,550,000
Total Project Funding Estimate:	4,857,289	3,643,185	3,643,185	976,470	2,988,235	588,235	2,705,882	2,705,882	9,964,704
Total Net Project Cost Estimate:	8,627,108	10,065,134	10,065,134	1,473,530	3,961,765	1,261,765	3,844,118	4,044,118	14,585,296

Public Works Totals for Wastewater Enterprise Fund (721)

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	13,484,397	13,708,319	13,708,319	2,450,000	6,950,000	2,350,000	7,300,000	6,750,000	25,800,000
Total Project Funding Estimate:	4,857,289	3,643,185	3,643,185	976,470	2,988,235	588,235	2,705,882	2,705,882	9,964,704
Total Net Project Cost Estimate:	8,627,108	10,065,134	10,065,134	1,473,530	3,961,765	1,761,765	4,594,118	4,044,118	15,835,296

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

731- Refuse Enterprise Fund

CNG Fueling Station and Fleet Maintenance Shop Safety Improvements

Project Description:

The Resource Recovery collection division is in the process of purchasing new CNG (compressed natural gas) trucks to replace the aging fleet of trucks. The City is currently fueling refuse trucks at the SC Metro CNG fueling station. Improvements to the City fleet maintenance facility so that servicing of the new equipment can be done, meeting code requirements.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401613	Account # 731-40-61-7359-57203								
Project Cost Estimate:	17,706	547,294	547,294	-	-	-	-	-	-
Net Project Cost Estimates:	17,706	547,294	547,294	-	-	-	-	-	-

Dimeo Lane Paving and Storm Drain

Project Description:

Dimeo Lane is the only access to the City's Landfill and Recycling Center, and with the truck traffic, the road base and surface are in need of repair. This project includes pavement repairs and minor storm drain improvements for Dimeo as needed.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401312	Account # 731-40-62-7352-57308								
Project Cost Estimate:	103,736	46,264	46,264	-	300,000	-	-	-	300,000
Net Project Cost Estimates:	103,736	46,264	46,264	-	300,000	-	-	-	300,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

731- Refuse Enterprise Fund

Food Waste Collection and Conversion

Project Description:

Program development is in progress which includes consulting services, permitting, minor capital and/or rental cost of equipment to evaluate and develop the program to collect food waste and alternatives to landfilling. Funding for design and construction is proposed in FY18.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401204	Account # 731-40-62-7352-57308								
Project Cost Estimate:	867,662	953,888	953,888	100,000	-	-	-	-	100,000
Net Project Cost Estimates:	867,662	953,888	953,888	100,000	-	-	-	-	100,000

Gas Probe Remediation

Project Description:

Install 4 methane gas monitoring and relief wells to remediate methane exceedance readings at monitoring well W19G-MR in accordance with state requirements.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401908	Account # 731-40-62-7259-57308								
Project Cost Estimate:	-	75,000	75,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	75,000	75,000	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

731- Refuse Enterprise Fund

Landfill Design/Construction

Project Description:

Design completion and permitting in current fiscal year and construction in FY 2016-17 of the third of five planned lined refuse disposal cells to extend the life of the landfill to approximately 2050.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c400046	Account # 731-40-62-7352-57308								
Project Cost Estimate:	2,586,892	347,608	347,608	-	-	-	-	-	-
Project Funding Estimates:									
Loan proceeds	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	2,586,892	347,608	347,608	-	-	-	-	-	-

Landfill Master Plan Development

Project Description:

Planning, development, design and construction of a master excavation plan for the landfill, next new Cell 3B, partial final closure, west canyon final slope repair and desilting basin. Planning and development is proposed in FY19. The final design, and plans and specifications with other related documents is proposed in FY20. The construction of new Cell 3B and partial final closure is proposed in FY22.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401909	Account # 731-40-62-7259-57308								
Project Cost Estimate:	-	280,000	280,000	1,500,000	20,000	3,500,000	-	100,000	5,120,000
Net Project Cost Estimates:	-	280,000	280,000	1,500,000	20,000	3,500,000	-	100,000	5,120,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

731- Refuse Enterprise Fund

Recycling Building and Equipment Improvement

Project Description:

Project includes the upgrade and replacement of the Single Stream Recycling equipment, installing bunkers, push walls and replacing warped beams, damaged metal walls, and tent sections around the recycling building as needed.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401811	Account # 731-40-62-7353-57308								
Project Cost Estimate:	64,391	185,609	185,609	100,000	-	1,000,000	-	-	1,100,000
Net Project Cost Estimates:	64,391	185,609	185,609	100,000	-	1,000,000	-	-	1,100,000

Solar PV Installation at the Landfill

Project Description:

This project consists of designing and installing a 205kW solar photovoltaic system on the roof of the Landfill's Sorting Facility. The project also includes third party design review, project management, and inspection services. This project's interconnection agreement application was submitted in December 2017 to grandfather the project with the most valuable electricity rate possible (which was discontinued in 2017). This project will be paid back through annual utility bill savings in 10 years.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c101902	Account # 731-10-00-9410-57290								
Project Cost Estimate:	-	666,300	666,300	-	-	-	-	-	-
Net Project Cost Estimates:	-	666,300	666,300	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for Refuse Enterprise Fund (731) Totals

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	3,640,387	3,101,963	3,101,963	1,700,000	320,000	4,500,000	-	100,000	6,620,000
Total Project Funding Estimate:	-	-	-	-	-	-	-	-	-
Total Net Project Cost Estimate:	3,640,387	3,101,963	3,101,963	1,700,000	320,000	4,500,000	-	100,000	6,620,000

Public Works Totals for Refuse Enterprise Fund (731)

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	3,640,387	3,101,963	3,101,963	1,700,000	320,000	4,500,000	-	100,000	6,620,000
Total Project Funding Estimate:	-	-	-	-	-	-	-	-	-
Total Net Project Cost Estimate:	3,640,387	3,101,963	3,101,963	1,700,000	320,000	4,500,000	-	100,000	6,620,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (NEW)

741- Parking Enterprise Fund

Elevator Controls Upgrade

Project Description:

Update elevator controls at Soquel Front Parking Structure to replace worn and obsolete equipment.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c402004	Account # 741-40-64-7452-57309								
Project Cost Estimate:	-	-	-	150,000	-	-	-	-	150,000
Net Project Cost Estimates:	-	-	-	150,000	-	-	-	-	150,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

New Capital Projects for Parking Enterprise Fund (741) Totals

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	-	-	-	150,000	-	-	-	-	150,000
Total Project Funding Estimate:	-	-	-	-	-	-	-	-	-
Total Net Project Cost Estimate:	-	-	-	150,000	-	-	-	-	150,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

741- Parking Enterprise Fund

Downtown Bike Locker Replacement Program

Project Description:

This program will replace 20+ year old Bike Lockers located in Downtown Lots and Garages. In Year 1, underutilized lockers will be removed. The City currently has 98 functioning bike lockers. This program includes replacement of 62 lockers at the highest-use locations.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401812	Account # 741-40-64-7459-57307								
Project Cost Estimate:	74,749	100,251	100,251	100,000	-	-	-	-	100,000
Project Funding Estimates:									
Transfer from 2016 Trnsp Measure D	50,000	50,000	50,000	50,000	-	-	-	-	50,000
Measure D - City	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	24,749	50,251	50,251	50,000	-	-	-	-	50,000

Lot 7, Front/Cathcart Reconstruction

Project Description:

Reconstruction of surface lot at Cathcart/Front due to poor drainage, pavement conditions, and lighting. Includes conversion of lot to a paid parking facility.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c400007	Account # 741-40-64-7452-57309								
Project Cost Estimate:	141,628	488,372	488,372	-	-	-	-	-	-
Project Funding Estimates:									
RDA Successor Agency	169	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	141,459	488,372	488,372	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

741- Parking Enterprise Fund

Parking Equipment Replacement- Locust Garage

Project Description:

This project replaces the current Parking Access and Revenue Control Equipment in the Locust Garage as the distributor has gone out of business.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401705	Account # 741-40-64-7452-57309								
Project Cost Estimate:	-	750,000	750,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	750,000	750,000	-	-	-	-	-	-

Parking Equipment Replacement- River Front Garage

Project Description:

This project replaces the current Parking Access and Revenue Control Equipment in the River Front Garage as the distributor has gone out of business.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401703	Account # 741-40-64-7452-57309								
Project Cost Estimate:	90,464	659,536	690,700	-	-	-	-	-	-
Project Funding Estimates:									
Other fines and forfeits	121,487	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	(31,023)	659,536	690,700	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

741- Parking Enterprise Fund

Parking Equipment Replacement- Soquel/Front Garage

Project Description:

This project replaces the current Parking Access and Revenue Control Equipment in The Soquel/Front Garage as the distributor has gone out of business.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401704	Account # 741-40-64-7452-57309								
Project Cost Estimate:	11	749,989	749,989	-	-	-	-	-	-
Net Project Cost Estimates:	11	749,989	749,989	-	-	-	-	-	-

River-Front Garage Elevator

Project Description:

This project provides for the design and construction of a modular elevator to provide ADA compliance and improved access to all levels of the River-Front Garage.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401509	Account # 741-40-64-7452-57309								
Project Cost Estimate:	-	300,000	300,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	300,000	300,000	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for Parking Enterprise Fund (741) Totals

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	306,852	3,048,148	3,079,312	100,000	-	-	-	-	100,000
Total Project Funding Estimate:	171,656	50,000	50,000	50,000	-	-	-	-	50,000
Total Net Project Cost Estimate:	135,196	2,998,148	3,029,312	50,000	-	-	-	-	50,000

Public Works Totals for Parking Enterprise Fund (741)

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	306,852	3,048,148	3,079,312	250,000	-	-	-	-	250,000
Total Project Funding Estimate:	171,656	50,000	50,000	50,000	-	-	-	-	50,000
Total Net Project Cost Estimate:	135,196	2,998,148	3,029,312	200,000	-	-	-	-	200,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

751- Storm Water Enterprise Fund

Branciforte Creek Channel Repair and Maintenance

Project Description:

A Channel Condition Assessment report by MTC was completed in 2008. The report identifies deferred maintenance which include epoxy repairs of of channel wall cracks, fish passage channel improvements, inlet pipe lining and spot repairs, fence repair and debris and sediment removal. Some of the maintenance deficiencies have also been noted by the Army Corps of Engineers. A grant has not been applied for.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401313	Account # 751-40-61-7501-57311								
Project Cost Estimate:	121,626	313,497	313,497	-	40,000	3,000,000	40,000	40,000	3,120,000
Project Funding Estimates:									
State capital grants	-	-	-	-	-	2,400,000	-	-	2,400,000
Net Project Cost Estimates:	121,626	313,497	313,497	-	40,000	600,000	40,000	40,000	720,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for Storm Water Enterprise Fund (751) Totals

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	121,626	313,497	313,497	-	40,000	3,000,000	40,000	40,000	3,120,000
Total Project Funding Estimate:	-	-	-	-	-	2,400,000	-	-	2,400,000
Total Net Project Cost Estimate:	121,626	313,497	313,497	-	40,000	600,000	40,000	40,000	720,000

Public Works Totals for Storm Water Enterprise Fund (751)

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	121,626	313,497	313,497	-	40,000	3,000,000	40,000	40,000	3,120,000
Total Project Funding Estimate:	-	-	-	-	-	2,400,000	-	-	2,400,000
Total Net Project Cost Estimate:	121,626	313,497	313,497	-	40,000	600,000	40,000	40,000	720,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

752- Storm Water Overlay Enterprise Fund

Downtown SLR Drainage System Assessment

Project Description:

Assess drainage system tributary to Pump Station No. 1, which is located at the southeast end of the Laurel Street Bridge. Assessment will include a detailed analysis of Pump Station No. 1's capacity for large storm events. Preliminary design of improvements will be included in the assessment. Construction is proposed in FY23.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401707	Account # 752-40-61-7552-57311								
Project Cost Estimate:	435	79,565	79,565	50,000	-	50,000	2,500,000	-	2,600,000
Net Project Cost Estimates:	435	79,565	79,565	50,000	-	50,000	2,500,000	-	2,600,000

San Lorenzo River Levee Storm Drain Maintenance

Project Description:

The storm drain system for the levee system was primarily constructed in the 1950's with the levees. It is evident from some preliminary inspection and experience with the Clean Beaches Program projects that the system requires additional and significant repair and maintenance. This project provides ongoing funding to address minor deficiencies.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401314	Account # 752-40-61-7552-57311								
Project Cost Estimate:	53,412	96,588	96,588	40,000	40,000	40,000	40,000	40,000	200,000
Net Project Cost Estimates:	53,412	96,588	96,588	40,000	40,000	40,000	40,000	40,000	200,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

752- Storm Water Overlay Enterprise Fund

San Lorenzo River Pump Station #2

Project Description:

This project proposes to increase the capacity of this pump station that serves the Beach Area by replacing the existing pumps and electronic controls to increase pumping capacity for a 100 year return period storm.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401207	Account # 752-40-61-7552-57311								
Project Cost Estimate:	194,562	99,438	99,438	-	-	-	-	-	-
Net Project Cost Estimates:	194,562	99,438	99,438	-	-	-	-	-	-

San Lorenzo River Walk Lighting

Project Description:

The approved project application to add additional pedestrian scale lighting to the levee system was approved by the State in the 2017 Active Transportation Program Augmentation process and includes decorative LED lighting from the Water Street Bridge to the Highway 1 Bridge on both sides of the levee. Design funds are programmed in 2020 and construction funds in 2021.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401910	Account # 752-40-61-7559-57305								
Project Cost Estimate:	-	-	-	95,000	857,000	-	-	-	952,000
Project Funding Estimates:									
State capital grants - ATP	-	-	-	95,000	857,000	-	-	-	952,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Public Works (EXISTING)

752- Storm Water Overlay Enterprise Fund

SLR Flood Control Environ Rest Project

Project Description:

Provides for the anticipated costs of the City's share of additional flood control measures for Phase IV of the project which includes sediment removal or levee modifications. The scope of the project is being negotiated with The US Army Corps of Engineers and the schedule for construction is unknown.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c409512	Account # 752-40-61-7552-57311								
Project Cost Estimate:	3,220,407	209,442	209,442	100,000	-	-	-	-	100,000
Project Funding Estimates:									
State capital grants	289,515	35,000	35,000	-	-	-	-	-	-
Net Project Cost Estimates:	2,930,892	174,442	174,442	100,000	-	-	-	-	100,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for Storm Water Overlay Enterprise Fund (752) Totals

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	3,468,816	485,033	485,033	285,000	897,000	90,000	2,540,000	40,000	3,852,000
Total Project Funding Estimate:	289,515	35,000	35,000	95,000	857,000	-	-	-	952,000
Total Net Project Cost Estimate:	3,179,301	450,033	450,033	190,000	40,000	90,000	2,540,000	40,000	2,900,000

Public Works Totals for Storm Water Overlay Enterprise Fund (752)

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	3,468,816	485,033	485,033	285,000	897,000	90,000	2,540,000	40,000	3,852,000
Total Project Funding Estimate:	289,515	35,000	35,000	95,000	857,000	-	-	-	952,000
Total Net Project Cost Estimate:	3,179,301	450,033	450,033	190,000	40,000	90,000	2,540,000	40,000	2,900,000

Public Works Totals

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	56,028,118	78,987,669	79,018,833	23,902,600	14,132,000	33,515,000	43,850,000	16,680,000	132,079,600
Total Project Funding Estimate:	24,599,058	49,072,402	49,072,402	14,539,070	7,395,235	23,188,235	33,025,882	8,705,882	86,854,304
Total Net Project Cost Estimate:	31,429,060	29,915,267	29,946,431	9,363,530	6,736,765	10,326,765	10,824,118	7,974,118	45,225,296

Arena



Economic Development CIP

Water



Mission



City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Economic Development (NEW)

311- General Capital Improvement Fund

Downtown Mixed Use Project

Project Description:

Early phase design and development of the downtown mixed use project to include library, parking, housing, and commercial uses on the City owned parking lot 4.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c512002									
									Account # 311-51-80-9990-57390
Project Cost Estimate:	-	-	-	362,000	2,006,000	30,001,000	-	-	32,369,000
Project Funding Estimates:									
Parking Fund	-	-	-	-	-	11,096,000	-	-	11,096,000
City Public Trust Fund	-	-	-	-	742,000	-	-	-	742,000
From Affordable Housing Trust Fund	-	-	-	80,000	230,000	3,425,000	-	-	3,735,000
From ED Trust Fund	-	-	-	-	230,000	3,425,000	-	-	3,655,000
Measure S	-	-	-	282,000	804,000	12,055,000	-	-	13,141,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Economic Development (NEW)

311- General Capital Improvement Fund

Ocean Street Beautification

Project Description:

Design development for improvements based on the Ocean Street Plan including development of the design details for landscaping, sidewalk, streetlights. A place holder for construction is included.

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals						
Project # c512001									
									Account # 311-51-80-9990-57390
Project Cost Estimate:	-	-	-	2,000,000	-	-	-	-	2,000,000
Project Funding Estimates:									
RDA Successor Agency	-	-	-	2,000,000	-	-	-	-	2,000,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

New Capital Projects for General Capital Improvement Fund (311) Totals

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals						
Total Project Cost Estimate:	-	-	-	2,362,000	2,006,000	30,001,000	-	-	34,369,000
Total Project Funding Estimate:	-	-	-	2,362,000	2,006,000	30,001,000	-	-	34,369,000
Total Net Project Cost Estimate:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Economic Development (EXISTING)

311- General Capital Improvement Fund

Broadband Infrastructure

Project Description:

Early phase development of telecommunications infrastructure connecting City Hall campus to Wide Area Network (WAN). Provides gap funding for implementation of "Dig Once" opportunities.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c511501	Account # 311-51-80-9990-57390								
Project Cost Estimate:	224,283	150,717	150,717	-	-	-	-	-	-
Project Funding Estimates:									
From ED Trust Fund	224,283	150,717	150,717	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Citywide Sign Program Phase II

Project Description:

Signage program to update the city's parking and vehicular directional signage, gateway signage, banners, kiosks, and interactive maps.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # r521205	Account # 311-51-80-9990-57390								
Project Cost Estimate:	119,329	1,880,671	1,880,671	-	-	-	-	-	-
Project Funding Estimates:									
RDA Successor Agency	1,500,000	-	-	500,000	-	-	-	-	500,000
Net Project Cost Estimates:	(1,380,671)	1,880,671	1,880,671	(500,000)	-	-	-	-	(500,000)

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Economic Development (EXISTING)

311- General Capital Improvement Fund

Downtown Alley Improvements

Project Description:

Lighting and/or wayfinding improvements in downtown alleys.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c511703	Account # 311-51-80-9990-57390								
Project Cost Estimate:	-	50,000	50,000	150,000	-	-	-	-	150,000
Project Funding Estimates:									
RDA Successor Agency	-	50,000	50,000	150,000	-	-	-	-	150,000
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Farmers Market Structure

Project Description:

Construction of Farmers Market structure on City parking lot between Cathcart-Soquel, on Front Street.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c511901	Account # 311-51-80-9990-57390								
Project Cost Estimate:	-	1,275,000	1,275,000	-	-	-	-	-	-
Project Funding Estimates:									
From ED Trust Fund	-	1,275,000	1,275,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Economic Development (EXISTING)

311- General Capital Improvement Fund

Lower Pacific Avenue Improvements

Project Description:

Infrastructure improvements including parking, street beautification and other related improvements in connection with the METRO project.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c511702	Account # 311-51-80-9990-57390								
Project Cost Estimate:	-	2,500,000	2,500,000	-	-	-	-	-	-
Project Funding Estimates:									
RDA Successor Agency	-	2,500,000	2,500,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Economic Development (EXISTING)

311- General Capital Improvement Fund

Miramar Demolition and Wharf Piling Replacement

Project Description:

Replace wharf pilings under Miramar restaurant. Settlement proceeds were originally deposited into the Wharf fund in FY18 and are now being used to contribute towards the wharf pilings.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c511705	Account # 311-51-80-9990-57390								
Project Cost Estimate:	27,640	1,073,386	1,073,386	-	-	-	-	-	-
Project Funding Estimates:									
RDA Successor Agency	6,125	-	-	-	-	-	-	-	-
From ED Trust Fund	21,515	233,485	233,485	-	-	-	-	-	-
Contributions - businesses	-	370,000	370,000	-	-	-	-	-	-
From Municipal Wharf Fund	-	448,386	448,386	-	-	-	-	-	-
Settlement proceeds	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	21,515	21,515	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Economic Development (EXISTING)

311- General Capital Improvement Fund

Trolley Acquisition

Project Description:

Acquisition of an additional trolley to enhance public transportation services.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c511704	Account # 311-51-80-9990-57402								
Project Cost Estimate:	-	281,510	281,510	100,000	-	-	-	-	100,000
Project Funding Estimates:									
RDA Successor Agency	-	110,000	110,000	100,000	-	-	-	-	100,000
Local grant - MBUAPCD	-	171,510	171,510	-	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

Wharf Ticketing Booths/Gates

Project Description:

Wharf rehabilitation projects as identified in the Wharf Master Plan to relocate gateway entrance and parking control stations. (This is an existing project that was listed under Parks & Recreation as c301501 and is now being transferred to Economic Development with no new appropriations.)

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c511502	Account # 311-51-80-9990-57390								
Project Cost Estimate:	-	1,600,000	1,600,000	-	-	-	-	-	-
Project Funding Estimates:									
RDA Successor Agency	1,000,000	600,000	600,000	-	-	-	-	-	-
Net Project Cost Estimates:	(1,000,000)	1,000,000	1,000,000	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for General Capital Improvement Fund (311) Totals

	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024	
	Prior Year Totals	Budget							Estimated Actuals
Total Project Cost Estimate:	371,252	8,811,284	8,811,284	250,000	-	-	-	-	250,000
Total Project Funding Estimate:	2,751,923	5,909,098	5,909,098	750,000	-	-	-	-	750,000
Total Net Project Cost Estimate:	(2,380,671)	2,902,186	2,902,186	(500,000)	-	-	-	-	(500,000)

Economic Development Totals for General Capital Improvement Fund (311)

	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2019 - 2021	
	Prior Year Totals	Budget							Estimated Actuals
Total Project Cost Estimate:	371,252	8,811,284	8,811,284	2,612,000	2,006,000	30,001,000	-	-	34,619,000
Total Project Funding Estimate:	2,751,923	5,909,098	5,909,098	3,112,000	2,006,000	30,001,000	-	-	35,119,000
Total Net Project Cost Estimate:	(2,380,671)	2,902,186	2,902,186	(500,000)	-	-	-	-	(500,000)

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Economic Development (EXISTING)

281- City Low & Mod Housing Successor Agency

Metro Center Project & Housing

Project Description:

Development of affordable housing units for low and moderate income housing as a component of the Metro Transit Center project.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # r521301	Account # 281-51-81-5610-57202								
Project Cost Estimate:	5,106,111	1,038,889	1,038,889	691,958	346,503	-	-	-	1,038,461
Project Funding Estimates:									
Rents-Real Property	177,909	345,000	345,000	367,175	346,503	-	-	-	713,678
Contributions from SA to City	5,102,985	693,889	693,889	-	-	-	-	-	-
From Affordable Housing Trust Fund	-	-	-	150,000	-	-	-	-	150,000
Net Project Cost Estimates:	(174,783)	-	-	174,783	-	-	-	-	174,783

Tannery Landscaping

Project Description:

The then-Redevelopment Agency committed to certain landscaping, as funds came available through repayment of loans the Agency made to Artspace.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c511706	Account # 281-51-81-5650-57106								
Project Cost Estimate:	6,742	68,258	68,258	40,000	-	-	-	-	40,000
Net Project Cost Estimates:	6,742	68,258	68,258	40,000	-	-	-	-	40,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for City Low & Mod Housing Successor Agency (281) Totals

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	5,112,853	1,107,147	1,107,147	731,958	346,503	-	-	-	1,078,461
Total Project Funding Estimate:	5,280,894	1,038,889	1,038,889	517,175	346,503	-	-	-	863,678
Total Net Project Cost Estimate:	(168,041)	68,258	68,258	214,783	-	-	-	-	214,783

Economic Development Totals for City Low & Mod Housing Successor Agency (281)

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	5,112,853	1,107,147	1,107,147	731,958	346,503	-	-	-	1,078,461
Total Project Funding Estimate:	5,280,894	1,038,889	1,038,889	517,175	346,503	-	-	-	863,678
Total Net Project Cost Estimate:	(168,041)	68,258	68,258	214,783	-	-	-	-	214,783

Economic Development Totals

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	5,484,105	9,918,431	9,918,431	3,343,958	2,352,503	30,001,000	-	-	35,697,461
Total Net Project Cost Estimate:	(2,548,712)	2,970,444	2,970,444	(285,217)	-	-	-	-	(285,217)

Water Department CIP



City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

Advanced Metering infrastructure (AMI)

Project Description:

Implementation of system-wide water meter replacement necessary to address a system that is at the end of its design life, as seen in increasing number of failing meters. Water metering is crucial in accurately registering water consumption both for billing and system management purposes. Revenue losses are realized with an estimated 22 million gallons of water per year being delivered to customers unregistered due to performance degradation of old meters. As recommended in the Water Conservation Master Plan, this program accelerates the completion of system-wide Advanced Metering Infrastructure (AMI) implementation which enables early leak detection, customer conservation tools, streamlined workflows and enhances system analysis capabilities.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701603	Account # 711-70-91-7153-57302								
Project Cost Estimate:	30,817	274,183	274,183	-	5,752,000	5,180,000	-	-	10,932,000
Net Project Cost Estimates:	30,817	274,183	274,183	-	5,752,000	5,180,000	-	-	10,932,000

Aerators at Loch Lomond

Project Description:

Following the condition assessment and design of a new aeration system at Loch Lomond Reservoir, construction of the new system should be completed in FY2020.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701706	Account # 711-70-91-7153-57302								
Project Cost Estimate:	1,377	548,623	548,623	-	-	-	-	-	-
Net Project Cost Estimates:	1,377	548,623	548,623	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

ASR and In-Lieu Feasibility Study

Project Description:

Evaluate the feasibility of Aquifer Storage and Recovery in the Mid County and Santa Margarita Groundwater Basins and/or water exchanges and transfers with neighboring water agencies as per the recommendations of the Water Supply Advisory Committee. Funds in FY2020 will include ongoing pilot work in both basins as well as groundwater modeling. Project requires feasibility studies, agreements with other agencies, and design, permitting, and construction of infrastructure improvements. Project would potentially provide additional potable water to City and other agency customers, addressing part or all of water supply deficiencies.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701609	Account # 711-70-91-7153-57302								
Project Cost Estimate:	434,886	2,474,614	2,474,614	-	2,837,000	4,373,000	7,617,000	7,257,000	22,084,000
Net Project Cost Estimates:	434,886	2,474,614	2,474,614	-	2,837,000	4,373,000	7,617,000	7,257,000	22,084,000

ASR and In-Lieu Feasibility Study - SDC

Project Description:

Evaluate the feasibility of Aquifer Storage and Recovery in the Mid County and Santa Margarita Groundwater Basins and/or water exchanges and transfers with neighboring water agencies as per the recommendations of the Water Supply Advisory Committee. Funds in FY2020 will include ongoing pilot work in both basins as well as groundwater modeling. Project requires feasibility studies, agreements with other agencies, and design, permitting, and construction of infrastructure improvements. Project would potentially provide additional potable water to City and other agency customers, addressing part or all of water supply deficiencies.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701610	Account # 715-70-91-7153-57302								
Project Cost Estimate:	178,240	897,260	897,260	-	500,000	500,000	500,000	500,000	2,000,000
Net Project Cost Estimates:	178,240	897,260	897,260	-	500,000	500,000	500,000	500,000	2,000,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

Bay Street Reservoir Reconstruction

Project Description:

The Bay Street Reservoir reached the end of its useful life and was replaced with two 6 MG tanks. The Notice of Completion has been recorded and post-construction activities are wrapping-up.

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Account # 711-70-91-7153-57302									
Project # c700313									
Project Cost Estimate:	20,158,292	253,284	253,284	-	-	-	-	-	-
Net Project Cost Estimates:	20,158,292	253,284	253,284	-	-	-	-	-	-

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Account # 715-70-91-7153-57302									
Project # c700027									
Project Cost Estimate:	5,111,508	86,988	86,988	-	-	-	-	-	-
Net Project Cost Estimates:	5,111,508	86,988	86,988	-	-	-	-	-	-

Beltz 10 and 11 Rehab & Development

Project Description:

This project involves the rehabilitation of Beltz 10 (an existing groundwater production well) and the conversion of an existing monitoring well to a production well (Beltz 11). This project will shift pumping to different geologic layers of the basin.

	Prior Year	Fiscal Year 2019		FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
		Budgeted	Estimated Actuals						
Account # 711-70-91-7153-57302									
Project # c700026									
Project Cost Estimate:	80,604	428,639	428,639	-	-	-	-	-	-
Net Project Cost Estimates:	80,604	428,639	428,639	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

Brackney Landslide Risk Reduction

Project Description:

Engineering work completed to apply to the Hazard Mitigation Grant Program for a project to reduce the risk of a landslide on Brackney Rd in order to protect the Newell Creek Pipeline that is our pipeline bringing raw water from the Loch Lomond Reservoir to the Graham Hill Water Treatment Plant. Such work will be completed within the larger Newell Creek Pipeline Replacement project, c701701.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701803	Account # 711-70-91-7153-57302								
Project Cost Estimate:	49,312	20,788	20,788	-	-	-	-	-	-
Net Project Cost Estimates:	49,312	20,788	20,788	-	-	-	-	-	-

Coast Pump Stat. & Tail Well Flood Reduction

Project Description:

Engineering work completed to apply to the Hazard Mitigation Grant Program for a project to reduce the risk of damage to the Coast Pump Station and Tait Wells No. 4 when the San Lorenzo River floods. Such work will be completed within the new Coast Pump Station and San Lorenzo River Diversion Rehabilitation project, c701903.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701804	Account # 711-70-91-7151-57302								
Project Cost Estimate:	48,575	18,725	18,725	-	-	-	-	-	-
Net Project Cost Estimates:	48,575	18,725	18,725	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

711- Water & Water System Development
 Enterprise Fund

CPS & SLR Diversion Rehab

Project Description:

Phase 1 includes initial visual condition assessment and preliminary engineering for diversion intake site (dam, intake structures, and fish passage) and, if needed, development of an RFP to procure design and permitting services for near term rehabilitation. Phase 2 includes full condition assessment of the Coast Pump Station and Diversion and associated improvements to mitigate current and future flood damage.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701903	Account # 711-70-91-7151-57302								
Project Cost Estimate:	-	260,000	260,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	260,000	260,000	-	-	-	-	-	-

CPS 20" RW Pipeline Replacement

Project Description:

Replacement of the Coast Pump Station discharge pipeline. The project includes design of a replacement line for the existing discharge pipeline under the San Lorenzo River followed by construction.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701707	Account # 711-70-91-7151-57302								
Project Cost Estimate:	64,721	770,399	770,399	2,500,000	-	-	-	-	2,500,000
Net Project Cost Estimates:	64,721	770,399	770,399	2,500,000	-	-	-	-	2,500,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

Facility & Infrastructure Improvements

Project Description:

Various capital improvements projects under \$200K and not included in the Program. Specific projects to be identified annually.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c701907	Account # 711-70-91-7153-57302								
Project Cost Estimate:	-	-	-	-	417,000	435,000	454,000	473,000	1,779,000
Net Project Cost Estimates:	-	-	-	-	417,000	435,000	454,000	473,000	1,779,000

Felton Diversion Pump Station Assessment

Project Description:

This project consists of evaluation of the existing dam and pump station with recommendations to rehabilitate or replace existing facilities. A hydraulic assessment of the existing facility will be conducted to determine what, if any, improvements or operational changes are needed to pump from the diversion directly to the GHWTP. To improve energy efficiency, new pumps and drives at the diversion are also anticipated.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c701906	Account # 711-70-91-7153-57302								
Project Cost Estimate:	-	367,000	367,000	-	125,000	-	-	-	125,000
Net Project Cost Estimates:	-	367,000	367,000	-	125,000	-	-	-	125,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

GHWTP CC Tanks Replacement

Project Description:

Infrastructure improvements to the GHWTP are necessary to meet regulatory requirements, improve operations and increase overall reliability. The design phase of this project is nearly complete for the replacement of the Filtered Water Tank, Wash Water Reclamation Tank (Reclaim Tank), and Sludge Storage Tank.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701501	Account # 711-70-91-7152-57302								
Project Cost Estimate:	1,588,295	4,400,025	4,400,025	-	13,601,000	11,542,000	340,000	-	25,483,000
Net Project Cost Estimates:	1,588,295	4,400,025	4,400,025	-	13,601,000	11,542,000	340,000	-	25,483,000

GHWTP Flocculator Rehab/Replacement

Project Description:

Design and repair or replacement of aging paddle wheel flocculators at the Graham Hill Water Treatment Plant. A condition assessment and alternatives analysis will be performed to determine the best path forward considering cost, schedule, and operations.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701502	Account # 711-70-91-7152-57302								
Project Cost Estimate:	-	320,000	320,000	-	57,000	-	-	-	57,000
Net Project Cost Estimates:	-	320,000	320,000	-	57,000	-	-	-	57,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

711- Water & Water System Development
 Enterprise Fund

Graham Hill WTP Tube Settler Replacement

Project Description:

Design and replacement of tube settlers and related appurtenances. As part of the project, the tube settlers for three basins will be replaced-in-kind and will also include the replacement of associated valves and piping, and making concrete crack repairs in the basins.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701708	Account # 711-70-91-7152-57302								
Project Cost Estimate:	161,700	2,013,500	2,013,500	-	-	-	-	-	-
Net Project Cost Estimates:	161,700	2,013,500	2,013,500	-	-	-	-	-	-

Graham Hill WTP Upgrades

Project Description:

Process improvements to the Graham Hill Water Treatment Plant (GHWTP) are necessary to meet regulatory requirements, improve operations and increase overall system reliability. This project currently includes condition assessments, alternatives analyses, preliminary designs and preparation of a Facilities Improvement Project report. Final design and construction services are future phases included in this project.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c700025	Account # 711-70-91-7152-57302								
Project Cost Estimate:	840,077	1,594,449	1,594,449	-	4,708,000	2,201,000	5,560,000	43,313,000	55,782,000
Net Project Cost Estimates:	840,077	1,594,449	1,594,449	-	4,708,000	2,201,000	5,560,000	43,313,000	55,782,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

Loch Lomond Facilities Improvements

Project Description:

Complete facilities assessment and improvement program at Loch Lomond. A Use study was completed in FY 2013 which resulted in a number of planned projects to enhance the recreation area usability for its visitors. Several ADA and other recreational improvements are being pursued

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701301	Account # 711-70-91-7153-57302								
Project Cost Estimate:	73,626	211,374	211,374	-	-	-	-	-	-
Net Project Cost Estimates:	73,626	211,374	211,374	-	-	-	-	-	-

Main Replacements – Engr Transm

Project Description:

Similar to c700002 Main Replacements, this project specifically funds water transmission mains, or pipes 10" or larger. This project is funded by System Development Charges (100% SDC – Fund 715).

