

# City of Santa Cruz

California

2021 Annual Budget  
July 1, 2020 – June 30, 2021





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# **ADOPTED ANNUAL BUDGET**

## **Fiscal Year 2021**

**July 1, 2020 – June 30, 2021**

**Justin Cummings  
Mayor**

**Donna Meyers  
Vice Mayor**

**Renee Golder  
Councilmember**

**Katherine Beiers  
Councilmember**

**Cynthia Mathews  
Councilmember**

**Sandy Brown  
Councilmember**

**Martine Watkins  
Councilmember**

**Martín Bernal  
City Manager**



GOVERNMENT FINANCE OFFICERS ASSOCIATION

*Distinguished  
Budget Presentation  
Award*

PRESENTED TO

**City of Santa Cruz  
California**

For the Fiscal Year Beginning

**July 1, 2019**

*Christopher P. Morill*

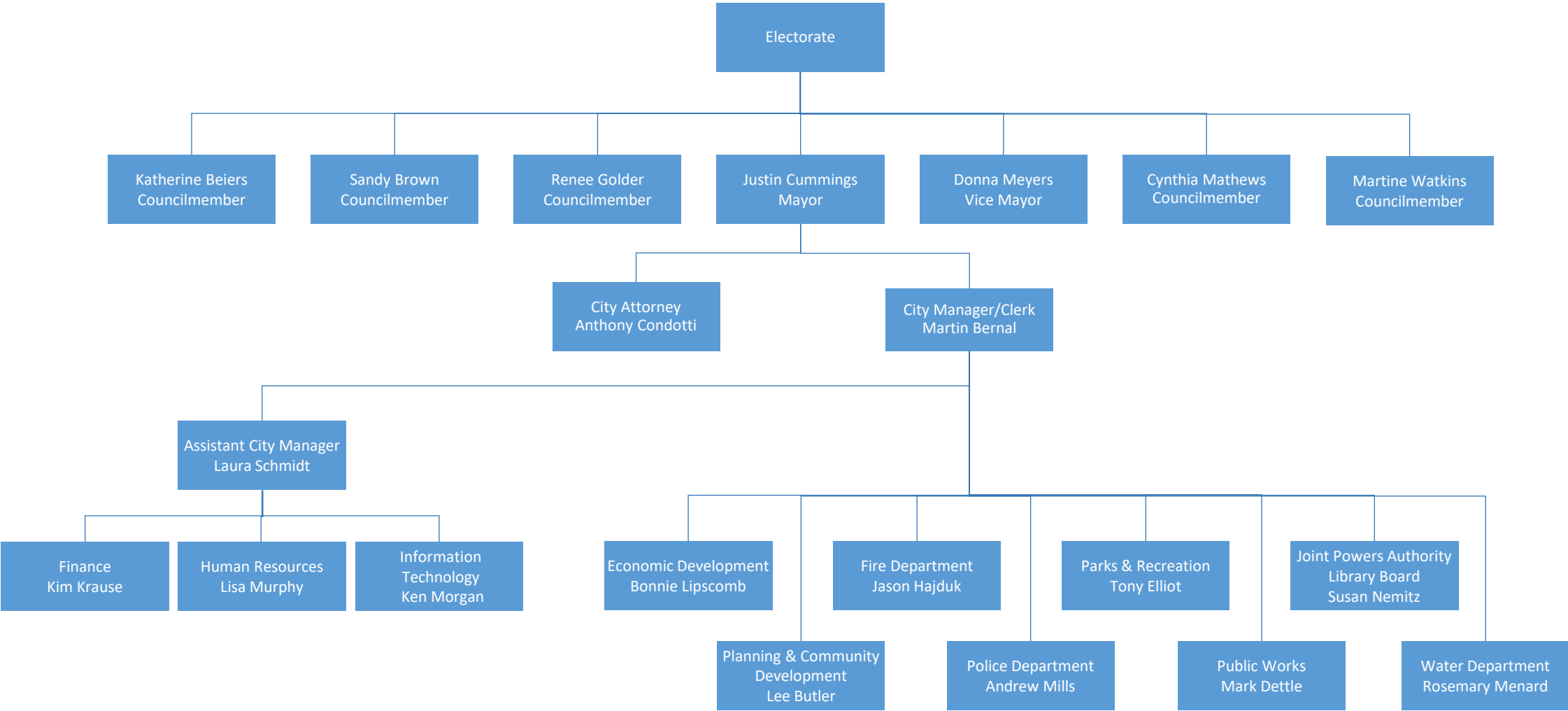
Executive Director



# City of Santa Cruz Boundary



**FY 2021 City of Santa Cruz Organization Chart**





## Administrative Staff

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City Manager .....	Martín Bernal
Assistant City Manager .....	Laura Schmidt
Chief of Fire .....	Jason Hajduk
Chief of Police .....	Andrew Mills
City Attorney .....	Anthony Condotti
Director of Economic Development .....	Bonnie Lipscomb
Director of Finance.....	Kim Krause
Director of Human Resources.....	Lisa Murphy
Director of Information Technology.....	Ken Morgan
Director of Libraries .....	Susan Nemitz
Director of Parks and Recreation.....	Tony Elliot
Director of Planning and Community Development .....	Lee Butler
Director of Public Works .....	Mark Dettle
Director of Water .....	Rosemary Menard

## Board, Commission & Committee Chairpersons

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Arts Commission .....	Louise Leong
Board of Building and Fire Appeals.....	Thomas Kern
Commission for Prevention of Violence Against Women .....	Tracy Wood
Downtown Commission.....	Deidre Hamilton
Equal Employment Opportunity Committee .....	Tremain Hedden-Jones
Historic Preservation Commission .....	Joe Michalak
Parks and Recreation Commission .....	JM Brown
Planning Commission.....	Andy Schiffrin
Sister Cities Committee.....	Michele Peregrin
Transportation and Public Works Commission .....	Peggy Dolgenos
Water Commission.....	Doug Engfer

# Guide to the Document

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This Guide to the Document gives a brief description of the document organization and the different sections within the document.

## **Budget Dashboard**

This section of the budget document contains important information with regards to the City of Santa Cruz and the budget. The **City Manager’s Message** gives an overview of the City’s goals and accomplishments. The **Budget in Brief** is a quick look at the budget. Numbers shown here are staffing levels, the City-wide budget and the General Fund budget by source (revenue) and use (expenditures). Also included in this section is a **City Introduction**. Information included here are a description of the City’s government structure and services, the basis of accounting, the budget process and the City’s fund structure.

## **Primary General Fund Budget and General Tax Trends**

This section of the budget document focuses on the City’s primary general fund and general tax revenues. **Primary General Fund Budget Net of Program Revenues and Charts** provides information about total expenditures, how much of those total expenditures are financed with program revenues and other allocated sources, and the resulting net amount of expenditures which need to be supported by taxes and other general revenues. **Primary General Fund Budget and General Revenues Tax Trends and Charts** provide historical and comparative information about the City’s Tax Revenues.

## **City Debt Obligations**

This section includes information about the City’s debt obligations, future planned obligations, and unfunded liabilities (Pensions, Other Post Employment Benefit Obligations, and unfunded capital and infrastructure). Included within the schedules are the City’s outstanding debt and current and future debt service payments.

## **City Council Strategic Goals and Priorities**

In September of 2015, the City Council established seven major strategic goals to guide the City’s efforts to address the community’s most pressing priorities and concerns. The seven goal areas are addressed through two-year work plans. In response to the COVID-19 pandemic, the Council has shifted its effort to focus on the development and implementation of a City Recovery Plan.

## **Community Profile Data and History**

This section provides basic demographic information for the City of Santa Cruz and a timeline of important historic dates for the city.

## **Personnel Profile-Overview**

This section provides information about position additions and deletions by department from Fiscal Year (FY) 2020 to FY 2021.

# Guide to the Document

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## Authorized Positions

This section includes information about authorized position counts for all departments, including all positions in the department, supported by all funding sources. The schedule is displayed by the authorized positions in the department for the FY 2019 amended budget, FY 2020 adopted budget, authorized positions currently in the FY 2020 amended budget, the positions included in the budget for FY 2021, and the change from the FY 2020 amended budget to the FY 2021 Adopted. The Personnel Profile includes each department's authorized positions, including those that are funded by other departments and those positions that are authorized but not funded.

## Department Summaries

This section provides a description of the department and the total **Expenditures by Character** for the FY 2019 actual expenditures, the FY 2020 adopted budget, the FY 2020 amended budget, the FY 2020 estimated actual, and the proposed budget for FY 2021. The **Expenditures by Activity** section displays the same information by activity and fund, and **Resources by Fund** displays the total funding sources by fund followed by the **Net General Fund** cost. The **Total Authorized Personnel by Department** line shows the total number of positions authorized by the department.

## Capital Outlay

The capital outlay section lists the specific capital equipment items that are funded in the capital outlay accounts in the expenditure detail section of each activity and department. Capital Outlay expenditures should eventually be classified as Capital Assets in the City's Comprehensive Annual Financial Report (CAFR). Capital Assets are defined by the City as assets having an estimated useful life in excess of one year, and an initial, individual cost in excess of the capitalization thresholds described below:

Infrastructure	\$ 25,000
Buildings and lease improvements	10,000
Land improvements	10,000
Machinery and equipment	5,000
Intangibles	5,000

## Capital Investment Program

The Capital Investment Program identifies major capital projects and funding sources. A project is considered a Capital Investment project if it is undertaken to acquire a capital asset (construction of public building, street improvements, fire trucks), will have a long service life and is nonrecurring, or is an expensive project or considered major. A more detailed guide is included in the introductory section of the Capital Investment Program Budget document.

# Guide to the Document

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## Financial Summaries

This section of the budget document gives the reader an overall representation of the City and the budget.

- **Summary of Projected Revenues and Other Financing Sources by Fund Type** provides information about each revenue source, both from a historical perspective and the future year projected amounts.
- **Summary of Projected Expenditures and Other Financing Uses by Fund Type** provides information about the operating budget for each fund including total Operating Budget, Debt Service, and Capital Outlay.
- **Revenues and Other Financing Sources-Primary General Fund** provides information about each General Fund revenue source, both from a historical perspective and the future projected amounts.
- **Operating Expenditures by Department-Primary General Fund** provides information about each General Fund account both from a historical perspective and the future projected amounts.

## Index

The Index Section has been expanded to include our financial policies. A **Legal Debt Limit** schedule is presented as of FY 2019 (most current assessed City of Santa Cruz real and personal property value). Also included are some of the policies that can affect the budget. Policies included are the **Budgetary Policy, Debt Management Policy, General Fund Reserve Policy, Budget Adjustment Policy, budget sections of the City Charter, Council Policy-Finance & Accounting, Grants Policy, and the Donations & Contributions Policy**. The **Acronyms and Glossary** sections provide definitions to many of the acronyms used throughout the document.





# Budget Dashboard

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2021 Annual Budget

# Budget Dashboard

## OVERVIEW

This section contains the following information:

- City Manager's Budget Message
- Budget in Brief
- Community Profile
- City Introduction
- Primary General Fund Budget & General Revenues
- Debt Service Obligations
- City Council Goals and Priorities (2015)
- City History
- Personnel Authorization
- FY 2021 Adopted Budget Resolution





## MEMORANDUM

DATE: May 7, 2020

TO: Mayor and Members of the City Council

FROM: Martin Bernal, City Manager

SUBJECT: NOVEL CORONAVIRUS BUDGET MESSAGE

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With the backdrop of an unprecedented pandemic, I present you with the proposed budget for Fiscal Year 2021. The novel coronavirus (COVID-19) is the overarching influence and brings a crisis on a scale that we have never seen in our lifetimes. This crisis is affecting all of our work and personal lives. The level of change and uncertainty is extraordinary.

The demands on City staff to serve as the emergency management team while implementing a remarkable array of responses and ensuring the safety of the public and our employees has been exhausting. It has challenged our bandwidth and stretched many of us to beyond capacity. We are working seven days a week with many putting in 12 to 16 hour days for almost two months now. And while we are constantly worried about everyone who lives in the City, we are especially worried about the safety of our first responders and essential personnel. Moreover, as with each of you, we continue to worry about our own family members' safety.

The societal and economic impact to our community has also been unparalleled with many residents, community organizations, and businesses struggling to adapt and survive. As a community with a significant tourism economy, the impact has been particularly devastating. Nonetheless, the community has been remarkably resilient and has responded in a multitude of ways to adapt and assist each other. Unfortunately, the stark reality is that we will be dealing with the damaging effects of COVID-19 into the foreseeable future.

The fiscal impacts are no less shocking to our own City budget. There has never been a time in modern City history where we went from a projected budget surplus to a deficit of ten (10) percent within a matter of months, and where the outlook is very much uncertain. As we reported to the City Council at the April 28, 2020 City Council meeting, we expect to finish the current fiscal year ending June 30, 2020 with a \$10.4 million deficit in the General Fund. We will need to draw down our fund balances in order to cover this shortfall. Departments are also being diligent about expenditures to reduce the shortfall as much as possible. Enterprise Funds will be impacted and will have to draw down from their fund balances as well.

As we look into Fiscal Year 2021, primarily as a result of reduced revenues from the impact of the COVID-19 pandemic, we expect another significant deficit in the General Fund of at least \$6 million. The challenge we have is that we do not have enough data and knowledge about our immediate future to make reasonably adequate projections, particularly as it relates to revenues to the General Fund as well as disaster relief (FEMA) reimbursements and Federal stimulus funding. So we will need to prepare and plan for additional reductions in the coming year. The City Council has provided direction to begin discussions with all of our labor groups as we develop strategies to

May 7, 2020

Page 2

avoid layoffs and major impacts to essential services. The City Council has also appointed a Budget Committee tasked with facilitating this process and developing recommendations for City Council consideration. If necessary, after budget adoption, we will be prepared to return to the City Council for further action in September, December, and at the mid-year budget review in February.

Making these adjustments will mean that all of us will have to sacrifice, and every area of the budget will need to be examined. Leadership starts at the top. We were fortunate to be able to provide cost of living adjustments (COLAs) to employees this year and next year in addition to regular step and merit increases. As City Manager, I did not take the three (3) percent and four (4) percent COLAs given to management and executive employees and will not take merit increases (usually five (5) percent). Therefore, I will forego twelve (12) percent in pay increases. In addition, starting with the new fiscal year, I will take a ten (10) percent pay reduction without reducing my hours worked that is expected with a furlough.

Because of our all-hands response to the COVID-19 pandemic, our finance and budget teams were not able to embark on the normal budget development and review process. In addition, we were unable to incorporate all of the changes that have occurred over the last few months. Therefore, the proposed budget is a status quo or working budget that will be modified as necessary.

Moving forward, as we respond to this protracted emergency, it is essential that we work together as a team, as community leaders, with our employee groups and all of the sectors of our community. The level of collaboration and cooperation responding to this crisis amongst the jurisdictions and community organizations in our community has thus far been exceptional. Furthermore, I would like to express my heartfelt appreciation to all of the City staff who are doing superhuman work and how very proud I am of each and every one of them.

In conclusion, I want to be clear that I will lead the City and its staff through this pandemic, and the City will emerge stronger than before. I cannot predict what is yet to come, but remain confident that all of us are performing at our best, and the City Council can expect no less from us into the coming weeks, months, and beyond.

Respectfully submitted,



Martín Bernal  
City Manager



FISCAL YEAR 2020-2021

# BUDGET IN BRIEF

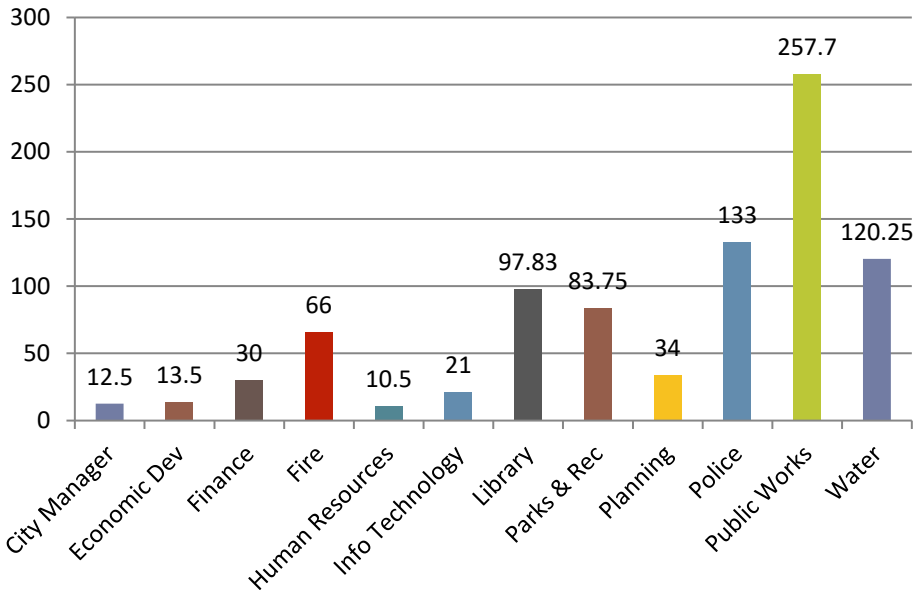


The City of Santa Cruz is home to over 63,000 residents, over 5,000 businesses and receives between 3-4 million visitors per year. With its fantastic beaches, mild temperatures and world famous Beach Boardwalk, Santa Cruz is one of the most desirable destinations along the California coast.

This Adopted budget represents a balanced budget that echoes our continued commitment to safeguarding service and resources to the City's changing needs. By practicing fiscal prudence, the expenditures and revenues presented provide key services, such as Police and Fire, but also necessary services from our Water Department and Public Works Department.

Despite rising pension and personnel costs, the budget is balanced and preserves existing service levels the residents of Santa Cruz expect and deserve.