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c700017	Account # 715-70-91-7151-57302								
Project Cost Estimate:	898,743	354,788	354,788	-	156,000	163,000	170,000	178,000	667,000
Net Project Cost Estimates:	898,743	354,788	354,788	-	156,000	163,000	170,000	178,000	667,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

Main Replacements – Engr Transm - SDC

Project Description:

Similar to c700002, Main Replacements, this project specifically funds water transmission mains, or pipes 10” or larger.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c709833	Account # 711-70-91-7151-57302								
Project Cost Estimate:	3,923,971	1,311,466	1,311,466	-	-	-	-	-	-
Net Project Cost Estimates:	3,923,971	1,311,466	1,311,466	-	-	-	-	-	-

Main Replacements- Distribution Section

Project Description:

Recurring program to replace deteriorated or undersized water mains, as identified and prioritized by the Department and implemented by the Distribution Section. Projects are typically based on leak history, but also address water quality and fire flow issues.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701507	Account # 711-70-97-7151-57302								
Project Cost Estimate:	774,437	425,563	425,563	-	261,000	272,000	284,000	296,000	1,113,000
Net Project Cost Estimates:	774,437	425,563	425,563	-	261,000	272,000	284,000	296,000	1,113,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

Main Replacements- Engineering Section

Project Description:

Recurring program to replace distribution system water mains, identified and prioritized by the Department based on maintaining water system reliability, delivering adequate fire flows, improving circulation and water quality, and reducing maintenance costs. These projects are typically installed by contractors according to bid plans and specifications. Funds may also be budgeted in projects c709833 and c700017 and will be distributed between the 3 projects when that year's replacement project is identified.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c700002	Account # 711-70-91-7151-57302								
Project Cost Estimate:	5,650,142	2,517,985	2,517,985	-	-	-	-	-	-
Net Project Cost Estimates:	5,650,142	2,517,985	2,517,985	-	-	-	-	-	-

N Coast System Laguna Diversion Rehab

Project Description:

The City passively diverts water from Laguna Creek into pipelines that carry the water to the North Coast Pipeline. The North Coast System Rehab project (c. 2002) included the evaluation of the North Coast diversions to determine if they are sound and if modifications could be made to improve the efficiency and reduce the potential environmental impacts associated with City operations. This project will update the findings of the 2002 analysis, and design and construct needed improvements.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701801	Account # 711-70-91-7153-57302								
Project Cost Estimate:	7,353	212,647	212,647	-	72,000	324,000	1,129,000	161,000	1,686,000
Net Project Cost Estimates:	7,353	212,647	212,647	-	72,000	324,000	1,129,000	161,000	1,686,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

711- Water & Water System Development
 Enterprise Fund

N Coast System Major Diversion Rehab

Project Description:

The City passively diverts water from Majors Creek into pipelines that carry the water to the North Coast Pipeline. The North Coast System Rehab project (c. 2002) included the evaluation of the North Coast diversions to determine if they are sound and if modifications could be made to improve the efficiency and reduce the potential environmental impacts associated with City operations. This project will update the findings of the 2002 analysis, and design and construct needed improvements.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701802	Account # 711-70-91-7153-57302								
Project Cost Estimate:	7,353	162,647	162,647	-	-	-	-	82,000	82,000
Net Project Cost Estimates:	7,353	162,647	162,647	-	-	-	-	82,000	82,000

N Coast System Repair/Replace -Phase 4

Project Description:

The City diverts water from several north coast streams to the North Coast Pipeline. The North Coast System Rehab project (c. 2002) consists of six phases over a 15-20 year timeframe to evaluate, rehabilitate and/or replace portion's to ensure continued reliability. Project c709835 funded phases 1-3 which are complete; this project will fund the planning work needed to prioritize the remaining phases and complete the fourth phase.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701908	Account # 711-70-91-7153-57302								
Project Cost Estimate:	-	-	-	-	522,000	-	-	-	522,000
Net Project Cost Estimates:	-	-	-	-	522,000	-	-	-	522,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

N Coast System Repair/Replacement

Project Description:

Springs and streams along the coast north of the City limits supply approximately 25% of the City's raw water. Some of the facilities related to these water supplies are reaching the end of their useful life. This program consists of six phases over the next 15 to 20 years to evaluate, rehabilitate, and replace portions of the existing infrastructure to ensure continued reliability. Phases 1-3 are complete; future phases will be completed under separate project numbers. Refer to c701908.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c709835	Account # 711-70-91-7153-57302								
Project Cost Estimate:	13,876,208	164,050	164,050	-	-	-	-	-	-
Net Project Cost Estimates:	13,876,208	164,050	164,050	-	-	-	-	-	-

NCD I/O Replacement Project

Project Description:

The Newell Creek Dam was constructed in the 1960's. A pipeline runs through the base of the dam to deliver water to the reservoir from Felton Diversion and from the reservoir to the Graham Hill Water Treatment Plant. The pipeline is reaching the end of its design life and will be replaced along with all related infrastructure. This project is being implemented with oversight by the Division of Safety of Dams and, having demonstrated compliance with existing seismic regulations, is strictly addressing rehabilitation and replacement issues.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701606	Account # 711-70-91-7153-57302								
Project Cost Estimate:	3,424,414	6,868,330	6,868,330	-	23,141,000	29,563,000	21,084,000	134,000	73,922,000
Net Project Cost Estimates:	3,424,414	6,868,330	6,868,330	-	23,141,000	29,563,000	21,084,000	134,000	73,922,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

Newell Creek Access Rd Bridge

Project Description:

The footings of this bridge are eroding due to erosion from Newell Creek. This project is scheduled for completion summer 2019 and will reinforce the footings with rock buttressing.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701904	Account # 711-70-91-7151-57302								
Project Cost Estimate:	-	150,000	150,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	150,000	150,000	-	-	-	-	-	-

Newell Creek Pipeline Rehab/Replacement

Project Description:

This pipeline was constructed in the 1960s and extends from the toe of the Newell Creek Dam to Graham Hill Water Treatment Plant. This project will conduct a condition assessment and program level environmental review followed by rehab and/or replacement of all or parts of the pipeline. This project is intended to ensure continued reliability of this critical water supply transmission main. The project also includes adding the pipeline to the City's hydraulic water model and a hazard mitigation analysis.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701701	Account # 711-70-91-7153-57302								
Project Cost Estimate:	9,500	2,013,100	2,013,100	-	-	-	-	-	-
Net Project Cost Estimates:	9,500	2,013,100	2,013,100	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

Pressure Regulating Stations

Project Description:

Evaluation and replacement of aging pressure regulating stations (PRS). A PRS maintains (sustains or reduces) downstream pressure in order to deliver sufficient water pressure. The water distribution system contains 15 PRS and they vary in age, with the oldest being 66 years. This project will continue rehabilitation or replacement through FY2021.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701703	Account # 711-70-91-7151-57302								
Project Cost Estimate:	117,338	212,662	212,662	-	-	-	-	-	-
Net Project Cost Estimates:	117,338	212,662	212,662	-	-	-	-	-	-

Programmable Logic Controllers (PLC) Upg

Project Description:

Will enable better integration of future CIP projects at the Graham Hill Water Treatment Plant with the PLC system.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701905	Account # 711-70-95-7152-57302								
Project Cost Estimate:	-	160,000	160,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	160,000	160,000	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**715- Water & Water System Development
 Enterprise Fund**

Recycled Water

Project Description:

Evaluate the feasibility of using treated wastewater for beneficial uses as per the recommendations of the Water Supply Advisory Committee. The Recycled Water Facilities Planning Study provided a higher level assessment of the potential uses of treated wastewater from the City's Wastewater Treatment Facility. An additional study will provide more detail about a shortlist of feasible projects, and their ability to meet supply shortages.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701612	Account # 715-70-91-7153-57302								
Project Cost Estimate:	166,319	39,873	39,873	-	-	-	-	-	-
Net Project Cost Estimates:	166,319	39,873	39,873	-	-	-	-	-	-

Recycled Water Feasibility Study

Project Description:

Evaluate the feasibility of using treated wastewater for beneficial uses as per the recommendations of the Water Supply Advisory Committee. The Recycled Water Facilities Planning Study provided a higher level assessment of the potential uses of treated wastewater from the City's Wastewater Treatment Facility. An additional study will provide more detail about a shortlist of feasible projects, and their ability to meet supply shortages.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701611	Account # 711-70-91-7153-57302								
Project Cost Estimate:	372,214	100,286	100,286	-	-	-	-	-	-
Net Project Cost Estimates:	372,214	100,286	100,286	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

River Bank Filtration Study

Project Description:

This project assesses the feasibility of locating new riverbank filtration wells along the San Lorenzo River near two different existing surface water diversions: Tait Street and Felton. If found feasible, locations and design parameters for installation of vertical or horizontal wells would be recommended. Construction would be scheduled and budgeted in future years.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701806	Account # 711-70-91-7153-57302								
Project Cost Estimate:	59,244	1,490,756	1,490,756	-	375,000	-	-	-	375,000
Net Project Cost Estimates:	59,244	1,490,756	1,490,756	-	375,000	-	-	-	375,000

Security Camera & Building Access Upgrades

Project Description:

Continuation of the evaluation and implementation of security camera and building access upgrades at various water department facilities.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701704	Account # 711-70-91-7151-57302								
Project Cost Estimate:	139,227	105,773	105,773	-	-	-	-	-	-
Net Project Cost Estimates:	139,227	105,773	105,773	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

Source Water Data Project

Project Description:

Evaluate source water quality, operational and infrastructure alternatives to maximize use of surface water. Source water testing began in October 2016 and will continue through 2018. This project was prompted in part by the recommendations of the Water Supply Advisory Committee, accepted by Council in Nov 2015, to evaluate use of additional winter flows in the San Lorenzo River for various purposes to solve the regional water supply issues. This project also includes the development of Standard Operating Procedures, data organization, and laboratory training as well as development of a database and accompanying guidance document to unify past, present, and future water sampling and testing efforts across water program projects.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701608	Account # 711-70-91-7153-57302								
Project Cost Estimate:	328,825	671,175	671,175	-	-	-	-	-	-
Net Project Cost Estimates:	328,825	671,175	671,175	-	-	-	-	-	-

Spillway Bridge Replacement

Project Description:

Replace the bridge that spans the Newell Creek Dam spillway. The existing spillway bridge was not constructed to support heavy vehicle traffic like construction equipment, operations equipment, and fire trucks.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701807	Account # 711-70-91-7154-57302								
Project Cost Estimate:	2,600	1,015,450	1,015,450	-	-	-	-	-	-
Net Project Cost Estimates:	2,600	1,015,450	1,015,450	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

711- Water & Water System Development
 Enterprise Fund

Spoils and Stockpile Handling Facilities Impro

Project Description:

Completion of the project that includes covering material storage for materials (sand, base rock, cold mix and spoils) at the City's Corporation yard. Improvements will allow for better handling of wet spoils generated by the vactor truck, as well as prevent sediment laden runoff from entering the storm water drainage system.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701508	Account # 711-70-91-7151-57302								
Project Cost Estimate:	227,871	122,129	122,129	-	-	-	-	-	-
Net Project Cost Estimates:	227,871	122,129	122,129	-	-	-	-	-	-

Union/Locust Building Remodel

Project Description:

Remodel of Union/Locust Building to reduce Library footprint and expand Water space.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701805	Account # 711-70-91-7159-57203								
Project Cost Estimate:	35,195	869,805	869,805	-	-	-	-	-	-
Net Project Cost Estimates:	35,195	869,805	869,805	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

711- Water & Water System Development
 Enterprise Fund

University Tank No. 4 Rehab/Replace

Project Description:

Perform engineering analysis and condition assessment of the aging University 4 tank and associated piping to ensure reliable service. Project includes an alternatives analysis to consider installing a larger high-pressure pipeline to bypass the U4 tank and pump directly from the U4 pump station to the U5 tank. Project will include condition assessment, design, acquisition of construction easements from UC Santa Cruz, permitting, and construction.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701505	Account # 711-70-91-7153-57302								
Project Cost Estimate:	-	220,000	220,000	-	-	-	-	-	-
Net Project Cost Estimates:	-	220,000	220,000	-	-	-	-	-	-

University Tank No. 5 Replacement

Project Description:

Complete engineering analysis and replacement of the aging University 5 tank to ensure continued reliable service.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701506	Account # 711-70-91-7153-57302								
Project Cost Estimate:	377,468	4,050,532	4,050,532	-	-	-	-	-	-
Net Project Cost Estimates:	377,468	4,050,532	4,050,532	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

715- Water & Water System Development
 Enterprise Fund

Water Main Replacements -Customer Initiated

Project Description:

Recurring program similar to the other Main Replacement Projects; however, these projects are initiated on an as-needed basis to accommodate customer-requested service connections to non-existent or inadequate mains. Funds, to the extent of the appropriation, are disbursed to customers on a first-come, first-served basis.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c700004	Account # 715-70-91-7151-57302								
Project Cost Estimate:	301,259	50,000	50,000	-	-	-	-	-	-
Net Project Cost Estimates:	301,259	50,000	50,000	-	-	-	-	-	-

Water Main Replacements -Outside Agency

Project Description:

Water main, service line, valve, or water meter relocation necessitated by County or other Agency road improvement, storm drain improvement projects, and/or other projects that conflict with existing water infrastructure.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c700003	Account # 711-70-91-7151-57302								
Project Cost Estimate:	1,254,334	602,458	602,458	-	-	-	-	-	-
Net Project Cost Estimates:	1,254,334	602,458	602,458	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

Water Program Administration

Project Description:

The City has contracted with HDR Inc, for 5 years to provide Program Management Services. As Program Manager, HDR supplements City staff and brings the additional technical and managerial resources required to implement an expanded Capital Investment Program. Funding for this project will also function as a contingency reserve to cover unplanned but predictable cost increases in any separate project under the Capital Investment Program.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701901	Account # 711-70-91-7159-57302								
Project Cost Estimate:	-	-	2,204,182	-	3,577,000	3,711,000	2,515,000	3,560,000	13,363,000
Net Project Cost Estimates:	-	-	2,204,182	-	3,577,000	3,711,000	2,515,000	3,560,000	13,363,000

Water Supply Augmentation

Project Description:

This CIP replaces projects c701402 & c701403 to capture various studies and analyses to further the WSAC recommendations. The work conducted in other CIP projects relate to this one; e.g., ASR/In-Lieu, Recycled Water, desalination.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701705	Account # 711-70-91-7153-57302								
Project Cost Estimate:	128,978	3,879,374	3,879,374	-	469,000	435,000	454,000	473,000	1,831,000
Net Project Cost Estimates:	128,978	3,879,374	3,879,374	-	469,000	435,000	454,000	473,000	1,831,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Water (EXISTING)

**711- Water & Water System Development
 Enterprise Fund**

Water Treatment Plant Filter Rehabilitation and Upgrades

Project Description:

This project rehabilitated and improved the filter performance at the Graham Hill WTP. The Notice of Completion has been recorded and post-construction activities are wrapping-up.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c701303	Account # 711-70-91-7152-57302								
Project Cost Estimate:	5,815,666	221,634	221,634	-	-	-	-	-	-
Net Project Cost Estimates:	5,815,666	221,634	221,634	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for Water & Water System Development Enterprise Fund (711 & 715) Totals

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	66,720,689	42,932,334	45,136,516	2,500,000	56,570,000	58,699,000	40,107,000	56,427,000	214,303,000
Total Project Funding Estimate:	-	-	-	-	-	-	-	-	-
Total Net Project Cost Estimate:	66,720,689	42,932,334	45,136,516	2,500,000	56,570,000	58,699,000	40,107,000	56,427,000	214,303,000

Water Totals for Water & Water System Development Enterprise Fund (711 & 715)

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	66,720,689	42,932,334	45,136,516	2,500,000	56,570,000	58,699,000	40,107,000	56,427,000	214,303,000
Total Project Funding Estimate:	-	-	-	-	-	-	-	-	-
Total Net Project Cost Estimate:	66,720,689	42,932,334	45,136,516	2,500,000	56,570,000	58,699,000	40,107,000	56,427,000	214,303,000

Water Totals

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	66,720,689	42,932,334	45,136,516	2,500,000	56,570,000	58,699,000	40,107,000	56,427,000	214,303,000
Total Net Project Cost Estimate:	66,720,689	42,932,334	45,136,516	2,500,000	56,570,000	58,699,000	40,107,000	56,427,000	214,303,000

Citywide CIP



City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Citywide (EXISTING)

311- General Capital Improvement Fund

Arena Capital Improvements

Project Description:

Originally built as a temporary structure to be used by D league basketball Santa Cruz Warriors. Additional improvements needed to be used as facility for other local sports events.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c601402	Account # 311-10-00-9990-57290								
Project Cost Estimate:	108,676	66,324	66,324	-	-	-	-	-	-
Net Project Cost Estimates:	108,676	66,324	66,324	-	-	-	-	-	-

CEC Grant - Building Energy Efficiency Advance

Project Description:

The project will be to set up the installation of energy efficiency controls at City Hall Annex and PD facilities.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c401814	Account # 311-40-00-9410-57290								
Project Cost Estimate:	14,150	521,958	521,958	-	-	-	-	-	-
Project Funding Estimates:									
Federal Capital Grants - CEC	-	426,672	426,672	-	-	-	-	-	-
Net Project Cost Estimates:	14,150	80,286	80,286	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Citywide (EXISTING)

311- General Capital Improvement Fund

City Hall Parking Lot Repairs

Project Description:

The parking lots in the City Hall complex (Annex, Parks, Civic, Locust) have received very limited maintenance in the last 30 years and are in need of drainage improvements and pavement or concrete rehabilitation. The multi-year program is a phased approach to addressing this deferred maintenance. Storm water quality improvements will be incorporated where feasible. The Annex parking lot was completed concurrently with the solar carport project and included storm water quality improvements funded by Measure E.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c601301	Account # 311-40-00-9410-57290								
Project Cost Estimate:	120,123	60,000	60,000	-	300,000	-	-	-	300,000
Net Project Cost Estimates:	120,123	60,000	60,000	-	300,000	-	-	-	300,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Citywide (EXISTING)

311- General Capital Improvement Fund

Corp Yard Solar Replacement

Project Description:

The Corporation Yard Main Building has a solar photovoltaic systems which was removed to reroof the building and to perform structural upgrades. This funding replaces the solar panels with more efficient panels. The replaced panels cannot be reused and are being donated to the UCSC & Cabrillo Technology Programs. A study was completed which recommended that an additional 55kw could be added to the roof to compliment this system, which is a separate project. The payback is 5 years. The project will be implemented following the seismic retrofit of the building, as a new roof is being/installed.

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals						
Project # c601501	Account # 311-40-00-9410-57290								
Project Cost Estimate:	18,377	237,624	237,624	-	-	-	-	-	-
Project Funding Estimates:									
Water Fund	17,625	63,190	63,190	-	-	-	-	-	-
Parking Fund	470	4,066	4,066	-	-	-	-	-	-
Equipment Fund	282	43,875	43,875	-	-	-	-	-	-
Refuse Fund	-	91,645	91,645	-	-	-	-	-	-
Net Project Cost Estimates:	-	34,848	34,848	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Citywide (EXISTING)

311- General Capital Improvement Fund

Corp Yard Stormwater Pollution Prevention Plan and Implementation

Project Description:

Development of a required Stormwater Pollution Prevention Plan (SWPPP) for the Corporation Yard which will identify storm water quality Best Management Practices (BMP's) and provide funding for implementation. Structural BMP's may include a oil/water separator or other treatment system to capture oil and silt from the vehicle storage areas. Non-structural BMP's will include additional sweeping, monitoring and inspections. (Priority level 1 - This project would require an additional \$34,500 from the General Fund.)

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c601701	Account # 311-40-00-9410-57311								
Project Cost Estimate:	60,964	64,036	64,036	115,500	-	-	-	-	115,500
Project Funding Estimates:									
Water Fund	12,528	6,872	6,872	36,000	-	-	-	-	36,000
Refuse Fund	38,438	21,087	21,087	58,500	-	-	-	-	58,500
Equipment Fund	8,320	922	922	12,000	-	-	-	-	12,000
Parking Fund	1,678	-	-	9,000	-	-	-	-	9,000
From General Fund	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	35,155	35,155	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Citywide (EXISTING)

311- General Capital Improvement Fund

Corporation Yard Main Bldg Seismic

Project Description:

The Corporation Yard Main Building was constructed in 1966 and has been modified over the years. It currently houses Fleet, Water Operations, Public Works Operations, Building Maintenance, Street Maintenance, Traffic Maintenance and Parking Maintenance, and is an important emergency operations deployment center. The need to upgrade the buildings life-safety performance during a seismic event was identified in the Corporation Yard Master Plan. The seismic stability and retrofit strategies study for the building was done in FY12; the design initiated in FY 14 and construction started in FY17 and will be completed in FY19. Costs have increased due to additional structural and ADA requirements.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c601101	Account # 311-40-00-9410-57290								
Project Cost Estimate:	2,635,587	198,637	198,637	-	-	-	-	-	-
Project Funding Estimates:									
Parking Fund	163,439	-	-	-	-	-	-	-	-
Water Fund	467,424	-	-	-	-	-	-	-	-
Equipment Fund	93,290	-	-	-	-	-	-	-	-
Refuse Fund	2,604	-	-	-	-	-	-	-	-
Workers Comp Fund	1,336,053	-	-	-	-	-	-	-	-
From General Fund	95,565	-	-	-	-	-	-	-	-
Loan proceeds	42,224	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	434,988	198,637	198,637	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Citywide (EXISTING)

311- General Capital Improvement Fund

Neighborhood Grant Program - Pilot

Project Description:

Pilot Program - Opportunities for communities to volunteer and to initiate local projects which support safe and well-maintained neighborhoods and public spaces.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c601401	Account # 311-10-00-9810-57390								
Project Cost Estimate:	5,287	19,713	19,713	-	-	-	-	-	-
Net Project Cost Estimates:	5,287	19,713	19,713	-	-	-	-	-	-

Permitting Application

Project Description:

Implementation of a city-wide permitting system which will replace the legacy application on the HP3000.

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # c600802	Account # 311-15-00-9910-57901								
Project Cost Estimate:	561,263	53,737	53,737	-	-	-	-	-	-
Net Project Cost Estimates:	561,263	53,737	53,737	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Citywide (EXISTING)

311- General Capital Improvement Fund

Police Headquarters Piping Repair

Project Description:

To replace corroded pipe leading to pump & component failure; includes replacing fire sprinkler pipe. (Priority level 1 - If funded in FY2020, this project would require \$275,000 from the General Fund.)

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c401911	Account # 311-40-00-9410-57290								
Project Cost Estimate:	-	-	-	-	-	-	-	-	-
Project Funding Estimates:									
From General Fund	-	-	-	1	-	-	-	-	-
Net Project Cost Estimates:	-	-	-	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Citywide (EXISTING)

311- General Capital Improvement Fund

Public Facilities - Maintenance

Project Description:

Provides funding for remodeling and/or repairs to various public buildings and will be prioritized based on a facilities conditions assessment (c601302) that has been completed and approved by City Council. The CEC loan which funds approximately \$2.0 million in energy saving projects allocated to many city-wide projects is complete. The current list of projects include ADA improvements at City Hall and reroofing several buildings (Civic, Delaveaga, Fire Stations and Fire Admin). (Priority level 2 - If funded in FY2020, this project would require \$495,000 from the General Fund.)

	Fiscal Year 2019								
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
Project # m609195	Account # 311-40-00-9410-57290								
Project Cost Estimate:	500,973	395,359	395,359	-	235,000	180,000	120,000	100,000	635,000
Project Funding Estimates:									
Loan proceeds	55,871	86,066	300,947	-	-	-	-	-	-
From General Fund	-	-	-	2	-	-	-	-	-
Net Project Cost Estimates:	445,102	309,293	94,412	-	235,000	180,000	120,000	100,000	635,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Citywide (EXISTING)

311- General Capital Improvement Fund

Retrofit Exterior Lighting (City-Wide) - CEC

Project Description:

Preplace 15 wall packs and 22 can lights at parking garage, Police Department and other locations to LED systems. Energy savings of 12,739 kWh.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c601615	Account # 311-40-00-9320-57305								
Project Cost Estimate:	-	15,372	15,372	-	-	-	-	-	-
Project Funding Estimates:									
Loan proceeds	-	-	-	-	-	-	-	-	-
Net Project Cost Estimates:	-	15,372	15,372	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Citywide (EXISTING)

311- General Capital Improvement Fund

San Lorenzo River Lagoon Management Program

Project Description:

Three to five year management program to address public and private infrastructure flooding that results from high waters on the San Lorenzo River during the summer months, while mitigating impacts to wildlife habitat.

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Project # c601403	Account # 311-40-00-9145-57106								
Project Cost Estimate:	527,417	2,889,833	2,889,833	175,000	-	20,000	-	-	195,000
Project Funding Estimates:									
State capital grants-STIP	-	-	-	-	-	-	-	-	-
State capital grants	71,355	175,496	175,496	14,000	-	-	-	-	14,000
From General Fund	45,000	-	-	-	-	-	-	-	-
Contributions - businesses	50,000	-	-	-	-	-	-	-	-
State capital grants - CDFW	-	2,500,000	2,500,000	-	-	-	-	-	-
Storm Water Fund	41,137	19,363	19,363	-	-	-	-	-	-
Storm Water Overlay Fund	31,006	14,594	14,594	161,000	-	-	-	-	161,000
Net Project Cost Estimates:	288,919	180,380	180,380	-	-	20,000	-	-	20,000

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Citywide (EXISTING)

311- General Capital Improvement Fund

Space Utilization Plan for City Hall

Project Description:

Space Utilization Design for City Hall. FY18 includes funds for remodeling the Annex and relocating Current Planning, Code Enforcement and Building to Downstairs and Future Planning and Housing upstairs. Includes ADA improvements to Downstairs restroom and parking lot.

	Fiscal Year 2019			FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	Total 2020 - 2024
	Prior Year	Budgeted	Estimated Actuals						
Project # c101701	Account # 311-10-11-9410-57203								
Project Cost Estimate:	322,111	1,241,245	1,241,245	-	-	-	-	-	-
Project Funding Estimates:									
Loan proceeds	-	607,029	607,029	-	-	-	-	-	-
Federal Capital Grants - CEC	-	97,000	97,000	-	-	-	-	-	-
City Public Trust Fund	307,838	70,162	70,162	-	-	-	-	-	-
Net Project Cost Estimates:	14,273	467,054	467,054	-	-	-	-	-	-

City of Santa Cruz
 Adopted Capital Investment Program Budget (by department)
 Fiscal Years 2020 - 2024

Existing Capital Projects for General Capital Improvement Fund (311) Totals

	Fiscal Year 2019								Total 2020 - 2024
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	4,874,928	5,763,838	5,763,838	290,500	535,000	200,000	120,000	100,000	1,245,500
Total Project Funding Estimate:	2,882,147	4,243,039	4,457,920	290,500	-	-	-	-	290,500
Total Net Project Cost Estimate:	1,992,781	1,520,799	1,305,918	-	535,000	200,000	120,000	100,000	955,000

Citywide Projects Totals for General Capital Improvement Fund (311)

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	4,874,928	5,763,838	5,763,838	290,500	535,000	200,000	120,000	100,000	1,245,500
Total Project Funding Estimate:	2,882,147	4,243,039	4,457,920	290,500	-	-	-	-	290,500
Total Net Project Cost Estimate:	1,992,781	1,520,799	1,305,918	-	535,000	200,000	120,000	100,000	955,000

Citywide Totals

	Fiscal Year 2019								Total 2019 - 2021
	Prior Year Totals	Budget	Estimated Actuals	FY 2020 Adopted	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	
Total Project Cost Estimate:	4,874,928	5,763,838	5,763,838	290,500	535,000	200,000	120,000	100,000	1,245,500
Total Project Funding Estimate:	2,882,147	4,243,039	4,457,920	290,500	-	-	-	-	290,500
Total Net Project Cost Estimate:	1,992,781	1,520,799	1,305,918	-	535,000	200,000	120,000	100,000	955,000



Financial Summaries

OVERVIEW

This section summarizes the budget document with the following:

- Summary of Projected Revenues and Other Financing Sources
- Summary of Projected Expenditures and Other Financing Uses
- Summary of Transfers
- Revenues and Other Financing Sources – Primary General Fund
- Operating Expenditures by Department – Primary General Fund
- Estimated Available Fund Balance Projections

Generally, the tables present information for the last completed fiscal year (FY 2018), amended budget and estimated actual information for the current fiscal year (FY 2019) and the budget for FY 2020.

Summary of Projected Revenues and Other Financing Sources

BY FUND TYPE

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
General Funds					
Primary General Fund					
Taxes	69,623,795	77,305,628	77,305,628	76,151,987	80,892,700
Licenses and Permits	1,449,841	1,361,400	1,361,400	1,413,250	1,341,700
Grants	453,887	166,500	1,709,365	1,123,166	684,672
Charges for Services	16,889,290	16,973,374	16,974,374	16,671,303	17,635,607
Fines and Forfeitures	1,672,486	1,842,000	1,842,000	1,780,070	1,732,135
Rents & Miscellaneous Revenues	4,515,797	4,434,044	4,461,469	4,234,207	4,578,164
Other Financing Sources	5,228,744	194,400	484,864	459,148	231,200
Total Primary General Fund	99,833,840	102,277,346	104,139,100	101,833,131	107,096,178
General Fund - Assigned & Committed for Special Programs					
Taxes	218,083	220,000	220,000	220,000	220,000
Grants	122,797	-	-	-	-
Charges for Services	1,461,870	1,721,400	1,721,400	1,624,300	1,596,800
Fines and Forfeitures	17,117	12,000	12,000	2,000	7,000
Rents & Miscellaneous Revenues	3,673,930	3,657,463	3,710,358	3,687,670	3,139,826
Other Financing Sources	1,207,999	3,229,898	3,229,898	3,831,464	2,323,502
Total General Fund - Assigned & Committed for Special Programs	6,701,796	8,840,761	8,893,656	9,365,434	7,287,128
City Public Trust					
Rents & Miscellaneous Revenues	55,523	19,270	19,270	56,080	56,650
Other Financing Sources	924,428	350,000	350,000	-	-
Total City Public Trust	979,951	369,270	369,270	56,080	56,650
Total General Funds	107,515,586	111,487,377	113,402,026	111,254,645	114,439,956
Special Revenue Funds					
Police Special Revenue Funds					
Grants	186,040	110,000	110,000	120,000	140,000
Charges for Services	19,489	14,000	14,000	17,000	8,245
Fines and Forfeitures	34,139	-	-	-	12,000
Rents & Miscellaneous Revenues	8,145	4,370	4,370	8,230	8,320
Total Police Special Revenue Funds	247,814	128,370	128,370	145,230	168,565
State Highway Funds					
Grants	3,678,688	3,275,876	18,611,150	18,474,223	3,511,514
Rents & Miscellaneous Revenues	9,813	19,110	19,110	7,460	7,540
Other Financing Sources	325,951	1,075,000	5,817,276	5,817,276	550,000
Total State Highway Funds	4,014,452	4,369,986	24,447,536	24,298,959	4,069,054

Summary of Projected Revenues and Other Financing Sources

BY FUND TYPE

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
Measure D Transportation Fund					
Grants	1,306,859	1,202,356	1,202,356	-	-
Total Measure D Transportation Fund	1,306,859	1,202,356	1,202,356	-	-
Traffic Impact Funds					
Rents & Miscellaneous Revenues	821,357	533,030	533,030	562,170	562,800
Total Traffic Impact Funds	821,357	533,030	533,030	562,170	562,800
Clean River, Beaches & Ocean Tax Fund					
Taxes	629,048	635,564	635,564	635,564	635,712
Grants	4,389	-	-	-	-
Rents & Miscellaneous Revenues	21,143	8,850	8,850	21,360	21,580
Other Financing Sources	8,884	-	25,000	-	-
Total Clean River, Beaches & Ocean Tax Fund	663,464	644,414	669,414	656,924	657,292
Parks & Recreation Funds					
Taxes	540,357	880,000	880,000	282,000	500,000
Charges for Services	5,337	-	-	-	-
Rents & Miscellaneous Revenues	19,302	6,280	6,280	19,520	19,740
Total Parks & Recreation Funds	564,996	886,280	886,280	301,520	519,740
Housing & Community Development Funds					
Grants	1,362,231	750,000	970,084	1,257,245	783,100
Charges for Services	12,605	11,000	11,000	11,000	11,000
Rents & Miscellaneous Revenues	472,687	129,270	129,270	1,806,506	138,270
Other Financing Sources	75,485	8,400	8,400	8,400	8,400
Total Housing & Community Development Funds	1,923,009	898,670	1,118,754	3,083,151	940,770
City Low & Mod Income Housing Funds					
Grants	55,000	-	-	9,213	8,500
Charges for Services	32,500	32,500	32,500	28,750	28,750
Rents & Miscellaneous Revenues	303,254	410,278	410,278	812,516	485,774
Other Financing Sources	5,351,390	-	693,889	693,889	150,000
Total City Low & Mod Income Housing Funds	5,742,144	442,778	1,136,667	1,544,368	673,024
Transportation Development Funds					
Grants	744,077	744,077	744,077	744,077	744,077
Total Transportation Development Funds	744,077	744,077	744,077	744,077	744,077
Total Special Revenue Funds	16,028,173	9,849,961	30,866,484	31,336,399	8,335,322

Summary of Projected Revenues and Other Financing Sources

BY FUND TYPE

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
Capital Improvement Funds					
General & Other Capital Improvement Funds					
Taxes	2,271,485	2,150,000	150,000	541,644	1,480,128
Grants	569,212	8,017,500	22,539,165	22,276,480	12,415,850
Rents & Miscellaneous Revenues	181,325	29,790	1,774,790	1,837,455	98,555
Other Financing Sources	4,091,940	7,759,787	15,518,961	15,143,317	3,527,873
Total General & Other Capital Improvement Funds	7,113,962	17,957,077	39,982,916	39,798,896	17,522,406
Total Capital Improvement Funds	7,113,962	17,957,077	39,982,916	39,798,896	17,522,406
Debt Service Funds					
Government Obligation & Lease Revenue Bond Funds					
Taxes	498,277	480,000	480,000	480,000	500,000
Rents & Miscellaneous Revenues	448,845	370,320	370,320	381,970	450,623
Total Government Obligation & Lease Revenue Bond Funds	947,121	850,320	850,320	861,970	950,623
Total Debt Service Funds	947,121	850,320	850,320	861,970	950,623
Enterprise Funds					
Water Enterprise Funds					
Licenses and Permits	19,253	2,000	2,000	3,000	3,000
Grants	568,600	-	-	13,000	300,000
Charges for Services	40,507,744	48,487,286	43,879,923	42,834,679	45,772,268
Fines and Forfeitures	42	-	-	-	-
Rents & Miscellaneous Revenues	988,419	467,430	467,430	831,560	876,920
Other Financing Sources	14,614	-	-	10,203,000	32,000,000
Total Water Enterprise Funds	42,098,672	48,956,716	44,349,353	53,885,239	78,952,188
Wastewater Enterprise Funds					
Grants	848,893	635,294	3,870,937	3,870,937	976,470
Charges for Services	20,976,245	20,225,000	20,225,000	20,210,000	21,960,000
Rents & Miscellaneous Revenues	263,349	127,287	127,287	179,390	181,200
Other Financing Sources	1,532,420	1,563,340	1,567,340	4,000	-
Total Wastewater Enterprise Funds	23,620,906	22,550,921	25,790,564	24,264,327	23,117,670

Summary of Projected Revenues and Other Financing Sources

BY FUND TYPE

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
Refuse Enterprise Funds					
Grants	24,899	16,000	55,681	39,681	26,378
Charges for Services	20,495,574	20,070,000	20,070,000	20,050,000	20,650,000
Rents & Miscellaneous Revenues	535,468	323,930	323,930	518,770	532,460
Other Financing Sources	29,760	-	9,252	9,252	-
Total Refuse Enterprise Funds	21,085,701	20,409,930	20,458,863	20,617,703	21,208,838
Parking Enterprise Funds					
Taxes	128,616	115,500	115,500	-	-
Grants	234	200	200	-	-
Charges for Services	5,145,125	4,634,500	4,634,500	4,631,500	6,911,400
Fines and Forfeitures	121,487	-	-	-	-
Rents & Miscellaneous Revenues	251,793	220,040	220,040	249,580	250,240
Other Financing Sources	69,408	50,000	50,000	50,000	50,000
Total Parking Enterprise Funds	5,716,662	5,020,240	5,020,240	4,931,080	7,211,640
Storm Water Enterprise Funds					
Grants	100,735	-	142,180	142,180	95,000
Charges for Services	894,581	902,426	902,426	902,426	776,734
Fines and Forfeitures	500	-	-	-	-
Rents & Miscellaneous Revenues	24,972	20,020	20,020	25,180	25,470
Total Storm Water Enterprise Funds	1,020,787	922,446	1,064,626	1,069,786	897,204
Golf Course Enterprise Funds					
Total Golf Course Enterprise Funds	-	-	-	-	-
Total Enterprise Funds	93,542,728	97,860,253	96,683,646	104,768,135	131,387,540
Internal Service Funds					
Equipment Operations Internal Service Fund					
Charges for Services	4,022,184	4,832,091	4,838,691	4,588,841	3,364,434
Rents & Miscellaneous Revenues	3,060	10,500	10,500	36,190	4,630
Other Financing Sources	864,313	250,000	250,000	295,000	298,360
Total Equipment Operations Internal Service Fund	4,889,557	5,092,591	5,099,191	4,920,031	3,667,424
Equipment Financing Services Internal Service Fund					
Charges for Services	-	-	-	-	1,743,912
Rents & Miscellaneous Revenues	-	-	-	500	510
Other Financing Sources	-	-	-	-	1,053,750
Total Equipment Financing Services Internal Service Fund	-	-	-	500	2,798,172

Summary of Projected Revenues and Other Financing Sources

BY FUND TYPE

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
Group Health Insurance Internal Service Fund					
Charges for Services	1,770,505	2,147,779	2,147,779	2,218,632	2,148,277
Rents & Miscellaneous Revenues	46,528	8,030	8,030	44,540	44,990
Total Group Health Insurance Internal Service Fund	1,817,033	2,155,809	2,155,809	2,263,172	2,193,267
Liability Insurance Internal Service Fund					
Grants	70,402	-	-	-	-
Charges for Services	3,950,000	3,950,000	3,950,000	3,950,000	3,950,000
Rents & Miscellaneous Revenues	645,717	552,013	1,152,013	751,425	107,293
Total Liability Insurance Internal Service Fund	4,666,119	4,502,013	5,102,013	4,701,425	4,057,293
Unemployment Internal Service Fund					
Charges for Services	529,032	601,993	601,993	601,993	601,993
Rents & Miscellaneous Revenues	35,615	-	-	35,980	36,340
Other Financing Sources	45,325	-	-	-	-
Total Unemployment Internal Service Fund	609,972	601,993	601,993	637,973	638,333
Workers' Compensation Insurance Fund					
Charges for Services	3,829,394	4,009,513	4,009,513	4,236,039	4,031,857
Rents & Miscellaneous Revenues	244,019	152,340	152,340	240,980	255,010
Total Workers' Compensation Insurance Fund	4,073,413	4,161,853	4,161,853	4,477,019	4,286,867
Information Technology Internal Service Fund					
Other Financing Sources	-	-	-	-	835,000
Total Information Technology Internal Service Fund	-	-	-	-	835,000
Total Internal Service Funds	16,056,094	16,514,259	17,120,859	17,000,120	18,476,356
Total Revenues:	241,203,665	254,519,247	298,906,250	305,020,165	291,112,203

Summary of Projected Expenditures and Other Financing Uses

BY FUND TYPE

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
General Funds					
Primary General Fund					
Personnel Services	62,148,957	68,132,969	68,405,658	69,444,489	73,590,674
Services, Supplies, & Other Charges	26,838,384	30,182,901	32,710,787	31,862,255	30,036,493
Capital Outlay	30,201	28,000	251,730	21,355	76,066
Debt Service	4,772,786	4,933,514	4,933,514	4,933,514	5,092,332
Other Financing Uses	1,506,094	(1,000,038)	315,095	5,570,945	(1,778,770)
Total Primary General Fund	95,296,423	102,277,346	106,616,785	111,832,558	107,016,795
General Fund - Assigned & Committed for Special Programs					
Personnel Services	2,652,002	2,917,383	2,917,383	2,787,137	3,161,285
Services, Supplies, & Other Charges	2,003,992	2,770,153	3,112,933	3,022,663	2,541,885
Capital Outlay	69,840	-	27,873	-	-
Debt Service	5,169	-	-	9,336	9,500
Other Financing Uses	83,787	1,723,386	2,712,814	2,712,814	-
Total General Fund - Assigned & Committed for Special Programs	4,814,790	7,410,922	8,771,003	8,531,950	5,712,670
City Public Trust					
Other Financing Uses	307,838	-	95,162	70,162	15,000
Total City Public Trust	307,838	-	95,162	70,162	15,000
Total General Funds	100,419,051	109,688,268	115,482,950	120,434,670	112,744,465
Special Revenue Funds					
Police Special Revenue Funds					
Services, Supplies, & Other Charges	110,000	110,000	110,000	110,000	110,000
Total Police Special Revenue Funds	110,000	110,000	110,000	110,000	110,000
State Highway Funds					
Personnel Services	36,405	38,740	38,740	41,116	41,904
Services, Supplies, & Other Charges	544,194	591,725	691,782	645,692	587,776
Capital Outlay	1,118,584	135,000	24,312,829	24,273,645	1,500,000
Debt Service	12,621	25,000	25,000	25,000	25,000
Other Financing Uses	599,079	1,314,503	2,628,836	2,628,836	800,161
Total State Highway Funds	2,310,883	2,104,968	27,697,188	27,614,289	2,954,841
Measure D Transportation Fund					
Other Financing Uses	686,526	1,083,000	1,446,474	1,446,474	1,916,000
Total Measure D Transportation Fund	686,526	1,083,000	1,446,474	1,446,474	1,916,000

Summary of Projected Expenditures and Other Financing Uses

BY FUND TYPE

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
Traffic Impact Funds					
Personnel Services	43,083	61,891	61,891	62,756	70,902
Services, Supplies, & Other Charges	-	-	-	-	288
Other Financing Uses	325,951	1,075,000	5,705,276	5,705,276	300,000
Total Traffic Impact Funds	369,034	1,136,891	5,767,167	5,768,032	371,190
Clean River, Beaches & Ocean Tax Fund					
Personnel Services	169,107	216,015	216,015	226,607	248,840
Services, Supplies, & Other Charges	309,385	579,815	625,006	638,019	618,668
Capital Outlay	32,354	50,000	241,181	216,181	50,000
Other Financing Uses	-	-	71,500	71,500	344
Total Clean River, Beaches & Ocean Tax Fund	510,846	845,830	1,153,702	1,152,307	917,852
Parks and Recreation Funds					
Other Financing Uses	352,443	672,500	733,261	733,261	95,000
Total Parks and Recreation Funds	352,443	672,500	733,261	733,261	95,000
Housing & Community Development Funds					
Services, Supplies, & Other Charges	2,152,554	1,262,771	2,013,843	1,859,103	817,600
Other Financing Uses	35,000	120,700	351,822	351,822	265,000
Total Housing & Community Development Funds	2,187,554	1,383,471	2,365,665	2,210,925	1,082,600
City Low & Mod Income Housing Funds					
Services, Supplies, & Other Charges	232,574	194,440	194,440	194,440	222,940
Capital Outlay	5,112,853	360,000	1,107,147	1,107,147	731,958
Total City Low & Mod Income Housing Funds	5,345,428	554,440	1,301,587	1,301,587	954,898
Transportation Development Funds					
Services, Supplies, & Other Charges	744,077	744,077	744,077	744,077	744,077
Total Transportation Development Funds	744,077	744,077	744,077	744,077	744,077
Total Special Revenue Funds	12,616,790	8,635,177	41,319,119	41,080,952	9,146,458
Capital Improvement Funds					
General and Other Capital Improvement Funds					
Personnel Services	22,162	23,163	23,163	24,462	25,832
Services, Supplies, & Other Charges	3,580	-	7,302	7,302	-
Capital Outlay	12,525,373	17,089,337	54,618,553	51,952,660	20,748,385
Debt Service	248,162	344,994	344,994	344,994	352,094
Other Financing Uses	53,719	500,000	500,000	500,000	-
Total General and Other Capital Improvement Funds	12,852,997	17,957,494	55,494,012	52,829,418	21,126,311

Summary of Projected Expenditures and Other Financing Uses

BY FUND TYPE

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
Debt Service Funds					
Government Obligation and Lease Revenue Bond Funds					
Debt Service	828,171	860,971	860,971	860,971	880,396
Total Government Obligation and Lease Revenue Bond Funds	828,171	860,971	860,971	860,971	880,396
Enterprise Funds					
Water Enterprise Funds					
Personnel Services	12,406,660	14,724,425	14,724,425	13,960,008	16,837,336
Services, Supplies, & Other Charges	13,840,724	15,521,274	17,118,612	13,072,143	16,097,730
Capital Outlay	12,487,371	20,997,220	46,581,969	47,260,204	3,266,000
Debt Service	2,086,043	2,676,489	2,676,489	2,224,989	10,138,026
Other Financing Uses	501,116	608,030	815,715	749,092	562,419
Total Water Enterprise Funds	41,321,914	54,527,438	81,917,210	77,266,436	46,901,511
Wastewater Enterprise Funds					
Personnel Services	7,392,811	9,065,970	9,065,970	7,819,306	9,750,093
Services, Supplies, & Other Charges	7,199,217	8,857,327	9,012,334	9,112,506	9,576,852
Capital Outlay	3,153,041	4,131,300	15,783,946	15,387,705	3,603,800
Debt Service	3,663,208	3,686,089	3,686,089	3,686,089	337,662
Other Financing Uses	240,765	281,756	365,613	365,613	93,221
Total Wastewater Enterprise Funds	21,649,043	26,022,442	37,913,952	36,371,219	23,361,628
Refuse Enterprise Funds					
Personnel Services	8,611,243	9,536,284	9,536,284	9,353,063	10,412,424
Services, Supplies, & Other Charges	6,924,811	8,766,765	8,821,014	7,854,295	9,261,318
Capital Outlay	2,557,070	3,285,538	6,791,023	4,944,838	3,583,500
Debt Service	1,516,109	783,257	783,257	1,177,493	891,329
Other Financing Uses	138,518	750,052	1,012,718	1,012,718	295,783
Total Refuse Enterprise Funds	19,747,750	23,121,896	26,944,296	24,342,407	24,444,354
Parking Enterprise Funds					
Personnel Services	2,551,643	2,790,529	2,790,529	2,712,554	3,040,885
Services, Supplies, & Other Charges	1,730,836	2,019,218	2,042,879	1,990,871	2,649,464
Capital Outlay	1,433,607	850,000	3,680,708	3,680,708	286,000
Debt Service	387,689	353,250	353,250	349,725	346,400
Other Financing Uses	84,987	38,670	125,904	125,904	90,385
Total Parking Enterprise Funds	6,188,763	6,051,667	8,993,270	8,859,762	6,413,134

Summary of Projected Expenditures and Other Financing Uses

BY FUND TYPE

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
Storm Water Enterprise Funds					
Personnel Services	117,317	123,214	123,214	129,269	133,852
Services, Supplies, & Other Charges	579,407	578,584	594,810	578,580	556,239
Capital Outlay	186,115	60,000	821,534	508,037	285,000
Debt Service	395,058	395,436	395,436	395,436	394,186
Other Financing Uses	76,304	9,322	57,502	57,501	173,596
Total Storm Water Enterprise Funds	1,354,201	1,166,556	1,992,495	1,668,823	1,542,873
Golf Course Enterprise Funds					
Services, Supplies, & Other Charges	-	-	3,583	3,583	-
Total Golf Course Enterprise Funds	-	-	3,583	3,583	-
Total Enterprise Funds	90,261,670	110,889,999	157,764,806	148,512,230	102,663,500
Internal Service Funds					
Equipment Operations Internal Service Fund					
Personnel Services	1,065,954	1,222,734	1,222,734	1,234,474	1,324,212
Services, Supplies, & Other Charges	2,367,378	2,360,038	2,362,888	2,365,967	2,443,381
Capital Outlay	748,914	1,344,850	1,405,422	1,389,964	110,000
Debt Service	469,953	919,192	919,192	924,192	-
Other Financing Uses	40,268	666,581	710,456	710,456	51,628
Total Equipment Operations Internal Service Fund	4,692,468	6,513,395	6,620,692	6,625,053	3,929,221
Equipment Financing Services Internal Service Fund					
Capital Outlay	-	-	-	-	1,053,750
Debt Service	-	-	-	-	958,801
Total Equipment Financing Services Internal Service Fund	-	-	-	-	2,012,551
Information Technology Internal Service Fund					
Capital Outlay	-	-	-	-	835,000
Total Information Technology Internal Service Fund	-	-	-	-	835,000
Group Health Insurance Internal Service Fund					
Personnel Services	593,674	626,193	626,193	618,764	673,711
Services, Supplies, & Other Charges	1,436,253	1,888,382	1,888,382	1,501,382	1,501,649
Total Group Health Insurance Internal Service Fund	2,029,927	2,514,575	2,514,575	2,120,146	2,175,360

Summary of Projected Expenditures and Other Financing Uses

BY FUND TYPE

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
Liability Insurance Internal Service Fund					
Personnel Services	385,233	607,731	607,731	451,164	569,668
Services, Supplies, & Other Charges	2,201,805	3,617,148	4,427,259	4,192,139	3,890,938
Other Financing Uses	32,863	-	100,000	100,000	-
Total Liability Insurance Internal Service Fund	2,619,902	4,224,879	5,134,990	4,743,303	4,460,606
Unemployment Internal Service Fund					
Services, Supplies, & Other Charges	55,131	102,500	102,500	100,000	100,000
Total Unemployment Internal Service Fund	55,131	102,500	102,500	100,000	100,000
Workers' Compensation Insurance					
Personnel Services	292,038	338,516	338,516	295,015	318,908
Services, Supplies, & Other Charges	8,003,362	2,874,757	2,874,757	2,825,899	2,878,800
Capital Outlay	1,745	-	-	-	-
Other Financing Uses	751,112	-	93,500	93,500	-
Total Workers' Compensation Insurance	9,048,258	3,213,273	3,306,773	3,214,414	3,197,708
Total Internal Service Funds	18,445,685	16,568,622	17,679,531	16,802,916	16,710,446
Grand Total:	235,424,365	264,600,531	388,601,389	380,521,157	263,271,576

Summary of Transfers

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted	
		Adopted Budget	Amended* Budget	Estimated Actual		
Expenditures						
1 - General Funds						
Primary General Fund	101	1,503,888	3,654,245	4,969,378	5,570,945	3,116,552
To General Capital Improvement Fund		318,424	1,560,628	2,875,762	2,875,762	-
To Equipment Fund		250,000	250,000	250,000	250,000	-
To Municipal Wharf fund		-	943,617	943,617	1,428,101	1,115,106
To Energy Efficiency Fund		-	-	-	-	-
To Golf Course fund		-	-	-	-	-
To City Emergency Reserve Fund		-	-	-	-	-
To Carbon Reduction fund		-	-	-	-	12,345
To Economic Development Trust Fund		927,569	900,000	900,000	1,017,082	1,146,000
To Clean Rivers, Beaches, Ocean Fund		7,895	-	-	-	-
To Equipment Financing Fund		-	-	-	-	250,000
To Information Technology Fund		-	-	-	-	593,101
	104	-	448,386	448,386	448,386	-
To General Capital Improvement Fund		-	448,386	448,386	448,386	-
City Public Trust Fund	130	307,838	-	95,162	70,162	15,000
To General Fund		-	-	-	-	15,000
To General Capital Improvement Fund		307,838	-	70,162	70,162	-
To Clean Rivers, Beaches, Ocean Fund		-	-	25,000	-	-
City Stabilization Reserve	132	-	-	-	-	-
To General Fund		-	-	-	-	-
Energy Efficient Equipment Fund	133	16,948	-	151,252	151,252	-
To General Fund		1,200	-	89,000	89,000	-
To General Capital Improvement Fund		15,000	-	37,000	37,000	-
To Wastewater Fund		-	-	4,000	4,000	-
To Gas Tax Fund		-	-	12,000	12,000	-
To Refuse Fund		748	-	9,252	9,252	-
Economic Development Trust Fund	136	21,515	1,275,000	2,113,176	2,113,176	-
To General Capital Improvement Fund		21,515	1,275,000	2,113,176	2,113,176	-
Unemployment Insurance	140	45,325	-	-	-	-
Transfer to Unemployment IS Fund		45,325	-	-	-	-
Other transfers out		-	-	-	-	-
1 - General Funds		1,895,514	5,377,631	7,777,355	8,353,921	3,131,552
2 - Special Revenue Funds						
Gasoline Tax	221	599,079	1,314,503	2,628,836	2,628,836	800,161
To General Capital Improvement Fund		99,079	814,503	2,128,836	2,128,836	300,000
To CIP-Street Maint & Rehab Fund		500,000	500,000	500,000	500,000	-
To CIP-Arterial Streets and Roads fund		-	-	-	-	500,000
To Traffic Impact Fee fund		-	-	-	-	-
To Carbon Reduction fund		-	-	-	-	161
Traffic Congestion Relief	222	-	-	-	-	-

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
To Gas Tax Fund	-	-	-	-	-
224	686,526	1,083,000	1,446,474	1,446,474	1,916,000
To General Fund	-	33,000	33,000	33,000	116,000
To General Capital Improvement Fund	136,526	-	1,032,034	1,032,034	1,000,000
To Gas Tax Fund	-	-	-	-	150,000
To CIP-Arterial Streets and Roads fund	500,000	1,000,000	331,440	331,440	600,000
To Parking fund	50,000	50,000	50,000	50,000	50,000
Traffic Impact Fee-Citywide Fund 226	325,951	1,075,000	5,705,276	5,705,276	300,000
To Gas Tax Fund	325,951	1,075,000	5,705,276	5,705,276	300,000
Clean River, Beaches & Ocean Tax 235	-	-	71,500	71,500	344
To General Fund	-	-	71,500	71,500	-
To Carbon Reduction fund	-	-	-	-	344
Northwest Quadrant - Parks & Rec 241	60,815	232,500	247,500	232,500	-
To General Capital Improvement Fund	60,815	232,500	247,500	232,500	-
Southwest Quadrant - Parks & Rec 242	25,190	-	45,594	45,594	-
To General Capital Improvement Fund	25,190	-	45,594	45,594	-
Northeast Quadrant - Parks & Rec 243	76,607	25,000	25,000	25,000	-
To General Capital Improvement Fund	76,607	25,000	25,000	25,000	-
Southeast Quadrant - Parks & Rec 244	56,615	-	-	-	-
To General Capital Improvement Fund	56,615	-	-	-	-
Parks & Recreation Tax Combined 249	133,216	415,000	415,167	430,167	95,000
To General Capital Improvement Fund	133,216	415,000	415,167	430,167	95,000
To Northwest Quadrant - P & R Fee Fund	-	-	-	-	-
To Southwest Quadrant - P & R Fee Fund	-	-	-	-	-
To Northeast Quadrant - P & R Fee Fund	-	-	-	-	-
To Southeast Quadrant - P & R Fee Fund	-	-	-	-	-
Community Development Block Gr: 261	35,000	120,700	351,822	351,822	35,000
To General Fund	35,000	76,200	82,664	82,664	35,000
To General Capital Improvement Fund	-	44,500	269,158	269,158	-
Affordable Housing Trust Fund 279	-	-	-	-	230,000
To General Capital Improvement Fund	-	-	-	-	80,000
To SA (H) LMIH-Merged 2-1-12	-	-	-	-	150,000
2 - Special Revenue Funds	1,998,999	4,265,703	10,937,169	10,937,169	3,376,505
3 - Capital Improvement					
General Capital Improvement Proje 311	-	-	-	-	-
To City Emergency Reserve Fund	-	-	-	-	-
CIP-Street Maintenance & Rehabili 317	-	500,000	500,000	500,000	-
To General Capital Improvement Fund	-	500,000	500,000	500,000	-
Wharf Tenant Capital Improvement 319	53,719	-	-	-	-
To Municipal Wharf fund	53,719	-	-	-	-
3 - Capital Improvement	53,719	500,000	500,000	500,000	-

7 - Enterprise Funds

	Fiscal Year*	Fiscal Year 2019			Fiscal Year 2020 Adopted	
		2018 Actuals	Adopted Budget	Amended* Budget		Estimated Actual
Water	711	486,776	586,731	794,416	727,793	279,602
To General Fund		212,416	3,433	3,433	-	-
To General Capital Improvement Fund		274,359	583,298	790,983	727,793	150,000
To After Hours Call Duty Prog Fund		-	-	-	-	-
To Carbon Reduction fund		-	-	-	-	4,019
To CIP Public Art Fund		-	-	-	-	19,204
To Information Technology Fund		-	-	-	-	106,379
Water - 2% for Public Art	714	14,340	21,299	21,299	21,299	-
To CIP Public Art Fund		14,340	21,299	21,299	21,299	-
Water System Development Fees F	715	-	-	-	-	817
To General Capital Improvement Fund		-	-	-	-	-
To CIP Public Art Fund		-	-	-	-	817
Wastewater	721	237,525	277,749	361,606	361,606	93,221
To General Fund		208,462	27,468	27,468	27,468	29,500
To General Capital Improvement Fund		28,074	250,281	334,138	334,138	-
To After Hours Call Duty Prog Fund		-	-	-	-	-
To Carbon Reduction fund		-	-	-	-	1,224
To Clean Rivers, Beaches, Ocean Fund		989	-	-	-	-
To CIP Public Art Fund		-	-	-	-	3,847
To Information Technology Fund		-	-	-	-	58,650
Wastewater - 2% for Public Art	724	3,240	4,007	4,007	4,007	-
To CIP Public Art Fund		3,240	4,007	4,007	4,007	-
Refuse	731	138,174	747,996	1,010,662	1,010,662	295,783
To General Fund		86,648	1,099	71,099	71,099	2,500
To General Capital Improvement Fund		51,526	55,337	248,003	248,003	243,750
To After Hours Call Duty Prog Fund		-	-	-	-	-
To City Emergency Reserve Fund		-	691,560	691,560	691,560	-
To Carbon Reduction fund		-	-	-	-	12,767
To CIP Public Art Fund		-	-	-	-	2,882
To Information Technology Fund		-	-	-	-	33,884
Refuse - 2% for Public Art	734	344	2,056	2,056	2,056	-
To CIP Public Art Fund		344	2,056	2,056	2,056	-
Parking	741	84,826	37,975	125,209	125,209	90,385
To General Fund		-	20,000	60,000	60,000	20,000
To General Capital Improvement Fund		84,826	17,975	65,209	65,209	37,500
To Carbon Reduction fund		-	-	-	-	622
To Clean Rivers, Beaches, Ocean Fund		-	-	-	-	-
To CIP Public Art Fund		-	-	-	-	1,660
To Information Technology Fund		-	-	-	-	30,603
Parking - 2% for Public Art	742	161	695	695	695	-
To CIP Public Art Fund		161	695	695	695	-
Storm Water	751	45,295	9,194	42,779	42,779	12,383
To General Capital Improvement Fund		45,295	9,194	42,779	42,779	-
To Information Technology Fund		-	-	-	-	12,383
Storm Water Overlay	752	31,006	-	14,594	14,594	161,213

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
To General Capital Improvement Fund	31,006	-	14,594	14,594	161,000
To CIP Public Art Fund	-	-	-	-	213
Storm Water - 2% for Public Art	753	3	128	128	-
To CIP Public Art Fund	3	128	128	128	-
Golf Course - 2% for Public Art	762	-	-	-	-
To CIP Public Art Fund	-	-	-	-	-
7 - Enterprise Funds	1,041,690	1,687,830	2,377,451	2,310,828	933,404
8 - Internal Service Funds					
Equipment Operations	811	455,297	666,581	710,456	51,628
To General Capital Improvement Fund	40,268	-	43,875	43,875	50,000
To City Emergency Reserve Fund	415,029	666,581	666,581	666,581	-
To Carbon Reduction fund	-	-	-	-	1,628
Equipment Financing Services	813	-	-	-	-
To General Capital Improvement Fund	-	-	-	-	-
Workers' Compensation Insurance	841	751,112	-	93,500	-
To General Fund	-	-	13,500	13,500	-
To General Capital Improvement Fund	751,112	-	80,000	80,000	-
Other interfund loans and advances	-	-	-	-	-
Offset to interfund loans and advances	-	-	-	-	-
Liability Insurance	842	32,863	-	100,000	-
To General Capital Improvement Fund	32,863	-	100,000	100,000	-
8 - Internal Service Funds	1,239,272	666,581	903,956	903,956	51,628
Expenditures Totals:	6,229,194	12,497,745	22,495,931	23,005,874	7,493,089
Revenues					
1 - General Funds					
Primary General Fund	101	508,726	161,200	451,664	218,000
From Workers' Compensation Fund	-	-	-	13,500	-
From City Public Trust Fund	-	-	-	-	15,000
From Water Fund	212,416	3,433	3,433	-	-
From Wastewater Fund	208,462	27,468	27,468	27,468	29,500
From Refuse Fund	86,648	1,099	71,099	71,099	2,500
From Parking Fund	-	20,000	60,000	60,000	20,000
From CDBG Fund	-	76,200	82,664	82,664	35,000
From Clean River, Beaches & Ocean Fund	-	-	71,500	71,500	-
Other interfund loans and advances	-	-	-	-	-
Offset to Advances	-	-	-	-	-
From Carbon Reduction Fund	1,200	-	89,000	89,000	-
From Emergency Reserve Fund	-	-	-	-	-
Transfer from 2016 Trnsp Measure D	-	33,000	33,000	33,000	116,000
Code Enforcement/Civil Penalties	103	-	-	-	-
Other interfund loans and advances	-	-	-	-	-
Offset to Advances	-	-	-	-	-

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted	
		Adopted Budget	Amended* Budget	Estimated Actual		
	104	53,719	943,617	943,617	1,428,101	1,115,106
From General Fund	-	-	943,617	943,617	1,428,101	1,115,106
Other interfund loans and advances	-	-	-	-	-	-
Offset to Advances	-	-	-	-	-	-
From Wharf Tenant Capital Improv Fund	53,719	-	-	-	-	-
Green Bldg Educational Resource I	108	-	-	-	-	-
Other interfund loans and advances	-	-	-	-	-	-
Offset to Advances	-	-	-	-	-	-
City Stabilization Reserve	132	415,029	1,358,141	1,358,141	1,358,141	-
From General Fund	-	-	-	-	-	-
From Capital Improvement Projects Fund	-	-	-	-	-	-
From Equipment Fund	415,029	666,581	666,581	666,581	666,581	-
From Refuse Fund	-	691,560	691,560	691,560	691,560	-
Energy Efficient Equipment Fund	133	-	-	-	-	33,110
From General Fund	-	-	-	-	-	12,345
From Equipment Fund	-	-	-	-	-	1,628
From Water Fund	-	-	-	-	-	4,019
From Wastewater Fund	-	-	-	-	-	1,224
From Refuse Fund	-	-	-	-	-	12,767
From Parking Fund	-	-	-	-	-	622
From Gas Tax Fund	-	-	-	-	-	161
From Clean River, Beaches & Ocean Fund	-	-	-	-	-	344
Economic Development Trust Fund	136	927,569	900,000	900,000	1,017,082	1,146,000
From General Fund	-	927,569	900,000	900,000	1,017,082	1,146,000
Contributions and Donations - Park	162	35,000	-	-	-	-
From CDBG Fund	-	35,000	-	-	-	-
1 - General Funds		1,940,043	3,362,958	3,653,422	4,251,555	2,512,216
2 - Special Revenue Funds						
Gasoline Tax	221	325,951	1,075,000	5,717,276	5,717,276	450,000
From Traffic Impact Fee - City wide fund	-	325,951	1,075,000	5,705,276	5,705,276	300,000
From Traffic Congestions Relief Fund	-	-	-	-	-	-
From Carbon Reduction Fund	-	-	-	12,000	12,000	-
Transfer from 2016 Trnsp Measure D	-	-	-	-	-	150,000
Traffic Impact Fee-Citywide Fund	226	-	-	-	-	-
From Gas Tax Fund	-	-	-	-	-	-
Clean River, Beaches & Ocean Tax	235	8,884	-	25,000	-	-
From General Fund	-	7,895	-	-	-	-
From City Public Trust Fund	-	-	-	25,000	-	-
From Wastewater Fund	-	989	-	-	-	-
From Parking Fund	-	-	-	-	-	-
Northwest Quadrant - Parks & Rec	241	-	-	-	-	-
From Parks and Rec. Facilities Tax Fund	-	-	-	-	-	-
Southwest Quadrant - Parks & Rec	242	-	-	-	-	-
From Parks and Rec. Facilities Tax Fund	-	-	-	-	-	-

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Northeast Quadrant - Parks & Rec 243	-	-	-	-	-
From Parks and Rec. Facilities Tax Fund	-	-	-	-	-
Southeast Quadrant - Parks & Rec 244	-	-	-	-	-
From Parks and Rec. Facilities Tax Fund	-	-	-	-	-
SA (H) LMIH-Merged 2-1-12 281	-	-	-	-	150,000
From Affordable Housing Trust Fund	-	-	-	-	150,000
2 - Special Revenue Funds	334,835	1,075,000	5,742,276	5,717,276	600,000
3 - Capital Improvement					
General Capital Improvement Proje 311	2,590,156	6,231,602	11,927,356	11,864,166	2,117,250
From General Fund	318,424	1,560,628	2,875,762	2,875,762	-
From Equipment Fund	40,268	-	43,875	43,875	50,000
From Workers' Compensation Fund	751,112	-	80,000	80,000	-
From Liability Insurance Fund	32,863	-	100,000	100,000	-
From City Public Trust Fund	307,838	-	70,162	70,162	-
From Parks and Rec. Facilities Tax Fund	133,216	415,000	415,167	430,167	95,000
From Water Fund	274,359	583,298	790,983	727,793	150,000
From Wastewater Fund	28,074	250,281	334,138	334,138	-
From Refuse Fund	51,526	55,337	248,003	248,003	243,750
From Parking Fund	84,826	17,975	65,209	65,209	37,500
From Storm Water Fund	45,295	9,194	42,779	42,779	-
From Affordable Housing Trust Fund	-	-	-	-	80,000
From CDBG Fund	-	44,500	269,158	269,158	-
From Gas Tax Fund	99,079	814,503	2,128,836	2,128,836	300,000
From Northwest Quadrant - P & R Fee Fun	60,815	232,500	247,500	232,500	-
From Southwest Quadrant - P & R Fee Fun	25,190	-	45,594	45,594	-
From Northeast Quadrant - P & R Fee Func	76,607	25,000	25,000	25,000	-
From Southeast Quadrant - P & R Fee Func	56,615	-	-	-	-
From Economic Development Trust Fund	21,515	1,275,000	2,113,176	2,113,176	-
From Municipal Wharf Fund	-	448,386	448,386	448,386	-
From Carbon Reduction Fund	15,000	-	37,000	37,000	-
Transfer from 2016 Trnsp Measure D	136,526	-	1,032,034	1,032,034	1,000,000
From CIP-Street Maint and Rehab Fund	-	500,000	500,000	500,000	-
From Storm Water Overlay Fund	31,006	-	14,594	14,594	161,000
From Water System Develop Fund	-	-	-	-	-
2% for Public Art - CIP 313	18,088	28,185	28,185	28,185	28,623
From Water Fund	14,340	21,299	21,299	21,299	19,204
From Wastewater Fund	3,240	4,007	4,007	4,007	3,847
From Refuse Fund	344	2,056	2,056	2,056	2,882
From Parking Fund	161	695	695	695	1,660
From Storm Water Fund	3	128	128	128	-
From Golf Course Fund	-	-	-	-	-
From Storm Water Overlay Fund	-	-	-	-	213
From Water System Develop Fund	-	-	-	-	817
CIP-Street Maintenance & Rehabili 317	1,000,000	1,500,000	831,440	831,440	1,100,000
From Gas Tax Fund	500,000	500,000	500,000	500,000	500,000

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Transfer from 2016 Trnsp Measure D	500,000	1,000,000	331,440	331,440	600,000
3 - Capital Improvement	3,608,244	7,759,787	12,786,981	12,723,791	3,245,873
7 - Enterprise Funds					
	716	-	-	-	-
From Water Fund	-	-	-	-	-
	717	-	-	-	-
From Water Fund	-	-	-	-	-
Wastewater	721	-	-	4,000	-
From Carbon Reduction Fund	-	-	-	4,000	-
Refuse	731	748	-	9,252	-
From Carbon Reduction Fund	-	748	-	9,252	-
Parking	741	50,000	50,000	50,000	50,000
Transfer from 2016 Trnsp Measure D	-	50,000	50,000	50,000	50,000
Golf Course	761	-	-	-	-
From General Fund	-	-	-	-	-
7 - Enterprise Funds	50,748	50,000	63,252	63,252	50,000
8 - Internal Service Funds					
Equipment Operations	811	250,000	250,000	250,000	250,000
From General Fund	-	250,000	250,000	250,000	250,000
Information Technology	833	-	-	-	835,000
From General Fund	-	-	-	-	593,101
From Water Fund	-	-	-	-	106,379
From Wastewater Fund	-	-	-	-	58,650
From Refuse Fund	-	-	-	-	33,884
From Parking Fund	-	-	-	-	30,603
From Storm Water Fund	-	-	-	-	12,383
Unemployment Insurance	844	45,325	-	-	-
Transfer from Unemployment GF	-	45,325	-	-	-
Other transfers in	-	-	-	-	-
8 - Internal Service Funds	295,325	250,000	250,000	250,000	1,085,000
Revenues Totals:	6,229,194	12,497,745	22,495,931	23,005,874	7,493,089
	-	-	-	-	-

Revenues and Other Financing Sources - Primary General Fund

BY REVENUE TYPE

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
Taxes					
Property Tax	20,681,456	22,044,000	22,044,000	21,751,634	23,056,000
Sales and Use Tax	18,056,176	21,844,628	21,844,628	21,135,110	21,895,000
Utility Users Tax	11,568,653	12,136,000	12,136,000	11,820,803	12,116,000
Transient Occupancy Tax	10,185,727	11,722,000	11,722,000	11,455,426	12,602,000
Franchise Tax	3,678,559	3,652,000	3,652,000	3,953,578	4,151,000
Admission Tax	2,679,870	2,750,000	2,750,000	2,771,549	2,882,000
Business License Tax	848,580	926,000	926,000	910,626	955,000
Parking Lot Tax	685,552	726,000	726,000	772,193	849,000
Other Taxes	1,239,220	1,505,000	1,505,000	1,581,068	2,386,700
Total Taxes	<u>69,623,795</u>	<u>77,305,628</u>	<u>77,305,628</u>	<u>76,151,987</u>	<u>80,892,700</u>
Licenses and Permits					
Construction Permits	1,117,529	1,179,000	1,179,000	1,176,000	1,016,000
Other Permits	305,180	152,400	152,400	237,250	325,700
Licenses	27,132	30,000	30,000	-	-
Total Licenses and Permits	<u>1,449,841</u>	<u>1,361,400</u>	<u>1,361,400</u>	<u>1,413,250</u>	<u>1,341,700</u>
Grants and Intergovernmental					
Federal	130,145	-	378,158	171,000	163,172
State	247,444	159,000	1,270,707	892,666	515,000
Local	76,298	7,500	60,500	59,500	6,500
Total Grants and Intergovernmental	<u>453,887</u>	<u>166,500</u>	<u>1,709,365</u>	<u>1,123,166</u>	<u>684,672</u>
Charges for Services					
General Government	2,339,359	2,101,100	2,101,100	2,160,304	1,701,500
Public Safety	4,807,000	5,298,375	5,298,375	4,929,675	5,681,711
Culture and Recreation	3,062,929	2,782,750	2,783,750	2,783,831	2,833,900
Public Works	1,718,005	1,709,000	1,709,000	1,714,589	1,915,333
Library	462,438	462,438	462,438	462,438	462,438
Interfund and Interagency charges	4,495,963	4,617,361	4,617,361	4,617,361	4,820,525
Miscellaneous Charges for Services	3,595	2,350	2,350	3,105	220,200
Total Charges for Services	<u>16,889,290</u>	<u>16,973,374</u>	<u>16,974,374</u>	<u>16,671,303</u>	<u>17,635,607</u>
Fines and Forfeitures					
Fines and Forfeits	1,672,486	1,842,000	1,842,000	1,780,070	1,732,135
Total Fines and Forfeitures	<u>1,672,486</u>	<u>1,842,000</u>	<u>1,842,000</u>	<u>1,780,070</u>	<u>1,732,135</u>

Revenues and Other Financing Sources - Primary General Fund

BY REVENUE TYPE

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
Rents & Misc Revenues					
Investment Earnings	(107,571)	16,150	16,150	(121,300)	(127,770)
Rents and Royalties	3,968,029	4,026,394	4,026,394	3,987,323	4,433,614
Contr & Donations-Private Sources	222,647	107,500	134,925	110,300	8,620
Miscellaneous Operating Revenues	432,692	284,000	284,000	257,884	263,700
Total Rents & Misc Revenues	<u>4,515,797</u>	<u>4,434,044</u>	<u>4,461,469</u>	<u>4,234,207</u>	<u>4,578,164</u>
Other Financing Sources					
Interfund Transfers In	508,726	161,200	451,664	448,231	218,000
Other Miscellaneous Revenues	27,040	33,200	33,200	10,917	13,200
Total Other Financing Sources	<u>535,766</u>	<u>194,400</u>	<u>484,864</u>	<u>459,148</u>	<u>231,200</u>
Total Revenues	<u>95,140,862</u>	<u>102,277,346</u>	<u>104,139,100</u>	<u>101,833,131</u>	<u>107,096,178</u>

Operating Expenditures by Department - Primary General Fund

BY DEPARTMENT

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
City Attorney					
Personnel Services	19,483	16,345	16,345	20,377	-
Services, Supplies, & Other Charges	1,348,695	1,115,343	1,115,343	1,115,343	1,415,343
Total City Attorney	1,368,178	1,131,688	1,131,688	1,135,720	1,415,343
City Council					
Personnel Services	250,550	271,344	271,344	296,886	310,827
Services, Supplies, & Other Charges	132,328	124,268	176,868	121,393	158,860
Capital Outlay	-	-	-	-	2,400
Total City Council	382,878	395,612	448,212	418,279	472,087
City Manager					
Personnel Services	1,879,132	1,878,524	1,902,524	2,094,968	2,095,391
Services, Supplies, & Other Charges	3,513,259	3,708,908	4,194,772	3,669,230	3,687,317
Capital Outlay	-	-	-	-	9,600
Total City Manager	5,392,391	5,587,432	6,097,296	5,764,198	5,792,308
City - Non-Departmental					
Debt Service	4,772,786	4,933,514	4,933,514	4,933,514	5,092,332
Other Financing Uses	1,506,094	(1,000,038)	315,095	5,570,945	(1,778,770)
Total City - Non-Departmental	6,278,881	3,933,476	5,248,609	10,504,459	3,313,562
Economic Development					
Personnel Services	1,171,903	1,479,471	1,479,471	1,277,563	2,056,568
Services, Supplies, & Other Charges	1,398,078	2,037,558	2,783,707	2,606,194	1,806,570
Total Economic Development	2,569,981	3,517,029	4,263,178	3,883,757	3,863,138
Finance					
Personnel Services	2,877,285	3,189,421	3,189,421	3,004,512	3,605,026
Services, Supplies, & Other Charges	739,842	867,183	893,303	619,044	681,677
Capital Outlay	-	10,000	25,444	-	25,500
Total Finance	3,617,127	4,066,604	4,108,168	3,623,556	4,312,203
Fire					
Personnel Services	15,354,517	15,070,957	15,070,957	16,886,949	16,124,273
Services, Supplies, & Other Charges	1,971,269	2,039,608	2,348,528	2,203,226	2,287,488
Capital Outlay	34,982	13,000	96,667	5,400	-
Total Fire	17,360,768	17,123,565	17,516,152	19,095,575	18,411,761