## Citywide Staff



## Citywide Budget

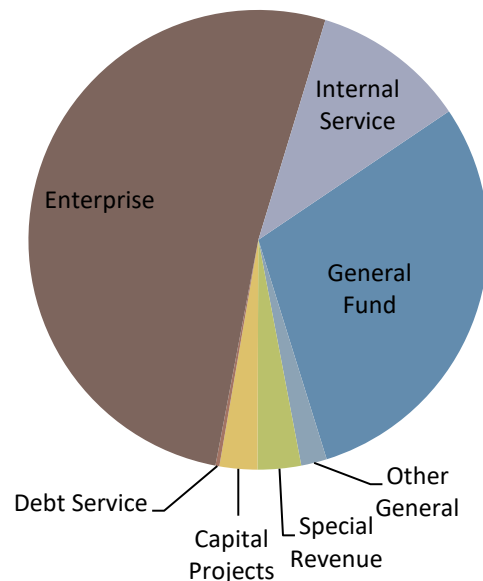
### Citywide Expenditure Budget

Fund Type	FY18/19 Actual	FY19/20 Actual	FY20/21 Adopted
General Fund	\$102.8	\$101.6	\$95.8
Other General	\$5.8	\$4.8	\$5.9
Special Revenue	\$7.4	\$11.8	\$9.9
Capital Projects	\$10.4	\$11.1	\$8.6
Debt Service	\$.9	\$.9	\$.9
Enterprise	\$98.1	\$108.8	\$167.2
Internal Service	\$32.5	\$27.9	\$35.0
<b>Total Expenditures</b>	<b>\$257.8</b>	<b>\$266.8</b>	<b>\$323.0</b>

### Citywide Resources

Fund Type	FY18/19 Actual	FY19/20 Actual	FY20/21 Adopted
General Fund	\$103.9	\$97.6	\$100.2
Other General	\$10.0	\$5.3	\$5.7
Enterprise Fund	\$92.6	\$93.3	\$104.5
All Other Funds	\$52.2	\$53.2	\$48.7
<b>Total Revenues</b>	<b>\$258.6</b>	<b>\$249.4</b>	<b>\$259.2</b>

### Citywide Expenditures



## General Fund Budget

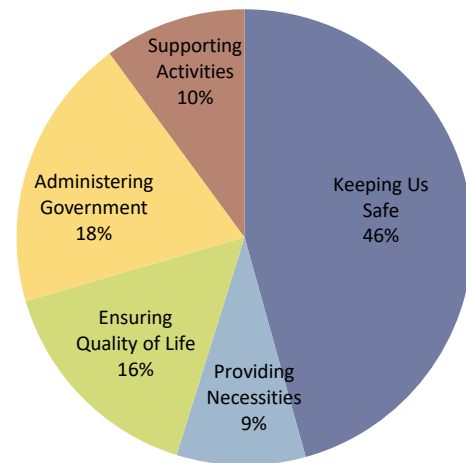
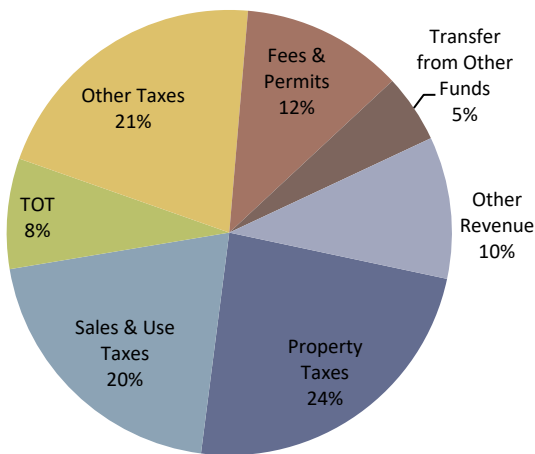
The General Fund serves as the main operating fund of the City. It is used to account for revenues that are not specifically designated for another fund. Revenue sources for the General Fund include property taxes, sales & use tax, transient occupancy tax (TOT), fees, permits, and other charges as well as many other miscellaneous revenue. The General Fund is the primary source of funding for major city services, such as Parks & Recreation, Police and Fire. Personnel costs make up 68.9% of all General Fund uses.

### General Fund Sources by Category

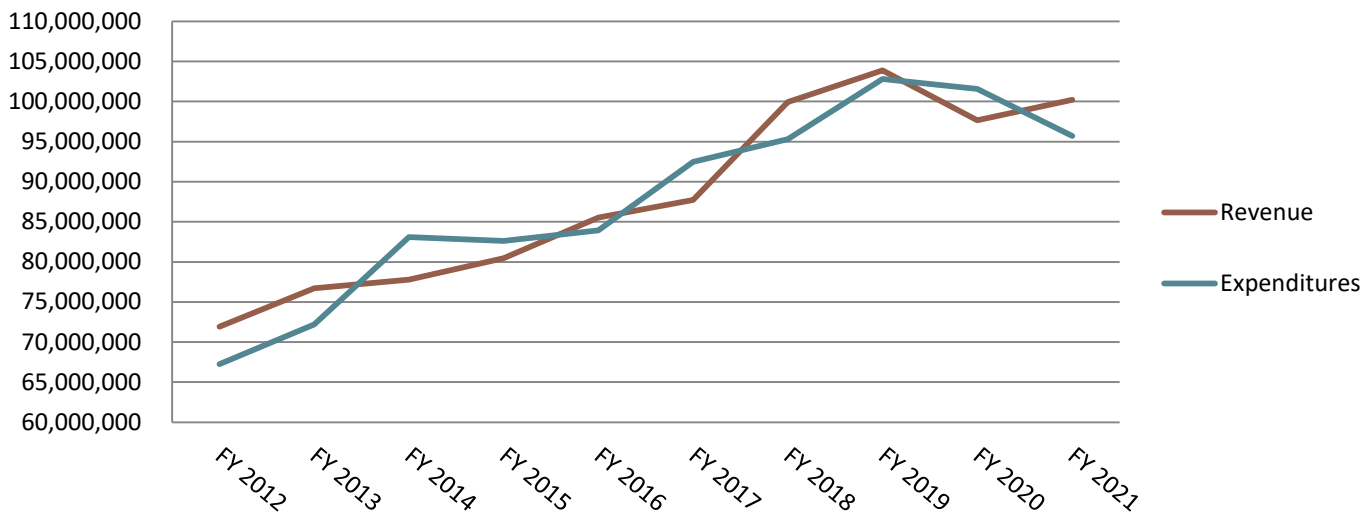
Source Type	FY18/19 Actual	FY19/20 Actual	FY20/21 Adopted
Property Tax	\$22.6	\$23.3	\$23.7
Sales & Use Tax	\$21.9	\$21.4	\$20.4
TOT	\$11.0	\$8.0	\$8.0
Charge for Services	\$17.6	\$15.2	\$15.5
Other	\$30.4	\$29.5	\$30.3
<b>Total Revenues</b>	<b>\$103.5</b>	<b>\$97.5</b>	<b>\$98.0</b>
Transfers From	\$.4	\$.1	\$2.2
<b>TOTAL SOURCES</b>	<b>\$103.9</b>	<b>\$97.6</b>	<b>\$100.2</b>

### General Fund Uses by Function

Function	FY18/19 Actual	FY19/20 Actual	FY20/21 Adopted
Keeping Us Safe	\$45.8	\$46.4	\$43.3
Providing Necessities	\$7.5	\$7.6	\$8.7
Ensuring Quality of Life	\$15.2	\$13.9	\$14.9
Administering Government	\$17.9	\$17.3	\$18.4
Supporting Activities	\$8.6	\$8.7	\$9.6
<b>Total Expenditures</b>	<b>\$94.9</b>	<b>\$93.9</b>	<b>\$94.8</b>
Transfers To	\$7.9	\$7.7	\$.9
<b>TOTAL USES</b>	<b>\$102.8</b>	<b>\$107.0</b>	<b>\$95.8</b>



### General Fund Revenues and Expenditures Trend

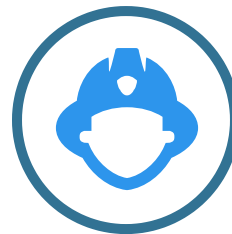


# Community Profile



## Population

64,725

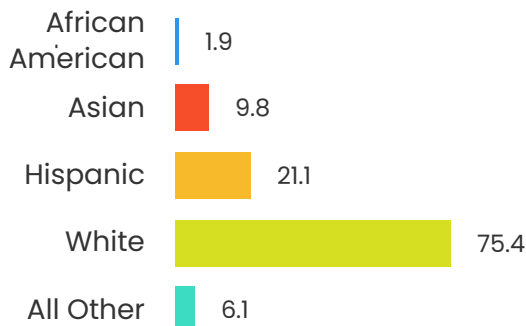


## Jobs

31,100 total employment



## Diversity



## Education

high school graduate or higher 93.7%

bachelor degree or higher 52.5%

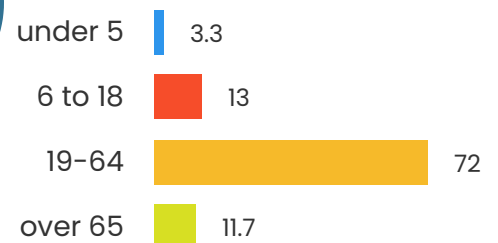


## Median family income

\$70,102



## Age



## Unemployment rate

3.1%



## Family

households 22,363

persons per household 2.43

# Introduction

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The City of Santa Cruz is located on the northern part of the Monterey Bay, approximately 75 miles south of San Francisco and 30 miles west of San Jose. Incorporated in 1866, it received its first charter in 1876. The City currently operates under the provisions of a charter approved by the voters in 1948 and subsequently amended from time to time.

Santa Cruz is the county seat for the County of Santa Cruz. It has an area of 12 square miles and an estimated population of 65,070. Santa Cruz is the home to the University of California, Santa Cruz (UCSC), which opened in 1965 and has a current enrollment of 19,700 students. The City's major industries include tourism, manufacturing, and technology.

## **Government/Services**

The City of Santa Cruz operates under the council-manager form of government.

Policy-making and legislative authority are vested in a seven-member governing council, consisting of the Mayor, Vice Mayor, and five Councilmembers who are elected on a non-partisan basis. Councilmembers serve four-year staggered terms, with either three or four Councilmembers elected every two years. Councilmembers may serve no more than two terms consecutively. The Mayor is selected by a majority of the City Council and serves a one year term. The City Council is responsible, among other things, for passing ordinances, adopting the budget, approving nominees to commissions, and hiring the City Manager, City Clerk, and City Attorney. In 2011, the City Manager was designated as the City Clerk.

The City Manager is responsible for carrying out the policies and ordinances of the City Council, for overseeing the day-to-day operations of the city, and for appointing department heads.

The City provides a broad range of services including police and fire protection; construction and maintenance of streets, parks, flood control, and other infrastructure; a wharf facility; and recreational and cultural activities including a performing arts center and human services programs. It operates four municipal utilities, including water, wastewater, storm water, and refuse collection/landfill operations, as well as enterprise operations for parking facilities. The water utility provides water service beyond the municipal boundaries and has a total service population of approximately 98,000.

Before the February 1, 2012 elimination of the City's legally separate Redevelopment Agency, the City elected to serve as the successor agency for the Redevelopment Agency's operations, including housing operations. As the successor agency, the City is responsible for winding down operations, paying off debt service, and completing certain remaining infrastructure construction and community development activities as included in the Recognized Obligation Payment Schedule (ROPS).

Many major public services delivered within the City's boundaries, such as education and public transportation, are provided by other public agencies. Library services are provided through a Joint Powers Authority agreement that includes the cities of Santa Cruz, Capitola, and Scotts Valley and the County of Santa Cruz.



## Expenditures

Appropriations provide for expenditures that will be incurred and encumbrances that will be established during the fiscal year. Council policy establishes appropriation control at the department and fund level. Changes in appropriations within the same department and fund may be approved by the City Manager, except that transfers greater than \$50,000 between major expense categories (e.g., personnel services, services and supplies, or capital outlay) must be approved by Council. The City Manager is also authorized to accept and appropriate donations and grants of up to \$50,000 for projects and programs previously approved by the City Council. All transfers between funds must be approved by Council.

## Major Revenue Sources

The City's major revenues sources are credibly projected using a combination of an analysis of historic trends, current and future indicators, and external sources like the County of Santa Cruz, the State Budget, State Legislative Analyst Office, League of California Cities, and sales tax consultants.

## Taxes

The City's major tax revenues include property tax, sales and use taxes, and transient occupancy (hotel) tax. The Financial Summaries section of this document includes charts showing revenue history.

As with other charter cities in California, the City of Santa Cruz has limited ability to set tax rates. The State constitution, under the provisions of Proposition 13 passed by the voters in 1978, establishes a maximum rate for property tax and limits the growth of assessed value. The constitution sets the limit for increases of the property tax rate to 2% per year, but upon sale, market value becomes the assessed value. The property tax collected is allocated among the jurisdictions in the City's tax rate areas based on criteria established by the State legislature. The rates and tax base of two of the City's other general revenues, sales and use tax and motor vehicle in lieu fees (VLF), are also controlled by the Legislature.

Changes to existing local taxes and any new taxes require voter approval. Taxes used for general purposes are subject to approval by a simple majority of voters while taxes levied for specific purposes require a two-thirds majority of voters. Assessments must be approved by a majority vote with voting rights apportioned based on the amount of the assessment. Property-related fees require public majority protest procedures and property-related fees for services other than water, wastewater, and refuse must also be approved by a majority of property owners or two-thirds of the electorate.

Recent ballot measures approved by City voters include the following:

- June, 2018—Measure S. Increased the local Sales Tax from 9.00% to 9.25, the maximum allowed by the state.
- November, 2016—Measure D. County Transportation Tax. Impose a half-cent sales tax for 30 years for safety, pothole repair, traffic relief, and transit improvement.

## Major Revenue Sources (continued)

Recent ballot measures approved by City voters include the following (continued):

- November, 2016 —Measure L. Cannabis Business Tax. Impose a tax of no more than 10% on gross receipts of cannabis (marijuana) businesses in the city.
- June, 2016—Measure S. Community Facilities District Library Bond. Authorize parcel tax on real property and authorize bonds to be issued by the Santa Cruz Libraries Facilities Financing Authority.
- November, 2012—Measure Q. Increased the Transient Occupancy Tax from 10.0% to 11.0%, effective in July, 2013.
- November, 2010—Measure H. Increased the Utility Users Tax from 7.0% to 8.5%, effective in spring, 2011.

## Fees & Charges for Services

Fees for services, the City's next largest major revenue source, are subject to requirements that they be set at levels that do not exceed the reasonable costs of providing services. Fund balances generated by differences between fee revenue and related expense are retained and designated for services and facilities that benefit fee payers.

## Budget Basis – Basis of Accounting

The City Council adopts a structurally balanced budget—one that supports financial sustainability multiple years into the future. The City uses a modified accrual basis of accounting in preparing the budget for both Governmental and Proprietary funds. The modified accrual basis is consistent with how the Governmental Funds are reported in the City's Comprehensive Annual financial Report (CAFR) fund statements; however the Proprietary Funds (Enterprise and Internal Service Funds) are reported on a full accrual basis in the annual report. Fiduciary Funds (Trust and Agency Funds) are not reported in the annual budget. However, the City does provide an Agency Summary of the Redevelopment Successor Agency (Trust Fund) as a supplement to the Economic Development Department's schedules.

Under "modified accrual basis," amounts are recognized as revenue when earned, so long as they are collectible within two months after the end of the fiscal period to be used to pay liabilities of the current period. Encumbrances that are outstanding at year-end are re-appropriated in the next year.

## Budget Preparation and Review Process

Under the City Charter, the fiscal year of the City begins on the first day of July of each year. The City Manager is responsible for preparing the annual budget and submitting it to the Council for adoption.

As part of the budget process, all City departments submit budget requests for the next fiscal year. These requests are consolidated by the Finance Department and reviewed by the City Manager to ensure that requests and departmental objectives are consistent with the City's overall goals. The City Manager then presents to the City Council a structurally balanced budget—one that supports financial sustainability multiple years into the future. As required by the Charter, this budget is transmitted to the City Council and made available to the public for review no later than the first Council meeting in June. A public hearing is conducted in May, before final adoption of the budget by the City Council no later than the first regular Council meeting in June to allow the new budget to be integrated into the City's financial system for July 1.

Any new appropriation or appropriation transfer between funds or departments requires approval by the City Council. The City Manager is authorized to approve appropriation transfers within any department and the appropriation of grants, donations, and contributions under \$50,000 for projects and programs previously approved by Council. Expenditures may not exceed appropriations at the department and fund level.

The City Council receives requests for amendments to the budget as needed, and reviews budget results mid-year and at budget adoption. The ongoing review and long range planning focus for financial management provides numerous opportunities to identify and respond to changes in revenues and expenditures and in community priorities.

The Annual Budget Process Calendar illustrated on the following page shows the relationship between City residents, City Council, and City Administration in developing the City's Annual Budget.



# Introduction

## FY 2021 Budget Preparation and Review Process Calendar:

Month	Residents	Mayor & City Council	City Administration	
<b>Oct.</b>	Input on budget priorities and direction through direct contact with Mayor and City Council, community surveys and meetings		Budget schedule and guidelines provided to Departments. Capital Investment Program (CIP) preparation begins.	
<b>Nov.</b>	↓	Review and approval of CAFR providing an understanding of the fiscal status and condition of the City	Presentation of prior year financial results to City Council and community (CAFR)	
<b>Dec.</b>		↓	CIP strategic planning and review. City Manager Approved CIP posted to Internet.	
<b>Jan.</b>		On-going discussions of budget priorities based on input from community and staff	FY 2020 year-end estimates and FY 2021 department requests due to Finance Department	
<b>Feb.</b>		↓	Department meetings with City Manager & Finance to review FY 2021 requests. CIP study session.	
<b>Mar.</b>		↓	Continued Capital Investment Program (CIP) strategic planning and review	
<b>Apr.</b>		↓	Department Budget Hearings and Discussions, review and approval of Final Capital Investment Program (CIP)	Presentation of Final Capital Investment Program (CIP) to City Council and community Proposed Budget completed and made available online
<b>May</b>		Department Budget Hearings open to the public	Changes made per direction from Council and Council adoption of FY 2021 Annual Budget	Changes made per direction from hearings and Final Budget Adoption

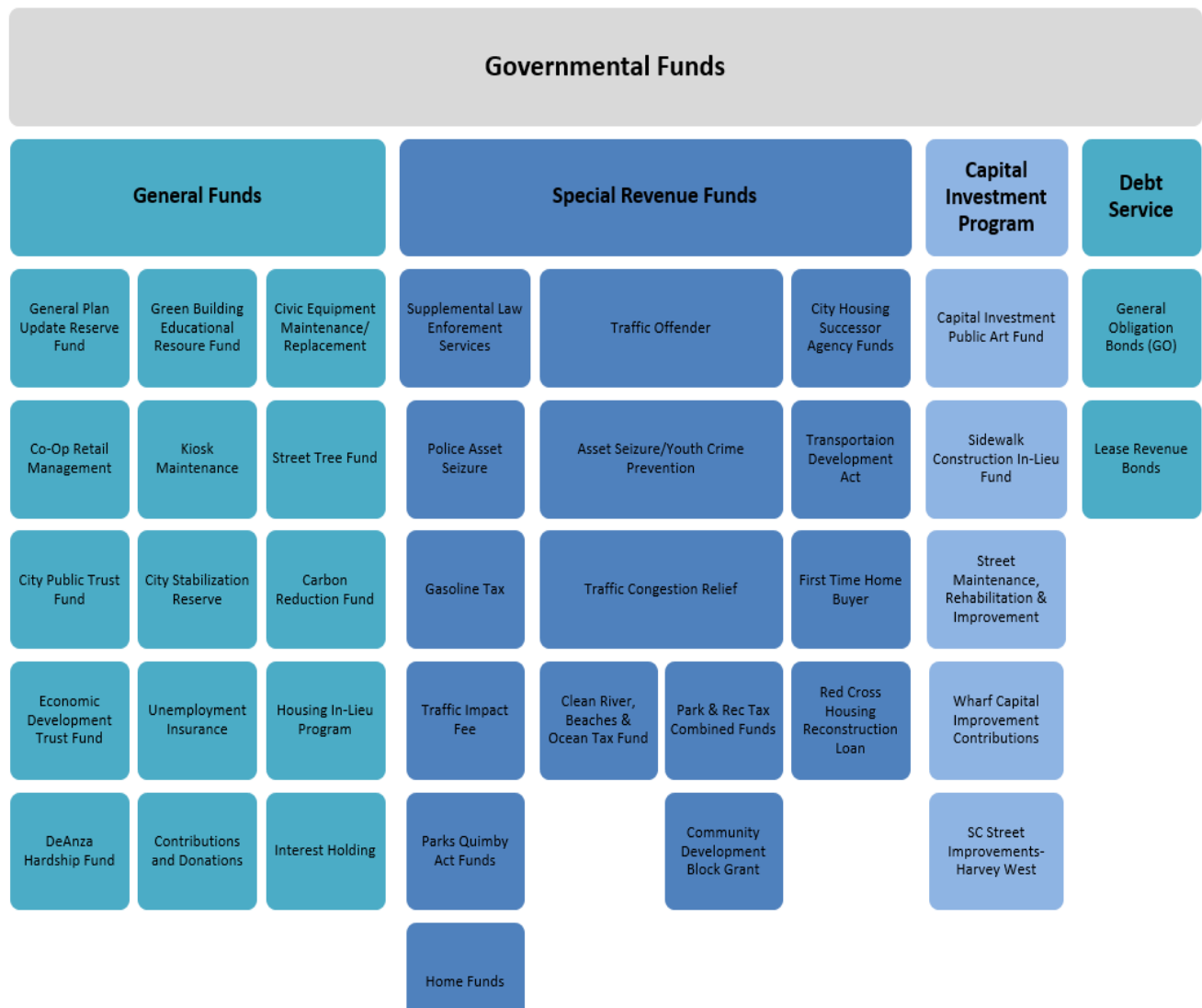
# Introduction

## Fund Structure

The following is a listing of the funds included in the City’s Adopted Budget, with each major fund identified. Descriptions of each of the City’s active funds, with a detailed presentation for FY 2019 actual expenditures and revenues; FY 2020 beginning fund balance, adopted and amended budgets, year-end estimates, and ending fund balance projections; and FY 2021 beginning fund balance, adopted expenditures and revenues, and ending fund balance projections can be found in the “Estimated Available Fund Balance Projections” section of this document. Funds are listed in order of their fund number as found in the Estimated Available Fund Balance Projections section which can be found in the Financial Summaries of this document.