Operating Expenditures by Department - Primary General Fund

BY DEPARTMENT

	Fiscal Year 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted Budget
		Adopted Budget	Amended Budget	Estimated Actual	
Human Resources					
Personnel Services	880,564	953,311	953,311	1,014,852	1,036,533
Services, Supplies, & Other Charges	488,267	663,745	695,052	695,057	481,096
Total Human Resources	1,368,831	1,617,056	1,648,363	1,709,909	1,517,629
Information Technology					
Personnel Services	2,555,288	2,712,430	2,712,430	2,743,753	2,880,548
Services, Supplies, & Other Charges	1,864,439	1,994,039	2,071,685	2,130,136	1,991,002
Capital Outlay	-	-	66,053	-	-
Total Information Technology	4,419,726	4,706,469	4,850,168	4,873,889	4,871,550
Library (City)					
Services, Supplies, & Other Charges	1,534,781	1,600,000	1,600,000	1,604,751	1,674,751
Total Library (City)	1,534,781	1,600,000	1,600,000	1,604,751	1,674,751
Parks and Recreation					
Personnel Services	8,164,880	8,270,425	8,318,089	8,218,549	9,159,752
Services, Supplies, & Other Charges	4,787,896	5,679,717	6,215,549	6,050,123	5,637,578
Capital Outlay	58,442	-	10,000	-	-
Total Parks and Recreation	13,011,217	13,950,142	14,543,638	14,268,672	14,797,330
Planning and Community Development					
Personnel Services	4,214,958	5,252,288	5,252,288	4,829,816	5,703,529
Services, Supplies, & Other Charges	970,727	1,444,345	1,669,803	1,810,837	1,087,772
Total Planning and Community Development	5,185,685	6,696,633	6,922,091	6,640,653	6,791,301
Police					
Personnel Services	20,295,573	23,422,015	23,623,040	24,018,276	24,435,476
Services, Supplies, & Other Charges	4,963,882	5,304,243	5,542,942	5,436,478	5,599,873
Capital Outlay	-	-	10,000	10,000	-
Total Police	25,259,455	28,726,258	29,175,982	29,464,754	30,035,349
Public Works					
Personnel Services	4,484,825	5,616,438	5,616,438	5,037,988	6,182,751
Services, Supplies, & Other Charges	2,914,106	3,603,944	3,403,233	3,800,443	3,527,166
Capital Outlay	147,593	5,000	43,566	5,955	38,566
Total Public Works	7,546,524	9,225,382	9,063,237	8,844,386	9,748,483
Total Expenditures	95,296,423	102,277,346	106,616,785	111,832,558	107,016,795

Projected Estimated Fund Balance ~ Primary General Fund

Fund # 101

Description: This fund functions as the City's discretionary operating fund and accounts for taxes and other general revenues and expenditures not restricted for specific purposes.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	62,148,957	68,132,969	68,405,658	69,444,489	73,590,674
Services, Supplies, and Other Charges	26,838,384	30,182,901	32,710,787	31,862,255	30,036,493
Capital Outlay	30,201	28,000	251,730	21,355	76,066
Debt Service	4,772,786	4,933,514	4,933,514	4,933,514	5,092,332
Transfers Out & Other Financing Uses	1,506,094	(1,000,038)	315,095	5,570,945	(1,778,770)
Expenditures Totals:	<u>95,296,423</u>	<u>102,277,346</u>	<u>106,616,785</u>	<u>111,832,558</u>	<u>107,016,795</u>
Revenues					
Taxes	69,623,795	77,305,628	77,305,628	76,151,987	80,892,700
Licenses and Permits	1,449,841	1,361,400	1,361,400	1,413,250	1,341,700
Grants	453,887	166,500	1,709,365	1,123,166	684,672
Charges for Services	16,889,290	16,973,374	16,974,374	16,671,303	17,635,607
Fines and Forfeitures	1,672,486	1,842,000	1,842,000	1,780,070	1,732,135
Rents, & Misc Revenues	4,583,730	4,434,044	4,461,469	4,234,207	4,578,164
Transfers In & Other Financing Source:	646,255	194,400	484,864	459,148	231,200
Revenues Totals:	<u>95,319,283</u>	<u>102,277,346</u>	<u>104,139,100</u>	<u>101,833,131</u>	<u>107,096,178</u>
Surplus/(Deficit):	22,861	-	(2,477,685)	(9,999,427)	79,383
Beginning Fund Balance:		1,680,157	1,680,157	1,680,157	(8,319,270)
Ending Fund Balance:		<u><u>1,680,157</u></u>	<u><u>(797,528)</u></u>	<u><u>(8,319,270)</u></u>	<u><u>(8,239,887)</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Code Enforcement/Civil Penalties

Fund # 103

Description: This fund accounts for penalties assessed for nuisance abatement liens and legal judgments that are used as a revolving fund to finance further code enforcement actions.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	15,343	44,401	44,401	33,419	42,259
Services, Supplies, and Other Charges	8,646	29,000	29,000	29,000	29,000
Expenditures Totals:	<u>23,989</u>	<u>73,401</u>	<u>73,401</u>	<u>62,419</u>	<u>71,259</u>
Revenues					
Charges for Services	1,125	75,600	75,600	20,300	20,800
Fines and Forfeitures	17,897	10,000	10,000	-	5,000
Rents, & Misc Revenues	2,978	-	-	3,010	3,150
Revenues Totals:	<u>22,000</u>	<u>85,600</u>	<u>85,600</u>	<u>23,310</u>	<u>28,950</u>
Surplus/(Deficit):	<u>(1,989)</u>	<u>12,199</u>	<u>12,199</u>	<u>(39,109)</u>	<u>(42,309)</u>
Beginning Fund Balance:		<u>174,885</u>	<u>174,885</u>	<u>174,885</u>	<u>135,776</u>
Ending Fund Balance:		<u>187,084</u>	<u>187,084</u>	<u>135,776</u>	<u>93,467</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Municipal Wharf

Fund # 104

Description: This fund accounts for the revenues and expenditures for the Fire Department's Marine Rescue Program, the Parks and Recreation Department's Wharf Operations, and the Public Works Department's Wharf Gate Operations. In FY 2009, it was combined with the Primary General Fund (#101) due to its annual deficits. The City is required to submit an income and loss statement for the Wharf to the California State Lands Commission each year, and although the City maintains detailed records of the Wharf's revenues and expenditures, the California State Lands Commission has determined it is necessary under Public Resources Code 6306 to keep all transactions in a separate fund. For this reason, this fund has been re-established. It will continue to be subsidized by the Primary General Fund on an annual basis.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	2,435,507	2,595,377	2,595,377	2,526,469	2,816,424
Services, Supplies, and Other Charges	1,033,129	1,048,740	1,237,655	1,236,776	1,084,272
Capital Outlay	69,840	-	27,873	-	-
Transfers Out & Other Financing Uses	-	448,386	448,386	448,386	-
Expenditures Totals:	3,538,476	4,092,503	4,309,291	4,211,631	3,900,696
Revenues					
Grants	115,297	-	-	-	-
Charges for Services	1,158,129	1,350,500	1,350,500	1,328,000	1,330,000
Fines and Forfeitures	(3,505)	-	-	-	-
Rents, & Misc Revenues	1,792,998	1,350,000	1,350,000	1,455,530	1,455,590
Transfers In & Other Financing Source:	53,719	943,617	943,617	1,428,101	1,115,106
Revenues Totals:	3,116,638	3,644,117	3,644,117	4,211,631	3,900,696
Surplus/(Deficit):	(421,838)	(448,386)	(665,174)	-	-
Beginning Fund Balance:		390,159	390,159	390,159	390,159
Ending Fund Balance:		(58,227)	(275,015)	390,159	390,159

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ General Plan Update Reserve Fund

Fund # 107

Description: This fund includes revenue collected pursuant to State law for updating and maintenance of the General Plan. This fund will be closed at the end of FY 2017.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	5,562	-	-	-	-
Expenditures Totals:	<u>5,562</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Surplus/(Deficit):	(5,562)	-	-	-	-
Beginning Fund Balance:		-	-	-	-
Ending Fund Balance:		<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Green Bldg Educational Resource Fund

Fund # 108

Description: The green building fund was established as a revolving fund for the purpose of supporting program management and public education for the mandatory compliance of “green building” design and construction processes. Revenues are derived from fees assessed on building permits issued by the City, with the exception of sub-trade permits and permits issued in conjunction with a master permit.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	201,151	277,605	277,605	227,249	302,602
Services, Supplies, and Other Charges	12,764	46,363	46,363	29,063	46,285
Expenditures Totals:	<u>213,915</u>	<u>323,968</u>	<u>323,968</u>	<u>256,312</u>	<u>348,887</u>
Revenues					
Charges for Services	208,457	250,000	250,000	230,000	200,000
Rents, & Misc Revenues	2,460	2,540	2,540	3,930	3,970
Revenues Totals:	<u>210,917</u>	<u>252,540</u>	<u>252,540</u>	<u>233,930</u>	<u>203,970</u>
Surplus/(Deficit):	<u>(2,998)</u>	<u>(71,428)</u>	<u>(71,428)</u>	<u>(22,382)</u>	<u>(144,917)</u>
Beginning Fund Balance:		<u>279,662</u>	<u>279,662</u>	<u>279,662</u>	<u>257,280</u>
Ending Fund Balance:		<u>208,234</u>	<u>208,234</u>	<u>257,280</u>	<u>112,363</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Civic Equip Maintenance/Replacement

Fund # 121

Description: This fund accounts for a portion of equipment rental charges levied on events held at the Civic Auditorium to be used for repair and replacement of sound and lighting equipment.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	12,548	10,000	10,000	5,000	10,000
Expenditures Totals:	<u>12,548</u>	<u>10,000</u>	<u>10,000</u>	<u>5,000</u>	<u>10,000</u>
Revenues					
Rents, & Misc Revenues	5,780	6,200	6,200	7,170	7,180
Revenues Totals:	<u>5,780</u>	<u>6,200</u>	<u>6,200</u>	<u>7,170</u>	<u>7,180</u>
Surplus/(Deficit):	(6,768)	(3,800)	(3,800)	2,170	(2,820)
Beginning Fund Balance:		<u>7,390</u>	<u>7,390</u>	<u>7,390</u>	<u>9,560</u>
Ending Fund Balance:		<u><u>3,590</u></u>	<u><u>3,590</u></u>	<u><u>9,560</u></u>	<u><u>6,740</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Co-op Retail Management

Fund # 122

Description: This fund accounts for all assessments collected from property owners in the CRM district and is administered by the Downtown Management Corporation (DMC). The assessment revenue is utilized by the DMC in contracting for the downtown host program. The downtown host program provides downtown guides whose responsibility it is to provide information to shoppers and pedestrians and to ensure the smooth operation of the downtown business district.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	214,794	220,000	220,000	220,000	220,000
Expenditures Totals:	<u>214,794</u>	<u>220,000</u>	<u>220,000</u>	<u>220,000</u>	<u>220,000</u>
Revenues					
Taxes	218,083	220,000	220,000	220,000	220,000
Fines and Forfeitures	815	-	-	-	-
Rents, & Misc Revenues	768	240	240	1,460	1,480
Revenues Totals:	<u>219,667</u>	<u>220,240</u>	<u>220,240</u>	<u>221,460</u>	<u>221,480</u>
Surplus/(Deficit):	4,872	240	240	1,460	1,480
Beginning Fund Balance:		<u>11,133</u>	<u>11,133</u>	<u>11,133</u>	<u>12,593</u>
Ending Fund Balance:		<u><u>11,373</u></u>	<u><u>11,373</u></u>	<u><u>12,593</u></u>	<u><u>14,073</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Kiosk Maintenance

Fund # 123

Description: This fund accounts for the rental income from the kiosks and café extensions on Pacific Avenue. The rental income is used to pay for periodic maintenance and utilities.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	32,418	40,700	56,700	40,700	40,700
Expenditures Totals:	<u>32,418</u>	<u>40,700</u>	<u>56,700</u>	<u>40,700</u>	<u>40,700</u>
Revenues					
Charges for Services	29,685	29,300	29,300	30,000	30,000
Fines and Forfeitures	409	-	-	-	-
Rents, & Misc Revenues	13,727	11,030	11,030	11,640	11,670
Revenues Totals:	<u>43,821</u>	<u>40,330</u>	<u>40,330</u>	<u>41,640</u>	<u>41,670</u>
Surplus/(Deficit):	11,403	(370)	(16,370)	940	970
Beginning Fund Balance:		<u>138,849</u>	<u>138,849</u>	<u>138,849</u>	<u>139,789</u>
Ending Fund Balance:		<u>138,479</u>	<u>122,479</u>	<u>139,789</u>	<u>140,759</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Street Tree Fund

Fund # 125

Description: This fund accounts for civil penalties assessed pursuant to the Heritage Tree Ordinance, donations, contributions, and other fund raising activities and is used for the acquisition and planting of trees.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	4,373	15,000	57,895	57,895	15,000
Expenditures Totals:	<u>4,373</u>	<u>15,000</u>	<u>57,895</u>	<u>57,895</u>	<u>15,000</u>
Revenues					
Charges for Services	19,150	16,000	16,000	16,000	16,000
Fines and Forfeitures	1,500	2,000	2,000	2,000	2,000
Rents, & Misc Revenues	4,174	1,680	44,575	45,425	2,550
Revenues Totals:	<u>24,824</u>	<u>19,680</u>	<u>62,575</u>	<u>63,425</u>	<u>20,550</u>
Surplus/(Deficit):	20,450	4,680	4,680	5,530	5,550
Beginning Fund Balance:		107,935	107,935	107,935	113,465
Ending Fund Balance:		<u>112,615</u>	<u>112,615</u>	<u>113,465</u>	<u>119,015</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ City Public Trust Fund

Fund # 130

Description: This fund accounts for the proceeds from the sale of city owned land. This fund was established by City Council policy where the principal is to be preserved and maintained as part of a program to meet the extensive capital improvement needs of the City. Principal can be used to leverage a substantial contribution of funds from a source other than the General Fund to complete an unfunded capital project of high priority. Interest earnings from the Trust Fund may be used on an annual basis, may be accumulated from year to year to fund objectives that require such accumulations, may be utilized to retire indebtedness to accomplish larger objectives, or may be used in combinations of those alternatives.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	307,838	-	95,162	70,162	15,000
Expenditures Totals:	<u>307,838</u>	<u>-</u>	<u>95,162</u>	<u>70,162</u>	<u>15,000</u>
Revenues					
Rents, & Misc Revenues	93,348	19,270	19,270	56,080	56,650
Transfers In & Other Financing Source:	557,327	350,000	350,000	-	-
Revenues Totals:	<u>650,674</u>	<u>369,270</u>	<u>369,270</u>	<u>56,080</u>	<u>56,650</u>
Surplus/(Deficit):	342,837	369,270	274,108	(14,082)	41,650
Beginning Fund Balance:		<u>4,263,232</u>	<u>4,263,232</u>	<u>4,263,232</u>	<u>4,249,150</u>
Ending Fund Balance:		<u><u>4,632,502</u></u>	<u><u>4,537,340</u></u>	<u><u>4,249,150</u></u>	<u><u>4,290,800</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ City Stabilization Reserve

Fund # 132

Description: This fund accounts for the City’s General Fund stabilization arrangements as established by the City Council on June 11, 2013. The portion attributed to the two-month operating balance could be used to temporarily offset significant revenue declines, to temporary offset cash flow needs or for urgent facility costs to preserve safety or operations. Should the reserve fall below two months, it would require notification and may require approval by bond holders and a report to the City Council on the strategy to return to the minimum funding level. The remaining portion for the unfunded obligation reserve (initially funded at \$2.1 million) could be used to pay down internal loans, pension obligation bonds or offset post-employment health care obligations.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	636,436	1,360,350	1,360,350	1,392,029	1,088,750
Debt Service	5,169	-	-	9,336	9,500
Expenditures Totals:	<u>641,605</u>	<u>1,360,350</u>	<u>1,360,350</u>	<u>1,401,365</u>	<u>1,098,250</u>
Revenues					
Rents, & Misc Revenues	265,442	2,164,633	2,164,633	2,001,603	1,498,606
Transfers In & Other Financing Source:	415,029	1,386,281	1,386,281	1,386,281	29,286
Revenues Totals:	<u>680,470</u>	<u>3,550,914</u>	<u>3,550,914</u>	<u>3,387,884</u>	<u>1,527,892</u>
Surplus/(Deficit):	<u>38,865</u>	<u>2,190,564</u>	<u>2,190,564</u>	<u>1,986,519</u>	<u>429,642</u>
Beginning Fund Balance:		<u>15,234,991</u>	<u>15,234,991</u>	<u>15,234,991</u>	<u>17,221,510</u>
Ending Fund Balance:		<u><u>17,425,555</u></u>	<u><u>17,425,555</u></u>	<u><u>17,221,510</u></u>	<u><u>17,651,152</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Energy Efficient Equipment Fund

Fund # 133

Description: This fund accounts for direct rebates and/or initial cost savings from energy efficient projects to be used to fund additional energy efficiency projects.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	16,948	-	151,252	151,252	-
Expenditures Totals:	16,948	-	151,252	151,252	-
Revenues					
Rents, & Misc Revenues	100,180	100,110	100,110	102,680	102,710
Transfers In & Other Financing Source:	-	-	-	-	33,110
Revenues Totals:	100,180	100,110	100,110	102,680	135,820
Surplus/(Deficit):	83,232	100,110	(51,142)	(48,572)	135,820
Beginning Fund Balance:		-	-	-	(48,572)
Ending Fund Balance:		100,110	(51,142)	(48,572)	87,248

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Economic Development Trust Fund

Fund # 136

Description: The purpose of this fund is to formally establish and designate a dedicated, transparent and stable funding source to carry out economic development programs, projects and initiatives of the City. The fund would accumulate two types of revenue: residual deposits of property tax distributions accumulated from the former Redevelopment Project areas previously received by the Redevelopment Agency, and deposits of net revenue from the 2013 Transient Occupancy Tax increase of 1% approved for economic development purposes. The fund would be restricted to economic development purposes including major projects and economic development initiatives approved by the City Council.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	21,515	1,275,000	2,113,176	2,113,176	-
Expenditures Totals:	<u>21,515</u>	<u>1,275,000</u>	<u>2,113,176</u>	<u>2,113,176</u>	<u>-</u>
Revenues					
Rents, & Misc Revenues	24,023	9,310	9,310	39,050	39,450
Transfers In & Other Financing Source:	927,569	900,000	900,000	1,017,082	1,146,000
Revenues Totals:	<u>951,593</u>	<u>909,310</u>	<u>909,310</u>	<u>1,056,132</u>	<u>1,185,450</u>
Surplus/(Deficit):	930,078	(365,690)	(1,203,866)	(1,057,044)	1,185,450
Beginning Fund Balance:		<u>2,998,292</u>	<u>2,998,292</u>	<u>2,998,292</u>	<u>1,941,248</u>
Ending Fund Balance:		<u><u>2,632,602</u></u>	<u><u>1,794,426</u></u>	<u><u>1,941,248</u></u>	<u><u>3,126,698</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Unemployment Insurance

Fund # 140

Description: This fund accounts for self-funded direct reimbursements to the state unemployment program for benefits paid to eligible displaced workers.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	45,325	-	-	-	-
Expenditures Totals:	<u>45,325</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Revenues					
Charges for Services	45,325	-	-	-	-
Revenues Totals:	<u>45,325</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Surplus/(Deficit):	-	-	-	-	-
Beginning Fund Balance:		-	-	-	-
Ending Fund Balance:		<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Housing In-lieu Program

Fund # 150

Description: This fund was established to account for revenues collected by developers that qualify and have opted to pay a fee “in-lieu” of constructing inclusionary housing units as required by the City’s affordable housing provisions. The monies collected and all earnings from investment of the monies are used within a reasonable amount of time to assist in the construction of new lower income units with long-term affordability restrictions, including required administrative support.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Rents, & Misc Revenues	179	140	140	290	280
Revenues Totals:	<u>179</u>	<u>140</u>	<u>140</u>	<u>290</u>	<u>280</u>
Surplus/(Deficit):	179	140	140	290	280
Beginning Fund Balance:		<u>88,757</u>	<u>88,757</u>	<u>88,757</u>	<u>89,047</u>
Ending Fund Balance:		<u><u>88,897</u></u>	<u><u>88,897</u></u>	<u><u>89,047</u></u>	<u><u>89,327</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ DeAnza Hardship Fund

Fund # 151

Description: This fund was established pursuant to a settlement agreement involving Manufactured Home Communities, Incorporated (MHC, Inc.) and DeAnza Santa Cruz Mobile Estates homeowners. Revenues include one-time contributions from Manufactured Home Communities, Inc. and the City Public Trust, which can be used to defray any qualifying hardship of homeowners subject to certain restrictions.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Rents, & Misc Revenues	2,348	2,470	2,470	3,820	3,860
Revenues Totals:	<u>2,348</u>	<u>2,470</u>	<u>2,470</u>	<u>3,820</u>	<u>3,860</u>
Surplus/(Deficit):	2,348	2,470	2,470	3,820	3,860
Beginning Fund Balance:		260,319	260,319	260,319	264,139
Ending Fund Balance:		<u><u>262,789</u></u>	<u><u>262,789</u></u>	<u><u>264,139</u></u>	<u><u>267,999</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Contributions and Donations - General

Fund # 161

Description: The General Contributions and Donations fund accounts for donations and contributions to General Fund activities other than those in the Parks and Recreation Department.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	104,927	-	-	-	-
Expenditures Totals:	<u>104,927</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Revenues					
Rents, & Misc Revenues	4,513	1,440	1,440	1,150	950
Revenues Totals:	<u>4,513</u>	<u>1,440</u>	<u>1,440</u>	<u>1,150</u>	<u>950</u>
Surplus/(Deficit):	(100,413)	1,440	1,440	1,150	950
Beginning Fund Balance:		<u>883</u>	<u>883</u>	<u>883</u>	<u>2,033</u>
Ending Fund Balance:		<u><u>2,323</u></u>	<u><u>2,323</u></u>	<u><u>2,033</u></u>	<u><u>2,983</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Contributions and Donations - Parks & Recreation

Fund # 162

Description: This fund accounts for donations and contributions to parks and recreation activities.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	48,883	-	94,971	12,200	7,878
Expenditures Totals:	<u>48,883</u>	<u>-</u>	<u>94,971</u>	<u>12,200</u>	<u>7,878</u>
Revenues					
Grants	7,500	-	-	-	-
Rents, & Misc Revenues	9,929	7,670	17,670	10,912	8,380
Transfers In & Other Financing Source:	35,000	-	-	-	-
Revenues Totals:	<u>52,429</u>	<u>7,670</u>	<u>17,670</u>	<u>10,912</u>	<u>8,380</u>
Surplus/(Deficit):	3,546	7,670	(77,301)	(1,288)	502
Beginning Fund Balance:		<u>221,135</u>	<u>221,135</u>	<u>221,135</u>	<u>219,847</u>
Ending Fund Balance:		<u><u>228,805</u></u>	<u><u>143,834</u></u>	<u><u>219,847</u></u>	<u><u>220,349</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Supplemental Law Enforcement Services

Fund # 211

Description: This fund was established to account for grant funding pursuant to the Supplemental Law Enforcement Grant Program established by the State of California. This program distributes grant funding to counties who in turn distribute funds to a variety of local entities based upon a formula specified in the enacting legislation. All local government entities receiving money from this grant are required to use these funds for “front line law enforcement” activities and are required to annually report revenue and expenditure detail to the county’s Supplemental Law Enforcement Oversight Committee (SLEOC).

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	110,000	110,000	110,000	110,000	110,000
Expenditures Totals:	<u>110,000</u>	<u>110,000</u>	<u>110,000</u>	<u>110,000</u>	<u>110,000</u>
Revenues					
Grants	186,040	110,000	110,000	120,000	140,000
Rents, & Misc Revenues	46	-	-	(50)	(60)
Revenues Totals:	<u>186,086</u>	<u>110,000</u>	<u>110,000</u>	<u>119,950</u>	<u>139,940</u>
Surplus/(Deficit):	76,086	-	-	9,950	29,940
Beginning Fund Balance:		58,402	58,402	58,402	68,352
Ending Fund Balance:		<u><u>58,402</u></u>	<u><u>58,402</u></u>	<u><u>68,352</u></u>	<u><u>98,292</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Traffic Offender

Fund # 212

Description: This fund accounts for receipt of private property vehicle towing fees and traffic offender fees, which are used to fund a police sergeant position that oversees traffic enforcement and other activities to improve traffic safety.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Charges for Services	19,489	14,000	14,000	17,000	8,245
Rents, & Misc Revenues	2,136	1,880	1,880	3,470	3,510
Revenues Totals:	21,625	15,880	15,880	20,470	11,755
Surplus/(Deficit):	21,625	15,880	15,880	20,470	11,755
Beginning Fund Balance:		241,332	241,332	241,332	261,802
Ending Fund Balance:		257,212	257,212	261,802	273,557

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Police Asset Seizure

Fund # 214

Description: This fund accounts for assets confiscated by police officers as a result of arrests in violation of narcotics laws. After adjudication, cash and property, which were seized, are returned to the arresting law enforcement agency. State legislation mandates that these funds not be used to supplement normal operating expenses but should be used for special purchases and projects associated with law enforcement.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Fines and Forfeitures	34,139	-	-	-	12,000
Rents, & Misc Revenues	2,935	2,490	2,490	4,750	4,800
Revenues Totals:	<u>37,075</u>	<u>2,490</u>	<u>2,490</u>	<u>4,750</u>	<u>16,800</u>
Surplus/(Deficit):	37,075	2,490	2,490	4,750	16,800
Beginning Fund Balance:		141,776	141,776	141,776	146,526
Ending Fund Balance:		<u><u>144,266</u></u>	<u><u>144,266</u></u>	<u><u>146,526</u></u>	<u><u>163,326</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Asset Seizure/Youth Crime Prevention

Fund # 216

Description: This fund accounts for a portion of monies made available to the County from property seized and forfeited, which is distributed to cities within the County for the purpose of funding youth related programs.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Rents, & Misc Revenues	37	-	-	60	70
Revenues Totals:	<u>37</u>	<u>-</u>	<u>-</u>	<u>60</u>	<u>70</u>
Surplus/(Deficit):	37	-	-	60	70
Beginning Fund Balance:		3,151	3,151	3,151	3,211
Ending Fund Balance:		<u><u>3,151</u></u>	<u><u>3,151</u></u>	<u><u>3,211</u></u>	<u><u>3,281</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Gasoline Tax

Fund # 221

Description: This fund accounts for the City's apportionment of the State excise tax on gasoline and other related grants or receipts that are combined with the gasoline tax subvention and are restricted for the planning, construction and maintenance of streets and roads.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	36,405	38,740	38,740	41,116	41,904
Services, Supplies, and Other Charges	544,194	591,725	691,782	645,692	587,776
Capital Outlay	1,118,584	135,000	24,312,829	24,273,645	1,500,000
Debt Service	12,621	25,000	25,000	25,000	25,000
Transfers Out & Other Financing Uses	599,079	1,314,503	2,628,836	2,628,836	800,161
Expenditures Totals:	<u>2,310,883</u>	<u>2,104,968</u>	<u>27,697,188</u>	<u>27,614,289</u>	<u>2,954,841</u>
Revenues					
Grants	3,678,688	3,275,876	18,611,150	18,474,223	3,511,514
Rents, & Misc Revenues	7,827	17,780	17,780	7,820	7,910
Transfers In & Other Financing Source:	460,590	1,075,000	5,817,276	5,817,276	550,000
Revenues Totals:	<u>4,147,105</u>	<u>4,368,656</u>	<u>24,446,206</u>	<u>24,299,319</u>	<u>4,069,424</u>
Surplus/(Deficit):	1,836,222	2,263,688	(3,250,981)	(3,314,970)	1,114,583
Beginning Fund Balance:		1,780,318	1,780,318	1,780,318	(1,534,652)
Ending Fund Balance:		<u><u>4,044,006</u></u>	<u><u>(1,470,663)</u></u>	<u><u>(1,534,652)</u></u>	<u><u>(420,069)</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Traffic Congestion Relief

Fund # 222

Description: This fund accounts for a subvention of the State sales tax on gasoline restricted for street and highway maintenance, rehabilitation, and reconstruction of necessary associated facilities such as drainage and traffic control devices.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	134,638	-	-	-	-
Expenditures Totals:	<u>134,638</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Revenues					
Rents, & Misc Revenues	(353)	1,330	1,330	(360)	(370)
Revenues Totals:	<u>(353)</u>	<u>1,330</u>	<u>1,330</u>	<u>(360)</u>	<u>(370)</u>
Surplus/(Deficit):	(134,991)	1,330	1,330	(360)	(370)
Beginning Fund Balance:		<u>(353)</u>	<u>(353)</u>	<u>(353)</u>	<u>(713)</u>
Ending Fund Balance:		<u><u>977</u></u>	<u><u>977</u></u>	<u><u>(713)</u></u>	<u><u>(1,083)</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ 2016 Transportation Measure D

Fund # 224

Description: This fund was established to fund a specific list of transportation improvement projects that are funded by Measure D. Measure D is a comprehensive and inclusive package of transportation improvements passed in November 2016 by over 2/3 of Santa Cruz County voters (83,816). This ½-cent sales tax guarantees every city and the county a steady, direct source of local funding for local streets and road maintenance, bicycle and pedestrian projects (especially near schools), safety projects, and transit and paratransit service, as well as numerous essential transportation projects and programs throughout the county.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	686,526	1,083,000	1,446,474	1,446,474	1,916,000
Expenditures Totals:	<u>686,526</u>	<u>1,083,000</u>	<u>1,446,474</u>	<u>1,446,474</u>	<u>1,916,000</u>
Revenues					
Grants	1,306,859	1,202,356	1,202,356	-	1,217,648
Rents, & Misc Revenues	(1,863)	-	-	-	-
Revenues Totals:	<u>1,304,996</u>	<u>1,202,356</u>	<u>1,202,356</u>	<u>-</u>	<u>1,217,648</u>
Surplus/(Deficit):	618,470	119,356	(244,118)	(1,446,474)	(698,352)
Beginning Fund Balance:		620,333	620,333	620,333	(826,141)
Ending Fund Balance:		<u><u>739,689</u></u>	<u><u>376,215</u></u>	<u><u>(826,141)</u></u>	<u><u>(1,524,493)</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Traffic Impact Fee-Beach/South of Laurel

Fund # 225

Description: This fund was established to fund a specific list of transportation improvement projects that address cumulative traffic impacts from private development. The project area matches the Beach and South of Laurel Area Plan and is in addition to the Traffic Impact Fee – Citywide. Funds are dedicated to the planning, design, administration, right-of-way acquisition, and construction of the identified improvement projects. Fifteen percent (15%) of the traffic impact fee collected is dedicated to alternative transportation projects.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Rents, & Misc Revenues	(594)	860	860	-	-
Revenues Totals:	<u>(594)</u>	<u>860</u>	<u>860</u>	<u>-</u>	<u>-</u>
Surplus/(Deficit):	(594)	860	860	-	-
Beginning Fund Balance:		<u>107,017</u>	<u>107,017</u>	<u>107,017</u>	<u>107,017</u>
Ending Fund Balance:		<u><u>107,877</u></u>	<u><u>107,877</u></u>	<u><u>107,017</u></u>	<u><u>107,017</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Traffic Impact Fee-Citywide Fund

Fund # 226

Description: This fund was established to fund a specific list of transportation improvement projects that address cumulative traffic impacts from private development citywide. The project area matches the city limits inclusive of the Beach and South of Laurel Area Plan. Funds are dedicated to the planning, design, administration, right-of-way acquisition, and construction of the identified improvement projects. Fifteen percent (15%) of the traffic impact fee collected is dedicated to alternative transportation projects.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	43,083	61,891	61,891	62,756	70,902
Services, Supplies, and Other Charges	-	-	-	-	288
Transfers Out & Other Financing Uses	325,951	1,075,000	5,705,276	5,705,276	300,000
Expenditures Totals:	<u>369,034</u>	<u>1,136,891</u>	<u>5,767,167</u>	<u>5,768,032</u>	<u>371,190</u>
Revenues					
Rents, & Misc Revenues	798,327	532,170	532,170	562,170	562,800
Revenues Totals:	<u>798,327</u>	<u>532,170</u>	<u>532,170</u>	<u>562,170</u>	<u>562,800</u>
Surplus/(Deficit):	429,293	(604,721)	(5,234,997)	(5,205,862)	191,610
Beginning Fund Balance:		4,151,056	4,151,056	4,151,056	(1,054,806)
Ending Fund Balance:		<u><u>3,546,335</u></u>	<u><u>(1,083,941)</u></u>	<u><u>(1,054,806)</u></u>	<u><u>(863,196)</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Clean River, Beaches & Ocean Tax Fund

Fund # 235

Description: This fund receives revenues from a city-wide special tax used exclusively to prevent and reduce water pollution, and for the protection of watersheds and water quality. Funding provides for capital improvements, environmental restoration and upgrades to storm water collection, conveyance, management and treatment of systems, and implementation of storm water best management practices. It also provides for public education and outreach activities to prevent and reduce water pollution.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	169,107	216,015	216,015	226,607	248,840
Services, Supplies, and Other Charges	309,385	579,815	625,006	638,019	618,668
Capital Outlay	32,354	50,000	241,181	216,181	50,000
Transfers Out & Other Financing Uses	-	-	71,500	71,500	344
Expenditures Totals:	510,846	845,830	1,153,702	1,152,307	917,852
Revenues					
Taxes	629,048	635,564	635,564	635,564	635,712
Grants	4,389	-	-	-	-
Rents, & Misc Revenues	13,110	8,850	8,850	21,360	21,580
Transfers In & Other Financing Source:	8,884	-	25,000	-	-
Revenues Totals:	655,431	644,414	669,414	656,924	657,292
Surplus/(Deficit):	144,585	(201,416)	(484,288)	(495,383)	(260,560)
Beginning Fund Balance:		1,526,829	1,526,829	1,526,829	1,031,446
Ending Fund Balance:		1,325,413	1,042,541	1,031,446	770,886

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Northwest Quadrant - Parks & Rec Fee

Fund # 241

Description: These funds were established pursuant to the Quimby Act which authorized the City Council to require developers of new subdivisions to dedicate land for park development or pay fees in-lieu of park dedication which are restricted to park or recreational purposes. Fees can only be expended in the same quadrant of the City in which it was collected.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	60,815	232,500	247,500	232,500	-
Expenditures Totals:	<u>60,815</u>	<u>232,500</u>	<u>247,500</u>	<u>232,500</u>	<u>-</u>
Revenues					
Rents, & Misc Revenues	2,992	-	-	4,500	4,550
Transfers In & Other Financing Source:	99,579	-	-	-	-
Revenues Totals:	<u>102,571</u>	<u>-</u>	<u>-</u>	<u>4,500</u>	<u>4,550</u>
Surplus/(Deficit):	41,756	(232,500)	(247,500)	(228,000)	4,550
Beginning Fund Balance:		<u>240,843</u>	<u>240,843</u>	<u>240,843</u>	<u>12,843</u>
Ending Fund Balance:		<u><u>8,343</u></u>	<u><u>(6,657)</u></u>	<u><u>12,843</u></u>	<u><u>17,393</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Southwest Quadrant - Parks & Rec Fee

Fund # 242

Description: These funds were established pursuant to the Quimby Act which authorized the City Council to require developers of new subdivisions to dedicate land for park development or pay fees in-lieu of park dedication which are restricted to park or recreational purposes. Fees can only be expended in the same quadrant of the City in which it was collected.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	25,190	-	45,594	45,594	-
Expenditures Totals:	<u>25,190</u>	<u>-</u>	<u>45,594</u>	<u>45,594</u>	<u>-</u>
Revenues					
Rents, & Misc Revenues	336	-	-	710	720
Transfers In & Other Financing Source:	169,455	-	-	-	-
Revenues Totals:	<u>169,791</u>	<u>-</u>	<u>-</u>	<u>710</u>	<u>720</u>
Surplus/(Deficit):	144,601	-	(45,594)	(44,884)	720
Beginning Fund Balance:		28,050	28,050	28,050	(16,834)
Ending Fund Balance:		<u><u>28,050</u></u>	<u><u>(17,544)</u></u>	<u><u>(16,834)</u></u>	<u><u>(16,114)</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Northeast Quadrant - Parks & Rec Fee

Fund # 243

Description: These funds were established pursuant to the Quimby Act which authorized the City Council to require developers of new subdivisions to dedicate land for park development or pay fees in-lieu of park dedication which are restricted to park or recreational purposes. Fees can only be expended in the same quadrant of the City in which it was collected.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	76,607	25,000	25,000	25,000	-
Expenditures Totals:	<u>76,607</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>	<u>-</u>
Revenues					
Charges for Services	5,337	-	-	-	-
Rents, & Misc Revenues	2,067	-	-	3,210	3,250
Transfers In & Other Financing Source:	112,581	-	-	-	-
Revenues Totals:	<u>119,985</u>	<u>-</u>	<u>-</u>	<u>3,210</u>	<u>3,250</u>
Surplus/(Deficit):	43,378	(25,000)	(25,000)	(21,790)	3,250
Beginning Fund Balance:		<u>158,120</u>	<u>158,120</u>	<u>158,120</u>	<u>136,330</u>
Ending Fund Balance:		<u><u>133,120</u></u>	<u><u>133,120</u></u>	<u><u>136,330</u></u>	<u><u>139,580</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Southeast Quadrant - Parks & Rec Fee

Fund # 244

Description: These funds were established pursuant to the Quimby Act which authorized the City Council to require developers of new subdivisions to dedicate land for park development or pay fees in-lieu of park dedication which are restricted to park or recreational purposes. Fees can only be expended in the same quadrant of the City in which it was collected.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	56,615	-	-	-	-
Expenditures Totals:	<u>56,615</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Revenues					
Rents, & Misc Revenues	1,879	-	-	2,940	2,970
Transfers In & Other Financing Source:	190,170	-	-	-	-
Revenues Totals:	<u>192,049</u>	<u>-</u>	<u>-</u>	<u>2,940</u>	<u>2,970</u>
Surplus/(Deficit):	135,434	-	-	2,940	2,970
Beginning Fund Balance:		<u>114,393</u>	<u>114,393</u>	<u>114,393</u>	<u>117,333</u>
Ending Fund Balance:		<u><u>114,393</u></u>	<u><u>114,393</u></u>	<u><u>117,333</u></u>	<u><u>120,303</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Parks & Recreation Tax Combined Fund

Fund # 249

Description: This fund was established to account for special taxes collected on the construction of new or remodeled residential dwellings, which are designated 50% for greenbelt purposes and 50% for parks and recreation facilities.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	705,001	415,000	415,167	430,167	95,000
Expenditures Totals:	705,001	415,000	415,167	430,167	95,000
Revenues					
Taxes	540,357	880,000	880,000	282,000	500,000
Rents, & Misc Revenues	5,099	6,280	6,280	8,160	8,250
Revenues Totals:	545,456	886,280	886,280	290,160	508,250
Surplus/(Deficit):	(159,545)	471,280	471,113	(140,007)	413,250
Beginning Fund Balance:		750,196	750,196	750,196	610,189
Ending Fund Balance:		1,221,476	1,221,309	610,189	1,023,439

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ HOME Rehabilitation Projects

Fund # 251

Description: This fund accounts for loans made to homeowners for HOME rehabilitation projects using State HUD grant revenues.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Rents, & Misc Revenues	4,718	3,990	3,990	6,390	6,450
Transfers In & Other Financing Source:	-	2,400	2,400	2,400	2,400
Revenues Totals:	<u>4,718</u>	<u>6,390</u>	<u>6,390</u>	<u>8,790</u>	<u>8,850</u>
Surplus/(Deficit):	4,718	6,390	6,390	8,790	8,850
Beginning Fund Balance:		369,416	369,416	369,416	378,206
Ending Fund Balance:		<u><u>375,806</u></u>	<u><u>375,806</u></u>	<u><u>378,206</u></u>	<u><u>387,056</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ HOME Acquisition Projects

Fund # 252

Description: This fund accounts for loans made to homeowners for HOME acquisition projects using State HUD grant revenues.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Rents, & Misc Revenues	1,664	1,760	1,760	2,710	2,740
Revenues Totals:	<u>1,664</u>	<u>1,760</u>	<u>1,760</u>	<u>2,710</u>	<u>2,740</u>
Surplus/(Deficit):	1,664	1,760	1,760	2,710	2,740
Beginning Fund Balance:		184,429	184,429	184,429	187,139
Ending Fund Balance:		<u><u>186,189</u></u>	<u><u>186,189</u></u>	<u><u>187,139</u></u>	<u><u>189,879</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ HOME Investment Partnership

Fund # 253

Description: This fund accounts for federal monies received under the Home Investment Partnership Program grant which are restricted for affordable housing production and include activities such as new construction or rehabilitation of affordable housing, tenant based rental assistance, first-time homebuyer assistance, and relocation assistance.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	1,295,054	328,566	479,138	621,850	295,100
Expenditures Totals:	<u>1,295,054</u>	<u>328,566</u>	<u>479,138</u>	<u>621,850</u>	<u>295,100</u>
Revenues					
Grants	698,599	250,000	117,697	621,850	295,100
Rents, & Misc Revenues	116,485	110	110	3,880	(130)
Revenues Totals:	<u>815,084</u>	<u>250,110</u>	<u>117,807</u>	<u>625,730</u>	<u>294,970</u>
Surplus/(Deficit):	<u>(479,970)</u>	<u>(78,456)</u>	<u>(361,331)</u>	<u>3,880</u>	<u>(130)</u>
Beginning Fund Balance:		<u>35,278</u>	<u>35,278</u>	<u>35,278</u>	<u>39,158</u>
Ending Fund Balance:		<u>(43,178)</u>	<u>(326,053)</u>	<u>39,158</u>	<u>39,028</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Community Development Block Grant

Fund # 261

Description: This fund accounts for federal monies received under the Community Development Block Grant (CDBG) program, which provides grants to promote development of a viable community, including decent housing, a suitable living environment for all citizens, and economic development.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	655,435	479,600	488,219	393,219	366,000
Transfers Out & Other Financing Uses	35,000	120,700	351,822	351,822	35,000
Expenditures Totals:	<u>690,435</u>	<u>600,300</u>	<u>840,041</u>	<u>745,041</u>	<u>401,000</u>
Revenues					
Grants	663,632	500,000	852,387	635,395	488,000
Rents, & Misc Revenues	2,272	2,000	2,000	2,000	2,000
Transfers In & Other Financing Source:	-	6,000	6,000	6,000	6,000
Revenues Totals:	<u>665,904</u>	<u>508,000</u>	<u>860,387</u>	<u>643,395</u>	<u>496,000</u>
Surplus/(Deficit):	<u>(24,531)</u>	<u>(92,300)</u>	<u>20,346</u>	<u>(101,646)</u>	<u>95,000</u>
Beginning Fund Balance:		<u>218,992</u>	<u>218,992</u>	<u>218,992</u>	<u>117,346</u>
Ending Fund Balance:		<u>126,692</u>	<u>239,338</u>	<u>117,346</u>	<u>212,346</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Red Cross Housing Reconstruction Loan Repayment

Fund # 272

Description: This fund accounts for the principal and interest loan repayments from a grant from the Red Cross made to assist in reconstruction of affordable housing destroyed by the 1989 Loma Prieta earthquake. These funds are used to provide assistance to disaster victims and low income households in the Beach Flats and Lower Ocean areas of the City.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	45,571	47,000	47,000	47,000	47,000
Expenditures Totals:	<u>45,571</u>	<u>47,000</u>	<u>47,000</u>	<u>47,000</u>	<u>47,000</u>
Revenues					
Rents, & Misc Revenues	2,087	3,050	3,050	5,846	3,440
Revenues Totals:	<u>2,087</u>	<u>3,050</u>	<u>3,050</u>	<u>5,846</u>	<u>3,440</u>
Surplus/(Deficit):	(43,484)	(43,950)	(43,950)	(41,154)	(43,560)
Beginning Fund Balance:		<u>202,144</u>	<u>202,144</u>	<u>202,144</u>	<u>160,990</u>
Ending Fund Balance:		<u><u>158,194</u></u>	<u><u>158,194</u></u>	<u><u>160,990</u></u>	<u><u>117,430</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ CalHome - FTHB Revolving Fund

Fund # 273

Description: This fund accounts for State monies received to provide funding for the provision of second mortgages to low-income first-time homebuyers purchasing homes in all redevelopment areas and two identified census tracts (1007 and 1010) located within the City of Santa Cruz.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Rents, & Misc Revenues	1,518	1,520	1,520	2,470	2,500
Revenues Totals:	<u>1,518</u>	<u>1,520</u>	<u>1,520</u>	<u>2,470</u>	<u>2,500</u>
Surplus/(Deficit):	1,518	1,520	1,520	2,470	2,500
Beginning Fund Balance:		<u>168,270</u>	<u>168,270</u>	<u>168,270</u>	<u>170,740</u>
Ending Fund Balance:		<u><u>169,790</u></u>	<u><u>169,790</u></u>	<u><u>170,740</u></u>	<u><u>173,240</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ HOME American Dream FTH Revolving Fund

Fund # 275

Description: This fund accounts for federal funds used for providing second mortgages to very-low to low-income first time homebuyers.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Rents, & Misc Revenues	588	640	640	960	970
Revenues Totals:	<u>588</u>	<u>640</u>	<u>640</u>	<u>960</u>	<u>970</u>
Surplus/(Deficit):	588	640	640	960	970
Beginning Fund Balance:		65,155	65,155	65,155	66,115
Ending Fund Balance:		<u><u>65,795</u></u>	<u><u>65,795</u></u>	<u><u>66,115</u></u>	<u><u>67,085</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Affordable Housing Trust Fund

Fund # 279

Description: This fund was established to assist in the creation and preservation of affordable housing in the City of Santa Cruz for the benefit of low and moderate-income households. Funding includes loans, gifts, grants, and housing-in-lieu fees. Funded programs and activities include the Accessory Dwelling Unit Loan Program, the Inclusionary Housing Affordability Preservation Program, and the Riverfront Reimbursement Program, which provides reimbursements for multi-family structural improvements.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	156,494	407,605	999,486	797,034	109,500
Transfers Out & Other Financing Uses	-	-	-	-	230,000
Expenditures Totals:	<u>156,494</u>	<u>407,605</u>	<u>999,486</u>	<u>797,034</u>	<u>339,500</u>
Revenues					
Charges for Services	12,605	11,000	11,000	11,000	11,000
Rents, & Misc Revenues	332,358	116,200	116,200	1,782,250	120,300
Revenues Totals:	<u>344,963</u>	<u>127,200</u>	<u>127,200</u>	<u>1,793,250</u>	<u>131,300</u>
Surplus/(Deficit):	<u>188,469</u>	<u>(280,405)</u>	<u>(872,286)</u>	<u>996,216</u>	<u>(208,200)</u>
Beginning Fund Balance:		<u>1,184,469</u>	<u>1,184,469</u>	<u>1,184,469</u>	<u>2,180,685</u>
Ending Fund Balance:		<u><u>904,064</u></u>	<u><u>312,183</u></u>	<u><u>2,180,685</u></u>	<u><u>1,972,485</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ SA (H) LMIH-Merged 2-1-12

Fund # 281

Description: This fund accounts for housing assets transferred from the former Redevelopment Agency to the City as housing successor.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	232,574	194,440	194,440	194,440	222,940
Capital Outlay	5,112,853	360,000	1,107,147	1,107,147	731,958
Expenditures Totals:	5,345,428	554,440	1,301,587	1,301,587	954,898
Revenues					
Grants	55,000	-	-	9,213	8,500
Charges for Services	32,500	32,500	32,500	28,750	28,750
Rents, & Misc Revenues	302,355	410,278	410,278	812,516	485,774
Transfers In & Other Financing Source:	5,302,985	-	693,889	693,889	150,000
Revenues Totals:	5,692,840	442,778	1,136,667	1,544,368	673,024
Surplus/(Deficit):	347,413	(111,662)	(164,920)	242,781	(281,874)
Beginning Fund Balance:		763,766	763,766	763,766	1,006,547
Ending Fund Balance:		652,104	598,846	1,006,547	724,673

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Transportation Development Act

Fund # 291

Description: This fund accounts for a one-quarter cent State sales tax, which is allocated to the Regional Transportation Commission based on taxable sales in Santa Cruz County. The City receives a portion of those funds based on population, which is used for bicycle and pedestrian projects. In addition, acting as the public agency sponsor, the City will contract with and pass through a portion of TDA funds to non-profit agencies that utilize the funding to meet specialized or unmet transportation needs.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	744,077	744,077	744,077	744,077	744,077
Expenditures Totals:	<u>744,077</u>	<u>744,077</u>	<u>744,077</u>	<u>744,077</u>	<u>744,077</u>
Revenues					
Grants	744,077	744,077	744,077	744,077	744,077
Revenues Totals:	<u>744,077</u>	<u>744,077</u>	<u>744,077</u>	<u>744,077</u>	<u>744,077</u>
Surplus/(Deficit):	-	-	-	-	-
Beginning Fund Balance:		-	-	-	-
Ending Fund Balance:		<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ General Capital Improvement Projects

Fund # 311

Description: This fund is used to account for capital improvements for which special projects funds have not been established. A portion of the City's transient occupancy tax receipts, combined with other funding sources, such as grants, provides funding for capital projects of general benefit, such as storm drain improvements or improvements to public buildings.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Capital Outlay	5,409,213	13,484,486	40,410,680	37,744,788	13,815,100
Debt Service	248,162	344,994	344,994	344,994	352,094
Transfers Out & Other Financing Uses	32,125	22,772	22,772	22,772	20,851
Expenditures Totals:	5,689,500	13,852,252	40,778,446	38,112,554	14,188,045
Revenues					
Grants	569,212	7,242,500	19,764,165	19,501,480	11,915,850
Rents, & Misc Revenues	(50,824)	-	1,745,000	1,671,250	(74,490)
Transfers In & Other Financing Source:	3,082,010	6,231,602	14,659,336	14,283,692	2,399,250
Revenues Totals:	3,600,398	13,474,102	36,168,501	35,456,422	14,240,610
Surplus/(Deficit):	(2,089,102)	(378,150)	(4,609,946)	(2,656,132)	52,565
Beginning Fund Balance:		(4,686,767)	(4,686,767)	(4,686,767)	(7,342,899)
Ending Fund Balance:		(5,064,917)	(9,296,713)	(7,342,899)	(7,290,334)

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Public Art - CIP

Fund # 313

Description: This fund is used to account for funding from eligible capital projects that are designated for public art.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	22,162	23,163	23,163	24,462	25,832
Services, Supplies, and Other Charges	3,205	-	7,302	7,302	-
Expenditures Totals:	<u>25,367</u>	<u>23,163</u>	<u>30,465</u>	<u>31,764</u>	<u>25,832</u>
Revenues					
Rents, & Misc Revenues	545	370	370	870	880
Transfers In & Other Financing Source:	50,213	50,957	50,957	50,957	49,474
Revenues Totals:	<u>50,758</u>	<u>51,327</u>	<u>51,327</u>	<u>51,827</u>	<u>50,354</u>
Surplus/(Deficit):	<u>25,391</u>	<u>28,164</u>	<u>20,862</u>	<u>20,063</u>	<u>24,522</u>
Beginning Fund Balance:		<u>46,126</u>	<u>46,126</u>	<u>46,126</u>	<u>66,189</u>
Ending Fund Balance:		<u><u>74,290</u></u>	<u><u>66,988</u></u>	<u><u>66,189</u></u>	<u><u>90,711</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ CIP - Sidewalk Construction In-Lieu Fund

Fund # 315

Description: This fund accounts for fees paid by property owners in lieu of constructing curbs, gutters, sidewalks, or disabled access ramps required under a development permit.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	375	-	-	-	-
Expenditures Totals:	<u>375</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Revenues					
Rents, & Misc Revenues	12,769	7,120	7,120	1,760	7,780
Revenues Totals:	<u>12,769</u>	<u>7,120</u>	<u>7,120</u>	<u>1,760</u>	<u>7,780</u>
Surplus/(Deficit):	<u>12,394</u>	<u>7,120</u>	<u>7,120</u>	<u>1,760</u>	<u>7,780</u>
Beginning Fund Balance:		<u>126,587</u>	<u>126,587</u>	<u>126,587</u>	<u>128,347</u>
Ending Fund Balance:		<u><u>133,707</u></u>	<u><u>133,707</u></u>	<u><u>128,347</u></u>	<u><u>136,127</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ CIP - Santa Cruz Library

Fund # 316

Description: This fund accounts for the construction and remodeling of City libraries. It's funded by the new County-wide parcel tax to support Library capital improvement projects.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Capital Outlay	108,006	-	1,891,994	1,891,994	4,250,000
Transfers Out & Other Financing Uses	-	-	-	-	282,000
Expenditures Totals:	<u>108,006</u>	<u>-</u>	<u>1,891,994</u>	<u>1,891,994</u>	<u>4,532,000</u>
Revenues					
Taxes	2,271,485	2,150,000	150,000	541,644	1,480,128
Rents, & Misc Revenues	26,325	-	-	39,340	39,740
Revenues Totals:	<u>2,297,810</u>	<u>2,150,000</u>	<u>150,000</u>	<u>580,984</u>	<u>1,519,868</u>
Surplus/(Deficit):	<u>2,189,804</u>	<u>2,150,000</u>	<u>(1,741,994)</u>	<u>(1,311,010)</u>	<u>(3,012,132)</u>
Beginning Fund Balance:		<u>3,534,540</u>	<u>3,534,540</u>	<u>3,534,540</u>	<u>2,223,530</u>
Ending Fund Balance:		<u><u>5,684,540</u></u>	<u><u>1,792,546</u></u>	<u><u>2,223,530</u></u>	<u><u>(788,602)</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ CIP-Street Maintenance & Rehabilitation

Fund # 317

Description: This fund accounts for costs associated with street maintenance and rehabilitation.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Capital Outlay	7,008,155	3,213,500	11,924,527	11,924,527	2,600,000
Transfers Out & Other Financing Uses	-	500,000	500,000	500,000	-
Expenditures Totals:	<u>7,008,155</u>	<u>3,713,500</u>	<u>12,424,527</u>	<u>12,424,527</u>	<u>2,600,000</u>
Revenues					
Grants	-	775,000	2,775,000	2,775,000	500,000
Rents, & Misc Revenues	20,606	20,140	20,140	34,430	34,780
Transfers In & Other Financing Source:	1,000,000	1,500,000	831,440	831,440	1,100,000
Revenues Totals:	<u>1,020,606</u>	<u>2,295,140</u>	<u>3,626,580</u>	<u>3,640,870</u>	<u>1,634,780</u>
Surplus/(Deficit):	(5,987,549)	(1,418,360)	(8,797,947)	(8,783,657)	(965,220)
Beginning Fund Balance:		<u>5,737,614</u>	<u>5,737,614</u>	<u>5,737,614</u>	<u>(3,046,043)</u>
Ending Fund Balance:		<u><u>4,319,254</u></u>	<u><u>(3,060,333)</u></u>	<u><u>(3,046,043)</u></u>	<u><u>(4,011,263)</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Wharf Tenant Capital Improvement Contributions

Fund # 319

Description: This fund was established in FY 2011 to account for contributions from Wharf tenants toward Wharf capital improvements.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Capital Outlay	-	391,351	391,351	391,351	83,285
Transfers Out & Other Financing Uses	53,719	-	-	-	-
Expenditures Totals:	<u>53,719</u>	<u>391,351</u>	<u>391,351</u>	<u>391,351</u>	<u>83,285</u>
Revenues					
Rents, & Misc Revenues	164,668	2,060	2,060	89,835	89,905
Revenues Totals:	<u>164,668</u>	<u>2,060</u>	<u>2,060</u>	<u>89,835</u>	<u>89,905</u>
Surplus/(Deficit):	110,949	(389,291)	(389,291)	(301,516)	6,620
Beginning Fund Balance:		460,386	460,386	460,386	158,870
Ending Fund Balance:		<u><u>71,095</u></u>	<u><u>71,095</u></u>	<u><u>158,870</u></u>	<u><u>165,490</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ SC Street Improvements-Harvey West

Fund # 331

Description: This fund accounts for funds set aside for improvements in the Harvey West area.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	8,158	-	-	-	-
Expenditures Totals:	<u>8,158</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Revenues					
Rents, & Misc Revenues	(21)	100	100	(30)	(40)
Revenues Totals:	<u>(21)</u>	<u>100</u>	<u>100</u>	<u>(30)</u>	<u>(40)</u>
Surplus/(Deficit):	(8,179)	100	100	(30)	(40)
Beginning Fund Balance:		<u>(21)</u>	<u>(21)</u>	<u>(21)</u>	<u>(51)</u>
Ending Fund Balance:		<u><u>79</u></u>	<u><u>79</u></u>	<u><u>(51)</u></u>	<u><u>(91)</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ General Obligation Bonds Debt Service

Fund # 411

Description: This fund accounts for the debt service for a \$7 million General Obligation bond issue approved by voters in November 1998. Principal and interest debt payments are generated by a voter approved property tax override assessment of \$9.00 per \$100,000 of assessed value. Revenues generated by the property tax override are used to make semi-annual principal and interest payments to bond holders.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Debt Service	492,111	501,602	501,602	501,602	517,002
Expenditures Totals:	<u>492,111</u>	<u>501,602</u>	<u>501,602</u>	<u>501,602</u>	<u>517,002</u>
Revenues					
Taxes	498,277	480,000	480,000	480,000	500,000
Rents, & Misc Revenues	11,257	10,590	10,590	17,870	18,060
Revenues Totals:	<u>509,534</u>	<u>490,590</u>	<u>490,590</u>	<u>497,870</u>	<u>518,060</u>
Surplus/(Deficit):	17,422	(11,012)	(11,012)	(3,732)	1,058
Beginning Fund Balance:		<u>1,371,504</u>	<u>1,371,504</u>	<u>1,371,504</u>	<u>1,367,772</u>
Ending Fund Balance:		<u><u>1,360,492</u></u>	<u><u>1,360,492</u></u>	<u><u>1,367,772</u></u>	<u><u>1,368,830</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Lease Revenue Bonds

Fund # 413

Description: This fund accounts for the debt service associated with the Union/Locust building purchase and remodel. The building provides offices for the City’s Water Department and the Santa Cruz Library JPA. It also provides facilities for library book processing, recirculation and storage. Lease payments are collected from the Water Department and the Library JPA for the payment of debt service.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Debt Service	336,060	359,369	359,369	359,369	363,394
Expenditures Totals:	<u>336,060</u>	<u>359,369</u>	<u>359,369</u>	<u>359,369</u>	<u>363,394</u>
Revenues					
Rents, & Misc Revenues	429,190	359,730	359,730	364,100	432,563
Revenues Totals:	<u>429,190</u>	<u>359,730</u>	<u>359,730</u>	<u>364,100</u>	<u>432,563</u>
Surplus/(Deficit):	93,130	361	361	4,731	69,169
Beginning Fund Balance:		<u>396,977</u>	<u>396,977</u>	<u>396,977</u>	<u>401,708</u>
Ending Fund Balance:		<u>397,338</u>	<u>397,338</u>	<u>401,708</u>	<u>470,877</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Water

Fund # 711

Description: This fund is a self-supporting Enterprise fund and accounts for all revenues and expenses related to the water utility operation. Various balances are restricted to maintenance, operation, depreciation, capital outlay and debt service based on City Charter Sec. 1424 and various bond indentures. Capital Outlay amounts include carryover appropriations for capital projects lasting many years.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	12,406,660	14,724,425	14,724,425	13,960,008	16,837,336
Services, Supplies, and Other Charges	13,582,875	15,049,079	16,417,413	12,562,894	15,673,022
Capital Outlay	11,792,060	20,512,220	45,623,060	45,831,295	3,266,000
Debt Service	1,944,803	2,535,842	2,535,842	2,084,342	9,997,519
Transfers Out & Other Financing Uses	1,757,655	1,018,789	1,226,474	1,159,851	337,215
Expenditures Totals:	41,484,053	53,840,355	80,527,214	75,598,390	46,111,092
Revenues					
Licenses and Permits	19,253	2,000	2,000	3,000	3,000
Grants	568,600	-	-	13,000	300,000
Charges for Services	36,012,728	43,545,042	38,937,679	38,942,679	41,610,268
Fines and Forfeitures	42	-	-	-	-
Rents, & Misc Revenues	661,667	400,850	400,850	559,870	602,460
Transfers In & Other Financing Source:	14,614	110,000	110,000	10,313,000	32,000,000
Revenues Totals:	37,276,904	44,057,892	39,450,529	49,831,549	74,515,728
Surplus/(Deficit):	(4,207,150)	(9,782,463)	(41,076,685)	(25,766,841)	28,404,636
Beginning Fund Balance:		9,925,880	9,925,880	9,925,880	(15,840,961)
Ending Fund Balance:		143,417	(31,150,805)	(15,840,961)	12,563,675

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Water Revenue Stabilization Reserve

Fund # 713

Description: The Water Rate Stabilization Reserve Fund is designed to protect the Water Department against the financial impacts extraordinary circumstances due to unanticipated Capital Improvement Program or capital outlay expenditures, fluctuations in water sales creating revenue shortfalls, and/or catastrophic financial losses as a result of a natural disaster.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Charges for Services	3,146,864	3,342,244	3,342,244	3,342,000	3,342,000
Rents, & Misc Revenues	42,032	23,450	23,450	65,720	66,380
Revenues Totals:	<u>3,188,895</u>	<u>3,365,694</u>	<u>3,365,694</u>	<u>3,407,720</u>	<u>3,408,380</u>
Surplus/(Deficit):	3,188,895	3,365,694	3,365,694	3,407,720	3,408,380
Beginning Fund Balance:		<u>5,702,862</u>	<u>5,702,862</u>	<u>5,702,862</u>	<u>9,110,582</u>
Ending Fund Balance:		<u><u>9,068,556</u></u>	<u><u>9,068,556</u></u>	<u><u>9,110,582</u></u>	<u><u>12,518,962</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Water - Public Art

Fund # 714

Description: This fund is used to account for funds from eligible capital projects that are designated for public art.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	77,078	85,193	295,347	295,347	60,063
Transfers Out & Other Financing Uses	14,340	21,299	21,299	21,299	-
Expenditures Totals:	<u>91,418</u>	<u>106,492</u>	<u>316,646</u>	<u>316,646</u>	<u>60,063</u>
Revenues					
Rents, & Misc Revenues	2,895	2,470	2,470	4,710	4,760
Transfers In & Other Financing Source:	57,359	85,193	85,193	85,193	60,063
Revenues Totals:	<u>60,254</u>	<u>87,663</u>	<u>87,663</u>	<u>89,903</u>	<u>64,823</u>
Surplus/(Deficit):	<u>(31,164)</u>	<u>(18,829)</u>	<u>(228,983)</u>	<u>(226,743)</u>	<u>4,760</u>
Beginning Fund Balance:		<u>260,516</u>	<u>260,516</u>	<u>260,516</u>	<u>33,773</u>
Ending Fund Balance:		<u>241,687</u>	<u>31,533</u>	<u>33,773</u>	<u>38,533</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Water System Development Fees Fund

Fund # 715

Description: This fund is used to account for water system development charges revenues. The charges are used to pay for system expansion costs and water conservation programs.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	179,480	384,902	403,752	212,902	383,322
Capital Outlay	695,311	485,000	958,909	1,428,909	-
Debt Service	141,240	140,647	140,647	140,647	140,507
Transfers Out & Other Financing Uses	2,514	3,135	3,135	3,135	3,267
Expenditures Totals:	<u>1,018,545</u>	<u>1,013,684</u>	<u>1,506,443</u>	<u>1,785,593</u>	<u>527,096</u>
Revenues					
Charges for Services	1,348,152	1,600,000	1,600,000	550,000	820,000
Rents, & Misc Revenues	37,404	28,260	28,260	56,410	56,990
Revenues Totals:	<u>1,385,555</u>	<u>1,628,260</u>	<u>1,628,260</u>	<u>606,410</u>	<u>876,990</u>
Surplus/(Deficit):	367,010	614,576	121,817	(1,179,183)	349,894
Beginning Fund Balance:		<u>3,723,375</u>	<u>3,723,375</u>	<u>3,723,375</u>	<u>2,544,192</u>
Ending Fund Balance:		<u><u>4,337,951</u></u>	<u><u>3,845,192</u></u>	<u><u>2,544,192</u></u>	<u><u>2,894,086</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Water 90 Day Operating Reserve

Fund # 716

Description: The creation of the 90-day Operating Reserve in Fiscal Year 2017 is result of the Water Department’s development of a Long Range Financial Plan. This fund will provide, when combined with the Department’s other unrestricted funds, at least 180 days of an operating cash reserve.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Rents, & Misc Revenues	54,936	6,600	6,600	97,150	98,130
Transfers In & Other Financing Source:	824,981	-	-	-	-
Revenues Totals:	<u>879,917</u>	<u>6,600</u>	<u>6,600</u>	<u>97,150</u>	<u>98,130</u>
Surplus/(Deficit):	879,917	6,600	6,600	97,150	98,130
Beginning Fund Balance:		<u>7,437,734</u>	<u>7,437,734</u>	<u>7,437,734</u>	<u>7,534,884</u>
Ending Fund Balance:		<u><u>7,444,334</u></u>	<u><u>7,444,334</u></u>	<u><u>7,534,884</u></u>	<u><u>7,633,014</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Water Emergency Reserve Fund

Fund # 717

Description: This fund is to be used in situations such as natural disasters or other infrastructure-related emergencies that require financial resources above those that would normally be available to respond to such a situation.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Revenues					
Rents, & Misc Revenues	26,595	5,030	5,030	45,500	45,960
Transfers In & Other Financing Source:	41,053	-	-	-	-
Revenues Totals:	<u>67,648</u>	<u>5,030</u>	<u>5,030</u>	<u>45,500</u>	<u>45,960</u>
Surplus/(Deficit):	67,648	5,030	5,030	45,500	45,960
Beginning Fund Balance:		<u>3,141,791</u>	<u>3,141,791</u>	<u>3,141,791</u>	<u>3,187,291</u>
Ending Fund Balance:		<u><u>3,146,821</u></u>	<u><u>3,146,821</u></u>	<u><u>3,187,291</u></u>	<u><u>3,233,251</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Water June Beetle Endowment Fund

Fund # 718

Description: This fund is a non-wasting endowment created, as required by a United States Fish and Wildlife Service permit, to mitigate impacts to the Mount Hermon June Beetle (MHJB) due to normal operations at the Graham Hill Water Treatment Plant.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	1,290	2,100	2,100	1,000	2,100
Expenditures Totals:	<u>1,290</u>	<u>2,100</u>	<u>2,100</u>	<u>1,000</u>	<u>2,100</u>
Revenues					
Rents, & Misc Revenues	1,308	770	770	2,130	2,160
Revenues Totals:	<u>1,308</u>	<u>770</u>	<u>770</u>	<u>2,130</u>	<u>2,160</u>
Surplus/(Deficit):	18	(1,330)	(1,330)	1,130	60
Beginning Fund Balance:		<u>144,858</u>	<u>144,858</u>	<u>144,858</u>	<u>145,988</u>
Ending Fund Balance:		<u><u>143,528</u></u>	<u><u>143,528</u></u>	<u><u>145,988</u></u>	<u><u>146,048</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Water Equipment Replacement Fund

Fund # 719

Description: The purpose of this fund is to provide for the planned purchase of vehicles, machinery and other necessary equipment related to the operation of the utility. The fund contributes financial stability through incrementally saving for future equipment capital outlays to mitigate against the impact of unforeseen expenditures. The revenue source is transfers from other Water Department enterprise funds.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Transfers Out & Other Financing Uses	-	110,000	110,000	110,000	-
Expenditures Totals:	-	110,000	110,000	110,000	-
Revenues					
Rents, & Misc Revenues	(125)	-	-	70	80
Transfers In & Other Financing Source:	350,000	350,000	350,000	350,000	-
Revenues Totals:	349,875	350,000	350,000	350,070	80
Surplus/(Deficit):	349,875	240,000	240,000	240,070	80
Beginning Fund Balance:		350,061	350,061	350,061	590,131
Ending Fund Balance:		590,061	590,061	590,131	590,211

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Wastewater

Fund # 721

Description: This fund is a self-supporting Enterprise fund and accounts for all revenues and expenses related to the wastewater utility operation. Various balances are restricted to operation, capital outlay, depreciation, bond fund expenditures and debt service based on Charter Sec. 1424 and various bond indentures.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	7,392,811	9,065,970	9,065,970	7,819,306	9,750,093
Services, Supplies, and Other Charges	7,177,692	8,841,300	8,954,357	9,054,529	9,569,707
Capital Outlay	3,153,041	4,131,300	15,783,946	15,387,705	3,603,800
Debt Service	3,663,208	3,686,089	3,686,089	3,686,089	337,662
Transfers Out & Other Financing Uses	250,486	293,776	377,633	377,633	104,763
Expenditures Totals:	<u>21,637,239</u>	<u>26,018,435</u>	<u>37,867,995</u>	<u>36,325,262</u>	<u>23,366,025</u>
Revenues					
Grants	848,893	635,294	3,870,937	3,870,937	976,470
Charges for Services	20,976,245	20,225,000	20,225,000	20,210,000	21,960,000
Rents, & Misc Revenues	194,408	126,677	126,677	178,200	179,990
Transfers In & Other Financing Source:	-	1,563,340	1,567,340	4,000	-
Revenues Totals:	<u>22,019,545</u>	<u>22,550,311</u>	<u>25,789,954</u>	<u>24,263,137</u>	<u>23,116,460</u>
Surplus/(Deficit):	382,306	(3,468,124)	(12,078,041)	(12,062,125)	(249,565)
Beginning Fund Balance:		17,852,344	17,852,344	17,852,344	5,790,219
Ending Fund Balance:		<u><u>14,384,220</u></u>	<u><u>5,774,303</u></u>	<u><u>5,790,219</u></u>	<u><u>5,540,654</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Wastewater - Public Art

Fund # 724

Description: This fund is used to account for 2% from eligible capital projects that are designated for public art.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	21,525	16,027	57,977	57,977	11,542
Transfers Out & Other Financing Uses	3,240	4,007	4,007	4,007	-
Expenditures Totals:	<u>24,765</u>	<u>20,034</u>	<u>61,984</u>	<u>61,984</u>	<u>11,542</u>
Revenues					
Rents, & Misc Revenues	713	610	610	1,190	1,210
Transfers In & Other Financing Source:	12,961	16,027	16,027	16,027	11,542
Revenues Totals:	<u>13,674</u>	<u>16,637</u>	<u>16,637</u>	<u>17,217</u>	<u>12,752</u>
Surplus/(Deficit):	(11,091)	(3,397)	(45,347)	(44,767)	1,210
Beginning Fund Balance:		<u>63,036</u>	<u>63,036</u>	<u>63,036</u>	<u>18,269</u>
Ending Fund Balance:		<u><u>59,639</u></u>	<u><u>17,689</u></u>	<u><u>18,269</u></u>	<u><u>19,479</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Refuse

Fund # 731

Description: This fund is a self-supporting Enterprise fund and accounts for all revenues and expenses related to the refuse utility operation.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	8,611,243	9,536,284	9,536,284	9,353,063	10,412,424
Services, Supplies, and Other Charges	6,586,301	8,431,765	8,486,411	7,519,692	8,923,958
Capital Outlay	2,557,070	3,285,538	6,791,023	4,944,838	3,583,500
Debt Service	1,516,109	783,257	783,257	1,177,493	891,329
Transfers Out & Other Financing Uses	372,441	1,306,220	1,568,886	1,568,886	864,428
Expenditures Totals:	<u>19,643,163</u>	<u>23,343,064</u>	<u>27,165,861</u>	<u>24,563,972</u>	<u>24,675,639</u>
Revenues					
Grants	24,899	16,000	55,681	39,681	26,378
Charges for Services	20,495,574	20,070,000	20,070,000	20,050,000	20,650,000
Rents, & Misc Revenues	333,753	259,170	259,170	412,150	424,780
Transfers In & Other Financing Source:	29,760	-	9,252	9,252	-
Revenues Totals:	<u>20,883,986</u>	<u>20,345,170</u>	<u>20,394,103</u>	<u>20,511,083</u>	<u>21,101,158</u>
Surplus/(Deficit):	<u>1,240,823</u>	<u>(2,997,894)</u>	<u>(6,771,758)</u>	<u>(4,052,889)</u>	<u>(3,574,481)</u>
Beginning Fund Balance:		<u>17,615,978</u>	<u>17,615,978</u>	<u>17,615,978</u>	<u>13,563,089</u>
Ending Fund Balance:		<u><u>14,618,084</u></u>	<u><u>10,844,220</u></u>	<u><u>13,563,089</u></u>	<u><u>9,988,608</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Landfill Closure