## Governmental Funds

Most of the City’s programs and functions are provided and financed through the following governmental funds, which are focused on the acquisition, use and balances of the City’s expendable financial resources and the related current liabilities. Governmental Funds use the modified accrual method of accounting and are focused on financial position, rather than on determining net income.



**Proprietary Funds**

The City’s Proprietary Funds include both Enterprise and Internal Service Funds. Enterprise Funds are similar to private sector enterprises in that the costs of providing services are recovered through financing and/or user fees and charges rather than through taxes or similar revenues. Internal Service Funds are used to report on activities that provide goods or services to other funds or departments in the City on a cost-reimbursement basis.

Proprietary Funds					
Enterprise Funds					Internal Service Funds
Water Fund	Wastewater Fund	Refuse Fund	Parking Fund	Storm Water Fund	Equipment Operations
Water	Wastewater	Refuse Fund	Parking	Storm Water	Information Technology
Water Rate Stabilization	Wastewater Public Art	Refuse Landfill Closure	Parking Public Art	Storm Water Overlay	Insurance Internal Service Funds
Water Public Art		Refuse Landfill Post Closure Maintenance		Storm Water Public Art	Worker's Compensation Insurance
Water System Development Fees					Liability Insurance
Water Emergency Reserve					Group Health Insurance
Water June Beetle Endowment					

# Introduction

## Department and Fund Relationship

The City’s Annual Budget is organized by the Department Summary—showing expenditures by character, expenditures by activity (program), and resources by fund. Following the Department Summary is the Activity Summary—showing expenditures by activity and activity resources by revenue category for each of the department’s programs. A portion of the City’s General Fund supports all departments except for the Water Department. That specific percentage of General Fund support is identified in the “Percentage of Primary General Fund Budget” chart shown on the Budget at a Glance page located before the Department Summary page.

The following list shows the relationship between the Department, their supporting fund(s) and the fund’s support to the City services provided by the Department:

<b>Department</b>	<b>Fund(s)</b>	<b>City Service Support</b>
City Attorney	General Fund	City Administration
City Council	General Fund City Public Trust Fund	City Administration Community Development
City Manager	General Fund	City Administration
City Non-Department	General Fund Kiosk Maintenance Fund Transportation Development Act Fund	City Administration Economic Development Community Development
Economic Development	General Fund Co-Op Retail Management Kiosk Maintenance Fund Home Rehabilitation Fund Home Acquisition Projects Fund Home Investment Partnership Comm. Development Block Grant Fund CalHome – FTHB Revolving Fund Affordable Housing Trust Fund	Economic Development Economic Development Economic Development Community Development Community Development Community Development Community Development Community Development Community Development
Finance	General Fund Liability Internal Service Fund City Stabilization Reserve Equipment Internal Service Fund	City Administration Public Safety/Risk Services City Administration City Internal Services
Fire	General Fund	Public Safety
Human Resources	General Fund Workers Comp Internal Service Fund	City Administration City Administration/Risk Services
Information Services	General Fund Information Technology Service Fund	City Administration City Internal Services
Parks and Recreation	General Fund Municipal Wharf Fund Civic Equipment Maintenance Fund Parks Quimby Tax Funds Parks Tax Fund	Community Development Community Development Community Development Community Development Community Development



## Department and Fund Relationship (continued)

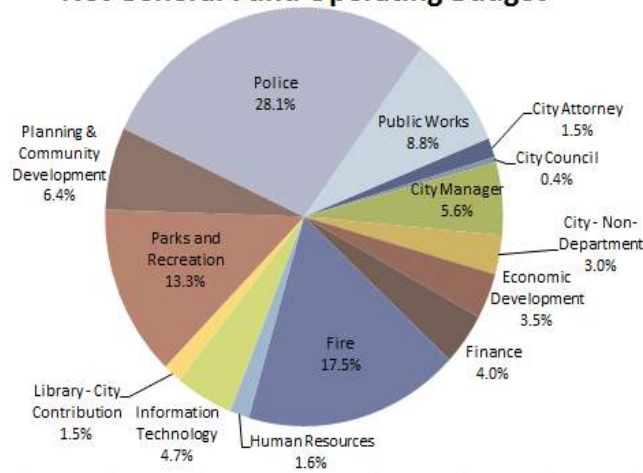
<b>Department</b>	<b>Fund(s)</b>	<b>City Service</b>
Planning & Community Development	General Fund Code Enforcement General Plan Update Fund Green Building Education Fund	Community Development Public Safety Community Development Community Development
Police	General Fund Supplemental Law Enforcement Fund Traffic Offender Fund Police Asset Seizure Fund	Public Safety Public Safety Public Safety Public Safety
Public Works	General Fund Municipal Wharf Fund Gasoline Tax Fund Traffic Impact Fund Clean River, Beaches, Oceans Wastewater Enterprise Funds Refuse Enterprise Funds Parking Enterprise Funds Storm Water Enterprise Funds	Community Development Community Development Community Development Community Development Community Development Public Utility Services Public Utility Services Community Development Community Development
Water	Water Enterprise Funds	Public Utility Services



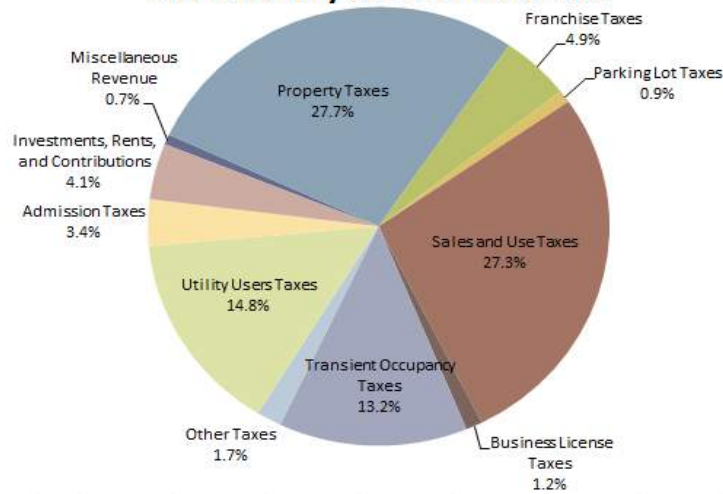
# Primary General Fund Budget and General Revenues

The charts below present different perspectives for the Primary General Fund. The top chart represents the spending side and shows the net operating cost (budgeted appropriations less budgeted revenues) of the departments in the fund. Public safety (Police and Fire) accounts for most of the spending in the fund at 50.8%. The bottom chart represents the funding side and shows the discretionary revenues received by the fund. These are general revenues that are not specifically tied to departments, and are the main revenues that fund the City's core services such as Police, Fire, Administration, and Parks & Recreation. Most of the discretionary funding comes from taxes, with Sales Tax and Property Tax comprising the largest portion at approximately 55% combined.

### Net General Fund Operating Budget



### Discretionary General Revenues



## Primary General Fund Budget Net of Program Revenues

General Fund Departments/Programs	Expenditures and Other Uses	Program Revenues	Interfund, Interagency Sources	Net (Expenditure) Revenue and Changes in Fund Balance	% of Net GF Cost
City Attorney	1,608,654		118,685	(1,489,969)	2.0%
City Council	461,002		211,897	(249,105)	0.3%
City Manager	5,570,909	23,016	909,715	(4,638,178)	6.2%
City - Non-Department	1,036,899			(1,036,899)	1.4%
Economic Development	3,388,532	515,783		(2,872,749)	3.8%
Finance	3,965,410		1,267,324	(2,698,086)	3.6%
Fire	17,775,245	4,446,922		(13,328,323)	17.8%
Human Resources	1,634,284		679,713	(954,571)	1.3%
Information Technology	5,189,695	31,447	1,580,251	(3,577,997)	4.8%
Library - City Contribution	1,744,751	422,402		(1,322,349)	1.8%
Parks and Recreation	13,108,182	2,724,001	1,000	(10,383,181)	13.9%
Planning & Community Development	6,170,206	3,466,080		(2,704,126)	3.6%
Police	25,540,938	711,650		(24,829,288)	33.2%
Public Works	8,672,082	3,734,892	197,556	(4,739,634)	6.3%
<b>Total General Fund</b>	<b>\$ 95,866,789</b>	<b>\$ 16,076,193</b>	<b>\$ 4,966,141</b>	<b>\$ (74,824,455)</b>	<b>100%</b>

### General Revenues and Other Unallocated Sources:

#### Taxes:

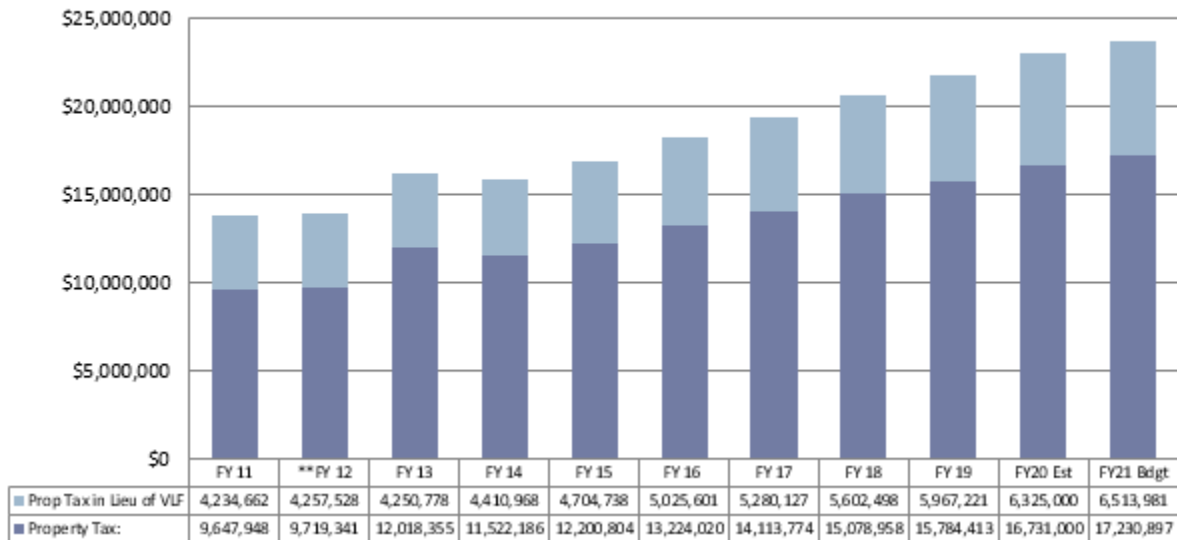
Property Taxes	23,744,878
Sales and Use Taxes	20,384,955
Business License Taxes	827,129
Franchise Taxes	4,083,165
Utility Users Taxes	11,355,379
Transient Occupancy Taxes	8,035,134
Admission Taxes	2,175,423
Parking Lot Taxes	649,493
Other Taxes	1,679,026
<b>Total Taxes</b>	<b>72,934,582</b>

Intergovernmental	73,074
Investments, Rents, and Contributions	3,389,737
Fines and Forfeitures	173,551
Charges For Services and Other Miscellaneous	2,594,612
<b>Total General Revenues and Other Unallocated Sources</b>	<b>79,165,556</b>
Change in Fund Balance	4,341,101
<b>Estimated Fund Balance - Beginning of Year</b>	<b>(1,410,008)</b>
<b>Estimated Fund Balance - End of Year</b>	<b>\$ 2,931,093</b>

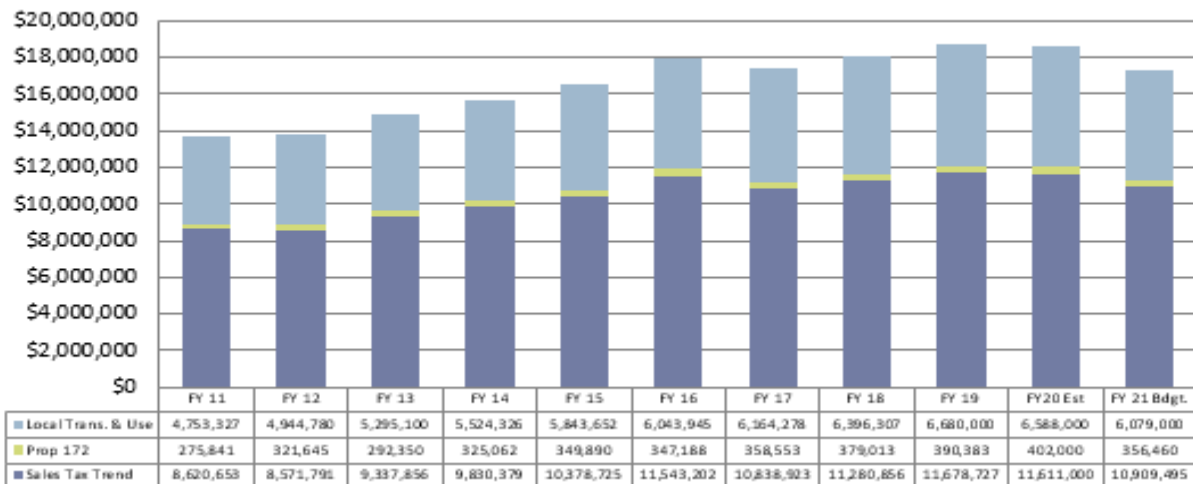
# Primary General Fund Budget and General Revenues

Property and Sales tax are the two largest sources of tax revenue for the City's Primary General Fund. Property taxes and sales taxes account for approximately 27% and 22%, respectively, of the fund's total estimated tax revenues for FY 21.

### Property Tax Trend



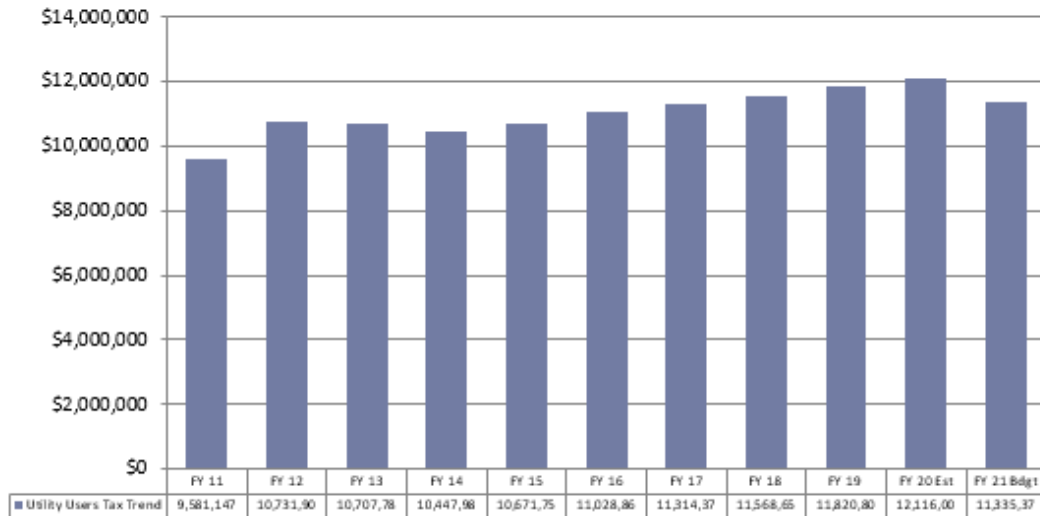
### Sales Tax Trend



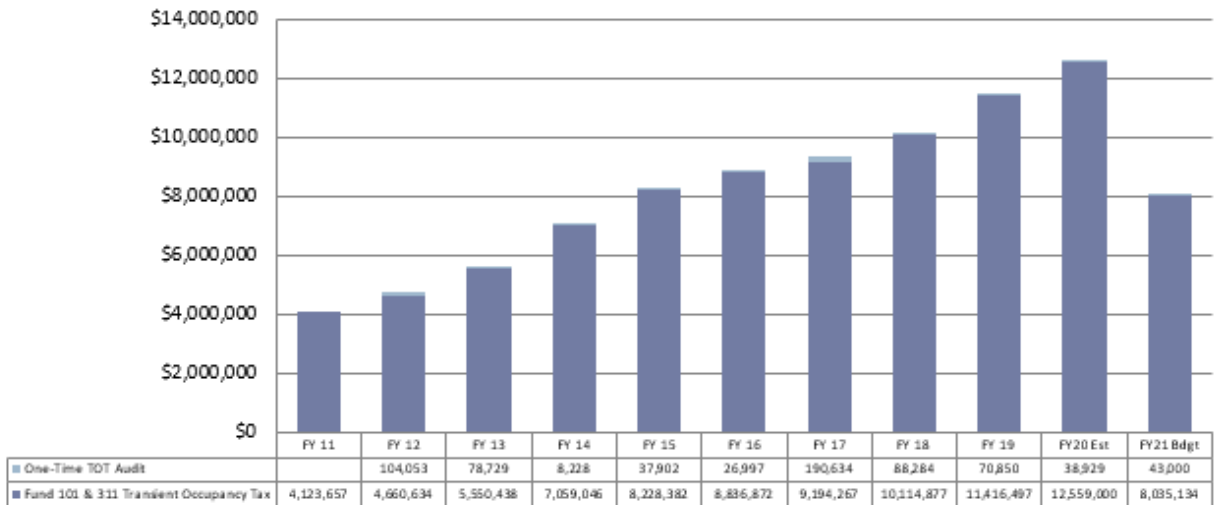
# Primary General Fund Budget and General Revenues

Utility users taxes are imposed on all utility services within the City limits at a rate of 8.5% of taxable services. Transient occupancy taxes are levied at a rate of 11% on all individuals occupying dwellings for 30 days or less. TOT accounts for 15% of the fund's total estimated tax revenues for FY21. They each accounted for 14% and 15%, respectively, of the fund's total estimated tax revenues for FY21.