Fund # 732

Description: This is a reserve fund that provides for the future cost of closing the landfill when it reaches maximum capacity. Funds are transferred each year from the Refuse enterprise fund (731) to ensure that resources will be available to complete the closure.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	80,948	80,000	80,000	80,000	90,000
Expenditures Totals:	<u>80,948</u>	<u>80,000</u>	<u>80,000</u>	<u>80,000</u>	<u>90,000</u>
Revenues					
Rents, & Misc Revenues	15,994	15,750	15,750	25,990	26,250
Transfers In & Other Financing Source:	57,010	138,000	138,000	138,000	143,000
Revenues Totals:	<u>73,004</u>	<u>153,750</u>	<u>153,750</u>	<u>163,990</u>	<u>169,250</u>
Surplus/(Deficit):	<u>(7,944)</u>	<u>73,750</u>	<u>73,750</u>	<u>83,990</u>	<u>79,250</u>
Beginning Fund Balance:		<u>1,818,402</u>	<u>1,818,402</u>	<u>1,818,402</u>	<u>1,902,392</u>
Ending Fund Balance:		<u>1,892,152</u>	<u>1,892,152</u>	<u>1,902,392</u>	<u>1,981,642</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Landfill Post Closure Maintenance

Fund # 733

Description: This is a reserve fund that provides for the future cost of maintenance after the closing the landfill. Funds are transferred each year from the Refuse enterprise fund (731) to ensure that sufficient resources will be available for associated maintenance costs after the landfill is closed.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	249,429	235,000	235,000	235,000	255,000
Expenditures Totals:	<u>249,429</u>	<u>235,000</u>	<u>235,000</u>	<u>235,000</u>	<u>255,000</u>
Revenues					
Rents, & Misc Revenues	49,634	48,680	48,680	80,650	81,460
Transfers In & Other Financing Source:	175,879	412,000	412,000	412,000	417,000
Revenues Totals:	<u>225,513</u>	<u>460,680</u>	<u>460,680</u>	<u>492,650</u>	<u>498,460</u>
Surplus/(Deficit):	(23,916)	225,680	225,680	257,650	243,460
Beginning Fund Balance:		<u>5,642,229</u>	<u>5,642,229</u>	<u>5,642,229</u>	<u>5,899,879</u>
Ending Fund Balance:		<u><u>5,867,909</u></u>	<u><u>5,867,909</u></u>	<u><u>5,899,879</u></u>	<u><u>6,143,339</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Refuse - Public Art

Fund # 734

Description: This fund is used to account for 2% from eligible capital projects that are designated for public art.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	8,134	20,000	19,603	19,603	8,645
Transfers Out & Other Financing Uses	344	2,056	2,056	2,056	-
Expenditures Totals:	<u>8,478</u>	<u>22,056</u>	<u>21,659</u>	<u>21,659</u>	<u>8,645</u>
Revenues					
Rents, & Misc Revenues	(4)	330	330	(20)	(30)
Transfers In & Other Financing Source:	1,378	8,224	8,224	8,224	8,645
Revenues Totals:	<u>1,374</u>	<u>8,554</u>	<u>8,554</u>	<u>8,204</u>	<u>8,615</u>
Surplus/(Deficit):	<u>(7,103)</u>	<u>(13,502)</u>	<u>(13,105)</u>	<u>(13,455)</u>	<u>(30)</u>
Beginning Fund Balance:		<u>(856)</u>	<u>(856)</u>	<u>(856)</u>	<u>(14,311)</u>
Ending Fund Balance:		<u>(14,358)</u>	<u>(13,961)</u>	<u>(14,311)</u>	<u>(14,341)</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Parking

Fund # 741

Description: This fund is a self-supporting Enterprise fund and accounts for all revenues and expenses related to the parking utility operation.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	2,551,643	2,790,529	2,790,529	2,712,554	3,040,885
Services, Supplies, and Other Charges	1,730,836	2,016,437	2,033,693	1,981,685	2,648,981
Capital Outlay	1,433,607	850,000	3,680,708	3,680,708	286,000
Debt Service	387,689	353,250	353,250	349,725	346,400
Transfers Out & Other Financing Uses	85,470	40,756	127,990	127,990	95,366
Expenditures Totals:	<u>6,189,246</u>	<u>6,050,972</u>	<u>8,986,170</u>	<u>8,852,662</u>	<u>6,417,632</u>
Revenues					
Taxes	128,616	115,500	115,500	-	-
Grants	234	200	200	-	-
Charges for Services	5,145,125	4,634,500	4,634,500	4,631,500	6,911,400
Fines and Forfeitures	121,487	-	-	-	-
Rents, & Misc Revenues	228,148	219,940	219,940	249,410	250,060
Transfers In & Other Financing Source:	69,408	50,000	50,000	50,000	50,000
Revenues Totals:	<u>5,693,018</u>	<u>5,020,140</u>	<u>5,020,140</u>	<u>4,930,910</u>	<u>7,211,460</u>
Surplus/(Deficit):	<u>(496,228)</u>	<u>(1,030,832)</u>	<u>(3,966,030)</u>	<u>(3,921,752)</u>	<u>793,828</u>
Beginning Fund Balance:		<u>3,476,354</u>	<u>3,476,354</u>	<u>3,476,354</u>	<u>(445,398)</u>
Ending Fund Balance:		<u><u>2,445,522</u></u>	<u><u>(489,676)</u></u>	<u><u>(445,398)</u></u>	<u><u>348,430</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Parking - Public Art

Fund # 742

Description: This fund is used to account for 2% from eligible capital projects, which are designated for public art.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	-	2,781	9,186	9,186	4,981
Transfers Out & Other Financing Uses	161	695	695	695	-
Expenditures Totals:	<u>161</u>	<u>3,476</u>	<u>9,881</u>	<u>9,881</u>	<u>4,981</u>
Revenues					
Rents, & Misc Revenues	102	100	100	170	180
Transfers In & Other Financing Source:	644	2,781	2,781	2,781	4,981
Revenues Totals:	<u>746</u>	<u>2,881</u>	<u>2,881</u>	<u>2,951</u>	<u>5,161</u>
Surplus/(Deficit):	585	(595)	(7,000)	(6,930)	180
Beginning Fund Balance:		<u>11,234</u>	<u>11,234</u>	<u>11,234</u>	<u>4,304</u>
Ending Fund Balance:		<u><u>10,639</u></u>	<u><u>4,234</u></u>	<u><u>4,304</u></u>	<u><u>4,484</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Storm Water

Fund # 751

Description: This fund is a self-supporting Enterprise fund. Revenues are generated by storm water utility fees on properties within the city limits. These fees provide funding for storm water pollution prevention programs and measures to comply with the U.S. Environmental Protection Agency Non-Point Pollution Discharge Emissions Standards Program (NPDES).

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	47,726	46,801	46,801	49,685	51,545
Services, Supplies, and Other Charges	317,509	274,462	274,462	293,232	250,558
Capital Outlay	21,503	-	313,497	-	-
Debt Service	206,137	206,334	206,334	206,334	205,682
Transfers Out & Other Financing Uses	45,295	9,194	42,779	42,779	12,383
Expenditures Totals:	638,171	536,791	883,873	592,030	520,168
Revenues					
Grants	77,779	-	-	-	-
Charges for Services	574,366	579,510	579,510	579,510	505,682
Fines and Forfeitures	500	-	-	-	-
Rents, & Misc Revenues	9,038	7,970	7,970	14,350	14,510
Revenues Totals:	661,684	587,480	587,480	593,860	520,192
Surplus/(Deficit):	23,513	50,689	(296,393)	1,830	24
Beginning Fund Balance:		1,034,378	1,034,378	1,034,378	1,036,208
Ending Fund Balance:		1,085,067	737,985	1,036,208	1,036,232

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Storm Water Overlay

Fund # 752

Description: This fund accounts for revenues generated by a surcharge to the City-wide storm water utility fee paid by residents of the FEMA designated San Lorenzo River Flood Plain. This fund has paid the local share of the Corps of Engineers San Lorenzo River Flood Control and Environmental Restoration Project.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	69,591	76,413	76,413	79,584	82,307
Services, Supplies, and Other Charges	261,898	303,612	303,612	268,612	306,426
Capital Outlay	164,612	60,000	508,037	508,037	285,000
Debt Service	188,920	189,102	189,102	189,102	188,504
Transfers Out & Other Financing Uses	31,017	510	15,104	15,104	161,852
Expenditures Totals:	<u>716,038</u>	<u>629,637</u>	<u>1,092,268</u>	<u>1,060,439</u>	<u>1,024,089</u>
Revenues					
Grants	22,955	-	142,180	142,180	95,000
Charges for Services	320,214	322,916	322,916	322,916	271,052
Rents, & Misc Revenues	6,565	11,880	11,880	10,590	10,710
Revenues Totals:	<u>349,735</u>	<u>334,796</u>	<u>476,976</u>	<u>475,686</u>	<u>376,762</u>
Surplus/(Deficit):	<u>(366,303)</u>	<u>(294,841)</u>	<u>(615,293)</u>	<u>(584,753)</u>	<u>(647,327)</u>
Beginning Fund Balance:		<u>700,490</u>	<u>700,490</u>	<u>700,490</u>	<u>115,737</u>
Ending Fund Balance:		<u><u>405,649</u></u>	<u><u>85,197</u></u>	<u><u>115,737</u></u>	<u><u>(531,590)</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Storm Water - Public Art

Fund # 753

Description: This fund is used to account for 2% from eligible capital projects that are designated for public art.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	-	510	16,736	16,736	639
Transfers Out & Other Financing Uses	3	128	128	128	-
Expenditures Totals:	<u>3</u>	<u>638</u>	<u>16,864</u>	<u>16,864</u>	<u>639</u>
Revenues					
Rents, & Misc Revenues	145	170	170	240	250
Transfers In & Other Financing Source:	11	510	510	510	639
Revenues Totals:	<u>156</u>	<u>680</u>	<u>680</u>	<u>750</u>	<u>889</u>
Surplus/(Deficit):	153	42	(16,184)	(16,114)	250
Beginning Fund Balance:		16,127	16,127	16,127	13
Ending Fund Balance:		<u><u>16,169</u></u>	<u><u>(57)</u></u>	<u><u>13</u></u>	<u><u>263</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Equipment Operations

Fund # 811

Description: This fund is a self-supporting Internal Service Fund and accounts for the expenses related to city-wide fleet operations and maintenance activities including vehicles, communication equipment, and other pooled equipment. Internal contributions to support this fund are based on cost recovery through charges to user departments and funds.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	1,065,954	1,222,734	1,222,734	1,234,474	1,324,212
Services, Supplies, and Other Charges	2,367,378	2,360,038	2,362,888	2,365,967	2,436,082
Capital Outlay	748,914	1,344,850	1,405,422	1,389,964	110,000
Debt Service	469,953	919,192	919,192	924,192	-
Transfers Out & Other Financing Uses	40,268	666,581	710,456	710,456	51,628
Expenditures Totals:	<u>4,692,468</u>	<u>6,513,395</u>	<u>6,620,692</u>	<u>6,625,053</u>	<u>3,921,922</u>
Revenues					
Charges for Services	4,022,184	4,832,091	4,838,691	4,588,841	3,364,434
Rents, & Misc Revenues	3,060	10,500	10,500	36,190	4,630
Transfers In & Other Financing Source:	250,645	250,000	250,000	295,000	298,360
Revenues Totals:	<u>4,275,889</u>	<u>5,092,591</u>	<u>5,099,191</u>	<u>4,920,031</u>	<u>3,667,424</u>
Surplus/(Deficit):	(416,579)	(1,420,804)	(1,521,501)	(1,705,022)	(254,498)
Beginning Fund Balance:		(352,108)	(352,108)	(352,108)	(2,057,130)
Ending Fund Balance:		<u><u>(1,772,912)</u></u>	<u><u>(1,873,609)</u></u>	<u><u>(2,057,130)</u></u>	<u><u>(2,311,628)</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Equipment Financing Services

Fund # 813

Description: This fund is a self-supporting Internal Service Fund and accounts for the expenses related to General Fund vehicles and equipment financing. Internal contributions to support this fund are based on lease payments from General Fund departments.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Capital Outlay	-	-	-	-	1,053,750
Debt Service	-	-	-	-	958,801
Expenditures Totals:	-	-	-	-	2,012,551
Revenues					
Charges for Services	-	-	-	-	1,743,912
Rents, & Misc Revenues	-	-	-	500	510
Transfers In & Other Financing Source:	-	-	-	-	1,053,750
Revenues Totals:	-	-	-	500	2,798,172
Surplus/(Deficit):	-	-	-	500	785,621
Beginning Fund Balance:		-	-	-	500
Ending Fund Balance:		-	-	500	786,121

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Information Technology

Fund # 833

Description: This fund is a self-supporting Internal Service Fund and accounts for the expenses related to the city-wide Information Technology Strategic Plan. Internal contributions to support this fund are based on cost recovery through charges to user departments and funds. Requested dollars cover the design, development and implementation of technology related projects which are prioritized on a rolling basis according to the Council and City's then current strategic focus areas, end of life platforms, statutory mandates, service delivery improvements and/or innovations. Each year's Information Technology budget presentation and work plan specify the approved projects.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Capital Outlay	-	-	-	-	835,000
Expenditures Totals:	-	-	-	-	835,000
Revenues					
Transfers In & Other Financing Source:	-	-	-	-	835,000
Revenues Totals:	-	-	-	-	835,000
Surplus/(Deficit):	-	-	-	-	-
Beginning Fund Balance:		-	-	-	-
Ending Fund Balance:		-	-	-	-

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Workers' Compensation Insurance

Fund # 841

Description: This fund is a self-supporting Internal Service Fund and accounts for the expenses related to the workers compensation self-insurance program and accounts for expenses related to claims, administration, legal, and excess insurance premiums. Internal contributions to support this fund are based on cost recovery through charges to departments and funds.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	292,038	338,516	338,516	295,015	318,908
Services, Supplies, and Other Charges	8,003,362	2,874,757	2,874,757	2,825,899	2,873,804
Capital Outlay	1,745	-	-	-	-
Transfers Out & Other Financing Uses	751,112	-	93,500	93,500	-
Expenditures Totals:	<u>9,048,258</u>	<u>3,213,273</u>	<u>3,306,773</u>	<u>3,214,414</u>	<u>3,192,712</u>
Revenues					
Charges for Services	3,829,394	4,009,513	4,009,513	4,236,039	4,031,857
Rents, & Misc Revenues	166,646	152,340	152,340	240,980	255,010
Revenues Totals:	<u>3,996,040</u>	<u>4,161,853</u>	<u>4,161,853</u>	<u>4,477,019</u>	<u>4,286,867</u>
Surplus/(Deficit):	(5,052,218)	948,580	855,080	1,262,605	1,094,155
Beginning Fund Balance:		7,160,854	7,160,854	7,160,854	8,423,459
Ending Fund Balance:		<u><u>8,109,434</u></u>	<u><u>8,015,934</u></u>	<u><u>8,423,459</u></u>	<u><u>9,517,614</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Liability Insurance

Fund # 842

Description: This fund is a self-supporting Internal Service Fund and accounts for the expenses related to claims, administration, legal defense costs, excess insurance pool premiums, and property insurance premiums. Internal contributions to support this fund are based on cost recovery through charges apportioned to departments and funds based on claims experience, number of employees and amount of property insured.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	385,233	607,731	607,731	451,164	569,668
Services, Supplies, and Other Charges	2,201,805	3,617,148	4,427,259	4,192,139	3,887,441
Transfers Out & Other Financing Uses	32,863	-	100,000	100,000	-
Expenditures Totals:	<u>2,619,902</u>	<u>4,224,879</u>	<u>5,134,990</u>	<u>4,743,303</u>	<u>4,457,109</u>
Revenues					
Grants	70,402	-	-	-	-
Charges for Services	3,950,000	3,950,000	3,950,000	3,950,000	3,950,000
Rents, & Misc Revenues	628,613	552,013	1,152,013	751,425	107,293
Revenues Totals:	<u>4,649,015</u>	<u>4,502,013</u>	<u>5,102,013</u>	<u>4,701,425</u>	<u>4,057,293</u>
Surplus/(Deficit):	<u>2,029,114</u>	<u>277,134</u>	<u>(32,977)</u>	<u>(41,878)</u>	<u>(399,816)</u>
Beginning Fund Balance:		<u>5,828,748</u>	<u>5,828,748</u>	<u>5,828,748</u>	<u>5,786,870</u>
Ending Fund Balance:		<u><u>6,105,882</u></u>	<u><u>5,795,771</u></u>	<u><u>5,786,870</u></u>	<u><u>5,387,054</u></u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Group Health Insurance

Fund # 843

Description: This fund is a self-supporting Internal Service Fund that accounts for the expenses related to dental and vision employee claims and accounts for expenses related to claims, administration, and negotiated retiree health insurance payments for eligible retired workers. Internal contributions to support this fund are based on cost recovery through charges to departments and funds.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Personnel Services	593,674	626,193	626,193	618,764	673,711
Services, Supplies, and Other Charges	1,436,253	1,888,382	1,888,382	1,501,382	1,501,649
Expenditures Totals:	<u>2,029,927</u>	<u>2,514,575</u>	<u>2,514,575</u>	<u>2,120,146</u>	<u>2,175,360</u>
Revenues					
Charges for Services	1,770,505	2,147,779	2,147,779	2,218,632	2,148,277
Rents, & Misc Revenues	23,515	8,030	8,030	44,540	44,990
Revenues Totals:	<u>1,794,020</u>	<u>2,155,809</u>	<u>2,155,809</u>	<u>2,263,172</u>	<u>2,193,267</u>
Surplus/(Deficit):	<u>(235,907)</u>	<u>(358,766)</u>	<u>(358,766)</u>	<u>143,026</u>	<u>17,907</u>
Beginning Fund Balance:		<u>488,176</u>	<u>488,176</u>	<u>488,176</u>	<u>631,202</u>
Ending Fund Balance:		<u>129,410</u>	<u>129,410</u>	<u>631,202</u>	<u>649,109</u>

*Sums may have discrepancies due to rounding

Projected Estimated Fund Balance ~ Unemployment Insurance

Fund # 844

Description: This fund accounts for self-funded direct reimbursements to the state unemployment program for benefits paid to eligible displaced workers.

	Fiscal Year* 2018 Actuals	Fiscal Year 2019			Fiscal Year 2020 Adopted
		Adopted Budget	Amended* Budget	Estimated Actual	
Expenditures					
Services, Supplies, and Other Charges	55,131	102,500	102,500	100,000	100,000
Expenditures Totals:	<u>55,131</u>	<u>102,500</u>	<u>102,500</u>	<u>100,000</u>	<u>100,000</u>
Revenues					
Charges for Services	529,032	601,993	601,993	601,993	601,993
Rents, & Misc Revenues	22,687	-	-	35,980	36,340
Transfers In & Other Financing Source:	45,325	-	-	-	-
Revenues Totals:	<u>597,044</u>	<u>601,993</u>	<u>601,993</u>	<u>637,973</u>	<u>638,333</u>
Surplus/(Deficit):	541,913	499,493	499,493	537,973	538,333
Beginning Fund Balance:		<u>2,284,096</u>	<u>2,284,096</u>	<u>2,284,096</u>	<u>2,822,069</u>
Ending Fund Balance:		<u><u>2,783,589</u></u>	<u><u>2,783,589</u></u>	<u><u>2,822,069</u></u>	<u><u>3,360,402</u></u>

*Sums may have discrepancies due to rounding

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Index

OVERVIEW

This section contains the following information:

- FY 2020 Budget Solutions
- Legal Debt Limit – Debt Service
- Budgetary Policy (Council Policy 12.3)
- Debt Management Policy (Administrative Procedure Order, I-89)
- General Fund Reserve Policy (Council Policy 12.13)
- Budget Adjustments Policy (Administrative Procedure Order, I-9)
- City Charter: Adoption of Budget, Changes in Budget Appropriations, Transfer of Appropriations, and Limitation on Expenditures (1402, 1410-1410)
- Council Policy (Section 12)
- Grants (Administrative Procedure Order, I-17)
- Donations & Contributions (Administrative Procedure Order, I-76)
- Acronyms
- Glossary

FY 2020 Adopted Budget Solutions

Ref	Solution- Bundle A	Lead department	Solution value
A9	<i>Reduce Meetings and Travel</i>	<i>City Council</i>	8,000
A4	<i>Reduction in Public Art Funding</i>	<i>Economic Development</i>	30,000
A5	<i>Eliminate Youth Museum Programming</i>	<i>Parks & Recreation</i>	20,000
A7	<i>Reduce classes offered</i>	<i>Parks & Recreation</i>	14,000
A3	<i>Reduce Trails Crew & Interns</i>	<i>Parks & Recreation</i>	41,000
A1	<i>First Alarm Contract Elimination</i>	<i>Police</i>	182,000
A6	<i>Reduce Street Smarts Campaign</i>	<i>Public Works</i>	15,000
		TOTAL	310,000

Original Ref #	New recommended solutions	Lead department	Solution value
B31	<i>Reduce contract with Police Auditor</i>	<i>City Manager/ Clerk</i>	5,000
B27	<i>ReduceCommunity Liaison Program</i>	<i>City Manager/ Clerk</i>	10,000
B9	<i>Reduce Homeward Bound</i>	<i>City Manager/ Clerk</i>	25,000
B7	<i>Reduce ED Programs & business development services</i>	<i>Economic Development</i>	57,000
B5	<i>Reduction in Professional & Technical Services, Outside Planning support, Outside Legal Services</i>	<i>Economic Development</i>	65,000
B13	<i>Relocate Finance to Union-Locust</i>	<i>Finance</i>	24,300
B26	<i>Eliminate HR's direct staff training</i>	<i>Human Resources</i>	10,000
B8	<i>Reduce recruitment & investigations support</i>	<i>Human Resources</i>	40,000
B3	<i>Eliminate funding for Network & System Administration position</i>	<i>Information Technology</i>	144,000
B6	<i>Further reduce water service with brown-outs (Parks, Golf)</i>	<i>Parks & Recreation</i>	60,000
B4	<i>Reduce Vegetation Management to baseline before urban interface</i>	<i>Parks & Recreation</i>	75,000

FY 2020 Adopted Budget Solutions

B2	<i>Increase revenue from wharf tenants & facility fee increases</i>	<i>Parks & Recreation</i>	150,000
	<i>Further consultant funding reductions (Tier 3)</i>	<i>Planning & Community Dev</i>	100,000
B35	<i>Delay some parking office building repairs</i>	<i>Public Works</i>	2,200
B36	<i>Decrease computer and misc. supplies</i>	<i>Public Works</i>	5,000
B34	<i>Decrease software maintenance services</i>	<i>Public Works</i>	5,000
B30	<i>Misc. reductions</i>	<i>Public Works</i>	6,000
B29	<i>Defund half of bus pass subsidy</i>	<i>Public Works</i>	9,900
B28	<i>Reduce engineering services and outside contractors</i>	<i>Public Works</i>	10,000
B33	<i>Reduce street lighting maintenance</i>	<i>Public Works</i>	10,000
B23	<i>Reduce street maintenance & sidewalk repair</i>	<i>Public Works</i>	15,000
B22	<i>Reduce traffic signal maintenance</i>	<i>Public Works</i>	15,000
B21	<i>Position Cost Allocation change</i>	<i>Public Works</i>	17,000
B20	<i>Delete Smarking in the General Fund area</i>	<i>Public Works</i>	17,250
B19	<i>New small cell utility permit</i>	<i>Public Works</i>	20,000
B18	<i>Reduce street maintenance & sidewalk repair</i>	<i>Public Works</i>	20,000
B17	<i>Reduce street maintenance and sidewalk repair</i>	<i>Public Works</i>	20,000
B10	<i>Reduce street maintenance & sidewalk repair</i>	<i>Public Works</i>	25,000
		TOTAL	962,650

Original Ref #	Baseline solutions- Bundle D	Lead dept.	Solution value
D29	<i>Eliminate the Council Special Projects and Services</i>	<i>City Council</i>	8,000
D23	<i>Reduce Communications and Community Outreach Budget</i>	<i>City Manager/ Clerk</i>	20,000
D25	<i>Reduce Legislative Strategist Contract (Sacramento)</i>	<i>City Manager/ Clerk</i>	15,000
D5	<i>Reduce PACT/HOPES Santa Cruz County contract</i>	<i>Community</i>	102,000

FY 2020 Adopted Budget Solutions

D12	<i>Reduce Temporary Staff</i>	<i>Economic Development</i>	40,000
D16	Reduction in Facade Improvement Program	<i>Economic Development</i>	30,000
D19	<i>Reduction in Graffiti Abatement Contract</i>	<i>Economic Development</i>	25,000
D24	Reduce property management building maintenance	<i>Economic Development</i>	20,000
D2	<i>Expand credit card cost recovery fee to all credit card payments</i>	<i>Finance</i>	217,000
D28	<i>Relocate finance to alternative, lower-cost location</i>	<i>Finance</i>	12,500
D32	<i>Reduce by 25% armored car pickup</i>	<i>Finance</i>	6,500
D6	<i>Enact first responder fee</i>	<i>Fire</i>	264,000
D15	Eliminate all special studies (\$26k) & funding Leadership Santa Cruz	<i>Human Resources</i>	31,000
D7	<i>Extend useful life for computers and laptops</i>	<i>Information Technology</i>	84,000
D26	<i>Eliminate preventative maintenance for most Uninterruptible Power Supplies (UPSs)</i>	<i>Information Technology</i>	14,000
D10	<i>Reduce support for fencing, parks, etc.</i>	<i>Parks & Recreation</i>	52,000
D13	<i>Further reduce water service by more brown-outs</i>	<i>Parks & Recreation</i>	40,000
D20	Reduce Repair Services & Materials	<i>Parks & Recreation</i>	24,000
D22	<i>Reduce Landscape Materials</i>	<i>Parks & Recreation</i>	22,000
D27	<i>Eliminate July and Special Event ads and bus trip</i>	<i>Parks & Recreation</i>	13,000
D30	Reduce safety supplies and training	<i>Parks & Recreation</i>	7,000
D1	<i>Substantial Reductions to consultant funding (Tier 1)</i>	<i>Planning & Community Dev</i>	270,000
D4	<i>Increase beach area meter rates up to 24%</i>	<i>Public Works</i>	170,333
D9	<i>Reduce facility maintenance and remodeling</i>	<i>Public Works</i>	63,000
D11	<i>Reduce Flood Control and Vegetation Management</i>	<i>Public Works</i>	51,000
D21	<i>Raise residential & guest permit fees</i>	<i>Public Works</i>	57,500
D31	<i>Reduce corporation yard project management & design</i>	<i>Public Works</i>	7,000
TOTAL			1,665,833

FY 2020 Adopted Budget Solutions

Ref	FY 2020 General Fund suggested budget additions/reductions May 28th & June 11th	Purpose of change	Amount to add
C1	Increase City-wide and Library JPA labor costs across all City & Library accounts (General Fund portion shown here)	Required	(595,000)
C2	Allowance for increase in general liability claims for incurred but not yet finalized claim(s)	Required	(500,000)
C3	Increase City Attorney budget for service requests beyond historical levels (minimum \$300k; may ultimately require \$600k)	Required	(300,000)
C5	Add new, anticipated support for 2020 Census	As needed	(40,000)
C6	Add support for Climate & Energy Action Plan 2030 funding	As needed	(33,800)
C7	Add Community TV contract increase due to longer meetings	Required	(30,000)
C8	Decrease for possible changes from CDBG allocations	Expected	60,000
C8	Reduce expected Cannabis Business Tax revenue based on City Council request to be considered May 28, 2019	Expected	(200,000)
C9	Add \$20k support each: (1) Health in All Policies; (2) Strategic Planning; (3) Community Advisory Committee on Homeless	As needed	(60,000)
C12	Placeholder to support UCSC LRDP advocate	To be considered	(60,000)
C14	More support to Meals on Wheels (additional payment)	To be considered	(12,000)
	Allocate support funding to Foster Grandparents		(5,000)
C17	Allocate support funding to Project Homeless Connect	To be considered	(5,000)
	Ammend Risk Policy to establish a 10% claims deductible (recognise increase Liability Fund Expenditure)		450,000
	Increase proposal to raise \$25/yr residential and guest permit fees from \$27 to \$30		63,000
	ASA Joint Powers Member Contribution increase (recent board action)		(23,300)
		TOTAL	(1,291,100)

Legal Debt Margin

Under section 1418 (Bonded Debt Limit) of the City's Charter, the City shall not incur an indebtedness evidenced by general obligation bonds which shall in the aggregate exceed the sum of fifteen percent (15%) of the total assessed valuation for purposes of City taxation, of all the real and personal property within the City, exclusive of any indebtedness that has been or may hereafter be incurred for the purposes of acquiring, constructing, extending or maintaining municipal utilities for which purpose a further indebtedness may be incurred by the issuance of bonds, subject only to the provisions of the State Constitution⁽¹⁾ and of this Charter.

Legal Debt Margin For Fiscal Year 2017-2018:

Total assessed value	\$ 9,200,592,924 ⁽²⁾
Fifteen percent (15%) of total assessed value	1,380,088,939
Debt applicable to limit:	
General obligation bonds (reported at accreted value)	5,205,000
Less: Amount available in the debt service fund for repayment of bonds	<u>1,364,141</u>
Total net debt applicable to limit	<u>3,840,859</u>
Legal debt margin	<u><u>1,376,248,080</u></u>

Notes:

1. California Government Code, Section 43605 sets the debt limit at 15% of the total assessed valuation of all real personal property within the City.
2. Total Assessed Value from FY18

Sources:

County of Santa Cruz Auditor-Controller's Office
City of Santa Cruz, California FY 2018 Comprehensive Annual Financial Report (CAFR)

Budgetary Policy

COUNCIL POLICY 12.3

POLICY TITLE: BUDGETARY POLICY

POLICY STATEMENT:

Development and adoption of the City of Santa Cruz budget will conform to the following, high-level, best-practice budgetary principles. These principles guide the intent to create a responsible, secure budget and sound long-term fiscal planning to ensure the continuity of quality services to the community.

The principles were drawn from the City's Charter, Council Policies, current best practices, and leading national best practices from the Government Finance Officers Association (GFOA) as well as California policy recommendations from the League of California Cities and the California Society of Municipal Finance Officers.

This summary provides an overview of the following, primary principles:

1. The City shall adopt an on-time, structurally balanced budget
2. The City shall develop the budget calendar to prioritize community engagement and education
3. Performance measures will be developed
4. One-time resources shall be matched with one-time spending
5. A long-term fiscal plan shall be developed and updated
6. Fees for services shall default to full cost recovery

1. The City shall adopt an on-time, structurally balanced operating budget

The City's Charter requires that the budget be presented to the City Council no later than the first meeting in June and adopted no later than the first meeting in July. In addition, it requires that the City Manager present strategies when spending is projected to outpace income and further requires that when this occurs, the City Council "shall, by resolution, make necessary changes in any appropriations."

This principle would ensure that the City does not spend beyond its means. The City has followed guidance by GFOA which defines a "structurally balanced budget" as a budget where recurring revenues equal or exceed recurring expenditures. Nonetheless, as allowed by the Charter and defined in the City's reserve policies, the City may transfer from reserves to fund operational gaps, but the Charter also references the need to restore reserves. Note that this principle does not apply to capital investment projects or to other non-General Fund operations.

2. The City shall develop the budget calendar to prioritize community engagement and education

The City will build each year's budget calendar with an emphasis on community engagement and outreach related to the City's financial condition, proposed changes in budget, and other themes such as alignment with the City's goals. In addition, the City will create opportunities throughout the year to educate and engage with the Council and community about the City's operations and programs.

3. Performance measures will be developed

The City will develop performance measures to support City strategic goals and will evaluate and refine those measures over time. Measures selected should be useful, relevant, reliable, adequate, and consistently and efficiently collectable.

4. One-time resources shall be matched with one-time spending

A core principle in adopting a balanced budget and developing a long-range financial plan is to fund ongoing operations with ongoing resources and hold one-time, short-term resources for building reserves or funding one-time or short-term expenses. Common examples of one-time resources are the sale of City owned property, annual surpluses, unusual development revenues, large reimbursements, and expiring grants.

5. A long-term fiscal plan shall be developed and updated

The City shall continue to develop long-term fiscal plans. These plans will be developed, revised, and updated to include strategies to move toward predictable, long-term sustainable operations. As part of the implementation and ongoing maintenance of the long-term fiscal plan, certain strategies should be considered:

- Multi-year forecasting for all major funds and operations will be relied on to guide budgetary decisions. Forecasts shall include reasonable assumptions for changes in personnel costs, capital and equipment costs, maintenance costs, and other operating costs.
- Reserves shall be established and include planned funding targets to ensure they are sufficient for emergency, capital renewal, and operational contingency needs.
- Equipment required for operations and maintenance of City facilities and infrastructure shall be included as an operating cost. The City will continue the practice of incorporating into its annual operating plan the replacement of core equipment and maintenance in a manner that balances primary factors such as cost effectiveness, safety, and operational necessity.
- Staff shall develop and include in the annual budget additional strategies to reduce the cost of unfunded retirement liabilities and preserve the retirement systems. This could include cost avoidance strategies like allocating any unused budget appropriation towards the reduction of future retirement costs.
- Facility replacement fund shall be established with targeted annual contributions. This principle would direct staff to return with a policy and broader discussion of the plan for maintenance, replacement, and/or retirement of its current capital assets inventory. It is a best practice to ensure that needed capital assets or improvements receive appropriate consideration in the budget process and that older capital assets are considered for retirement or replacement.

Budgetary Policy

6. Fees for services shall default to full cost recovery

This principle ensures that all General Fund fees for requested services, excluding certain Parks and Recreation fees, shall ultimately be set to reach full cost recovery and that they shall be reevaluated at least every five years. In addition, any new customer service fees shall be set at the current cost recovery rate as calculated by or verified by the Finance Department in a manner consistent with the last fee study. Finally, cost recovery calculations shall include both direct and indirect costs such as operating and maintenance costs, overhead, and charges for use of capital (depreciation and debt service).

AUTHORIZATION: Council Policy Manual Update of November 17, 1998

Revised by Resolution No. NS-29,468 on December 11, 2018

Debt Management Policy

City of Santa Cruz I-89
Administrative Procedure Order
Section I, #89 (Effective March 2017)

TO: Department Heads
SUBJECT: DEBT MANAGEMENT POLICY

PURPOSE

To establish policies for the issuance and administration of City debt.

POLICY

This Debt Management Policy establishes objectives, parameters, and guidelines for responsibly issuing and administering the City's debt. Prudent management of the City's debt program is necessary to achieve cost-effective access to the capital markets and demonstrates a commitment to long-term financial planning.

SCOPE

These policies establish the restrictions within which the City of Santa Cruz and the City of Santa Cruz Public Financing Authority, on behalf of the City, may issue debt. Additionally, these policies apply to debt issued by the City on behalf of assessment, community facilities, or other special districts, as well as conduit debt.

DEBT MANAGEMENT OBJECTIVES

This policy sets forth key objectives for the City in issuing and administering the City's debt, which are to:

- Maintain the City's sound financial position.
- Minimize debt service and issuance costs.
- Maintain access to cost-effective borrowing.
- Achieve and maintain highest reasonable credit rating.
- Ensure full and timely repayment of debt.
- Maintain full and complete financial disclosure and reporting.
- Ensure compliance with State and Federal laws and regulations.

GOVERNING AUTHORITY AND RESPONSIBILITY

A. Legal Authority: The City's debt portfolio for all City funds shall be administered in conformance with all applicable Federal, State, and other legal requirements, including the City Charter. The City will comply with applicable law as it pertains to the maximum term of debt and the procedures for levying and imposing any related taxes, assessments, rates, and charges.

B. Delegation of Authority: The City Council has assigned duties to the Finance Director for authorizing, managing, and coordinating activities related to the structure, issuance, and administration of the City's long-term and short-term debt. No debt obligations shall be presented to the City Council, including capital leases, for their authorization without a joint assessment and approval by the City Manager and Finance Director. No department shall directly issue debt and departments planning debt-financed capital programs or equipment acquisitions will work in close coordination with the Finance Department and the City Manager's Office to provide information and facilitate the issuance and ongoing administration of debt.

C. Point of Contact: The Finance Director shall be responsible for maintaining good

Debt Management Policy

communication with rating agencies, investors, other service providers, and the general public regarding the City's financial condition, and will enforce a policy of full disclosure.