### Utility Users Tax Trend



### Transient Occupancy Tax Trend



# Debt Service Obligations

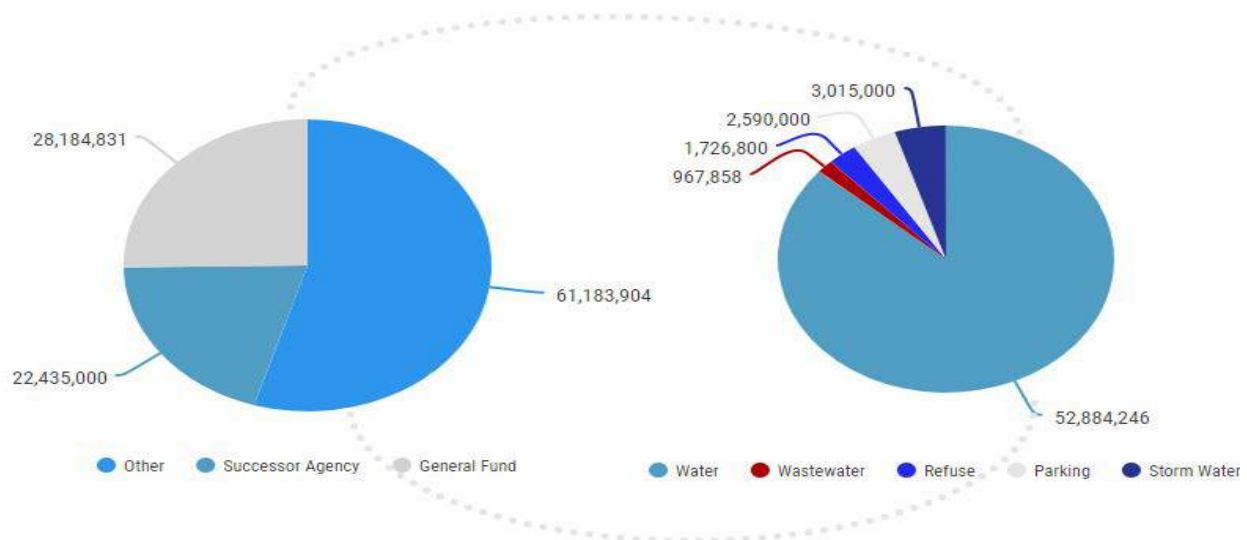
## Overview

The City of Santa Cruz debt service obligations include revenue bonds, general obligation bonds, pension obligation bonds, loans, leases, and former Santa Cruz Redevelopment Agency debt, transferred to the Successor Agency Trust (Resolution NS-28,450 dated January 24, 2012). Also included are the State of California Public Employees' Retirement System (PERS) and other post-employment benefits (OPEB) liabilities. All debt issuance is approved by the Santa Cruz City Council subject to the City's legal debt limit.

- *Revenue Bonds* – issued to purchase or construct assets owned by the City and the City pledges income resulting from the asset or enterprise to pay the debt service.
- *General Obligation Bonds* – issued to finance various public improvements in the City for which the main security for repayment is property taxes.
- *Pension Obligation Bonds* – issued by the City to pay its commitment to the pension fund in which its employees are members.
- *Santa Cruz Redevelopment Successor Agency Debt* – debt issued to finance redevelopment activities in accordance with California Community Redevelopment Law. With the dissolution of Redevelopment Agencies, the principal source of debt repayment is from property tax revenues of the Successor Agency to the Redevelopment Agency.

## Debt Status

The graph below estimates outstanding debt obligations, excluding pension, of \$22,435,000 in RDA Successor agency debt, \$28,184,831 in the General Fund, and \$61,183,904 in the City's Enterprise funds for a total outstanding debt obligation of \$111,803,735 at the end of the fiscal year.



# Debt Service Obligations

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## **FY 2020 Debt Issues**

The following debt issues have been completed in FY 2020:

- Water Department – On December 12, 2019, the Water Department received a bond in the amount of \$20,925,000. The proceeds of the bond will be used to finance capital improvement projects for the City’s water system and repay all of the outstanding amounts due under a Credit Agreement, dated as of June 19, 2018 with Bank of America, N.A., which were used to pay for capital improvement projects for Water Systems.

## **FY 2021 Planned Debt Issues**

The following are planned debt issues for the FY 2021:

- The Wastewater Treatment Facility Infrastructure and Major Equipment Study, completed November 2018, performed a condition assessment of all wastewater plant assets. Study results show the plant 21kV power distribution system is at risk of catastrophic failure and the emergency power generation systems have reached the end of their useful life. Study 2 Final Technical Memorandum recommends reconfiguration of the Treatment Plant power system. The total estimated cost for the work that needs to be done is \$33.8 million.
- The Water Department is also pursuing two Drinking Water State Revolving Fund (DWSRF), low interest loans for an estimated total of \$150 million. The first loan (\$103 million) is on track to be executed in FY 2020 with the second in FY 2021 (\$45.9 million) The Water Department is also pursuing a Water Infrastructure Finance and Innovation Act (WIFIA) federal loan through the US EPA. Using the DWSRF as the 51% match, WIFIA low interest loans and allows agencies to “bundle” projects for financing. The Department will also need to issue revenue bonds at regular intervals over the next 10 years to support the capital program. The extent and timing of bond issues will depend upon future construction bids which will be partially offset by state and federal grants and loans.

## **FY 2020 PERS and OPEB Liabilities**

The City of Santa Cruz participates in PERS to provide pension benefits for past, current and future employees. In addition it provides modest, capped health retiree obligations as required by the CalPERS health program and as negotiated locally by City employees.

**CITY OF SANTA CRUZ**  
**FY 2021 ANNUAL BUDGET**  
**Debt Service Obligations**  
**(A) Summary of Changes in Long-Term Debt**

Description	Maturity Date	Balance June 30, 2019	Additions to Debt	Principal Paydown and Maturities	Balance June 30, 2020	Balance	
						Due Within One Year	Due in More Than One Year
<b><u>GENERAL LONG TERM DEBT</u></b>							
2017 Refunding Lease Revenue Bonds 2007	11/1/2037	4,975,000	-	175,000	4,800,000	180,000	4,620,000
2017 Refunding Lease Revenue Bonds Ser B	11/1/2031	2,280,000	-	140,000	2,140,000	145,000	1,995,000
2010 Pension Obligation Bonds	6/1/2022	8,685,000	-	2,645,000	6,040,000	2,890,000	3,150,000
2009 General Obligation Refunding Bonds	9/1/2029	4,915,000	-	315,000	4,600,000	335,000	4,265,000
2012 Golf COP Refunding Loan	5/1/2029	1,972,101	-	165,116	1,806,984	173,219	1,633,766
PG&E Loan - LED Streetlights (Interest free)	1/1/2023	84,727	-	29,118	55,610	24,798	30,811
2020 PG&E Loan - Interest Free			69,376	5,595	63,781	13,428	50,354
ZION Bank Loan - Homeless Shelter Project	1/1/2021	102,813	-	60,000	42,813	42,813	-
I-Bank Arterial Streets and Roads Loan	8/1/2024	8,766,998	-	1,399,235	7,367,763	1,423,442	5,944,321
California Energy Commission Loan	12/22/2033	1,338,311	-	70,431	1,267,880	81,679	1,186,201
<b>Total General Long Term Debt</b>		<b>33,119,950</b>	<b>69,376</b>	<b>5,004,495</b>	<b>28,184,831</b>	<b>5,309,379</b>	<b>22,875,452</b>
<b><u>ENTERPRISE DEBT</u></b>							
2014 Water Refunding Revenue Bonds	3/1/2036	9,015,000		420,000	8,595,000	430,000	8,165,000
I-Bank Water Infrastructure Loan	8/1/2046	23,972,892		538,646	23,434,246	556,098	22,878,148
2018 Water Fund BofA Commerical Loan	6/19/2020	10,500,000		10,500,000	-	-	-
2019 Water Revenue Bonds			20,925,000	70,000	20,855,000	335,000	20,520,000
<b>Total Water Liabilities</b>		<b>43,487,892</b>	<b>20,925,000</b>	<b>958,646</b>	<b>52,884,246</b>	<b>1,321,098</b>	<b>31,043,148</b>
2013 Wastewater Refunding Bonds	11/2/2022	1,272,570	-	304,712	967,858	314,519	653,339
<b>Total Wastewater Liabilities</b>		<b>1,272,570</b>	<b>-</b>	<b>304,712</b>	<b>967,858</b>	<b>314,519</b>	<b>653,339</b>
2009 Refuse Refunding Loan Agreement	10/1/2023	1,183,000	-	241,200	941,800	253,100	688,700
2010 Enterprise Revenue Bonds	10/1/2021	1,160,000	-	375,000	785,000	385,000	400,000
<b>Total Refuse Liabilities</b>		<b>2,343,000</b>	<b>-</b>	<b>616,200</b>	<b>1,726,800</b>	<b>638,100</b>	<b>1,088,700</b>
2017 Parking Refunding LRB	11/1/2028	2,830,000	-	240,000	2,590,000	250,000	2,340,000
<b>Total Parking Liabilities</b>		<b>2,830,000</b>	<b>-</b>	<b>240,000</b>	<b>2,590,000</b>	<b>250,000</b>	<b>2,340,000</b>
2014 Storm Water Refunding Revenue Bonds	10/1/2028	3,330,000	-	315,000	3,015,000	325,000	2,690,000
<b>Total Storm Water Liabilities</b>		<b>3,330,000</b>	<b>-</b>	<b>315,000</b>	<b>3,015,000</b>	<b>325,000</b>	<b>2,690,000</b>
<b>Total Enterprise Debt</b>		<b>53,263,462</b>	<b>20,925,000</b>	<b>2,434,558</b>	<b>61,183,904</b>	<b>2,848,717</b>	<b>37,815,187</b>
<b><u>SUCCESSOR AGENCY TRUST</u></b>							
2004 RDA TAB Merged	10/1/2031	3,215,000	-	190,000	3,025,000	195,000	2,830,000
2011 Housing TABS Series A	10/1/2024	4,530,000	-	640,000	3,890,000	685,000	3,205,000
2011 Taxable TABS Series B	10/1/2026	12,810,000	-	1,265,000	11,545,000	1,350,000	10,195,000
2011 Tax-Exempt TABS Series C	10/1/2026	4,445,000	-	470,000	3,975,000	490,000	3,485,000
<b>TOTAL Successor Agency Trust</b>		<b>25,000,000</b>	<b>-</b>	<b>2,565,000</b>	<b>22,435,000</b>	<b>2,720,000</b>	<b>19,715,000</b>
<b>SUBTOTAL DEBT BEFORE PENSION</b>		<b>111,383,411</b>	<b>20,994,376</b>	<b>10,004,052</b>	<b>111,803,735</b>	<b>10,878,096</b>	<b>80,405,639</b>



**CITY OF SANTA CRUZ**  
**FY 2021 ANNUAL BUDGET**  
**Pension Obligations**  
**(B) Summary of Funding Progress**

Description	Measurement Date	Actuarial Value of Assets	Accrued Liability	Unfunded Liability	Funded Percentage of Assets	Prior Valuation Unfunded Liability
<b><u>Public Employees' Retirement System (PERS)</u></b>						
Miscellaneous employees	6/30/2018	316,865,468	408,424,540	91,559,072	77.6%	102,721,029
Safety	6/30/2018	197,077,754	265,365,425	68,287,671	74.3%	67,411,262
<b>Total PERS Obligations</b>		<b>513,943,222</b>	<b>673,789,965</b>	<b>159,846,743</b>	<b>76.3%</b>	<b>170,132,291</b>
<b><u>Other Post Employment Benefits (OPEB)</u></b>						
All employees	6/30/2017	-	38,141,658	38,141,658	0.0%	39,367,937
<b>Total OPEB Obligations</b>		<b>-</b>	<b>38,141,658</b>	<b>38,141,658</b>	<b>0.0%</b>	<b>39,367,937</b>
<b>SUBTOTAL PENSION</b>		<b>513,943,222</b>	<b>711,931,623</b>	<b>197,988,401</b>	<b>76.3%</b>	<b>209,500,228</b>

**CITY OF SANTA CRUZ**  
**FY 2021 ANNUAL BUDGET**  
**Pension Obligations**  
**(C) Comparative Summary of Total Obligations**

Description	FY 2019 Budget Balance as of Reporting Date	Percentage of Total Debt	FY 2020 Budget Balance as of Reporting Date	Percentage of Total Debt
City-wide Debt before Pension	111,383,411		111,803,735	
Less: Pension Obligation Bonds	(8,685,000)		(6,040,000)	
<b>Net City-wide Debt before all Pension costs</b>	<b>102,698,411</b>	<b>30.2%</b>	<b>105,763,735</b>	<b>32.1%</b>
Pension Obligation Bonds	8,685,000		6,040,000	
City-wide Unfunded Pension Liability	209,500,228		197,988,401	
<b>City-wide Pension Obligations</b>	<b>218,185,228</b>	<b>64.2%</b>	<b>204,028,401</b>	<b>62.0%</b>
Other future obligations without annual debt service				
Compensated absences	1,755,751		1,739,010	
Claims and judgments	9,931,581		9,852,894	
Landfill closure	7,449,025		7,918,597	
<b>Other future debt obligations</b>	<b>19,136,357</b>	<b>5.6%</b>	<b>19,510,501</b>	<b>5.9%</b>
<b>TOTAL OBLIGATIONS</b>	<b>340,019,996</b>		<b>329,302,637</b>	

The City Council established seven major strategic goals to guide the City's efforts in 2015, addressing the community's most pressing issues.

The seven goal areas are as follows:



The City of Santa Cruz Strategic Plan was first developed in 2011 to serve as a vision of the City’s key goals and initiatives. The plan was to fill the dual roles of a high-level work-plan and a communication tool to the greater community about the City’s priorities. The plan was revised in 2013 and then in 2015. Then in 2017, a Two-Year Work Plan was developed based on this Strategic Plan. Council plans to update this plan to help direct the FY2021 budget cycle.

<b>Goal 1: Environmental Sustainability and Well-Managed Resources</b>
<i>Preserve, protect and maintain natural resources and public space by proactively managing the City’s greenbelt, beaches, parks and waterways</i>
<i>Promote environmental stewardship through the implementation of the City’s Climate Action Plan</i>
<i>Ensure the availability of a safe, sustainable water supply through an integrated water quality and supply strategy</i>

<b>Goal 2: Community Safety &amp; Well-Being</b>
<i>Create an environment that fosters a feeling of personal safety, physical security and individual well-being through the continued implementation of the Public Safety Citizen Task force recommendations</i>
<i>Develop and maintain ongoing coordination, partnerships and programs to focus on proactive prevention and analysis, lowering the occurrence of crime, early intervention and supervision for at-risk populations and effective rehabilitation to minimize recidivism</i>
<i>Foster a community of safe, attractive and well-maintained neighborhoods and business districts through the resolution of property crimes and nuisance issues</i>
<i>Support and encourage a variety of agencies that provide for the health and welfare of the community from youth to seniors</i>
<i>Enhance the City’s level of disaster preparedness through improved planning, training, response, mitigation, and community outreach</i>

<b>Goal 3: Economic Vitality</b>
<i>Increase tourism to the City by supporting the supply and quality of hotel stock</i>
<i>Facilitate and encourage the growth and development of existing and newly emerging, high potential business sectors in our community</i>
<i>Enhance the downtown, beach, wharf, and city-wide commercial corridor vibrancy by increasing the supply and variety of retail shopping options and through other activities and amenities that improve the overall visitor experience</i>
<i>Provide for the development of diverse and affordable housing opportunities throughout the City</i>
<i>Attract and retain a well-balanced mix of businesses that contribute to the community’s sustainability and promote the creation of quality jobs</i>

**Goal 4: Organizational Health**

*Foster an organization where all employees are engaged, inspired and striving for continuous improvement*

*Attract, retain and develop high quality city employees*

*Maintain an organizational culture of excellent customer service that builds trust, inspires confidence and promotes accountability*

**Goal 5: Fiscal Sustainability**

*Sustains a resilient financial position supported by sustainable, balanced revenue streams, fiscally responsible expenditures and pursuit of cost-savings measures*

*Explore new revenue opportunities to fund City priorities*

**Goal 6: Reliable & Forward-Looking Infrastructure and Facilities**

*Provide a system of safe, clean, reliable and well-maintained streets, sidewalks, bridges, parks and parking facilities*

*Design, construct and proactively maintain a reliable utility infrastructure that delivers safe, clean water, controls storm water drainage and effectively manages sewage treatment*

*Actively seek opportunities to leverage existing and develop new revenue sources to ensure steady support to maintain and improve the City's infrastructure*

*Strategically develop new infrastructure to meet future needs and desires of the community*

**Goal 7: Engaged and Informed Community**

*Encourage civic engagement and participation in the achievement of our goals*

*Build an informed and engaged community by providing accurate and useful information about City priorities, functions and services*

*Provide timely response to issues and concerns raised by community members*



# Important Dates in Santa Cruz History



1769

Don Gaspar de Portola discovered the land area which is now known as the City of Santa Cruz.



1791

Father Fermin de Lasuen establishes the Santa Cruz Mission.



1866

City of Santa Cruz is incorporated.



1875

First railroad connection to Santa Cruz.



1885

In July, surfing is introduced for the first time in North America in Santa Cruz by three Hawaiian princes.



1907

The Santa Cruz Beach Boardwalk is built.



1914

The current Santa Cruz Municipal Wharf was constructed and at 2,745 feet, is the longest wharf on the West Coast.



1940

The Santa Cruz Civic Auditorium was built.



1948

The City adopted a new City Charter that is still in existence today.



1968

The Pacific Garden Mall design by local architect Kermit Darrow and landscape architect Roy Rydell approved.



1989

The Loma Prieta earthquake hits, causing devastation to downtown. New downtown redesign replaces Pacific Garden Mall.



2011

A tsunami wave from an earthquake near Japan causes \$10 million in damage to the Santa Cruz Harbor.

## Personnel Profile – Overview of Changes

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Each year, City Council establishes an authorized position list (by department) through the budget adoption process. For FY 2021, there will be a total decrease of 19.5 FTE (full-time equivalent) positions compared to the FY 2020 amended budget. Following is a summary of the position changes:

**Human Resources** – A total of 1.5 FTE Administrative Assistant I/II vacant positions were eliminated as a budgetary cost saving measure. In FY 2020, the department added 1.0 FTE Administrative Assistant III to provide higher level administrative support.

**Planning and Community Development** – Eliminated a total of 3.5 FTE positions as a budgetary cost saving measure. A 1.0 FTE Administrative Assistant I/II, a .50 FTE Associate Planner I/II in the Advance Planning Division, a 1.0 FTE Building Inspector, and 1.0 FTE Code Compliance Specialist were decreased compared to FY2020 Amended budget.

**Police** – Reduced the police personnel profile by a total of 11.0 FTE positions between July and October. Two FTE Administrative Assistant I/II were eliminated in July, these positions were upgraded to Administrative III classification in FY 2020. A Property and Evidence Specialist position was eliminated, as this position was reclassified as a Senior Police Property and Evidence Specialist in FY 2020. As a budget saving solutions, the department eliminated a 1.0 FTE Crime Analyst position, 9.0 FTE Ranger I/II, 3.0 FTE Senior Ranger. Instead, the department is restructuring operations to provide more extensive community policing capabilities by adding 5.0 FTE Community Service Officer I positions.

**Public Works** – No net change in FTE positions. The department added 1.0 FTE Senior Professional Engineer position and eliminated of 1.0 FTE Assistant Engineer position.

**Water** – Increase of 1.0 FTE Water Chief Financial Officer, the position will provide financial support resulting from the department’s extensive water operation facility upgrades.

**Parks** – As a cost saving measure, the department eliminated 1.0 FTE Special Events Coordinator positions. The elimination is sustainable at this time since many City events have been cancelled due to the pandemic. Likewise, a .50 FTE Box Office Representative was eliminated from the Parks personnel profile.

**Finance** – As a cost saving measure, the department eliminated a 1.0 FTE Accountant I/II position from the Audit division and a Management Analyst position in the Risk division. To provide better operational support within the department, a 1.0 FTE Accounting Assistant II was reclassified as a 1.0 FTE Administrative Assistant III.

**Economic Development** – As a cost saving measure, the department has eliminated a vacant 1.0 FTE Arts Program Manager position, instead shifting some of those responsibilities to an existing Economic Development Manager position which already performs some of these duties.

# Personnel Profile

## AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2019 Amended Budget	FY 2020 Adopted Budget	FY 2020 Mid-year Changes	FY 2020 Amended Budget	FY 2021 Adopted Budget	FY 2021	FY 2021	FY 2021
						Change From FY 2020 Amended	Revised Adopted Budget	Revised Adopted Change From FY 2020 Adopted
<b>City Manager</b>								
Administrative Assistant I/II	2.50	2.50	-	2.50	2.50	-	2.50	-
Assistant City Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
Assistant to the City Manager	1.00	-	-	-	-	-	-	-
City Clerk Administrator	1.00	1.00	-	1.00	1.00	-	1.00	-
City Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
Communications Manager	-	-	1.00	1.00	1.00	-	1.00	-
Deputy City Clerk Administrator	1.00	1.00	-	1.00	1.00	-	1.00	-
Executive Assistant to the City Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
Homeless Response Manager	-	-	1.00	1.00	1.00	-	1.00	-
Principal Management Analyst	1.00	1.00	-	1.00	1.00	-	1.00	-
Records Coordinator	1.00	1.00	-	1.00	1.00	-	1.00	-
Special Events Coordinator	1.00	1.00	(1.00)	-	-	-	-	-
Climate Action and Sustainability Manager	0.75	1.00	-	1.00	1.00	-	1.00	-
	12.25	11.50	1.00	12.50	12.50	-	12.50	-
<b>Economic Development</b>								
Administrative Assistant II	1.00	1.00	-	1.00	1.00	-	1.00	-
Administrative Assistant III	1.00	1.00	-	1.00	1.00	-	1.00	-
Arts Program Manager	1.00	1.00	-	1.00	1.00	-	-	(1.00)
Business Liaison	1.00	1.00	-	1.00	1.00	-	1.00	-
Development Manager	3.00	3.00	-	3.00	3.00	-	3.00	-
Economic Development Coordinator I/II	-	-	0.50	0.50	0.50	-	0.50	-
Economic Development Director	1.00	1.00	-	1.00	1.00	-	1.00	-
Economic Development Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
Housing and Community Development Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
Housing Programs Specialist	1.00	1.00	-	1.00	1.00	-	1.00	-
Management Analyst	-	1.00	-	1.00	1.00	-	1.00	-
Principal Management Analyst	2.00	2.00	-	2.00	2.00	-	2.00	-
Principal Planner	1.00	-	-	-	-	-	-	-
	14.00	14.00	0.50	14.50	14.50	-	13.50	(1.00)
<b>Finance</b>								
Accountant I/II	5.00	5.00	-	5.00	5.00	-	4.00	(1.00)
Accounting Assistant I	-	-	-	-	-	-	-	-
Accounting Assistant II	5.00	5.00	-	5.00	5.00	-	4.00	(1.00)
Accounting Services Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
Accounting Technician	3.00	3.00	-	3.00	3.00	-	3.00	-
Administrative Assistant III	1.00	1.00	-	1.00	1.00	-	2.00	1.00
Assistant Director of Finance	1.00	1.00	-	1.00	1.00	-	1.00	-
Buyer I/II	1.00	1.00	-	1.00	1.00	-	1.00	-
Director of Finance	1.00	1.00	-	1.00	1.00	-	1.00	-
Finance Manager	1.00	1.00	2.00	3.00	3.00	-	3.00	-
Management Analyst	1.00	1.00	-	1.00	1.00	-	-	(1.00)
Payroll Technician	2.00	2.00	-	2.00	2.00	-	2.00	-
Principal Management Analyst	3.00	3.00	-	3.00	3.00	-	3.00	-
Purchasing Assistant	1.00	1.00	-	1.00	1.00	-	1.00	-
Purchasing Manager	1.00	1.00	(1.00)	-	-	-	-	-
Revenue Collections Specialist	1.00	1.00	-	1.00	1.00	-	1.00	-
Risk and Safety Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
Senior Accountant	2.00	2.00	(1.00)	1.00	1.00	-	1.00	-
Senior Payments Technician	1.00	1.00	-	1.00	1.00	-	1.00	-
	32.00	32.00	-	32.00	32.00	-	30.00	(2.00)
<b>Fire</b>								
Administrative Assistant I/II	1.00	1.00	-	1.00	1.00	-	1.00	-
Administrative Assistant III	1.00	1.00	-	1.00	1.00	-	1.00	-
Chief of Fire Department	1.00	1.00	-	1.00	1.00	-	1.00	-
Deputy Fire Marshal	1.00	1.00	-	1.00	1.00	-	1.00	-
Fire Battalion Chief	4.00	4.00	-	4.00	4.00	-	4.00	-
Fire Captain	16.00	16.00	-	16.00	16.00	-	16.00	-
Fire Division Chief	2.00	2.00	-	2.00	2.00	-	2.00	-



# Personnel Profile

## AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2019 Amended Budget	FY 2020 Adopted Budget	FY 2020 Mid-year Changes	FY 2020 Amended Budget	FY 2021 Adopted Budget	FY 2021	FY 2021	FY 2021
						Change From FY 2020 Amended	Revised Adopted Budget	Revised Adopted Change From FY 2020 Adopted
<b>Fire (continued )</b>								-
Fire Engineer	15.00	15.00	-	15.00	15.00	-	15.00	-
Fire Prevention Inspector II	1.00	1.00	-	1.00	1.00	-	1.00	-
Fire Prevention Technician	1.00	1.00	-	1.00	1.00	-	1.00	-
Firefighter	21.00	21.00	-	21.00	21.00	-	21.00	-
Marine Safety Officer	1.00	1.00	-	1.00	1.00	-	1.00	-
Principal Mangement Analyst	1.00	1.00	-	1.00	1.00	-	1.00	-
	66.00	66.00	-	66.00	66.00	-	66.00	-
<b>Human Resources</b>								
Administrative Assistant I/II	1.50	1.50	-	1.50	1.00	(0.50)	-	(1.00)
Administrative Assistant III	-	-	1.00	1.00	1.00	-	1.00	-
Director of Human Resources	1.00	1.00	-	1.00	1.00	-	1.00	-
Human Resources Analyst I/II	4.00	4.00	-	4.00	4.00	-	4.00	-
Human Resources Technician	2.00	2.00	-	2.00	2.00	-	2.00	-
Principal Human Resources Analyst	3.00	3.00	-	3.00	3.00	-	3.00	-
	11.50	11.50	1.00	12.50	12.00	(0.50)	11.00	(1.00)
<b>Information Technology</b>								
Administrative Assistant III	1.00	1.00	-	1.00	1.00	-	1.00	-
Assistant Director of Information Technology	1.00	1.00	-	1.00	1.00	-	1.00	-
Business Systems Analyst II	1.00	1.00	-	1.00	1.00	-	1.00	-
Business Systems Analyst III (1)	-	1.00	-	1.00	1.00	-	1.00	-
Director of Information Technology	1.00	1.00	-	1.00	1.00	-	1.00	-
Information Technology Specialist I/II	2.00	2.00	-	2.00	2.00	-	2.00	-
Information Technology Specialist III	2.00	2.00	-	2.00	2.00	-	2.00	-
Information Technology Manager	2.00	2.00	-	2.00	2.00	-	2.00	-
Network and Systems Administrator	3.00	3.00	-	3.00	3.00	-	3.00	-
Programmer Analyst I/II	2.00	2.00	-	2.00	2.00	-	2.00	-
Project Manager/Training Coordinator	2.00	2.00	-	2.00	2.00	-	2.00	-
SCADA Systems & Network Administrator	2.00	2.00	-	2.00	2.00	-	2.00	-
Systems Coordinator	1.00	1.00	-	1.00	1.00	-	1.00	-
	20.00	21.00	-	21.00	21.00	-	21.00	-
<b>Library</b>								
Accounting Assistant I	1.50	1.50	-	1.50	1.50	-	1.50	-
Administrative Assistant I/II	3.53	3.53	-	3.53	3.53	-	3.53	-
Assistant Director of Libraries	1.00	1.00	-	1.00	1.00	-	1.00	-
Bookmobile Library Assistant	1.80	1.80	-	1.80	1.80	-	1.80	-
Building Maintenance Worker II	2.00	3.00	-	3.00	3.00	-	3.00	-
Courier/Driver	1.00	1.00	-	1.00	1.00	-	1.00	-
Director of Libraries	1.00	1.00	-	1.00	1.00	-	1.00	-
Information Technology Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
Information Technology Specialist I/II	1.00	1.00	-	1.00	1.00	-	1.00	-
Information Technology Specialist III	2.00	2.00	-	2.00	2.00	-	2.00	-
Librarian I/II	18.01	20.01	-	20.01	20.01	-	20.01	-
Librarian III	4.00	4.00	-	4.00	4.00	-	4.00	-
Library Assistant I	1.00	1.00	-	1.00	1.00	-	1.00	-
Library Assistant II	28.50	31.50	-	31.50	31.50	-	31.50	-
Library Assistant III	10.00	10.00	-	10.00	10.00	-	10.00	-
Library Assistant IV	2.00	2.00	-	2.00	2.00	-	2.00	-
Library Division Manager	-	-	-	-	-	-	-	-
Library Information Specialist	5.00	5.00	-	5.00	5.00	-	5.00	-
Library Information Technology Manager	-	-	-	-	-	-	-	-
Library Specialist	2.00	2.00	-	2.00	2.00	-	2.00	-
Network & Systems Administrator	2.00	2.00	-	2.00	2.00	-	2.00	-
Principal Management Analyst	1.00	2.00	-	2.00	2.00	-	2.00	-
Programmer Analyst I/II	1.00	1.00	-	1.00	1.00	-	1.00	-
Service Field Crew Leader	1.00	1.00	-	1.00	1.00	-	1.00	-
Systems Coordinator	1.00	1.00	-	1.00	1.00	-	1.00	-
Volunteer Coordinator Assistant	0.50	0.50	-	0.50	0.50	-	0.50	-
	92.83	99.83	-	99.83	99.83	-	99.83	-

# Personnel Profile

AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2019 Amended Budget	FY 2020 Adopted Budget	FY 2020 Mid-year Changes	FY 2020 Amended Budget	FY 2021 Adopted Budget	FY 2021	FY 2021	FY 2021
						Change From FY 2020 Amended	Revised Adopted Budget	Revised Adopted Change From FY 2020 Adopted
<b>Parks and Recreation</b>								
Accounting Assistant I	1.00	1.00	-	1.00	1.00	-	1.00	-
Administrative Assistant I/II	3.00	3.00	-	3.00	3.00	-	3.00	-
Associate Planner I/II	1.00	1.00	-	1.00	1.00	-	1.00	-
Box Office Representative	1.25	1.25	-	1.25	1.25	-	0.75	(0.50)
Building Maintenance Worker I/II	2.50	2.50	-	2.50	2.50	-	2.50	-
Chief Ranger	1.00	-	-	-	-	-	-	-
Construction Specialist	1.00	1.00	-	1.00	1.00	-	1.00	-
Custodian	3.00	3.00	-	3.00	3.00	-	3.00	-
Director of Parks and Recreation	1.00	1.00	-	1.00	1.00	-	1.00	-
Facility Attendant	2.50	2.50	-	2.50	2.50	-	2.50	-
Field Supervisor	3.00	3.00	-	3.00	3.00	-	3.00	-
Golf Course Superintendent	1.00	1.00	-	1.00	1.00	-	1.00	-
Light Equipment Mechanic	2.00	2.00	-	2.00	2.00	-	2.00	-
Office Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
Parks Field Crew Leader	4.00	4.00	-	4.00	4.00	-	4.00	-
Parks Maintenance Worker	28.00	28.00	-	28.00	28.00	-	28.00	-
Principal Management Analyst	1.00	1.00	-	1.00	1.00	-	1.00	-
Ranger I/II	3.00	-	-	-	-	-	-	-
Recreation Assistant	5.00	5.00	-	5.00	5.00	-	5.00	-
Recreation Superintendent	1.00	1.00	-	1.00	1.00	-	1.00	-
Recreation Supervisor	5.00	5.00	-	5.00	5.00	-	5.00	-
Senior Parks Maintenance Worker	7.00	7.00	-	7.00	7.00	-	7.00	-
Service Field Crew Leader	1.00	2.00	-	2.00	2.00	-	2.00	-
Special Events Coordinator	-	-	1.00	1.00	1.00	-	-	(1.00)
Superintendent of Parks	1.00	1.00	-	1.00	1.00	-	1.00	-
Urban Forester	1.00	1.00	-	1.00	1.00	-	1.00	-
Wharf Construction Crew Leader	1.00	1.00	-	1.00	1.00	-	1.00	-
Wharf Construction Worker	4.00	4.00	-	4.00	4.00	-	4.00	-
Wharf Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
	87.25	84.25	1.00	85.25	85.25	-	83.75	(1.50)
<b>Planning and Community Development</b>								
Administrative Assistant I/II	3.00	3.00	-	3.00	3.00	-	2.00	(1.00)
Administrative Assistant III	1.00	1.00	-	1.00	1.00	-	1.00	-
Associate Planner I/II	3.00	3.50	-	3.50	3.50	-	3.00	(0.50)
Asst Director of Planning and Comm Dev	1.00	1.00	-	1.00	1.00	-	1.00	-
Building Inspector	1.00	1.00	-	1.00	1.00	-	-	(1.00)
Chief Building Official	1.00	1.00	-	1.00	1.00	-	1.00	-
Code Compliance Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
Code Compliance Services Technician	1.00	1.00	-	1.00	1.00	-	1.00	-
Code Compliance Specialist	5.00	5.00	-	5.00	5.00	-	4.00	(1.00)
Deputy Building Official	1.00	1.00	-	1.00	1.00	-	1.00	-
Director of Planning and Comm Dev	1.00	1.00	-	1.00	1.00	-	1.00	-
Green Building Environmental Specialist	1.00	1.00	-	1.00	1.00	-	1.00	-
Management Analyst	1.00	1.00	-	1.00	1.00	-	1.00	-
Principal Management Analyst	1.00	1.00	-	1.00	1.00	-	1.00	-
Principal Planner	2.00	2.00	-	2.00	2.00	-	2.00	-
Records Coordinator	1.00	1.00	-	1.00	1.00	-	1.00	-
Senior Building Inspector	3.00	3.00	-	3.00	3.00	-	3.00	-
Senior Planner	5.00	5.00	-	5.00	5.00	-	5.00	-
Senior Plans Examiner	2.00	2.00	-	2.00	2.00	-	2.00	-
Supervising Building Inspector	1.00	1.00	-	1.00	1.00	-	1.00	-
Supervising Plans Examiner	1.00	1.00	-	1.00	1.00	-	1.00	-
Transportation Planner	1.00	-	-	-	-	-	-	-
	38.00	37.50	-	37.50	37.50	-	34.00	(3.50)
<b>Police</b>								
Administrative Assistant I/II	2.00	2.00	-	2.00	-	(2.00)	-	-
Administrative Assistant III	1.00	3.00	-	3.00	3.00	-	3.00	-
Chief of Police	1.00	1.00	-	1.00	1.00	-	1.00	-
Community Relations Specialist	1.00	1.00	-	1.00	1.00	-	1.00	-
Community Service Officer I	8.00	8.00	-	8.00	8.00	-	13.00	5.00

# Personnel Profile

## AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2019 Amended Budget	FY 2020 Adopted Budget	FY 2020 Mid-year Changes	FY 2020 Amended Budget	FY 2021 Adopted Budget	FY 2021	FY 2021	FY 2021
						Change From FY 2020 Amended	Revised Adopted Budget	Revised Adopted Change From FY 2020 Adopted
<b>Police (continued)</b>								-
Deputy Police Chief	2.00	2.00	-	2.00	2.00	-	2.00	-
Police Crime Analyst	1.00	1.00	-	1.00	1.00	-	-	(1.00)
Police Lieutenant	5.00	5.00	-	5.00	5.00	-	5.00	-
Police Officer (2)	78.00	78.00	-	78.00	78.00	-	78.00	-
Police Payroll and Purchasing Clerk	1.00	1.00	-	1.00	1.00	-	1.00	-
Police Property and Evidence Specialist	3.00	3.00	-	3.00	2.00	(1.00)	2.00	-
Police Records Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
Police Records Technician	7.00	7.00	-	7.00	7.00	-	7.00	-
Police Sergeant	15.00	15.00	-	15.00	15.00	-	15.00	-
Principal Management Analyst	1.00	1.00	-	1.00	1.00	-	1.00	-
Ranger I/II	6.00	9.00	-	9.00	9.00	-	-	(9.00)
Senior Police Property and Evidence Specialist	-	-	1.00	1.00	1.00	-	1.00	-
Senior Police Records Technician	1.00	1.00	-	1.00	1.00	-	1.00	-
Senior Ranger	3.00	3.00	-	3.00	3.00	-	-	(3.00)
Victim Advocate	1.00	1.00	-	1.00	1.00	-	1.00	-
	138.00	143.00	1.00	144.00	141.00	(3.00)	133.00	(8.00)
<b>Public Works</b>								
Accounting Assistant II	1.00	1.00	-	1.00	1.00	-	1.00	-
Administrative Assistant I/II	4.00	4.00	-	4.00	4.00	-	4.00	-
Assistant Engineer I/II	7.00	7.00	-	7.00	7.00	-	6.00	(1.00)
Associate Planner I/II	1.00	1.00	-	1.00	1.00	-	1.00	-
Associate Professional Engineer	5.00	5.00	-	5.00	5.00	-	5.00	-
Asst Dir of Public Works/City Engineer	1.00	1.00	-	1.00	1.00	-	1.00	-
Building Maintenance Worker II	2.00	2.00	-	2.00	2.00	-	2.00	-
Chemist I/II	1.80	1.80	-	1.80	1.80	-	1.80	-
Community Relations Specialist	1.00	1.00	-	1.00	1.00	-	1.00	-
Construction Specialist	2.00	2.00	-	2.00	2.00	-	2.00	-
Custodian	1.00	1.00	-	1.00	1.00	-	1.00	-
Director of Public Works	1.00	1.00	-	1.00	1.00	-	1.00	-
Engineering Associate	1.00	1.00	-	1.00	1.00	-	1.00	-
Engineering Technician	4.00	4.00	-	4.00	4.00	-	4.00	-
Environmental Compliance Inspector	2.00	2.00	-	2.00	2.00	-	2.00	-
Environmental Microbiologist III	2.00	2.00	-	2.00	2.00	-	2.00	-
Environmental Programs Analyst I/II	2.00	2.00	-	2.00	2.00	-	2.00	-
Equipment Mechanic I/II	7.00	7.00	-	7.00	7.00	-	7.00	-
Equipment Service Worker	3.00	3.00	-	3.00	3.00	-	3.00	-
Facilities Maint and Energy Projects Coord	1.00	1.00	-	1.00	1.00	-	1.00	-
Facilities Maintenance Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
Field Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
Garage Service Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
Landfill Gate Attendant	1.00	1.00	-	1.00	1.00	-	1.00	-
Lead Equipment Mechanic	1.00	1.00	-	1.00	1.00	-	1.00	-
Management Analyst	2.00	2.00	-	2.00	2.00	-	2.00	-
Office Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
Parking Attendant	9.40	9.40	-	9.40	9.40	-	9.40	-
Parking Control Maintenance Worker	7.00	7.00	-	7.00	7.00	-	7.00	-
Parking Enforcement Officer	7.00	7.00	-	7.00	7.00	-	7.00	-
Parking Facility Maintenance Assistant	8.50	8.50	-	8.50	8.50	-	8.50	-
Parking Office Representative	3.50	3.50	-	3.50	3.50	-	3.50	-
Parking Office Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
Parking Program Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
Parking Services Supervisor	3.00	3.00	-	3.00	3.00	-	3.00	-
Plant Mechanic	2.00	2.00	-	2.00	2.00	-	2.00	-
Principal Management Analyst	1.00	1.00	-	1.00	1.00	-	1.00	-
Public Works Operations Manager	2.00	2.00	-	2.00	2.00	-	2.00	-
QA/QC Laboratory Chemist	1.00	1.00	-	1.00	1.00	-	1.00	-
Recycling Center Maintenance Mechanic	1.00	1.00	-	1.00	1.00	-	1.00	-
Resource Recovery Equipment Operator	4.00	4.00	-	4.00	4.00	-	4.00	-
Resource Recovery Supervisor	6.00	6.00	1.00	7.00	7.00	-	7.00	-
Resource Recovery Worker I/II	15.00	15.00	1.00	16.00	16.00	-	16.00	-
Senior Professional Engineer	2.00	2.00	-	2.00	3.00	1.00	3.00	-