D. Policy Approval and Review: This Debt Management Policy is an official policy of the City, approved by the City Manager as an Administrative Procedure Order. The Finance Department shall conduct an annual review of this policy and recommend amendments deemed necessary and appropriate.

E. Annual Debt Report: The Finance Department shall prepare an annual report to the City Council containing a summary of the City's current credit ratings, and outstanding and newly issued debt. This report shall be presented either separately or within another financial report.

F. Professional Assistance: The Finance Director shall have the authority to periodically select service providers as necessary to meet legal requirements and minimize debt costs. Such services may include financial advisory, underwriting, trustee, verification agent, escrow agent, arbitrage consulting, special tax consulting, and bond counsel. To achieve appropriate balance between service and cost, the Finance Director is authorized to select such service providers through sole source selection or a competitive process using a Request for Proposals.

G. Method of Sale: Except to the extent a competitive bidding process is required by law, the Finance Director shall determine the most appropriate manner in which to offer any securities to investors. The City's preferred method of sale is by competitive bid. However, other methods such as negotiated sale and private placement may be considered on a case-by-case basis.

TYPES AND PURPOSE OF DEBT

The City may utilize the following types of municipal debt obligations to finance long-term capital projects. Long-term debt is only issued to finance the acquisition and/or construction of capital improvements unless otherwise decreed by court order or adjudicated settlement. Longterm debt financing shall never be used to fund operating or maintenance costs.

Types of Debt

A. Assessment Bonds: Proceeds from Assessment Bonds may be used to finance local public improvements, and are repaid with taxes assessed on the parcels of land that benefit from the improvements. Local streets, street lights, landscaping, sidewalks and sanitary sewers are some examples of local improvements commonly financed by Assessment Bonds.

B. General Obligation (GO) Bonds: The City may issue GO Bonds with two-thirds approval of a popular vote as stated in the California State Constitution (Article XVI, Section 18). GO Bonds are secured by a pledge of the full faith and credit of the City, or by a levy on property taxes to pay the debt service. GO Bonds are used to finance the acquisition, construction, or improvement of public facilities such as parks and public safety facilities.

C. Pension Obligation Bonds: Pension Obligation Bonds may be issued to finance all or part of the City's unfunded pension liability, and are a general obligation of the City. Typically, these bonds are issued at an interest rate which is lower than that being charged by CalPERS, the City's pension administrator.

D. Enterprise Revenue Bonds: Enterprise Revenue Bonds may be issued to finance facilities for a revenue-producing enterprise, and are payable from revenue sources generated and pledged by that enterprise. Water, sewer, refuse, storm water, and parking are examples of revenueproducing enterprises within the City.

Debt Management Policy

E. Lease Revenue Bonds: Lease Revenue Bonds are typically issued by the City of Santa Cruz Public Financing Authority, on behalf of the City. They are secured by and payable from a pledge of revenues consisting primarily of lease payments on the capital project being financed. The City's General Fund is obligated to pay these lease payments.

F. Refunding Bonds: Pursuant to the California Government Code and various other financing statutes, the City is authorized to issue bonds for the purpose of refunding any long-term obligation of the City, provided it produces economic benefit to the City.

G. Conduit Financing Bonds: Conduit financing is a mechanism for other governmental entities, nonprofit agencies, or private companies to fund projects which provide a clear public benefit through the issuance of tax-exempt municipal bonds. These are essentially revenue bonds payable solely from the loan repayments received from the borrower under the loan agreement, for which the City has no liability for debt service. They may also be known as private activity or pass-through bonds. The City may issue Conduit Financing Bonds for projects such as schools, hospitals, universities, public works, housing and industrial development. In such cases, the City will take reasonable steps to confirm the financial feasibility of the project to be financed and the financial solvency of the borrower.

H. Certificates of Participation (COP): COPs are a form of lease revenue bond which permits the investor to participate in a stream of lease payments, installment payments, or loan payments relating to the acquisition or construction of specific equipment, land, or facilities. The City is obligated to make these debt service payments.

I. Lease Financing: The City may finance a capital asset by leasing it directly from the vendor or leasing company under a long-term agreement. This is essentially a lease-purchase contract with the lessor receiving a portion of each rental payment as tax-exempt interest.

J. Bank/Private Loans: The City may borrow directly through a loan with a commercial bank, Letter of Credit, or Line of Credit with a commercial bank, State revolving loan program, or other governmental agency. Each loan will have a specific purpose.

K. Tax Allocation Bonds: Before dissolution of California Redevelopment Agencies (RDAs) in 2012, the City of Santa Cruz RDA issued Tax Allocation Bonds using tax increment funds as a pledge for repayment. The taxes pledged to the repayment came from the increase of assessed value over and above a pre-established base. Dissolution of RDAs in 2012 has ended this type of debt from being issued by California cities and counties.

L. City of Santa Cruz Internal Financing Pool: The Internal Financing Pool provides loans to City departments or funds for significant one-time purchases, such as large vehicles. Such loans are repaid by applicable City departments or funds with interest on an annual basis with the principal amortized evenly over a period of at least five (5) years but not exceeding ten (10) years. The interest rate levied is 2% more in simple interest above the City's pooled investments monthly yield to maturity.

M. Other Obligations: There may be special circumstances when other forms of debt are appropriate and may be evaluated on a case-by-case basis. Such other forms include, but are not limited to, non-enterprise revenue bonds, bond anticipation notes, grant anticipation notes, tax and revenue anticipation notes, and judgment or settlement obligation bonds.

Purpose of Debt

A. Integration with the City's Capital Improvement Plan: The City is committed to long-term financial planning, including capital planning. This policy will be used in conjunction with the City's capital budget and the capital improvement plan. The City shall strive to fund the upkeep and maintenance of its infrastructure and facilities due to normal wear and tear through its available operating revenues.

Debt Management Policy

B. Long-Term Debt: Long-term debt may be used to finance the purchase or improvement of land, infrastructure, facilities, or equipment for which it is appropriate to spread these costs over more than one budget year. Long-term debt may also cover capitalized interest, costs of issuance, required reserves, and any other financing-related costs which may be legally capitalized. Long-term debt may not be used to fund City operating costs.

C. Short-Term Debt: Short-term borrowing, such as commercial paper and lines of credit, may be used as an interim source of funding in anticipation of long-term borrowing. Short-term debt is defined as debt repaid in twelve (12) months or less and may be issued for the same purpose as long-term debt, including capitalized interest and other financing-related costs. Short-term debt, such as tax and revenue anticipation notes, may be issued to address justifiable cash flow requirements for short-term operating needs to provide necessary public services.

D. Refunding: Periodic reviews of existing debt will be undertaken to identify refunding opportunities. Refunding will be considered (within Federal tax law constraints) if and when there is a net benefit of the refunding. In general, refundings which produce a net present value savings of at least three percent (3%) of the refunded debt will be considered economically viable. Refundings which produce a net present value savings of less than three percent (3%) will be considered on a case-by-case basis to achieve City goals such as changes in covenants, call provisions, operational flexibility, tax status, issuer, or the debt service profile. Refundings with negative savings will not be considered unless there is a compelling public policy objective that is accomplished by retiring the debt. The City may purchase its own bonds in the open market for the purpose of retiring the obligation when the purchase is cost effective.

E. Lease Financing: The City may finance a capital asset by leasing it directly from the vendor or leasing company, with the lessor receiving a portion of each rental payment as tax-exempt interest.

DEBT CAPACITY

It is the policy of the City of Santa Cruz that aggregate debt service payments funded from General Fund sources shall be no greater than 10% of Primary General Fund revenues as reflected in the prior year adopted budget. Payments on bonds that are tied to a specified revenue stream other than General Fund sources (e.g., enterprise revenue bonds, tax allocation bonds, and assessment bonds) are not subject to this 10% limit. Each proposed financing will be individually assessed by the Finance Director and subject to the approval policies contained herein.

DEBT STRUCTURE FEATURES

A. Debt Repayment: The City will structure its debt issues so that the maturity of the debt does

not exceed the economic or useful life of the capital project which is financed.

B. Maximum Maturity: The California Constitution allows for the issuance of long-term debt of up to forty (40) years. The City's standard maximum maturity is thirty (30) years, but up to forty (40) years may be acceptable depending on cash flow assumptions, construction timeline, and remaining useful life of the asset being financed.

C. Credit Quality: The City seeks to obtain and maintain the highest possible credit ratings when required for issuing long-term and short-term debt.

D. Fixed-Rate Debt: Fixed-rate debt shall be the desired method of pricing.

Debt Management Policy

E. Variable-Rate Debt: The City may choose to issue debt that pays a rate of interest that varies according to a pre-determined formula or index. There may be situations in which the City will benefit from variable-rate debt.

F. Call Options and Premium Provisions: Call Options or optional redemption provisions can enable the City to partially or totally repay a bond issue before its stated maturity. These may be considered on a case-by-case basis when issuing bonds, provided they enhance the marketability of the bonds, ensure flexibility related to potential early redemption, or foster future refunding options. The potential for additional costs, such as a call premium or potentially higher interest rates, will be evaluated in the decision to redeem the bonds.

G. Credit Enhancement: To establish or improve the credit rating on a proposed debt issuance, the City may purchase credit enhancement such as bond insurance, surety policy, or letter of credit. The Finance Director will consider the use of credit enhancement if it reduces the overall cost of the proposed financing or furthers the City's overall financial objectives.

DEBT ADMINISTRATION

The City's Finance Department shall maintain written directives and procedures detailing required actions to certify compliance with local, State, and Federal regulations. The City is committed to full and complete financial disclosure in accordance with the requirements established by the Securities and Exchange Commission (SEC) rule 15c2-12 and the Municipal Securities Rulemaking Board. The City is also committed to fully supporting requests from rating agencies, institutional and individual investors, other governmental entities, and to the general public to share clear, timely, and correct financial information. In addition to financial disclosure and arbitrage rebate compliance, once bonds are issued the City is responsible for verifying compliance with all activities, agreements, and requirements outlined in the bond documents on an ongoing basis. This typically includes: ensuring an annual appropriation to meet debt service payments; that relevant taxes, rates, and fees are levied and collected at a level sufficient to meet indenture requirements and debt service payments; the timely payment of debt service to a trustee or paying agent is completed; and compliance with insurance and other requirements. All investments of bond proceeds shall adhere to the City's Investment Policy which is approved annually by the City Council, Federal tax requirements, and with the requirements contained in the bond documents. Investments related to the bond proceeds shall not allow security types or credit standards less than those stated in the City's Investment Policy.

GLOSSARY OF TERMS

Advance Refunding: A refunding that occurs more than ninety (90) days in advance of the first optional redemption date. All covenants and restrictions of the refunded bond indenture are extinguished through an Advance Refunding. Under current IRS regulations, tax-exempt bonds issued after December 31, 1985 are permitted only one Advance Refunding.

Arbitrage: Generally, tax-exempt bond issues are subject to arbitrage rebate requirements, which require that any profit or "arbitrage" be "rebated" to the Federal government in the amount of the excess of the amount earned on investments purchased with gross proceeds of the bonds over the amount which would have been earned if such investments

Debt Management Policy

were invested at a rate equal to the yield on the bonds. A rebate computation and payment, if applicable, is generally required to be made every five (5) years and upon final redemption or maturity of the bonds. Failure to comply with these requirements could lead to substantial late filing penalties and interest and/or the loss of tax-exempt status for the bonds.

Bond Counsel: A law firm retained by the bond issuer to give a legal opinion that the bond issuer is authorized to issue the proposed securities, the bond issuer has met all legal requirements necessary for issuance, and interest on the proposed securities will be exempt from Federal income taxation, and where applicable from State and local taxation. Usually, Bond Counsel will prepare authorizing resolutions and ordinances, trust indentures, and other bond documents with the exception of the Official Statement.

Bond Insurance: An insurance policy which guarantees the timely payment of principal and interest, of either an entire bond issue, or on one or more specified maturities. In exchange for payment of the Bond Insurance premium, a higher Credit Rating is assigned to the insured bonds, and a lower cost of funds is attained. With a Competitive Sale, generally the bidding dealer bears the cost of insurance to the benefit of the firm's bid. The bond issuer pays the cost of Bond Insurance from bond proceeds with a Negotiated Sale.

California Debt and Investment Advisory Commission (CDIAC): A State commission established to provide oversight with respect to public debt and investment activities. It serves as the State's clearinghouse for information on public debt issuance and local investment practices. CDIAC also provides policy guidance on debt and investment matters.

Call Provisions: The terms of the bond giving the issuer the right to redeem all or a portion of a bond prior to its stated date of maturity at a specific price, usually at or above par.

Capitalized Interest: Capitalized Interest is a portion of the proceeds of an issue which is set aside to pay interest on the bonds for a specified period of time. Interest is commonly capitalized during the construction period of a revenue-producing project or a project financed with the proceeds of lease revenue bonds whereby the facility securing the lease payments must be available for use and occupancy before lease payments may commence.

Commercial Paper: Short-term (1 to 270 days) promissory notes usually issued to provide for interim financing of projects through the construction period and backed by a Letter or Line of Credit from a commercial bank. Following the completion of the projects, principal and interest due on Commercial Paper is often redeemed through the issuance of long-term refunding bonds.

Competitive Bidding Process: The sale of a new issue of bonds by an issuer through a bidding process where Underwriters are awarded the bonds on the basis of offering the lowest cost of funds for the issuer, usually measured on a True Interest Cost basis. The bid parameters for the public sale are established in the notice of sale or notice inviting bids.

Continuing Disclosure Certificate: A commitment entered into by the County for the benefit of the Underwriters and holders of certain bonds pursuant to SEC Rule 15(c)2-12 to provide continuing disclosure of specific County information.

Debt Management Policy

Credit Ratings: Evaluations of the credit quality of bonds made by independent ratings services such as Moody's Investors Service, Standard & Poor's Ratings Services, and Fitch Ratings. Credit Ratings are intended to measure the probability of timely repayment of principal and interest on municipal securities. Credit Ratings are assigned before issuance of the bonds, and are periodically reviewed by the rating services, or may be amended to reflect changes in the issuer's credit position. Bonds with investment grade ratings are assigned Credit Ratings between Baa3/BBB- and Aaa/AAA.

Debt Service: The sum of principal and interest due on outstanding debt, including any required contributions to a sinking fund for term bonds. Debt Service may be computed on a bond year, fiscal year, or calendar year basis.

Derivative: Short for "Derivative investment," a contract whose value is based on the performance of an underlying financial asset, index, or other investment. Generally, Derivatives are risk mitigation tools. Examples include structures with Option-like or Swap-like features.

Financial Advisor: A consultant who advises the bond issuer on matters such as bond structure, timing, marketing, pricing, documentation, and Credit Ratings. The consultant may also provide non-bond related advice relating to capital planning and investment management. Financial Advisors must be registered as an independent registered municipal advisor ("IRMA") with the SEC.

Fixed-Rate Debt: A borrowing wherein interest is established at a fixed rate of interest for the life of the obligation.

Line of Credit: A commitment usually made by a commercial bank to provide liquidity support for issues of notes, Commercial Paper, or bonds if the issuer is unable to pay Debt Service. The timely payment of Debt Service is not guaranteed, and the agreement between the bank and the issuer of the debt may be terminated in the event of a payment default by the bond issuer, or under other certain specified events. Debt issued with a Line of Credit is assigned the short-term Credit Rating of the Line of Credit provider.

Municipal Securities Rulemaking Board (MSRB): An independent self-regulatory organization established by the Securities Acts Amendments of 1975, which is charged with primary rulemaking authority over Broker-Dealers and brokers in municipal securities. Its members represent three categories—securities firms, bank dealers, and the public—each category having equal representation on the MSRB.

Negotiated Sale: The sale of a new issue of bonds whereby the issuer selects the Underwriters in advance and negotiates the terms of the bonds, including coupons, interest rates, redemption provisions, and the Gross Spread, at the time of sale.

Official Statement: A document published by the bond issuer, and often prepared by Disclosure Counsel, which discloses material information on a new bond issue, including the purpose of the issue, source of repayment, bond covenants, as well as financial, economic, demographic, and legal characteristics of the bond issuer. The Official Statement is used by investors to determine the credit quality of the bond issue. An Official Statement is deemed preliminary prior to the determination of the interest rates on the

Debt Management Policy

bond issue, but is final in all other respects at the time it is circulated in its preliminary form prior to the sale of the bonds.

Private Placement: A sale in which the bonds are sold directly to institutional or private investors, rather than through a public offering. Issuers often require investors purchasing privately placed bonds to agree to restrictions as to resale through the use of a signed agreement. This is the same as a direct placement or a direct purchase. Private Placements may also involve the direct sale of a bond or other obligation to a commercial bank.

Securities and Exchange Commission (SEC): The Federal agency responsible for supervising and regulating the securities industry. In general, municipal securities are exempt from the SEC's registration and reporting requirements. Brokers and dealers in municipal securities, as well as independent registered municipal advisors, however, are subject to SEC regulation and oversight. The SEC also has responsibility for the approval of MSRB rules, and has jurisdiction, pursuant to SEC Rule 10b-5, over fraud in the sale of municipal securities.

SEC Rule 15(c)2-12: A regulation of the SEC which requires Underwriters participating in primary offerings of municipal securities of \$1,000,000 or more (i) to obtain, review, and distribute to investors copies of the issuer's Disclosure documents; (ii) to obtain and review a copy of an Official Statement deemed final by an issuer of the securities, except for the omission of specified information; (iii) to make available upon request, in non-competitively bid offerings, the most recent preliminary Official Statement, if any; (iv) to contract with an issuer of the securities, or its agent, to receive, within specified time periods, sufficient copies of the issuer's final Official Statement, both to comply with this rule, and any rules of the MSRB; and (v) to provide, for a specified period of time, copies of final Official Statements to any potential customer upon request. The rule contains exemptions for Underwriters participating in certain offerings of municipal securities issued in large denominations that are sold to no more than thirty-five (35) sophisticated investors, have short-term maturities, or have short-term tender or put features. SEC Rule 15(c)2-12 also requires that Underwriters participating in a bond offering obtain from the issuer a written Continuing Disclosure Agreement to provide continuing disclosure with respect to those bonds.

Trustee: A third-party banking institution which administers the funds specified in the trust indenture or agreement and implements the remedies provided in case of default. The Trustee acts on behalf of the bondholders based on the specific requirements in each trust indenture. The Trustee may also refer to a trustee bank acting in the capacity of escrow agent with respect to refunding bonds or issuing and/or paying agent with respect to Commercial Paper or Variable Rate Debt.

Underwriter: A dealer which purchases a new issue of bonds for resale either by negotiation with the issuer or by award on the basis of a competitive bid.

Variable-Rate Debt: A borrowing wherein interest changes at intervals according to an index or formula, or is periodically (e.g., daily, weekly, or monthly) reset at the market clearing rate. This is also known as floating rate debt.

General Fund Reserve Policy

COUNCIL POLICY 12.13

POLICY TITLE: CITY WIDE STABILIZATION ARRANGEMENT (TWO-MONTH GENERAL FUND RESERVE) AND UNFUNDED OBLIGATION STABILIZATION ARRANGEMENT

POLICY STATEMENT:

I. City Wide Stabilization Arrangement (General Fund Balance Reserve)

It is in the best interest of the citizens of the City of Santa Cruz who rely upon the City of Santa Cruz for public access and services that a portion of the City's Fund Balance be set aside in a City Wide Stabilization Arrangement in order to provide a reserve against certain specified conditions listed herein that result in a sudden and unexpected drop in revenues, and/or unforeseen emergencies including unanticipated expenditures of a nonrecurring nature or unanticipated adverse financial or economic circumstances that would lead to operating shortfalls.

City Wide Stabilization Arrangement amount. The funding level shall be equal to but can exceed the equivalent of two-months of the current fiscal year's Adopted Total General Fund Operating Expenditure Budget.

Contributions and immediate funding of the City Wide Stabilization Arrangement. The City Council authorizes the City Manager to maintain a minimum balance defined above through transfers of available ending Fund Balance after the Budget is adopted but before the end of the fiscal year.

Conditions under which the City Wide Stabilization Arrangement may be spent.

Appropriations from this reserve shall require a resolution from the City Council approved by a majority of the Council. However, if there is an urgent safety need, the City Manager can authorize expenditures of this fund provided the action is brought back to the City Council at its next regular meeting.

Under either case, the City Manager shall within six months present to the City Council a plan and timeline for replenishing the Arrangement to its minimum funding level. Requests for appropriations shall occur only after exhausting the current year's budgetary flexibility and spending of the current year's appropriated contingency, if any.

General Fund Reserve Policy

Circumstances where the Stabilization Arrangement can be spent are:

1. Within a 12-month period, funding can be used for cash-flow purposes when timing requires a one-time reduction in cash that will be 100% restored within 12-months.
2. If unanticipated General Fund revenues in total are expected to decline more than 1% of the total projected revenues and revenues for one of the following major revenue sources are projected in the current year to fall below the actual amount from the prior year:
 - a.) Sales Taxes
 - b.) Property Taxes
3. If any one of the unforeseen and non-recurring events listed below occur that create significant financial difficulty for the City and are in excess of the current year's appropriated contingency:
 - a.) Sudden and unexpected significant facility failures that threaten the immediate safety of the City patrons, staff or the community;
 - b.) Declaration of a State of Emergency by the Governor;
 - c.) Unanticipated expenditures as a result of legislative changes from State/Federal governments in the current fiscal year;
 - d.) Acts of Terrorism declared by the Governor or the President of the United States; or
 - e.) Acts of Nature, which are infrequent in occurrence.

II. Unfunded Obligation Stabilization Arrangement

It is in the best interest of the citizens of the City of Santa Cruz who rely upon the City for public access and services that a portion of the City's Fund Balance be set aside in an Unfunded Obligation Stabilization Arrangement in order to provide funding to offset future, unfunded obligations.

The funding target for this policy will, in lieu of a pre-established flat funding amount or percentage, be determined by City Council based on the City Manager's recommendation after consideration of General Fund projections and available financial resources.

Unfunded Obligation Stabilization Arrangement amount. There shall be no minimum funding amount.

Unfunded Obligation Stabilization Arrangement. The City Council shall authorize the City Manager to initiate an initial transfer equal to \$2.1 million from the General Fund's available Fund Balance.

General Fund Reserve Policy

Conditions under which the Unfunded Obligation Stabilization Arrangement may be spent.

Appropriations from this reserve shall require a resolution from the City Council approved by a majority of the Council. Requests for appropriations shall occur only after exhausting the current year's budgetary flexibility and spending of the current year's appropriated contingency, if any.

Circumstances where the Unfunded Obligation Stabilization Arrangement can be spent are to:

1. Pay down General Fund unfunded obligations to other City funds;
2. Pay down 2011 Pension Obligation Bonds; and
3. Transfer into a trust for unfunded Post Employment Health Care obligations.

Authorization: Adopted by Council motion on June 11, 2013.

Budget Adjustment Policy

City of Santa Cruz I-9
Administrative Procedure Order
Section I, #9 (Revised September, 2011)

TO: Department Heads
SUBJECT: BUDGET ADJUSTMENTS

PURPOSE

To establish policies regarding when a budget adjustment is required and what approval is required, and procedures for processing budget adjustment requests.

POLICY

I. Definition of Major Expense Categories

A. Personnel Services (51000 series)

Personnel Services objects (51000 series) are controlled by the overall total appropriation for the series within each fund/department, with the following exceptions:

- a. Overtime (Object 51114)
- b. Temporary (Object 51122)
- c. Intra- and interfund labor credits (objects 51910, 51911)

B. Services, Supplies, and Other Materials and Services (52000-54000 series)

Services (52000 series), Supplies (53000 series), and Other Materials and Services (54000 series) are controlled by the overall total appropriation within each fund/department for these three series combined, with the following exceptions:

- a. Intra- and interfund labor charges (objects 52121, 52122)
- b. Internal accounts budgeted by Finance (see detail under Procedures)
- c. Councilmember individual expense accts (object 54001)

C. Other Charges (56000 series)

Other Charges objects (56000 series) are controlled by the overall total appropriation within each fund/department.

D. Capital Outlay (57000 series)

Capital Outlay objects (57000 series) are controlled by the dollar amount allocated for each object within each fund/department. Capital improvement projects are controlled by project total.

E. Debt Service (58000 series)

Debt Service objects (58000 series) are controlled by the dollar amount allocated for each object within each fund/department.

Budget Adjustment Policy

F. Other Financing Uses (59000 series)

Other Financing Uses objects (59000 series) are controlled by the dollar amount allocated for each object within each fund/department.

II. Projects Overview

Projects are set up to track specific costs within a General Ledger account, and to track expenditures associated with particular revenues.

Following is a list of project types and their purposes:

Project Type	Description	Used For
<u>Non-Lapsing projects</u>		
Typically, appropriations for these project types are carried forward into a new fiscal year:		
a	Public Art	Public Art projects.
c	Capital Improvement Projects	Projects that would normally be in the 3-Year CIP.
g	Operating Grants and Donations	Expenses & revenue related to non-capital funding sources.
h	HUD Maintenance Projects	HUD/CDBG/HOME housing activities. m
o	Other Projects	Projects that involve maintenance of assets, rather than capitalizable costs.
r	Redevelopment Projects	Special projects Expenses that do not fit into any other category.
r	Redevelopment Projects	Redevelopment Agency projects.

Lapsing projects

Typically, appropriations for these project types are not carried forward into a new fiscal year:

d	Debt Issues	Separate accounting for each debt obligation.
e	Emergency Projects	Expenses incurred during an emergency
p	Program Tracking	Expenses of user-defined programs.
v	Social Services Programs	Separate project for each SSP provider.

Any change to appropriations in a project requires a budget adjustment. The level of approval required will be consistent with the provisions of the following sections, i.e., based on whether it is a new appropriation or a transfer; within or between funds, departments, or major expense categories; over or under \$50,000; etc.

Budget Adjustment Policy

III. Appropriations for Expenditures - Council Approval Required

A. New Appropriations

Except as noted below, all new appropriations for expenditures must be approved by the City Council.

Under the provisions of Council Policy 12.10 and Council Policy 14.3, the City Manager is authorized to accept and appropriate donations and grants of up to \$50,000, to be used for the intended purpose previously approved by Council, without formal appropriation by the City Council.

In all cases, a budget adjustment is required. The budget adjustment will include any new revenue associated with the expenditure.

B. Transfers Between Funds

Transfers of appropriations between funds must be approved by the City Council.

C. Transfers Between Departments

Transfers of appropriations between departments must be approved by the City Council.

D. Transfers Between Major Expense Categories

Transfers of more than \$50,000 between major expense categories, including those within the same fund and department, must be approved by the City Council. (Transfers of up to \$50,000 between major expense categories are approved by the City Manager.)

IV. Appropriations for Expenditures - Administrative Budget Adjustments

A. City Manager Approval

1. Transfers Within Same Fund and Department, Same Major Expense Category

Transfers of more than \$50,000 between activities within the same department, in the same major expense category, require approval by the City Manager. (Transfers of less than \$50,000 in the same fund and department, same major expense category, may be approved by the Finance Director.)

2. Specific Objects Requiring City Manager Approval

Any changes to appropriations involving the following objects of expense require the approval of the City Manager:

- a. Overtime (Object 51114)
- b. Temporary (Object 51122)
- c. Councilmember individual expense accts (Object 54001)
- d. Capital Outlay – all 57000 series objects

Budget Adjustment Policy

3. Transfers of Existing Appropriations Between Major Expense Categories
Transfers of up to \$50,000 between major expense categories within the same fund and department are approved by the City Manager. (Transfers of more than \$50,000 between major expense categories must be approved by the City Council.)
4. Transfers of Appropriations Between Capital Improvement Projects
Capital improvement projects are controlled by project total. Transfers of appropriations between capital improvement projects require the approval of the City Manager.

B. Finance Director Approval

1. Transfers of Existing Appropriations Within Same Fund and Department, Same Major Expense Category
Transfers of up to \$50,000 between activities within the same fund and department, in the same major expense category, may be approved by the Finance Director. (Transfers of more than \$50,000 in the same fund and department, same major expense category, must be approved by the City Manager.)
2. Specific Objects Requiring Finance Director Approval
Any changes to appropriations involving the following objects of expense require the approval of the Finance Director:
 - a. Internal services, supplies, and other charges objects / all objects budgeted by Finance (please call the budget manager at x. 5073 if you have questions about these objects)
 - b. Intra- and interfund labor credits and charges (objects 51910, 51911, 52121, 52122)
 - c. Other Charges – all 56000 series objects
 - d. Debt Service – all 58000 series objects
 - e. Other Financing Uses – all 59000 series objects
3. Projects
Any changes to appropriations involving projects that are not otherwise required to be approved by the City Council or City Manager require the approval of the Finance Director.

V. Adjustments to Budgeted Revenue

Revenue associated with an expenditure appropriation requiring Council approval must be included in the budget adjustment to be approved by the City Council (i.e., Council approval is required in order to spend new, unanticipated revenue).

For changes to revenues that do not require new appropriations for expenditures, a budget

Budget Adjustment Policy

adjustment may be done when there is new information indicating that there will be a significant change in anticipated revenue. Adjustments up to \$50,000 will be approved by the Finance Director. Adjustments greater than \$50,000 will be approved by the City Manager.

PROCEDURES

The budget adjustment request form is to be processed electronically, with digital signatures. The form is available on the Intranet, under the Finance Department, on the Budget page.

There are two forms available: The Standard Budget Adjustment Form has 5 lines for Revenue, 11 lines for expenditures. If more lines are needed, there is also a Long Budget Adjustment Form.

The Budget page also includes instructions for completing the budget adjustment form.

Any questions regarding budget adjustments should be directed to the budget manager -- x.5073.

I. Budget Adjustments That Require Council Approval – Through SIRE

A budget adjustment requiring Council approval is processed as an attachment to the agenda report in SIRE.

The deadline for submitting an agenda report with a budget adjustment is **two days before the deadline for City Manager review**. Typically this will be the **Monday** two weeks before the agenda date. Departments are strongly encouraged to work with Finance early in the process, well before the deadline. Questions should be directed to the budget manager at x.5073.

The recommendation section in the agenda report must include language referring to the resolution to amend the budget. (The resolution itself is not attached to the agenda report; after approval, the Clerk's office adds a boilerplate resolution that refers to the budget adjustment that was approved by Council.)

- A. The person initiating the budget adjustment request will:
1. open the Budget Adjustment template from the Intranet;
 2. use "File" "Save As..." (<Alt> F, A) to save the file to a local drive with a name that is related to the name used for the agenda report (e.g., "BA New Program.pdf" if it is an agenda report named "New Program");
 3. fill in the required data;
 4. digitally sign the "Requested by" line;
 5. attach the budget adjustment from the local drive to the agenda report in SIRE.

Budget Adjustment Policy

- B. The department head will review and digitally sign the budget adjustment request with the agenda report in SIRE.
- C. The budget manager and Finance Director will approve the budget adjustment through SIRE.
- D. After Council approval, the City Clerk will add the resolution number to the resolution and the budget adjustment request form, and the Administrative Assistant to the City Manager will obtain the City Manager's signature and forward the signed resolution to the "To Be Entered – Approved" Outlook folder (see further explanation under "Administrative Budget Adjustments" below).
- E. Finance staff will post the budget adjustment to EDEN, and will place a copy of the budget adjustment form in the appropriate folder on the M:\ drive, in the *M:\BudgetAdjustments* folder.

II. Administrative Budget Adjustments

The following sections describe how the administrative budget adjustments are processed.

Administrative budget adjustments are sent to the ***dl_BA Approval*** distribution list, and then are processed electronically using public folders in Outlook.

A. Department Completes the Form

Departments will determine how the budget adjustment is prepared and routed through the department (e.g., whether the person requesting the budget adjustment prepares the form; how it is routed for department head signature and to *dl_BA Approval*; etc.).

The person(s) responsible for preparing & forwarding the budget adjustment request form will:

1. Open the Budget Adjustment template from the Intranet;
2. Use "File" "Save As..." (<Alt> F, A) to save the file to a local drive with a name that describes the purpose of the BA (e.g., "*BA Finance Temp Pay.pdf*" if it is moving appropriations to the temporary pay account in the Finance Department activity – add other identifying information, such as the date, if useful);
3. Open the file from the local drive and fill in the required data;
4. Either digitally sign the "Requested by" line, or forward the form to the person making the request for signature;
5. Forward the form for department head signature.

B. Approval Process

1. When the department head has signed the budget adjustment request, the

Budget Adjustment Policy

department will E-mail it to *dl_BA Approval*. The subject line should indicate the purpose of the budget adjustment, e.g., *BA Finance Temp Pay*.

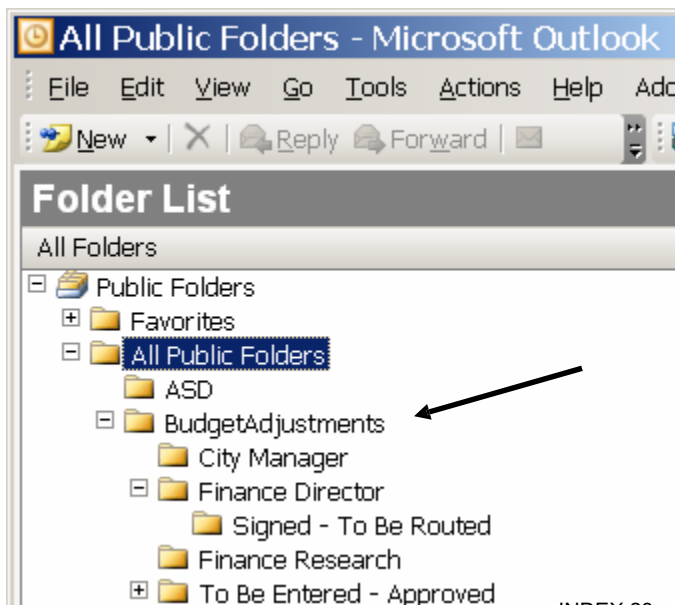
2. The budget manager will review the budget adjustment request, and if the account strings, titles, project numbers and levels, etc. are correct and funds are available, will sign the budget adjustment form and move it to the Finance Director folder.
3. The Finance Director will sign and move the form to the *[Finance Director/] Signed - To Be Routed* folder, and will notify the budget manager.
4. If City Manager approval is required, the budget manager will move the form to the *City Manager* folder and will notify the Administrative Assistant to the City Manager.
If City Manager approval is not required, the budget manager will move the form to the *To Be Entered – Approved* folder.
5. If City Manager approval was required, the Administrative Assistant to the City Manager will obtain the City Manager's signature, then will move the fully signed budget adjustment request form to the *To Be Entered – Approved* folder.

C. Posting to EDEN

1. Finance staff will prepare the budget adjustment to post to EDEN, will print a posting list, and will move the budget adjustment to the *[To Be Entered – Approved/] Entered* folder
2. The budget manager will review/approve the posting list.
3. Finance staff will post the budget adjustment, and will move the file to the *[To Be Entered – Approved/] Posted-move pdf to M:* folder, and then from the Outlook/Public Folders to the *Budget Adjustments* folder on the M:\ drive.

D. Tracking Administrative Budget Adjustments

Departments may review the status of budget adjustments by looking in the Outlook public folders *Public Folders/All Public Folders/Budget Adjustments*:



Look in the folders to find the budget adjustment. The folder name indicates where it is in the queue.

Budget Adjustment Policy

III. Year-End/New Fiscal Year Carryover and Carryforward Budget Adjustments

Under the provisions of the City Charter and the budget resolution adopted each year, creating appropriations in the new fiscal year can be done administratively for remaining encumbrances on purchase orders, and for carrying forward project budgets.

A. Non-project Carryovers

Each year, the Purchasing division of the Finance Department will work with departments to determine which encumbrances are to be carried over into the new fiscal year.

For each purchase order that is not part of a project, Purchasing will create a budget adjustment to increase the appropriation by the carryover amount at the time the purchase order is reopened in the new FY, to be used for that purchase order (PO). (These will show up in EDEN with a document source code of *pocaryfwd*.)

When the final payment is made and the PO is closed, any remaining balance will be liquidated and the appropriation will be reduced by that amount. If there are unusual circumstances that require that the balance be used under another PO, please let the Purchasing manager know prior to the final payment/closing the PO.

Please note that any appropriations that are not encumbered will not be carried over, i.e., will lapse and will not be available in the new fiscal year.

B. Project Carryforwards

For projects, appropriations will be created in the new fiscal year through project accounting. Where there are encumbrances, each purchase order will be reopened in the new FY separately from the appropriation, i.e., the PO will be reopened in the new fiscal year through the Purchasing process, and the appropriation will be handled through the project accounting carryforward process. (These will show up in EDEN with a document source code of *pacaryfwd*.)