# Personnel Profile

## AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2019 Amended Budget	FY 2020 Adopted Budget	FY 2020 Mid-year Changes	FY 2020 Amended Budget	FY 2021 Adopted Budget	FY 2021	FY 2021	FY 2021
						Change From FY 2020 Amended	Revised Adopted Budget	Revised Adopted Change From FY 2020 Adopted
<b>Public Works (continued)</b>								
Senior Parking Services Worker	2.00	2.00	-	2.00	2.00	-	2.00	-
Senior Resource Recovery Worker	5.00	5.00	-	5.00	5.00	-	5.00	-
Senior Service Maintenance Worker	4.00	4.00	-	4.00	4.00	-	4.00	-
Senior Wastewater Collection Maint Tech	4.00	4.00	-	4.00	4.00	-	4.00	-
Senior Wastewater Plant Operator III/IV	5.00	5.00	-	5.00	5.00	-	5.00	-
Service Field Crew Leader	7.00	9.00	-	9.00	9.00	-	9.00	-
Service Maintenance Worker	8.00	8.00	-	8.00	8.00	-	8.00	-
Solid Waste Worker	39.50	39.50	1.00	40.50	40.50	-	40.50	-
Superintendent of Parking Services	1.00	1.00	-	1.00	1.00	-	1.00	-
Supt of RR Disposal	1.00	1.00	-	1.00	1.00	-	1.00	-
Supt of RR Collect Sweeping	1.00	1.00	-	1.00	1.00	-	1.00	-
Traffic Engineer	1.00	1.00	-	1.00	1.00	-	1.00	-
Transportation Coordinator	1.00	1.00	-	1.00	1.00	-	1.00	-
Transportation Planner I/II	-	1.00	-	1.00	1.00	-	1.00	-
Utility Maintenance Technician	1.00	1.00	-	1.00	1.00	-	1.00	-
Waste Reduction Assistant	2.00	2.00	-	2.00	2.00	-	2.00	-
WasteWater Collection Field Crew Leader	2.00	2.00	-	2.00	2.00	-	2.00	-
WasteWater Collection Maint Tech Trainee/I/II	5.00	5.00	1.00	6.00	6.00	-	6.00	-
WasteWater Collection Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
WasteWater Collection Mech Technician I/II	1.00	1.00	-	1.00	1.00	-	1.00	-
WasteWater Facilities Electr/InstrTech I/II	4.00	4.00	-	4.00	4.00	-	4.00	-
WasteWater Facilities Electrical/Instr Sup	1.00	1.00	-	1.00	1.00	-	1.00	-
WasteWater Facilities Lead Mech Tech	1.00	1.00	-	1.00	1.00	-	1.00	-
WasteWater Facilities Mechanical Tech I/II	7.00	7.00	-	7.00	7.00	-	7.00	-
WasteWater Facilities MechSupervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
WasteWater Lab/Envl Compliance Mgr	1.00	1.00	-	1.00	1.00	-	1.00	-
WasteWater Plant Operator II/III	9.00	9.00	-	9.00	9.00	-	9.00	-
Wastewater System Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
WasteWater Treatment Facility Opr Mgr	1.00	1.00	-	1.00	1.00	-	1.00	-
Wastewater Treatment Operations Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
	250.70	253.70	4.00	257.70	258.70	1.00	257.70	(1.00)
<b>Water</b>								
Administrative Assistant I/II	2.00	2.00	-	2.00	2.00	-	2.00	-
Administrative Assistant III	2.00	2.00	-	2.00	2.00	-	2.00	-
Assistant Engineer I/II	4.00	4.00	-	4.00	4.00	-	4.00	-
Associate Planner I/II	2.00	2.00	1.00	3.00	3.00	-	3.00	-
Associate Professional Engineer	5.75	5.75	(1.00)	4.75	4.75	-	4.75	-
Chief Ranger	1.00	1.00	-	1.00	1.00	-	1.00	-
Community Relations Specialist	1.00	1.00	-	1.00	1.00	-	1.00	-
Customer Service Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
Deputy Water Director/Engineering Mgr	1.00	1.00	-	1.00	1.00	-	1.00	-
Deputy Water Director/Operations Mgr	1.00	1.00	-	1.00	1.00	-	1.00	-
Director of Water Department	1.00	1.00	-	1.00	1.00	-	1.00	-
Engineering Associate	1.00	1.00	-	1.00	1.00	-	1.00	-
Engineering Technician	2.00	2.00	-	2.00	2.00	-	2.00	-
Environmental Microbiologist I/II/III	2.00	2.00	-	2.00	2.00	-	2.00	-
Environmental Programs Analyst I/II	1.00	1.00	-	1.00	1.00	-	1.00	-
Laboratory Technician	2.00	2.00	-	2.00	2.00	-	2.00	-
Management Analyst	1.00	2.00	-	2.00	2.00	-	2.00	-
Principal Management Analyst	1.00	1.00	-	1.00	1.00	-	1.00	-
Principal Planner	-	-	1.00	1.00	1.00	-	1.00	-
Ranger I/II	3.00	3.00	-	3.00	3.00	-	3.00	-
Ranger Assistant	3.50	3.50	-	3.50	3.50	-	3.50	-
Senior Electrician	1.00	1.00	-	1.00	1.00	-	1.00	-
Senior Plant Maintenance Mechanic	1.00	1.00	-	1.00	1.00	-	1.00	-
Senior Professional Engineer	1.00	1.00	-	1.00	1.00	-	1.00	-
Senior Ranger	2.00	2.00	-	2.00	2.00	-	2.00	-
Senior Water Distribution Operator	6.00	6.00	-	6.00	6.00	-	6.00	-
Sup of Water Treatment and Production	1.00	1.00	-	1.00	1.00	-	1.00	-
Superintendent of Water Distribution	1.00	1.00	-	1.00	1.00	-	1.00	-
Utility Account Specialist	4.00	4.00	-	4.00	4.00	-	4.00	-

# Personnel Profile

## AUTHORIZED POSITIONS

DEPARTMENT / Positions	FY 2019 Amended Budget	FY 2020 Adopted Budget	FY 2020 Mid-year Changes	FY 2020 Amended Budget	FY 2021 Adopted Budget	FY 2021	FY 2021	FY 2021
						Change From FY 2020 Amended	Revised Adopted Budget	Revised Adopted Change From FY 2020 Adopted
<b>Water (continued)</b>								-
Utility Maintenance Technician	4.00	4.00	-	4.00	4.00	-	4.00	-
Utility Service Field Technician I/II	2.00	2.00	-	2.00	2.00	-	2.00	-
Utility Service Representative I/II	6.00	6.00	-	6.00	6.00	-	6.00	-
Utility Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
Water Chief Financial Officer	-	-	-	-	1.00	1.00	1.00	-
Water Conservation Representative	2.00	2.00	-	2.00	2.00	-	2.00	-
Water Distribution Crew Leader III/IV	6.00	6.00	-	6.00	6.00	-	6.00	-
Water Distribution Operator II/ III	9.00	9.00	-	9.00	9.00	-	9.00	-
Water Distribution Sup V Chief Distr Opr	1.00	1.00	-	1.00	1.00	-	1.00	-
Water Facilities Electrical/Instr Tech II/III	1.00	1.00	-	1.00	1.00	-	1.00	-
Water Facilities Field Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
Water Facilities Mechanical Tech II/III	1.00	2.00	-	2.00	2.00	-	2.00	-
Water Facilities Mechanical Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
Water Meter Specialist	3.00	3.00	-	3.00	3.00	-	3.00	-
Water Meter Supervisor	1.00	1.00	-	1.00	1.00	-	1.00	-
Water Meter Technician	1.00	1.00	-	1.00	1.00	-	1.00	-
Water Quality Chemist I/II/III	2.00	2.00	-	2.00	2.00	-	2.00	-
Water Quality Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
Water Resources Analyst	-	3.00	-	3.00	3.00	-	3.00	-
Water Resources Supervisor	-	2.00	-	2.00	2.00	-	2.00	-
Water Treatment Operator II/III/IV	8.00	8.00	-	8.00	8.00	-	8.00	-
Water Treatment OIT II/III/IV	-	1.00	-	1.00	1.00	-	1.00	-
Water Trtmt Sup IV/V-Chief Plant Opr	1.00	1.00	-	1.00	1.00	-	1.00	-
Watershed Compliance Manager	1.00	1.00	-	1.00	1.00	-	1.00	-
	108.25	116.25	1.00	117.25	118.25	1.00	118.25	-
<b>Total Positions Authorized</b>	<b>870.78</b>	<b>890.53</b>	<b>9.50</b>	<b>900.03</b>	<b>898.53</b>	<b>(1.50)</b>	<b>880.53</b>	<b>(18.00)</b>

(1) The Business Systems Analyst III is funded by the Water Department.

(2) 8 Police Officers Unfunded



RESOLUTION NO. NS-29,719

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF SANTA CRUZ REVISING THE FISCAL YEAR 2021 BUDGET PREVIOUSLY ADOPTED ON JULY 2, 2020

BE IT RESOLVED, by the City Council of the City of Santa Cruz, that the budget of the City of Santa Cruz for Fiscal Year (FY) 2021, as adopted in that certain document entitled "City of Santa Cruz Adopted Annual Budget Fiscal Year 2021," on file with the City Clerk, is hereby revised for FY 2021, effective October 8, 2020, with the amendments and addenda thereto as determined by the City Council on October 8, 2020 and included in the Attached:

- Exhibit A- FY 2021 General Fund Reductions
- Exhibit B- FY 2021 Administrative Corrections

BE IT FURTHER RESOLVED that the City Manager is authorized to allocate within the applicable Funds the appropriate accounting classifications and to approve related and applicable transfer in/out between funds.

BE IT FURTHER RESOLVED that the Finance Director is authorized to create additional appropriations in order to provide for necessary commitments carried over from the prior fiscal year, including contract and purchase order encumbrances and unexpended project balances, so long as there is a sufficient fund balance to finance these commitments.

PASSED AND ADOPTED this 8<sup>th</sup> day of October, 2020 by the following vote:

- AYES: Councilmembers Beiers, Golder, Watkins; Vice Mayor Meyers; Mayor Cummings.
- NOES: Councilmember Brown (regarding elimination of twelve Park Ranger positions from the Police Budget and the creation of the five Community Service Officer (CSO) positions).
- ABSENT: None.
- DISQUALIFIED: Councilmember Mathews (regarding elimination of twelve Park Ranger positions from the Police Budget and the creation of the five Community Service Officer (CSO) positions).

APPROVED:

  
Justin Cummings, Mayor

ATTEST:

  
Bonnie Bush, City Clerk Administrator

RESOLUTION NO. NS-29,719

EXHIBIT A

Dept	Activity Name	Activity #	Proposed Reduction \$ Amount	Description	One-time/Service Delivery Alternative/Reduction or Elimination/Addition/Revenue
<b>Finance - Target \$189,000 (6%)</b>			<b>\$269,600</b>		
1	Risk Management	7821	62,500	Eliminate vacant Management Analyst in Risk Management division.	Elimination
2	Finance Administration-Variou	1241	201,100	Eliminate vacant Accountant in Auditing Division. Reduce building lease costs and alarm services (included in new lease). Reduce software, travel and furniture.	Reduction and Elimination
3	Finance Administration-Support	1241	6,000	Reclassify vacant Accounting Assistant II to Administrative Assistant III to provide administrative support functions.	Service Delivery Alternative
<b>Information Technology - Target \$219,000 (6%)</b>			<b>\$100,080</b>		
4	Information Technology-Variou	1251	100,080	Extend computer equipment replacement timeframe. Will evaluate staffing at mid-year.	Reduction
<b>Human Resources - Target \$58,000 (6%)</b>			<b>\$34,107</b>		
5	Human Resources-Variou	1230	34,107	Reduce leadership program, legal assistance with labor negotiations, training and reduce personnel costs in the benefits division.	Reduction
<b>City Manager's Office - Target \$388,000 (6%)</b>			<b>\$272,331</b>		
6	City Attorney's Office Services	1220	48,597	Reduce ability for attorney contract review, case assistance, etc. and reduced capacity for litigation and code enforcement.	Reduction
7	City Council-Variou	1110	32,000	Eliminate Council special projects budget. Cancel Menstrual Equity pilot in FY 2021. Eliminate City holiday party. Reduce support for community and committee meetings and travel. Fund Tenant Legal Services per Council direction on July 2, 2020.	One-time
8	City Council-Economic Hardship Program	1110	(30,000)	Fund the Council directed employee Economic Hardship Program.	One-time
9	City Clerk-Variou	1214	28,000	Eliminate all travel and training except those needed for certification. Reduce maintenance of Council Chambers and telecommunications.	One-time
10	City Manager's Office-Services and Dues	1210	34,300	Reduced consulting services for HiAP. Eliminates memberships for International Town & Gown Assoc, and Cities Association of Santa Clara County.	Reduction and Elimination
11	City Manager's Office-Travel and Training	1210	17,000	Reduce travel & training.	One-time
12	Animal Shelter JPA	2401	77,634	JPA reduced its charges for FY 2021 due to COVID budget issues across all members.	One-time
13	Community Programs Set Aside	6102	45,000	Eliminate Community Programs Set-Aside.	Elimination
12	Community Programs & Services	6103	19,800	Reduce HOPES program - reduce contract with County by 10%.	Reduction
<b>Public Works - Target \$582,000 (6%)</b>			<b>\$593,450</b>		
13	Public Works Administration	4101	118,103	Shift 40% Admin Division personnel costs from General Fund to Parking Fund by moving Admin II position and reallocating small percentage of other Admin Division personnel, as appropriate. Reduce budget for temp staffing, training, advertising, and furniture.	Reduction
14	Public Works Operations	4103	1,500	Reduce travel & training.	One-time
15	Street Maintenance and Sidewalk Repair	4210	100,000	Reduce funds for contractor services for street repairs and citywide encampment cleanups, which impacts funds for asphalt and other materials to repair roadways, minor storm drain maintenance, and City Street Restriping.	One-time

Dept	Activity Name	Activity #	Proposed Reduction \$		Description	One-time/Service Delivery Alternative/Reduction or Elimination/Addition/Revenue
			Amount			
16	Engineering	4102	171,477		Eliminate vacant Assistant Engineer II position, which may cause disruptions to development billing and inspections (PG&E, AT&T, Comcast, Crown Castle), defer General Fund engineering projects related to development and overlay.	Elimination
17	Engineering	4102	46,000		Reduce the ability to design smaller projects and emergency projects. Reduce training and furniture. Impacts may result in deferral of projects, and repair and replacement of equipment.	One-time
18	Traffic Engineering	4220	11,270		Reduce overtime, temp staff, and employee bus pass program. Reduces educational opportunities to promote safe traffic practices for the county of Santa Cruz (Street Smarts).	Reduction
19	Traffic Engineering	4220	65,578		Reduce Transportation Coordinator position from 1.0 FTE to 0.5 FTE, which will impact TDM program and less time for Slow Streets and Go Santa Cruz initiatives. Reduce misc. supplies & services.	One-time
20	Parking Services	4221	56,972		Due to COVID, there is reduced parking activity and can therefore reduce merchant fees. Reduce professional services and equipment, impacts may result in deferral of projects, and repair and replacement of equipment.	One-time
21	Wharf Gate Operations	4226	5,500		Reduce professional services and printing.	One-time
22	Parking Citation and Permits Office	4229	16,250		Reduce temp staff, merchant fees, software maintenance and travel.	One-time
23	Facilities Services	4110	800		Reduce travel & training.	One-time
<b>Fire - Target \$1,149,000 (6%)</b>			<b>\$295,100</b>			
24	Fire/EMS Operations	2202		Will be adjusted if positions become vacant	Freeze 3 Firefighter positions as they become vacated. Minimum staffing requires 15 Firefighter positions, currently the department is budgeted for 21 to allow for vacation, injury, and additional emergency response needs. Impact is financial as well as operational. 3.5 FF Positions are frozen as part of the side letter agreement for the furloughs.	One-time
25	Fire/EMS Operations	2202		*CANNOT DO*	Freeze Firefighter positions as they become vacated. Minimum staffing requires 15 Firefighter positions, currently the department is budgeted for 21 to allow for vacation, injury, and additional emergency response needs. Impact is financial as well as operational.	One-time
26	Fire/EMS Operations	2202	12,000		Defer radio purchases for fiscal year.	One-time
27	Fire Prevention	2203	12,500		Decrease overtime amount for fire investigation response.	One-time
28	Marine Rescue Program	2204	105,000		With the cancelation of the Capitola City Lifeguard contract, reduce the number of temp hours available. Equipment purchases limited to rescue watercraft.	Reduction and Elimination
29	Office of Emergency Services (OES)	2205	165,600		Share Office of Emergency Services costs to other special and enterprise funds.	Service Delivery Alternative
<b>Police - Target \$1,724,000 (6%)</b>			<b>\$1,667,229</b>			

Dept	Activity Name	Activity #	Proposed Reduction \$		Description	One-time/Service Delivery Alternative/Reduction or Elimination/Addition/Revenue
			Amount			
30	Police Park Services	2105	1,359,091		Eliminate Park Services Ranger Program in lieu of another service model. Reorganize patrol and enforcement programs downtown, in parks and open spaces.	Service Delivery Alternative
31	Police Patrol-Parks and Other Patrol Services	2103	(466,090)		Reorganize patrol services and add 5-6 unarmed, non-sworn Community Service Officers in response to public request.	Service Delivery Alternative
32	Police Community Services	2104	80,032		Due to in-person school closures and program cancellations due to COVID concerns, eliminate Gang Intervention and Prevention Specialist with County Office of Education, PRIDE Program, Citizen and Youth Police Academies. Reduce Temp Staff and Travel and Meetings for Community Services.	Reduction and Elimination
33	Police Patrol	2103	300,000		Freeze command rank personnel in Operations for the duration of the MOU.	Service Delivery Alternative
34	Police Patrol-Crime Analysis	2103	199,596		Eliminate crime analysis staff support in the Operations division. Eliminate Allied Security Ocean St. guard. Eliminate budget for Jail Access Fees.	Elimination
35	Police Patrol-Training	2103	20,000		Reduce off-site training for police.	Reduction
36	Police Investigations	2102	35,000		Reduce temp staff time allocated to cold case investigations.	Elimination
37	Police Administration-Various	2101	114,000		Reduce temp. Admin. Staff, advertising and reduce hiring process services budget by 50%.	Reduction
38	Police Records-Temp	2107	25,600		Eliminate temp services supporting Records division.	Elimination
<b>Parks &amp; Recreation - Target \$883,000 (6%)</b>			<b>\$1,102,187</b>			
39	Urban Forestry	3105	16,155		8% cut to the temporary staffing budget.	One-time
40	Neighborhood Parks	3106	8,616		8% cut to the temporary staffing and equipment budget.	One-time
41	Community and Regional Parks	3107	39,241		8% cut to the temporary staffing, equipment, and janitorial budget.	One-time
42	Youth Summer Trail Crew	3115	13,000		8% cut to the temporary staffing budget.	One-time
43	Parks Open Space	3120	32,631		8% cut to the temporary staffing budget and reduction in capacity to clean-	One-time
44	Delaveaga Golf Course	3131	39,655		8% cut to the Golf Course temporary staffing budget, equipment, water, supplies and travel budgets.	One-time
45	Recreation Classes	3201	130,930		8% cut to Class temporary staffing budgets, reduced trainings, postage and printing that will not happen as the department does more flexible online promotion of program offerings.	One-time
46	Special Events	3202	20,639		8% cut to all Event temporary staffing, as well as reductions in event vendor payments, program operating supplies, event rentals and printing that will not be made due to reduced programming.	One-time
47	Youth Programs	3206	28,798		8% cut to the temporary staffing budget.	One-time
48	Teen Services	3207	105,267		75% cut to the temporary staffing budget.	One-time
49	Sports	3205	94,000		Cut significantly Sports temporary staffing budget along with: reduction in overtime budget, sports league official payments that will not be made due to reduced programming, dues and memberships, and lower electricity costs from evening ballfield lights not being used.	One-time

Dept	Activity Name	Activity #	Proposed Reduction \$		Description	One-time/Service Delivery Alternative/Reduction or Elimination/Addition/Revenue
			Amount			
50	Museum	3210	16,946		Cut museum temporary staffing	One-time
51	Louden Nelson Community Center	3212	85,836		Cut significantly the Loudon Nelson Community Center temporary staffing budget as well as reduced vendor payments and merchant bank fees due to reduced programming, reduced training and other reductions in program operations due to lower levels of activity.	One-time
52	Civic Auditorium	3213	44,482		Eliminate vacant .5 Box Office Representative.	Elimination
53	Civic Auditorium	3213	204,455		Cut significantly the Civic temporary staffing budget, as well as reductions	One-time
54	Parks & Recreation Administration	3101	110,330		Eliminate vacant Special Events Coordinator.	Elimination
55	Parks & Recreation Administration	3101	76,206		Reduce administrative temporary staff, travel, trainings and merchant bank fees.	One-time
56	Wharf	3211	35,000		Reduce Wharf materials and contracted services budgets.	One-time
<b>Economic Development - Target \$361,000 (10%)</b>			<b>\$347,199</b>			
57	Economic Development-Housing	5401	13,237		Offset ED staff costs by new, on-going State funding source.	Revenue
58	Economic Development-Project Administration	5401	228,462		Reorganize Arts Program service delivery by reducing staff costs through reductions and some cost reallocation to the Public Arts Fund. Reduce admin professional services and computer equipment.	Service Delivery Alternative
59	Economic Development-Variou	5590	85,500		Reduce ED Trolley Operations and Façade Improvement Program. Reallocate ED Downtown Services: Sidewalk Waste Removal to Kiosk Maintenance Fund.	Service Delivery Alternative
60	Property Management	5591	20,000		Reduce expenses for facility maintenance/improvement.	Reduction
<b>Planning and Community Development - Target \$421,000 (6%)</b>			<b>\$494,118</b>			
61	Planning Administration-Variou	1301	89,669		Eliminate vacant Administrative Assistant II position. Reduce printing, training, professional and technical services.	Reduction and Elimination
62	Current Planning	1302	25,500		Reduce consulting services and training.	Reduction
63	Advance Planning	1303	95,610		Reduce personnel costs including temporary services, travel, trainings and other services/supplies.	Reduction
64	Advance Planning	1303			Add \$20,000 for the City-County Task for on UCSC Expansion Advocate (20,000) Position.	One Time
65	Building & Safety	2301	117,222		Eliminate vacant Building Inspector position. Reduce temp services, travel, trainings and other services/supplies.	Reduction
66	Code Enforcement	2302	184,117		Eliminate Code Enforcement position and other personnel cost reductions in the Code Enforcement division.	Reduction and Elimination
67	Rental Program	2304	2,000		Reduce travel & training.	Reduction
<b>TOTAL PROPOSED REDUCTIONS</b>			<b>\$5,175,401</b>			



A scenic landscape featuring a dirt path that winds through tall green grass. To the left, a rustic wooden fence runs across the field. In the background, a town is visible on a hillside, with the ocean and a clear blue sky in the distance.

# Financial Summaries

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2021 Annual Budget



# Financial Summaries

OVERVIEW This section contains the following information:

- Summary of Projected Revenues and Other Financing Sources
- Summary of Projected Expenditures and Other Financing Uses
- Summary of Transfers
- Revenues and Other Financing Sources - Primary General Fund
- Operating Expenditures by Department - Primary General Fund
- Recap of Funds - Fund Balance Projections



# Summary of Projected Revenues and Other Financing Sources

BY FUND TYPE

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>General Funds</b>					
<b>Primary General Fund</b>					
Taxes	76,922,920	80,892,700	80,892,700	73,007,745	73,160,835
Licenses and Permits	1,617,733	1,341,700	1,401,700	1,492,276	1,403,788
Grants	692,862	684,672	2,631,165	1,261,048	1,545,000
Charges for Services	17,645,865	17,635,607	17,635,607	15,213,628	15,519,538
Fines and Forfeitures	1,742,803	1,732,135	1,732,135	1,522,507	1,582,175
Rents & Miscellaneous Revenues	4,849,158	4,578,164	4,598,589	4,983,371	4,747,527
Other Financing Sources	416,595	231,200	364,200	164,207	2,249,027
Total Primary General Fund	103,887,935	107,096,178	109,256,096	97,644,782	100,207,890
<b>General Fund - Assigned &amp; Committed for Special Programs</b>					
Taxes	218,539	220,000	220,000	218,491	220,000
Charges for Services	1,506,261	1,596,800	1,596,800	1,101,392	1,518,019
Fines and Forfeitures	7,255	7,000	7,000	12,788	3,000
Rents & Miscellaneous Revenues	4,029,158	3,139,826	3,149,858	1,850,925	1,882,808
Other Financing Sources	3,145,139	2,323,502	2,323,502	1,631,778	2,055,621
Total General Fund - Assigned & Committed for Special Programs	8,906,353	7,287,128	7,297,160	4,815,374	5,679,448
<b>City Public Trust</b>					
Rents & Miscellaneous Revenues	138,497	56,650	56,650	97,561	57,210
Other Financing Sources	968,622	-	-	389,590	-
Total City Public Trust	1,107,119	56,650	56,650	487,150	57,210
Total General Funds	113,901,408	114,439,956	116,609,906	102,947,306	105,944,548
<b>Special Revenue Funds</b>					
<b>Police Special Revenue Funds</b>					
Grants	171,304	140,000	140,000	203,844	265,000
Charges for Services	21,541	8,245	8,245	20,470	29,000
Fines and Forfeitures	2,944	12,000	12,000	0	12,000
Rents & Miscellaneous Revenues	11,211	8,320	8,320	11,467	8,410
Total Police Special Revenue Funds	206,999	168,565	168,565	235,781	314,410
<b>State Highway Funds</b>					
Grants	3,634,049	3,511,514	18,562,914	3,620,734	2,698,883
Rents & Miscellaneous Revenues	65,785	7,540	7,540	38,532	7,620
Other Financing Sources	500,716	550,000	5,879,560	2,652,846	605,923
Total State Highway Funds	4,200,551	4,069,054	24,450,014	6,312,112	3,312,426

# Summary of Projected Revenues and Other Financing Sources

BY FUND TYPE

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>Measure D Transportation Fund</b>					
Grants	1,335,519	1,217,648	1,217,648	1,400,614	1,234,943
Rents & Miscellaneous Revenues	25,162	-	-	19,977	-
Total Measure D Transportation Fund	1,360,681	1,217,648	1,217,648	1,420,592	1,234,943
<b>Traffic Impact Funds</b>					
Rents & Miscellaneous Revenues	475,721	562,800	562,800	524,394	563,430
Total Traffic Impact Funds	475,721	562,800	562,800	524,394	563,430
<b>Clean River, Beaches &amp; Ocean Tax Fund</b>					
Taxes	629,191	635,712	635,712	629,680	630,434
Rents & Miscellaneous Revenues	25,514	21,580	21,580	22,739	21,800
Other Financing Sources	25,000	-	-	0	29,189
Total Clean River, Beaches & Ocean Tax Fund	679,706	657,292	657,292	652,419	681,423
<b>Parks &amp; Recreation Funds</b>					
Taxes	457,973	500,000	500,000	233,342	500,000
Charges for Services	-	-	-	98,985	-
Rents & Miscellaneous Revenues	25,216	19,740	19,740	30,679	19,960
Other Financing Sources	-	-	-	0	10,000
Total Parks & Recreation Funds	483,190	519,740	519,740	363,006	529,960
<b>Housing &amp; Community Development Funds</b>					
Grants	742,118	783,100	1,034,048	860,938	1,608,165
Charges for Services	15,245	11,000	11,000	12,640	11,000
Rents & Miscellaneous Revenues	2,078,210	138,270	138,270	425,499	190,000
Other Financing Sources	7,065	8,400	8,400	2,537	8,000
Total Housing & Community Development Funds	2,842,637	940,770	1,191,718	1,301,614	1,817,165
<b>City Low &amp; Mod Income Housing Funds</b>					
Grants	9,213	8,500	8,500	0	-
Charges for Services	32,500	28,750	28,750	28,750	-
Rents & Miscellaneous Revenues	558,951	485,774	485,774	354,371	219,629
Other Financing Sources	-	150,000	843,889	0	1,544,837
Total City Low & Mod Income Housing Funds	600,663	673,024	1,366,913	383,121	1,764,466
<b>Transportation Development Funds</b>					
Grants	798,782	744,077	744,077	1,013,564	744,077
Total Transportation Development Funds	798,782	744,077	744,077	1,013,564	744,077

# Summary of Projected Revenues and Other Financing Sources

BY FUND TYPE

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
Total Special Revenue Funds	11,648,930	9,552,970	30,878,766	12,206,603	10,962,300
<b>Capital Improvement Funds</b>					
<b>General &amp; Other Capital Improvement Funds</b>					
Taxes	1,651,619	1,480,128	1,480,128	2,758,892	1,651,619
Grants	1,516,333	12,415,850	33,603,869	1,822,662	1,685,000
Rents & Miscellaneous Revenues	366,026	98,555	1,878,375	359,460	276,714
Other Financing Sources	4,555,722	3,245,873	13,618,008	2,510,409	3,692,342
Total General & Other Capital Improvement Funds	8,089,700	17,240,406	50,580,381	7,451,423	7,305,675
Total Capital Improvement Funds	8,089,700	17,240,406	50,580,381	7,451,423	7,305,675
<b>Debt Service Funds</b>					
<b>Government Obligation &amp; Lease Revenue Bond Funds</b>					
Taxes	510,425	500,000	500,000	506,257	520,000
Rents & Miscellaneous Revenues	384,782	450,623	450,623	382,598	453,283
Total Government Obligation & Lease Revenue Bond Funds	895,207	950,623	950,623	888,854	973,283
Total Debt Service Funds	895,207	950,623	950,623	888,854	973,283
<b>Enterprise Funds</b>					
<b>Water Enterprise Funds</b>					
Licenses and Permits	2,898	3,000	3,000	(2,361)	3,000
Grants	79,047	300,000	12,871,595	309,800	-
Charges for Services	39,978,383	45,772,268	42,577,268	41,664,558	46,154,619
Fines and Forfeitures	-	-	-	618	-
Rents & Miscellaneous Revenues	1,055,427	876,920	886,162	880,987	764,310
Other Financing Sources	3,410	32,000,000	12,004,000	0	682,545
Total Water Enterprise Funds	41,119,165	78,952,188	68,342,025	42,853,601	47,604,474
<b>Wastewater Enterprise Funds</b>					
Grants	715,866	976,470	3,966,258	800,129	2,423,528
Charges for Services	19,748,997	21,960,000	21,960,000	21,296,244	23,074,500
Rents & Miscellaneous Revenues	271,692	181,200	181,200	238,196	183,020
Other Financing Sources	1,569,871	-	-	0	282,260
Total Wastewater Enterprise Funds	22,306,425	23,117,670	26,107,458	22,334,568	25,963,308

# Summary of Projected Revenues and Other Financing Sources

BY FUND TYPE

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>Refuse Enterprise Funds</b>					
Grants	26,850	26,378	39,209	15,000	20,000
Charges for Services	20,942,885	20,650,000	20,650,000	20,471,860	21,450,000
Rents & Miscellaneous Revenues	596,190	532,460	532,460	565,136	546,190
Other Financing Sources	199,620	-	8,542	158,861	349,281
Total Refuse Enterprise Funds	21,765,545	21,208,838	21,230,211	21,210,857	22,365,471
<b>Parking Enterprise Funds</b>					
Taxes	165,467	-	-	149,811	175,200
Grants	242	-	71,119	71,387	-
Charges for Services	5,910,901	6,911,400	6,911,400	5,054,850	7,116,000
Rents & Miscellaneous Revenues	277,587	250,240	250,240	258,288	255,900
Other Financing Sources	2,154	50,000	100,000	1,891	144,594
Total Parking Enterprise Funds	6,356,351	7,211,640	7,332,759	5,536,228	7,691,694
<b>Storm Water Enterprise Funds</b>					
Grants	107,180	95,000	130,000	263,248	-
Charges for Services	891,611	776,734	776,734	891,131	860,843
Rents & Miscellaneous Revenues	19,943	25,470	25,470	167,007	25,760
Total Storm Water Enterprise Funds	1,018,733	897,204	932,204	1,321,386	886,603
<b>Golf Course Enterprise Funds</b>					
Total Golf Course Enterprise Funds	-	-	-	0	-
Total Enterprise Funds	92,566,220	131,387,540	123,944,657	93,256,640	104,511,550
<b>Internal Service Funds</b>					
<b>Equipment Operations Internal Service Fund</b>					
Charges for Services	3,850,641	3,364,434	3,364,434	3,605,665	3,258,812
Rents & Miscellaneous Revenues	57,636	4,630	4,630	29,472	4,570
Other Financing Sources	1,071,661	298,360	298,360	253,830	335,309
Total Equipment Operations Internal Service Fund	4,979,938	3,667,424	3,667,424	3,888,967	3,598,691
<b>Equipment Financing Services Internal Service Fund</b>					
Charges for Services	-	1,743,912	1,743,912	0	-
Rents & Miscellaneous Revenues	-	510	510	0	-
Other Financing Sources	-	1,053,750	1,053,750	0	-
Total Equipment Financing Services Internal Service Fund	-	2,798,172	2,798,172	0	-

# Summary of Projected Revenues and Other Financing Sources

BY FUND TYPE

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>Group Health Insurance Internal Service Fund</b>					
Charges for Services	17,558,739	2,148,277	2,148,277	18,698,560	17,535,076
Rents & Miscellaneous Revenues	194,096	44,990	44,990	(38,585)	45,440
Total Group Health Insurance Internal Service Fund	17,752,835	2,193,267	2,193,267	18,659,976	17,580,516
<b>Liability Insurance Internal Service Fund</b>					
Charges for Services	3,950,016	3,950,000	3,950,000	3,950,016	3,950,000
Rents & Miscellaneous Revenues	197,147	107,293	107,293	867,200	105,940
Total Liability Insurance Internal Service Fund	4,147,163	4,057,293	4,057,293	4,817,216	4,055,940
<b>Unemployment Internal Service Fund</b>					
Charges for Services	612,850	601,993	601,993	657,729	601,993
Rents & Miscellaneous Revenues	44,880	36,340	36,340	48,603	36,710
Total Unemployment Internal Service Fund	657,730	638,333	638,333	706,333	638,703
<b>Workers' Compensation Insurance Fund</b>					
Charges for Services	3,806,883	4,031,857	4,031,857	3,904,274	2,555,095
Rents & Miscellaneous Revenues	201,163	255,010	255,010	199,188	272,070
Total Workers' Compensation Insurance Fund	4,008,046	4,286,867	4,286,867	4,103,461	2,827,165
<b>Information Technology Internal Service Fund</b>					
Other Financing Sources	-	835,000	2,421,588	500,889	761,000
Total Information Technology Internal Service Fund	-	835,000	2,421,588	500,889	761,000
Total Internal Service Funds	31,545,712	18,476,356	20,062,944	32,676,841	29,462,015
Total Revenues:	258,647,176	292,047,851	343,027,278	249,427,668	259,159,371

# Summary of Projected Expenditures and Other Financing Uses

BY FUND TYPE

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>General Funds</b>					
<b>Primary General Fund</b>					
Personnel Services	67,702,194	73,590,674	73,169,532	66,280,231	66,191,886
Services, Supplies, & Other Charges	26,956,788	30,036,493	34,819,474	26,798,026	28,586,504
Capital Outlay	253,682	76,066	696,497	811,899	51,500
Debt Service	4,870,889	5,092,332	5,092,332	5,070,066	5,158,995
Other Financing Uses	3,027,108	(1,778,770)	645,915	2,622,943	(4,209,011)
Total Primary General Fund	102,810,662	107,016,795	114,423,749	101,583,164	95,779,874
<b>General Fund - Assigned &amp; Committed for Special Programs</b>					
Personnel Services	3,010,022	3,161,285	3,161,285	2,649,422	3,126,952
Services, Supplies, & Other Charges	2,279,203	2,541,885	3,383,353	1,896,501	1,261,883
Capital Outlay	41,111	-	39,649	41,145	75,000
Debt Service	-	9,500	9,500	0	-
Other Financing Uses	369,709	-	2,387,105	99,687	1,278,252
Total General Fund - Assigned & Committed for Special Programs	5,700,044	5,712,670	8,980,892	4,686,755	5,742,087
<b>City Public Trust</b>					
Services, Supplies, & Other Charges	-	-	-	5,065	85,000
Capital Outlay	-	-	200,000	0	-
Other Financing Uses	95,162	15,000	85,162	71,325	40,000
Total City Public Trust	95,162	15,000	285,162	76,390	125,000
Total General Funds	108,605,869	112,744,465	123,689,804	106,346,310	101,646,961
<b>Special Revenue Funds</b>					
<b>Police Special Revenue Funds</b>					
Services, Supplies, & Other Charges	110,000	110,000	110,000	110,000	100,000
Total Police Special Revenue Funds	110,000	110,000	110,000	110,000	100,000
<b>State Highway Funds</b>					
Personnel Services	43,011	41,904	41,904	41,271	42,643
Services, Supplies, & Other Charges	581,844	587,776	686,661	734,397	651,811
Capital Outlay	1,845,937	1,500,000	24,244,892	4,053,147	900,000
Debt Service	16,558	25,000	25,000	22,732	38,428
Other Financing Uses	825,944	800,161	2,611,214	675,087	609,134
Total State Highway Funds	3,313,295	2,954,841	27,609,672	5,526,633	2,242,016
<b>Measure D Transportation Fund</b>					
Personnel Services	-	-	-	0	153,213
Other Financing Uses	1,175,175	1,916,000	2,187,299	476,998	981,000
Total Measure D Transportation Fund	1,175,175	1,916,000	2,187,299	476,998	1,134,213