City Charter (Budget Sections)

1402 ANNUAL BUDGET.

On or before the second Monday in May of each year every officer, commission or board shall transmit to the City Manager detailed estimates of income and expenditures for the ensuing fiscal year. At or before the first regular meeting of the Council in June of each year, the City Manager shall transmit to the Council a detailed budget showing estimated revenues and expenditures of the City and all departments thereof for the ensuing fiscal year.

1410 CHANGES IN BUDGET APPROPRIATIONS.

The City Manager and Director of Finance shall see that each department and officer of the City shall operate such department or office in accordance with the annual budget appropriations thereof, as nearly as may be. Any appropriation may be changed during the budget year by resolution of the Council upon application of the appropriate department head or the City Manager. If at any time the City Manager shall ascertain that available income for the year will probably be less than the total appropriations thereof, the City Manager shall recommend to the City Council curtailments of departments and offices necessary to avoid expenditures in excess of adjustments of appropriations of income and the Council shall, by resolution, make necessary changes in any appropriations. (Amended 11-3-81)

1411 TRANSFER OF APPROPRIATIONS.

Upon the recommendation of the City Manager, or with the City Manager's consent, the Council may, by resolution at any time, transfer funds from the unbudgeted reserve to any department or office that the Council may approve. (Amended 3-6-79)

1412 LIMITATION ON EXPENDITURES.

No department or officer shall, during any budget year, expend or contract for, or incur any liability which involves the expenditure of money for any purpose in excess of the amounts appropriated therefor. Any contract, written or verbal, in violation of this Charter, shall be null and void. Any officer or employee who shall violate this Section shall be guilty of a misdemeanor and upon conviction thereof shall cease to hold such office or employment. Nothing in this Section, however, shall prevent the making of contracts of lease or for services for a period beyond the budget year in which such contract was made, when such contract is otherwise permitted by law.

SECTION 12

FINANCE AND ACCOUNTING

- 12.1 Authorization to Draw Money from City Treasury and Sign Checks
- 12.2 City Grant Recipients — City Accessibility to Records
- 12.3 Budgetary Policy*
- 12.4 City-Sponsored Activities — Financial Impact
- 12.5 Transient Occupancy Tax
- 12.6 Appropriation Control
- 12.7 City of Santa Cruz Statement of Investment and Portfolio Policy
- 12.8 Public Trust Fund
- 12.9 Waiver and Payment of Fees for Special Events
- 12.10 Donations and Contributions
- 12.11 Gifts to an Agency Reporting
- 12.12 Arana Gulch Public Trust Fund Account
- 12.13 City Wide Stabilization Arrangement (Two-Month General Fund Reserve) and
Unfunded Obligation Stabilization Arrangement *
- 12.14 General Fund Surplus Policy
- 12.15 Economic Development Stabilization Arrangement Policy Statement
- 12.16 Cannabis Business Tax Increment Designated for a Children's Fund

*Council Policy 12.3 and 12.13 are listed separately in the Index section

COUNCIL POLICY 12.1

POLICY TITLE: AUTHORIZATION TO DRAW MONEY FROM CITY TREASURY AND SIGN CHECKS

POLICY STATEMENT: The City Manager or Acting City Manager and the City Finance Director-City Treasurer or Acting Finance Director are designated as the proper City officers to draw money from the City Treasury and are authorized to sign checks upon the active and inactive bank accounts of the City of Santa Cruz.

AUTHORIZATION: Council Policy Manual Update of November 17, 1998 Resolution No. NS-24,072

COUNCIL POLICY 12.2

POLICY TITLE: CITY GRANT RECIPIENTS — CITY ACCESSIBILITY TO RECORDS

POLICY STATEMENT: Any group which receives a grant from the City of Santa Cruz must provide the City access to records and documents required for auditing purposes when requested. This provision will be written into the contract and access to these records must be given prior to the release of any grant funds.

AUTHORIZATION: Council Policy Manual Update of November 17, 1998

COUNCIL POLICY 12.4

POLICY TITLE: CITY-SPONSORED ACTIVITIES — FINANCIAL IMPACT

POLICY STATEMENT: Events and activities sponsored by city departments or city advisory bodies must be reviewed by the City Council if, in the opinion of the City Manager, these activities create a substantial financial impact upon the city.

AUTHORIZATION: Council Policy Manual Update of November 17, 1998

COUNCIL POLICY 12.5

POLICY TITLE: TRANSIENT OCCUPANCY TAX

POLICY STATEMENT: It is the policy of the City Council that a portion of the Transient Occupancy Tax revenues be allocated to that agency declared to be the official visitor-serving agency of the city. Accordingly, the city's budget shall each fiscal year, provide a contribution to that agency, a portion of the current year's Transient Occupancy Tax receipts. Said contribution to be decided by the Council with input from that agency.

Council Policy

AUTHORIZATION: Council Policy Manual Update of November 17, 1998

COUNCIL POLICY 12.6

POLICY TITLE: APPROPRIATION CONTROL/TRANSFERS OF APPROPRIATIONS

POLICY STATEMENT: The following policy establishes appropriation control at the Department level. More general language regarding appropriation control is found in the City Charter. More specific detail is found in Administrative Procedure Order Section I, #9 (APO I-9).

1. Transfers Within Major Expense Categories.
 - A. Each department's 51000 series (Personnel Services) is controlled by the overall appropriation total with some exceptions, as described in APO I-9.
 - B. Each department's 52000 series (Services), 53000 series (Supplies), and 54000 series (Other Materials and Services) are controlled by the overall total appropriation for these three series combined, with some exceptions, as described in APO I-9.
 - C. Capital Outlay (57000 series) is controlled by object.
 - D. Capital improvement projects are controlled by project total.
2. Transfers Between Major Expense Categories.
 - A. Transfers of up to \$50,000 between major expense categories must be approved by the City Manager.
 - B. Transfers of more than \$50,000 between major expense categories must be approved by City Council.
3. Transfers Between Activities Within Department.
 - A. Transfers of up to \$50,000 between activities within a department, in the same fund and in the same major expense category, may be approved by the Finance Director.
 - B. Transfers of more than \$50,000 between activities within a department, in the same fund and in the same major expense category, may be approved by the City Manager.
4. Transfers Between Funds. Transfers between funds must be approved by City Council.

AUTHORIZATION: Council Policy Manual Update of November 17, 1998; Revised October 10, 2006

COUNCIL POLICY 12.7

POLICY TITLE: CITY OF SANTA CRUZ STATEMENT OF INVESTMENT AND PORTFOLIO POLICY

Council Policy

The revised Statement of Investment and Portfolio Policy incorporates the most recently published recommendations of the Professional and Technical Committee of the California Municipal Treasurer's Association, the California Association of County Treasurers and Tax Collectors, and the California Society of Municipal Finance Officers. The attached policy was approved by Council on June 24, 2014.

<http://www.cityofsantacruz.com/home/showdocument?id=38399>

AUTHORIZATION: Council Policy Manual Update of November 17, 1998; Amended by motion June 10, 2014.

COUNCIL POLICY 12.8

POLICY TITLE: PUBLIC TRUST FUND

POLICY STATEMENT:

1. This policy is adopted with the understanding that the 3-year Capital Improvement Program currently being developed by the City includes high-priority, unfunded projects with a price tag far in excess of the amount to be maintained in the Public Trust Fund. In the unlikely event that the City in the future does not have such high-priority CIP projects exceeding the City's ability to fund them, this policy shall be reconsidered.
2. The principal of the Public Trust Fund shall be preserved and maintained as part of a program to meet the extensive capital improvement needs of the City.
3. Interest earnings from the Trust Fund may be used on an annual basis, may be accumulated from year to year to fund objectives that require such accumulations, may be utilized to retire indebtedness to accomplish larger objectives, or may be used in combinations of these alternatives.
4. Neither the principal nor the interest of the Public Trust Fund shall be used to meet operational needs with regularly recurring annual costs.
5. Future proceeds from the sale of, or income from the use of, the City's Skypark property shall be placed in the Public Trust Fund. The Council shall determine whether the proceeds from the sale of other property should go into the Public Trust Fund.
6. The only exception to the policy of preserving and maintaining the principal of the Trust Fund shall be in cases where use of the principal, or part of the principal, can serve to leverage a substantial contribution of funds from a non-General Fund source to complete an unfunded project of high priority in the City's Capital Improvement Program (CIP). In such cases, the principal of the Trust Fund may be utilized, after other alternatives are explored. The Council shall make every effort to replenish the principal of the Trust Fund.
7. Discussions for the Public Trust Fund shall be held annually on a calendar-year basis.

AUTHORIZATION: Council Policy Manual Update of November 17, 1998

COUNCIL POLICY 12.9

POLICY TITLE: WAIVER, PAYMENT OF FEES AND SPONSOR STATUS FOR SPECIAL EVENTS

POLICY STATEMENT: The City Council will not waive General Fund fees or pay for Enterprise Fund fees for external special events. The City Council may financially sponsor a special event that is deemed to serve a significant public interest. If the City Council makes such a determination, funds will be appropriated for this purpose. The City of Santa Cruz may lend its name to a special event as a sponsor or co-sponsor only if the City is a material supporter through substantial funding or substantial in-kind donations, including staff time. This policy will not apply to staff-affiliated educational or training events that support the City's direct operations or events already included in departmental budgets.

AUTHORIZATION: Council Policy Manual Update of July 8, 2003; Amended by motion April 23, 2013 and April 1, 2014

COUNCIL POLICY 12.10

POLICY TITLE: DONATIONS AND CONTRIBUTIONS

POLICY STATEMENT: From time to time, the City receives donations and contributions to support City projects and programs. This policy establishes the procedures for accepting such donations.

I. Authority to Accept Donations.

A. For monetary donations less than \$50,000 per individual donation, including cash donations from non-profit organizations established to support City projects and programs, the City Manager shall have the administrative authority to accept and appropriate such donation to be used for the donor's intended purpose, for projects and programs previously approved by the City Council. No formal acceptance by the City Council is necessary.

B. For non-monetary donations, the Department Head shall have the administrative authority to accept such donation to be used for the donor's intended purpose, for projects and programs previously approved by the City Council. If the value of the donation is greater than \$50,000, the department shall give the Council an information report describing the donation and its use.

C. The Department Head shall have the authority to approve the use of monetary donations received and spent by non-profit organizations established to support City projects and programs previously approved by the City Council.

D. Monetary donations greater than \$50,000 per individual donation, and any donation for a project or program that is not part of a department's ongoing operations, or that has not otherwise been approved by the City Council, shall be placed on a City Council agenda for review.

II. It shall be the responsibility of the receiving department to send a thank you letter to the donor and to ensure that the donation is used only for its intended official City use.

Council Policy

III. Departments shall prepare an annual report with information about donations received. More specific detail is found in Administrative Procedure Order I-76.

AUTHORIZATION: Motion December 13, 2005 Revised November 14, 2006 FN 861
POL Donations Policy 50K

COUNCIL POLICY 12.11

POLICY TITLE: GIFTS TO AN AGENCY REPORTING

POLICY STATEMENT: This policy is established to comply with revisions to Government Code Section 18944.2 of the Fair Political Practices Act. The Fair Political Practices Commission (FPPC) recently revised its regulations concerning the circumstances in which payments made to a state or local agency that would otherwise be considered a "gift to a public official" would now be reportable as "gifts to an agency." Effective July 1, 2008, agencies must disclose such gifts to the agency, including monetary payments, loans, gifts or other transfers, provisions of goods or services, or other benefits to the agency within 30 days of their use by the official. The regulation applies to public officials and agency heads as identified in the City's Conflict of Interest Code. Payments that might otherwise be considered gifts to these officials and reportable on Statements of Economic Interests (FPPC Form 700), may now be considered gifts to the City under the following circumstances, and reportable on FPPC Form 801 instead:

- The gift must be made to the City directly and controlled by the City.
- The gift must be for official City business.
- The donor cannot designate the official, only the purpose, for which the gift is intended.
- The recipient must report the payment on Form 801 to the City Clerk within 30 days after the use of the payment.
- The Form 801 must be posted on the City's website.
- Payments to local elected officers for travel, lodgings and meals are excepted, and should still be reported on FPPC Form 700.

AUTHORIZATION: Adopted by Council 11/25/08

COUNCIL POLICY 12.12

POLICY TITLE: ARANA GULCH PUBLIC TRUST FUND ACCOUNT

POLICY STATEMENT: Future proceeds from the sale of the property formerly known as "Broadway-Brommer Road Right of Way," located between Frederick Street and Hagemann Gulch, shall be placed in an account of the Public Trust Fund for use on implementation of the Arana Gulch Master Plan, including Santa Cruz Tarplant habitat, riparian and coastal prairie habitat restoration and management.

Council Policy

AUTHORIZATION: Santa Cruz City Council Motion on November 8, 2011; effective only upon Californail Coastal Commission approval of the Arana Gulch Master Plan.

COUNCIL POLICY 12.14

POLICY TITLE: GENERAL FUND SURPLUS POLICY

POLICY STATEMENT: It is in the best interest of the citizens of Santa Cruz who rely upon the City for public access and services that the City should continue to prioritize allocation of General Fund resources towards critical, core municipal services that are in alignment with the City Council's goals. Therefore, the City Council shall authorize the establishment of the General Fund Surplus Policy to broadly define how future surpluses should be dedicated.

This policy shall be defined by the General Fund's Fiscal Year audited, final results and shall begin with Fiscal Year 2014. It shall create the following allocation of any annual surplus:

- 1) Any surplus shall be used to replenish any General Fund reserves up to their minimum level and to maintain a General Fund available Fund Balance for operational needs of 5% of the current fiscal year's Adopted Total General Fund Operating Expenditure Budget.
- 2) Any remaining surplus in excess of \$100,000 shall be allocated to the following operational needs:
 - a. 30% will be transferred into the Capital Improvement Program to be allocated first to any unfunded facility, vehicle, and equipment capital projects, and then to any new facility, vehicle, and equipment capital projects; and
 - b. 20% will be transferred into the Capital Improvement Program to be allocated first to any unfunded infrastructure capital projects, and then to any new infrastructure capital projects. Priority will be given to those roadway improvements that are currently below a Pavement Condition Index of 70; and
 - c. 30% will be dedicated towards reduction of debt obligations in the following priority:
 - i. To pay down any General Fund internal loans payable to other City funds;
 - ii. To fund unfunded obligations in the retirement or health programs;
 - iii. To reduce any other outstanding debt obligations.
 - d. 20% may be used for ongoing increases in service levels and/or personnel cost increases within the City Council's Goals based upon on a maximum of 20% of the average surplus amount of the prior three fiscal years, with a minimum of 10% of the surplus committed towards employment cost increases. Circumstances where this policy may be postponed include:

- 1) If any one of the unforeseen and non-recurring events listed below occur that create significant financial difficulty for the City:
 - a. Sudden and unexpected significant facility or infrastructure failures that pose a safety risk to staff or the community;
 - b. Declaration of a State of Emergency by the Governor;
 - c. Unanticipated expenditures as a result of legislative changes from State/Federal governments in the current fiscal year;
 - d. Acts of Terrorism declared by the Governor or the President of the United States;
 - e. Acts of Nature which are infrequent in occurrence;
 - f. Other catastrophic events which are infrequent in occurrence.

AUTHORIZATION: Adopted by motion September 9, 2014

COUNCIL POLICY 12.15

POLICY TITLE: ECONOMIC DEVELOPMENT STABILIZATION ARRANGEMENT

POLICY STATEMENT: It is in the best interest of the citizens of Santa Cruz who rely upon the City for public access and services that the City should continue to invest in economic development projects and initiatives to create jobs and provide tax revenue for the community.

Therefore, the City Council shall authorize the establishment of a continuing and non-lapsing Economic Development Stabilization Arrangement.

Stabilization Arrangement amount. The funding shall be built up over time through annual operating transfers from the General Fund. The amount of the transfer shall be equal to the sum of the calculated 1% point increase in the Transient Occupancy Tax effective July 1, 2013 and of the annual Redevelopment Property Tax Trust Fund distribution, less the General Fund's portion of the net annual operating costs of the economic development activities within the Economic Development Department.

Contributions and immediate funding of the System-Wide Stabilization Arrangement. The City shall authorize the City Manager to transfer the appropriate amount of funds calculated above in a reasonable period of time following the completion of the prior year's Comprehensive Annual Financial Report.

Conditions under which the System-Wide Stabilization Arrangement may be spent. Appropriations shall require a resolution from the City Council. However, if there is an urgent and significant public benefit, the City Manager can authorize expenditures of up to 10% of this fund provided it is brought back to the Council at its next meeting for ratification.

Circumstances where the Stabilization Arrangement can be spent are:

- 1) As necessary to fund economic development project costs associated with but not limited to: infrastructure projects; business façade improvements; business retention incentives; business recruitment incentives; and grant funding.

2) As necessary to fund City of Santa Cruz staff costs to administer projects identified in circumstance 1 above.

Circumstances where this may be postponed include:

- 1) If any one of the unforeseen and non-recurring events listed below occur that create significant financial difficulty for the City:
 - a. Sudden and unexpected significant facility or infrastructure failures that pose a safety risk to staff or the community;
 - b. Declaration of a State of Emergency by the Governor;
 - c. Unanticipated expenditures as a result of legislative changes from State/Federal governments in the current fiscal year;
 - d. Acts of Terrorism declared by the Governor or the President of the United States;
 - e. Acts of Nature which are infrequent in occurrence;
 - f. Other catastrophic events which are infrequent in occurrence.

AUTHORIZATION: Adopted by motion September 9, 2014

COUNCIL POLICY 12.16

POLICY TITLE: CANNABIS BUSINESS TAX INCREMENT DESIGNATED FOR A CHILDREN'S FUND

POLICY STATEMENT: It is the policy of the City Council that when the City of Santa Cruz's Cannabis Business Tax rate is increased from seven percent (7%) to eight percent (8%), revenues from the additional one percent (1%) tax rate increase will be designated for the creation and funding of a dedicated Children's Fund. This Children's Fund will support enhancement and expansion of evidenced based programs to prioritize access to early childhood development, prevention, and vulnerable youth programs, without supplanting existing City of Santa Cruz services or investments. The available funding will be calculated based on the prior year's audited financial statements. The specific allocation of funds will be determined by the City Council on an annual basis as part of the budget process. The City Council may designate a process for obtaining recommendations related to the use or award of the funds.

AUTHORIZATION: Adopted by Resolution No. NS-29,323 November 14, 2017

Grant Submittal and Administration Policy

City of Santa Cruz I-17
Administrative Procedure Order
Section I, #17 (Revised July 2016)

TO: Department Heads

SUBJECT: GRANT SUBMITTAL AND ADMINISTRATION

PURPOSE

To clarify procedures for the application, approval, and administration of grants.

POLICY

Except in certain situations (described in Section II.e.), prior to the submittal of a grant application to the funding agency, the City Council shall authorize its submittal at a City Council meeting. Whenever possible, City Council approval of a grant application for significant projects, programs, or equipment should precede any request to other governmental bodies for letters in support of the application, regardless of grant value. If awarded, grants exceeding \$50,000 in value shall also be formally accepted by the City Council, unless its acceptance was preauthorized at the time of application.

PROCEDURE

I. General Responsibility of City Departments

- a. City departments shall be responsible for seeking and administering grants in their services areas.
- b. The department receiving the grant shall be responsible for preparing and submitting the grant application. If assistance is required from other departments, the departments should be engaged in a timely manner.
- c. The Planning Department shall be responsible for providing advice to the departments, if requested, to determine what environmental documents are necessary for the project. The department receiving the grant will be responsible for preparing the environmental documents as necessary.
- d. The Finance Department shall serve as the fiscal coordinator for all grants and shall act as the liaison with the auditors of the granting agencies.
- e. The City Clerk's Division shall be the primary office of record for all grant contracts and agreements.

Grant Submittal and Administration Policy

II. City Council Approval of Application

- a. Grant application requests should be agendaized for City Council authorization in advance of submittal. The agenda report should include, to the best of the department's knowledge, a description of the grant program or project, amount requested, and anticipated fiscal impacts associated with acceptance of the grant (i.e., staff time, local financial match, or equipment costs to be incurred by City departments). If a resolution is required by the funding agency, a draft should be included in the agenda report.
- b. Preauthorization to accept the grant and execute any and all agreements if the grant is awarded may be included in the agenda report's motion or resolution.
- c. If a signed and certified copy of the adopted City Council resolution is required for enclosure in the grant application to the funding agency, the department shall request this of the City Clerk's Division in advance of the City Council meeting.
- d. Following City Council approval, the department shall submit the application and transmittal letter to the granting agency and retain copies per the City's records policy.
- e. Exemptions from City Council Preauthorization to Apply
 - i. Any grant applications that cannot be presented to the City Council for authorization prior to submittal due to time constraints with the application deadline will be brought to a future City Council meeting for authorization as soon as possible and prior to the acceptance of the grant by the department. In the intervening time, prompt notice of the grant application to the City Council should be provided.
 - ii. Any grant that is sought to implement the City Council-approved Capital Improvement Program, a City Council-approved master plan, or a City Council-approved project shall not require a preauthorization of the application by the City Council. Such applications will appear on the monthly grant reporting for the Council's information (Section V.b.).
 - iii. Any grant application that entails a replacement of equipment shall not require a preauthorization by the City Council.

III. Grant Acceptance, Contracting, and Receipt of Funds

- a. Grants awarded of up to \$50,000 in value may be administratively accepted by the City Manager.

For grant awards exceeding \$50,000, the receiving department shall prepare a budget adjustment request and an agenda report authorizing the City to accept the grant and execute and submit all documents that may be necessary to complete the project. If the City Council approval to apply for the grant also preauthorized its acceptance if awarded, this step is not necessary. Likewise,

Grant Submittal and Administration Policy

for grants exempt from City Council preauthorization (Sections II.e.ii. and iii.) or if an award was anticipated during the preparation of the budget and a budget adjustment is technically unnecessary, the grant need not be presented to the City Council upon award.

- b. Upon receipt of the contract documents from the funding agency, the department receiving the grant shall be responsible for acquiring all necessary signatures and returning contracts to the funding agency. A copy of the executed contract shall be forwarded to the City Clerk's Division and Finance Department.
- c. All checks and letters of credit shall be made payable to the City Treasurer and addressed to the City of Santa Cruz, c/o Finance Department.
- d. Any grant checks and supporting documentation received by a department shall immediately be forwarded to the Finance Department.
- e. The Finance Department will deposit all checks, entering the grant number and title.

IV. Reporting to Funding Agency

- a. During the grant program period, the submittal of any required program progress reports, financial reports, or claim documents shall be the responsibility of the department administering the grant. Upon the request of the department administering the grant, the Finance Department will assist in the preparation of financial reports and claims documents. The Finance Department will receive a copy of all claim requests.
- b. Upon the completion of a grant-funded project, the department administering the grant shall inform appropriate departments of the completed project. Final project reports and evaluations, as required by granting agencies, are the responsibility of the department administering the grant.

V. Reporting to Council

- a. The Finance Department will track and maintain records on the status of all grants.
- b. The City Manager's Office shall prepare a monthly information report for the City Council with information on the status of all grants. Councilmembers may call up a grant to a regular City Council meeting for City Council deliberation and action.

Donations & Contributions Policy

City of Santa Cruz I-76
Administrative Procedure Order
Section I, #76 (Revised August 2014)

TO: Department Heads

SUBJECT: DONATIONS AND CONTRIBUTIONS

POLICY

Council Policy 12.10 gives the City Manager authority to accept and appropriate donations and contributions up to \$50,000 to support projects and programs previously approved by the City Council, without formal acceptance by the City Council. Appropriation of all other donations must be approved by the City Council.

The receiving department is responsible for sending a thank you letter to the donor and for ensuring that the donation is used only for its intended use. In addition, departments are required to prepare annual reports regarding donations and contributions received.

PROCEDURE

I. Cash Donations and Contributions

Cash donations (cash, check, money order, or credit card) will be received through the City's cash receipting system. Departments that do not have their own cash receipting stations will forward revenue vouchers to the Finance Department Revenue Division.

II. Budgeting Cash Donations and Contributions

Generally, expenditures should not be budgeted until after donations have been received, i.e., appropriations for expenditures should not be based on anticipated donations, but on revenues already received. Appropriations for expenditures may be included in the Adopted Budget, if the funds, or a commitment for the funds, have already been received. The budget may also include anticipated funds such as those expected to be included in the State or other agency budget.

A. Budget Adjustment Required

For donations and contributions not appropriated as part of the Adopted Budget, departments will request a budget adjustment, as described in APO I-9. This should include an adjustment to the revenue estimate as well as the appropriation for expenditures. The "Reason for Adjustment" section should include information about City Council approval of the project or program, e.g., when City Council approved the project or program, or that it is part of the department's normal ongoing operations.

Donations & Contributions Policy

General contributions and donations that do not require appropriations, i.e., are not designated for specific purposes or programs and will instead offset existing appropriations, do not require a budget adjustment.

B. Donations Funds

For General Fund activities, donations and contributions will be received into, and appropriations for expenditures made in, Fund 161 (Contributions and Donations - General) or 162 (Contributions and Donations - Parks and Recreation).

When all or a portion of the donation will pay for personnel costs, it will be budgeted as an intrafund labor cost in the donations fund, and as an intrafund labor credit in the home activity for the position(s) charging to the donation.

Interest on unspent donations and contributions will be allocated to the General Fund, unless otherwise required by the terms of the donation or contribution.

C. Project Accounting

All cash donations and contributions, in all funds, will be budgeted in a project in project accounting, with all revenues received into and expenditures charged to the project.

III. **Cash Donations Spent by Others on Behalf of City Projects or Programs**

The department head has the authority to approve the use of monetary donations received and spent by nonprofit organizations established to support City projects and programs previously approved by the City Council. A description of these donations should be included in the annual report.

IV. **Non-Cash Donations and Contributions**

Departments may receive non-cash donations to support City projects, programs, or events. If the value of the donation is greater than \$50,000, the department should give the City Council an information report describing the donation and its use, with a copy to the Finance Department. A description of these donations should be included in the annual report.

Departments may receive donations of or improvements to City assets. The department should notify the Finance Department at the time the improvement or asset is accepted. If the value of the donation is greater than \$50,000, the department should also give the City Council an information report describing the donation and its use.

Departments have the same custodial responsibility for maintaining control of and reporting on donated assets as they have for any other City assets.

V. **Annual Report**

Departments will prepare an annual report on donations/contributions, including funds spent on behalf of the City by outside organizations (e.g., F.O.P.A.R., Museum Association, sports leagues) and in-kind contributions.

Donations & Contributions Policy

Departments will submit these reports to the Finance Department by the end of the second week in September of each year, and will include information regarding activity for the previous fiscal year (ending June 30th). The Finance Department will consolidate the information from departments into a report to the City Council.

VI. Thank-You Letter

The receiving department will send a thank you letter to the donor. The letter should include the following information:

The City of Santa Cruz is a California municipal corporation. Contributions made directly to a city may be deductible if the gift is made exclusively for public purposes and the donor does not receive any substantial benefit in return for the contribution. The Internal Revenue Code may limit the percentage of charitable deductions allowed in certain circumstances.

The City's Federal Tax Identification Number is 94-6000427.

Please consult your tax advisor to determine how tax regulations apply to your own circumstances.

BUDGET GLOSSARY

Accrual Basis - Revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the related cash flows take place.

Activities - Specific services performed in accomplishing Department objectives and goals.

Appropriation - An authorization made by Council that permits the City to incur obligations and to make expenditures of resources.

Assessed Valuation - A value established for real property for use as a basis in levying property taxes. For all agencies in the State of California, assessed value is established by the County for the secured and unsecured property tax rolls; the utility property tax roll is valued by the State Board of Equalization. Under Article XIII of the State Constitution (Proposition 13 adopted by the voters on June 6, 1978), properties are assessed at 100% of full value. Proposition 13 also modified the value of real taxable property for fiscal 1979 by rolling back values to fiscal 1976 levels. From this base of assessment, subsequent annual increases in valuation are limited to a maximum of 2%. However, increases to full value are allowed for property improvements or upon change in ownership. Personal property is excluded from these limitations, and is subject to annual reappraisal. Property taxes for general purposes cannot exceed 1% of assessed value.

Audit - Prepared by an independent certified public accountant (CPA), the primary objective of an audit is to determine if the City's financial statements fairly present the City's financial position and results of operations in conformity with generally accepted accounting principles. In conjunction with performing an audit, independent auditors customarily issue an Independent Auditors' Report stating the adequacy of the City's internal controls as well as recommending improvements to the City's financial management practices.

Bonds - A form of borrowing (debt financing) which reflects a written promise from the City to repay a sum of money on a specific date at a specified interest rate. Bonds are used to finance large capital projects such as buildings, streets, and utility infrastructure.

Budget - A financial plan for a specified period of time that matches projected revenues and planned expenditures to municipal services, goals, and objectives.

Budget Amendment - Under the City Charter, the Council has the sole responsibility for adopting the City's budget, and may amend or supplement the budget at any time after adoption by majority vote. The City Manager has the authority to approve administrative adjustments to the budget as long as those changes will not have a significant policy impact nor affect budgeted year-end fund balances.

Budget and Fiscal Policies - General and specific guidelines adopted by the Council that govern financial plan preparation and administration.

Budget in Brief - Included in the opening section of the budget, the Budget in Brief is a message from the Finance Director that provides the Council and the public with a summary of key financial indicators of the City's Annual Budget.

Budget Message - Included in the opening section of the budget, the Budget Message provides the Council and the public with a general summary of the most important aspects of the budget, changes from previous fiscal years, and the views and recommendations of the City Manager.

Budget - A financial plan for a specified period of time that matches projected revenues and planned expenditures to municipal services, goals, and objectives.

Capital Assets - Expenditures of a long-term nature such as land, buildings, machinery, furniture, and other equipment. The City has defined such assets as those with an expected life in excess of one year and an acquisition cost in excess of \$5,000.

Capital Investment Program (CIP) - A multi-year program (plan) for maintaining or replacing existing public facilities and assets, and for building or acquiring new ones.

Capital Outlay - Expenditures to acquire, rehabilitate, or construct general capital assets and major improvements.

Carryover - Unspent funds, either encumbered, reserved, or unobligated, brought forward from prior fiscal years.

City Stabilization Reserve - Established by Council June 11, 2013, this reserve covers a two-month operating balance that could be used to temporarily offset significant revenue declines, to temporarily offset cash flow needs or for urgent facility costs to preserve safety or operations. Should the reserve fall below two months, it would require notification and may require approval by bond holders and a report to the City Council on the strategy to return to the minimum funding level. The remaining portion for the unfunded obligation reserve (initially funded at \$2.1 million) could be used to pay down internal loans, pension obligation bonds, or offset post-employment health care obligations.

Climate Action Plan - In order to improve the energy efficiency of municipally owned and/or operated facilities, the City has developed a Climate Action Plan. Projects that support the Climate Action Plan have been identified in the CIP section of the budget.

Debt Financing - Borrowing funds for capital improvements needed today and pledging future revenues to repay principal and interest expenditures (see Debt Service).

Debt Instrument - Method of borrowing funds, including general obligation bonds, revenue bonds, lease/purchase agreements, lease-revenue bonds, tax allocation bonds, certificates of participation, and assessment district bonds.

Debt Service - Payments of principal and interest on bonds and other debt instruments according to a pre-determined **schedule**.

Debt Service Fund - This fund type is used to account for the payment and accumulation of resources related to general long-term debt principal and interest; debt service payments related to enterprise operations are directly accounted for in those funds.

Department - A major organizational unit of the City that has been assigned overall management responsibility for an operation or a group of related operations within a functional area.

Encumbrance - Financial commitments, such as Purchase Orders or contracts, which are chargeable to an appropriation and for which a portion of the appropriation is reserved.

Enterprise Funds - Enterprise funds establish a separate accounting and financial reporting mechanism for municipal services for which a fee is charged in exchange for goods or services. It is the City's intent that the costs (including depreciation) of providing goods or services to the general public be financed or recovered primarily through user charges. The City has established five enterprise funds: Water, Waste Water, Storm Water, Refuse, and Parking.

Expenditure - The outflow of funds paid or to be paid for assets, goods, or services, regardless of when the invoice is actually paid. This term applies to all funds. Note: An encumbrance is not an expenditure; an encumbrance reserves funds to be expended (see Encumbrance).

Financial Position - The term financial position is used generically to describe either fund balance or working capital. Because governmental and enterprise funds use different bases of accounting, fund balance and working capital are different measures of results under generally accepted accounting principles. However, they represent similar concepts: resources available at the beginning of the year to fund operations, debt service, and capital improvements in the following year.

Fiscal Year - The beginning and ending period for recording financial transactions. The City has specified July 1 to June 30 as its fiscal year.

Fund Balance - Also known as financial position, fund balance for the governmental fund types is the excess of fund assets over liabilities, and represents the cumulative effect of revenues and other financing sources over expenditures and other financing uses. Fund balance is a similar (although not exact) concept as working capital in the enterprise funds (see Working Capital).

Fund - An accounting entity that records all financial transactions for specific activities or government functions. The six generic fund types used by the City are: General Fund, Special Revenue, Debt Service, Capital Projects, Enterprise, and Internal Service Funds.

General Fund - The primary operating fund of the City, all revenues that are not allocated by law or contractual agreement to a specific fund are accounted for in the General Fund. Except for subvention or grant revenues restricted for specific uses, General Fund resources can be utilized for any legitimate governmental purpose (see Fund).

Goal - A statement of broad direction, purpose, or intent.

Governmental Funds - Funds generally used to account for tax-supported activities. The City utilizes four different types of governmental funds: the General Fund, Special Revenue Funds, Debt Service Funds, and Capital Projects Funds.

Investment Revenue - Interest income from the investment of funds not immediately required to meet cash disbursement obligations.

Major City Goals - Provides policy guidance and direction for the highest priority objectives to be accomplished during the year.

Modified Accrual - An accounting method that recognizes revenues when they become available and measurable, and recognizes expenditures when liabilities are incurred.

Objective - A statement of specific direction, purpose, or intent, based on the needs of the community and the goals established for a specific program.

Operating Budget - The portion of the budget that pertains to daily operations and delivery of basic governmental services.

Operations - A grouping of related programs within a functional area.

Overhead - Administrative costs associated with city-wide operations which cannot be attributed to any specific department. These costs are subject to a city-wide overhead cost allocation plan to distribute costs on an equitable basis to departments and funds that are the beneficiaries of such expenses.

Reserve - An account used to indicate that a portion of a fund's balance is legally restricted for a specific purpose and is, therefore, not available for general appropriation.

Revenue Bonds - Bonds sold to construct a project that will produce revenues pledged for the payment of related principal and interest.

Special Revenue Funds - This fund type is used to account for the proceeds from specific revenue sources (other than Trusts or major capital projects) that are legally restricted to expenditures for specific purposes.

Subventions - Revenues collected by the State (or other level of government) that are allocated to the City on a formula basis. The major subventions received by the City from the State of California include motor vehicle in-lieu and gasoline taxes.

Trust - (As related to City Trust, ED Trust, etc.) A fund established to hold funds dedicated to a specific project or purpose.

Working Capital - Also known as financial position in private sector accounting, and in enterprise fund accounting in the public sector, working capital is the excess of current assets over current liabilities. For the enterprise funds, this term is a similar (although not exact) concept as fund balance in the governmental fund types.

ACRONYMS

BFCC	Beach Flats Community Center
CAFR	Comprehensive Annual Financial Report
CalPERS	California Public Employees' Retirement System
CDBG	Community Development Block Grant
CIP	Capital Investment Program
COP	Certificates of Participation
CPVAW	Commission for the Prevention of Violence Against Women
CRM	Cooperative Retail Management
CSO	Community Service Officer
DMC	Downtown Management Corporation
DOF	Department of Finance (California)
ED	Economic Development
EOC	Emergency Operations Center
FTE	Full-Time Equivalents
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GFOA	Government Finance Officers Association
GIS	Geographic Information System
GO	General Obligation
IHAPP	Inclusionary Housing Affordability Preservation Program
ISF	Internal Services Fund
IT	Information Technology

Acronyms

JPA	Joint Powers Authority
LAIF	Local Agency Investment Fund
LMIHF	Low and Moderate Income Housing Fund
MBNMS	Monterey Bay National Marine Sanctuary
MOU	Memorandum of Understanding
OES	Office of Emergency Services
OPEB	Other Post-Employment Benefits
PEPRA	Public Employees' Pension Reform Act
PERS	Public Employees' Retirement System
POB	Pension Obligation Bond
RDA	Redevelopment Agency
ROPS	Recognized Obligation Payment Schedule
SA	Successor Agency (City of Santa Cruz, as Successor Agency to the former Santa Cruz Redevelopment Agency)
SCC	Santa Cruz County
SCMU	Santa Cruz Municipal Utilities
SCO	State Controller's Office (California)
TOT	Transient Occupancy Tax
UCSC	University of California, Santa Cruz
UUT	Utility Users Tax
VLf	Vehicle In-Lieu Fees
WSAC	Water Supply Advisory Committee