# Summary of Projected Expenditures and Other Financing Uses

BY FUND TYPE

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>Traffic Impact Funds</b>					
Personnel Services	57,934	70,902	60,902	44,477	216,319
Services, Supplies, & Other Charges	-	288	288	288	288
Other Financing Uses	400,716	300,000	5,604,560	2,643,646	400,000
Total Traffic Impact Funds	458,651	371,190	5,665,750	2,688,411	616,607
<b>Clean River, Beaches &amp; Ocean Tax Fund</b>					
Personnel Services	236,715	248,840	248,840	240,886	256,277
Services, Supplies, & Other Charges	326,918	618,668	633,668	226,625	643,767
Capital Outlay	49,857	50,000	241,324	27,301	-
Other Financing Uses	71,844	344	344	0	2,422
Total Clean River, Beaches & Ocean Tax Fund	685,334	917,852	1,124,176	494,812	902,466
<b>Parks and Recreation Funds</b>					
Other Financing Uses	60,516	95,000	742,745	254,002	362,000
Total Parks and Recreation Funds	60,516	95,000	742,745	254,002	362,000
<b>Housing &amp; Community Development Funds</b>					
Services, Supplies, & Other Charges	437,391	817,600	2,040,546	913,146	1,191,728
Other Financing Uses	279,310	265,000	421,918	191,754	777,085
Total Housing & Community Development Funds	716,701	1,082,600	2,462,464	1,104,900	1,968,813
<b>City Low &amp; Mod Income Housing Funds</b>					
Services, Supplies, & Other Charges	49,090	222,940	311,609	73,078	236,940
Capital Outlay	1,038	731,958	1,838,067	94,474	1,563,709
Total City Low & Mod Income Housing Funds	50,128	954,898	2,149,676	167,552	1,800,649
<b>Transportation Development Funds</b>					
Services, Supplies, & Other Charges	798,781	744,077	744,077	1,013,564	744,077
Total Transportation Development Funds	798,781	744,077	744,077	1,013,564	744,077
Total Special Revenue Funds	7,368,580	9,146,458	42,795,859	11,836,872	9,870,841
<b>Capital Improvement Funds</b>					
<b>General and Other Capital Improvement Funds</b>					
Personnel Services	25,876	25,832	25,832	11,243	30,532
Services, Supplies, & Other Charges	1,942	-	5,482	0	-
Capital Outlay	10,055,430	20,748,385	62,982,669	10,783,343	8,204,654
Debt Service	289,447	352,094	352,094	279,490	351,394
Other Financing Uses	-	-	500,000	0	-
Total General and Other Capital Improvement Funds	10,372,695	21,126,311	63,866,077	11,074,076	8,586,580

# Summary of Projected Expenditures and Other Financing Uses

BY FUND TYPE

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>Debt Service Funds</b>					
<b>Government Obligation and Lease Revenue Bond Funds</b>					
Debt Service	860,779	880,396	880,396	877,676	885,295
Total Government Obligation and Lease Revenue Bond Funds	860,779	880,396	880,396	877,676	885,295
<b>Enterprise Funds</b>					
<b>Water Enterprise Funds</b>					
Personnel Services	14,174,510	16,837,336	15,686,336	13,520,355	16,153,083
Services, Supplies, & Other Charges	12,578,247	16,118,507	16,260,258	12,787,073	16,247,401
Capital Outlay	17,800,233	3,266,000	43,858,060	30,282,178	62,475,000
Debt Service	2,247,613	10,138,026	10,188,026	3,060,716	3,458,545
Other Financing Uses	60,168	280,419	1,163,785	202,973	244,982
Total Water Enterprise Funds	46,860,770	46,640,288	87,156,465	59,853,295	98,579,011
<b>Wastewater Enterprise Funds</b>					
Personnel Services	8,208,021	9,750,093	9,740,593	8,245,142	9,940,832
Services, Supplies, & Other Charges	7,431,258	9,581,249	9,934,673	8,552,408	10,670,967
Capital Outlay	3,356,211	3,603,800	18,630,954	3,344,695	8,147,300
Debt Service	3,663,892	337,662	337,662	341,689	339,513
Other Financing Uses	248,055	93,221	209,240	69,477	96,368
Total Wastewater Enterprise Funds	22,907,437	23,366,025	38,853,122	20,553,411	29,194,980
<b>Refuse Enterprise Funds</b>					
Personnel Services	9,783,763	10,412,424	10,410,924	9,737,934	10,771,238
Services, Supplies, & Other Charges	7,516,079	9,277,603	9,486,052	8,120,233	9,737,588
Capital Outlay	3,456,986	3,583,500	6,716,857	2,283,333	8,138,500
Debt Service	1,235,244	891,329	891,329	706,117	883,824
Other Financing Uses	120,183	295,783	510,214	166,324	203,043
Total Refuse Enterprise Funds	22,112,254	24,460,639	28,015,377	21,013,942	29,734,193
<b>Parking Enterprise Funds</b>					
Personnel Services	2,791,847	3,040,885	3,020,885	2,953,700	3,309,604
Services, Supplies, & Other Charges	1,813,683	2,653,962	2,735,359	2,094,250	3,006,627
Capital Outlay	119,756	286,000	3,155,007	681,612	586,000
Debt Service	348,140	346,400	346,400	344,379	351,600
Other Financing Uses	70,155	90,385	148,356	50,565	65,943
Total Parking Enterprise Funds	5,143,582	6,417,632	9,406,006	6,124,506	7,319,774

# Summary of Projected Expenditures and Other Financing Uses

BY FUND TYPE

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>Storm Water Enterprise Funds</b>					
Personnel Services	133,072	133,852	131,352	145,338	218,963
Services, Supplies, & Other Charges	94,764	557,623	590,884	463,731	585,195
Capital Outlay	443,370	285,000	951,163	220,305	1,120,000
Debt Service	396,383	394,186	394,186	392,608	397,784
Other Financing Uses	37,248	173,596	193,849	6,964	11,690
Total Storm Water Enterprise Funds	1,104,837	1,544,257	2,261,434	1,228,945	2,333,632
<b>Golf Course Enterprise Funds</b>					
Total Golf Course Enterprise Funds	-	-	-	0	-
Total Enterprise Funds	98,128,880	102,428,841	165,692,403	108,774,099	167,161,590
<b>Internal Service Funds</b>					
<b>Equipment Operations Internal Service Fund</b>					
Personnel Services	1,261,773	1,324,212	1,317,212	1,217,051	977,508
Services, Supplies, & Other Charges	2,522,227	2,436,082	2,529,731	2,399,206	2,557,546
Capital Outlay	878,684	110,000	124,271	12,465	114,900
Debt Service	2,075,504	-	-	0	-
Other Financing Uses	1,628	51,628	95,503	48,859	26,462
Total Equipment Operations Internal Service Fund	6,739,817	3,921,922	4,066,717	3,677,581	3,676,416
<b>Equipment Financing Services Internal Service Fund</b>					
Capital Outlay	-	1,053,750	1,053,750	0	-
Debt Service	-	958,801	958,801	0	-
Total Equipment Financing Services Internal Service Fund	-	2,012,551	2,012,551	0	-
<b>Information Technology Internal Service Fund</b>					
Capital Outlay	-	835,000	2,185,749	500,889	1,522,000
Total Information Technology Internal Service Fund	-	835,000	2,185,749	500,889	1,522,000
<b>Group Health Insurance Internal Service Fund</b>					
Personnel Services	622,015	673,711	673,711	701,302	699,553
Services, Supplies, & Other Charges	16,909,842	1,501,649	1,501,649	16,216,363	17,344,511
Total Group Health Insurance Internal Service Fund	17,531,857	2,175,360	2,175,360	16,917,665	18,044,064
<b>Liability Insurance Internal Service Fund</b>					
Personnel Services	474,794	569,668	569,668	549,095	447,867
Services, Supplies, & Other Charges	2,890,967	3,887,441	4,082,415	2,958,947	4,467,943
Other Financing Uses	-	-	200,000	0	-
Total Liability Insurance Internal Service Fund	3,365,761	4,457,109	4,852,083	3,508,042	4,915,810

# Summary of Projected Expenditures and Other Financing Uses

BY FUND TYPE

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>Unemployment Internal Service Fund</b>					
Services, Supplies, & Other Charges	110,706	100,000	100,000	92,489	100,000
Total Unemployment Internal Service Fund	110,706	100,000	100,000	94,889	100,000
<b>Workers' Compensation Insurance</b>					
Personnel Services	313,604	318,908	318,908	312,146	342,988
Services, Supplies, & Other Charges	4,303,122	2,873,804	2,894,533	2,877,496	2,938,021
Other Financing Uses	89,967	-	38,266	32,823	3,500,000
Total Workers' Compensation Insurance	4,706,693	3,192,712	3,251,707	3,222,466	6,781,009
Total Internal Service Funds	32,454,834	16,694,654	18,644,167	27,921,531	35,039,299
Grand Total:	257,791,636	263,021,125	415,568,706	266,830,565	323,190,566

# Summary of Transfers

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted	
		Adopted Budget	Amended* Budget	Year End Actual		
<b>Expenditures</b>						
<b>1 - General Funds</b>						
Primary General Fund	<b>101</b>	<b>3,027,108</b>	<b>3,116,552</b>	<b>5,541,237</b>	<b>2,622,943</b>	<b>2,490,989</b>
To General Capital Improvement Fund		508,877	-	1,421,793	440,390	-
To Gas Tax Fund		-	-	25,000	0	-
To Equipment Fund		250,000	-	-	0	-
To Municipal Wharf fund		1,251,149	1,115,106	1,115,106	1,607,596	1,115,106
To Carbon Reduction fund		-	12,345	12,345	0	12,345
To Economic Development Trust Fund		1,017,082	1,146,000	1,146,000	0	573,000
To Clean Rivers, Beaches, Ocean Fund		-	-	-	0	-
To Equipment Financing Fund		-	250,000	250,000	250,000	250,000
To Information Technology Fund		-	593,101	1,570,993	324,956	540,538
	<b>104</b>	<b>321,999</b>	-	<b>126,387</b>	<b>93,935</b>	-
To General Capital Improvement Fund		321,999	-	126,387	93,935	-
City Public Trust Fund	<b>130</b>	<b>95,162</b>	<b>15,000</b>	<b>85,162</b>	<b>71,325</b>	<b>40,000</b>
To General Fund		-	15,000	15,000	1,163	15,000
To General Capital Improvement Fund		70,162	-	70,162	70,162	-
To Clean Rivers, Beaches, Ocean Fund		25,000	-	-	0	25,000
City Stabilization Reserve	<b>132</b>	-	-	-	<b>0</b>	-
To General Fund		-	-	-	0	-
Energy Efficient Equipment Fund	<b>133</b>	<b>47,710</b>	-	<b>147,542</b>	<b>5,752</b>	<b>98,252</b>
To General Fund		11,000	-	125,000	5,252	89,000
To General Capital Improvement Fund		27,000	-	10,000	0	-
To Wastewater Fund		4,000	-	-	0	-
To Gas Tax Fund		-	-	-	0	-
To Refuse Fund		5,710	-	8,542	500	9,252
To Water Fund		-	-	4,000	0	-
Economic Development Trust Fund	<b>136</b>	-	-	<b>2,113,176</b>	<b>0</b>	<b>1,180,000</b>
To General Fund		-	-	-	0	30,000
To General Capital Improvement Fund		-	-	2,113,176	0	1,150,000
Unemployment Insurance	<b>140</b>	-	-	-	<b>0</b>	-
Transfer to Unemployment IS Fund		-	-	-	0	-
<b>1 - General Funds</b>		<b>3,491,979</b>	<b>3,131,552</b>	<b>8,013,505</b>	<b>2,793,955</b>	<b>3,809,241</b>
<b>2 - Special Revenue Funds</b>						
Gasoline Tax	<b>221</b>	<b>825,944</b>	<b>800,161</b>	<b>2,611,214</b>	<b>675,087</b>	<b>609,134</b>
To General Fund		-	-	8,000	8,000	8,000
To General Capital Improvement Fund		325,783	300,000	2,103,053	167,087	100,000
To CIP-Street Maint & Rehab Fund		500,000	-	-	0	-
To CIP-Arterial Streets and Roads fund		-	500,000	500,000	500,000	500,000
To Traffic Impact Fee fund		-	-	-	0	-
To Carbon Reduction fund		161	161	161	0	1,134
Traffic Congestion Relief	<b>222</b>	-	-	-	<b>0</b>	-

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year End Actual	
To Gas Tax Fund	-	-	-	0	-
<b>224</b>	<b>1,175,175</b>	<b>1,916,000</b>	<b>2,187,299</b>	<b>476,998</b>	<b>981,000</b>
To General Fund	33,000	116,000	116,000	44,000	81,000
To General Capital Improvement Fund	810,735	1,000,000	1,221,299	3,230	-
To Gas Tax Fund	-	150,000	150,000	9,200	-
To CIP-Arterial Streets and Roads fund	331,440	600,000	600,000	420,568	800,000
To Parking fund	-	50,000	100,000	0	100,000
Traffic Impact Fee-Citywide Fund <b>226</b>	<b>400,716</b>	<b>300,000</b>	<b>5,604,560</b>	<b>2,643,646</b>	<b>400,000</b>
To Gas Tax Fund	400,716	300,000	5,604,560	2,643,646	400,000
Clean River, Beaches & Ocean Tax <b>235</b>	<b>71,844</b>	<b>344</b>	<b>344</b>	<b>0</b>	<b>2,422</b>
To General Fund	71,500	-	-	0	-
To Carbon Reduction fund	344	344	344	0	2,422
Northwest Quadrant - Parks & Rec <b>241</b>	<b>47,400</b>	-	<b>200,100</b>	<b>125,000</b>	-
To General Capital Improvement Fund	47,400	-	200,100	125,000	-
Southwest Quadrant - Parks & Rec <b>242</b>	<b>875</b>	-	<b>44,719</b>	<b>10,000</b>	<b>12,000</b>
To General Capital Improvement Fund	875	-	44,719	10,000	12,000
Northeast Quadrant - Parks & Rec <b>243</b>	-	-	<b>25,000</b>	<b>0</b>	<b>35,000</b>
To General Capital Improvement Fund	-	-	25,000	0	35,000
Southeast Quadrant - Parks & Rec <b>244</b>	-	-	-	<b>0</b>	-
To General Capital Improvement Fund	-	-	-	0	-
Parks & Recreation Tax Combined <b>249</b>	<b>12,241</b>	<b>95,000</b>	<b>472,926</b>	<b>119,002</b>	<b>315,000</b>
To General Capital Improvement Fund	12,241	95,000	472,926	119,002	305,000
To Golf Course fund	-	-	-	0	-
To Northwest Quadrant - P & R Fee Fund	-	-	-	0	-
To Southwest Quadrant - P & R Fee Fund	-	-	-	0	10,000
To Northeast Quadrant - P & R Fee Fund	-	-	-	0	-
To Southeast Quadrant - P & R Fee Fund	-	-	-	0	-
Community Development Block Gr: <b>261</b>	<b>279,310</b>	<b>35,000</b>	<b>191,918</b>	<b>111,754</b>	<b>627,085</b>
To General Fund	82,664	35,000	35,000	35,000	70,128
To General Capital Improvement Fund	196,646	-	156,918	76,754	556,957
Affordable Housing Trust Fund <b>279</b>	-	<b>230,000</b>	<b>230,000</b>	<b>80,000</b>	<b>150,000</b>
To General Capital Improvement Fund	-	80,000	80,000	80,000	-
To SA (H) LMIH-Merged 2-1-12	-	150,000	150,000	0	150,000
<b>2 - Special Revenue Funds</b>	<b>2,813,506</b>	<b>3,376,505</b>	<b>11,568,079</b>	<b>4,241,487</b>	<b>3,131,641</b>
<b>3 - Capital Improvement</b>					
General Capital Improvement Proje <b>311</b>	-	-	-	<b>0</b>	-
To City Emergency Reserve Fund	-	-	-	0	-
CIP-Street Maintenance & Rehabili <b>317</b>	-	-	<b>500,000</b>	<b>0</b>	-
To General Capital Improvement Fund	-	-	500,000	0	-
Wharf Tenant Capital Improvement <b>319</b>	-	-	-	<b>0</b>	-
To Municipal Wharf fund	-	-	-	0	-
<b>3 - Capital Improvement</b>	-	-	<b>500,000</b>	<b>0</b>	-
<b>7 - Enterprise Funds</b>					

	Fiscal Year*	Fiscal Year 2020			Fiscal Year	
		2019	Adopted	Amended*		Year End
	Actuals	Budget	Budget	Actual	Adopted	
<b>Water</b>	<b>711</b>	<b>38,869</b>	<b>279,602</b>	<b>1,162,968</b>	<b>202,156</b>	<b>244,362</b>
To General Fund		-	-	-	0	-
To General Capital Improvement Fund		34,850	150,000	730,028	98,204	96,500
To Carbon Reduction fund		4,019	4,019	4,019	0	28,296
To CIP Public Art Fund		-	19,204	19,204	19,204	22,615
To Information Technology Fund		-	106,379	409,716	84,749	96,951
<b>Water - 2% for Public Art</b>	<b>714</b>	<b>21,299</b>	-	-	<b>0</b>	-
To CIP Public Art Fund		21,299	-	-	0	-
<b>Water System Development Fees F</b>	<b>715</b>	-	<b>817</b>	<b>817</b>	<b>817</b>	<b>620</b>
To CIP Public Art Fund		-	817	817	817	620
<b>Wastewater</b>	<b>721</b>	<b>244,048</b>	<b>93,221</b>	<b>209,240</b>	<b>69,477</b>	<b>96,368</b>
To General Fund		24,705	29,500	29,500	29,500	29,500
To General Capital Improvement Fund		218,119	-	-	0	-
To Carbon Reduction fund		1,224	1,224	1,224	0	8,618
To Clean Rivers, Beaches, Ocean Fund		-	-	-	0	-
To CIP Public Art Fund		-	3,847	3,847	3,847	4,797
To Information Technology Fund		-	58,650	174,669	36,130	53,453
<b>Wastewater - 2% for Public Art</b>	<b>724</b>	<b>4,007</b>	-	-	<b>0</b>	-
To CIP Public Art Fund		4,007	-	-	0	-
<b>Refuse</b>	<b>731</b>	<b>118,127</b>	<b>295,783</b>	<b>510,214</b>	<b>166,324</b>	<b>203,043</b>
To General Fund		70,988	2,500	2,500	2,500	2,500
To General Capital Improvement Fund		34,371	243,750	341,182	129,743	76,500
To City Emergency Reserve Fund		-	-	-	0	-
To Carbon Reduction fund		12,767	12,767	12,767	0	89,886
To CIP Public Art Fund		-	2,882	2,882	2,882	3,276
To Information Technology Fund		-	33,884	150,883	31,199	30,881
<b>Refuse - 2% for Public Art</b>	<b>734</b>	<b>2,056</b>	-	-	<b>0</b>	-
To CIP Public Art Fund		2,056	-	-	0	-
<b>Parking</b>	<b>741</b>	<b>69,460</b>	<b>90,385</b>	<b>148,356</b>	<b>50,565</b>	<b>65,943</b>
To General Fund		60,000	20,000	20,000	20,000	20,000
To General Capital Improvement Fund		8,838	37,500	43,384	11,800	12,000
To Carbon Reduction fund		622	622	622	0	4,379
To CIP Public Art Fund		-	1,660	1,660	1,660	1,673
To Information Technology Fund		-	30,603	82,690	17,104	27,891
<b>Parking - 2% for Public Art</b>	<b>742</b>	<b>695</b>	-	-	<b>0</b>	-
To CIP Public Art Fund		695	-	-	0	-
<b>Storm Water</b>	<b>751</b>	<b>22,526</b>	<b>12,383</b>	<b>32,636</b>	<b>6,751</b>	<b>11,286</b>
To General Capital Improvement Fund		22,526	-	-	0	-
To Information Technology Fund		-	12,383	32,636	6,751	11,286
<b>Storm Water Overlay</b>	<b>752</b>	<b>14,594</b>	<b>161,213</b>	<b>161,213</b>	<b>213</b>	<b>404</b>
To General Capital Improvement Fund		14,594	161,000	161,000	0	-
To CIP Public Art Fund		-	213	213	213	404
<b>Storm Water - 2% for Public Art</b>	<b>753</b>	<b>128</b>	-	-	<b>0</b>	-
To CIP Public Art Fund		128	-	-	0	-



	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year End Actual	
<b>7 - Enterprise Funds</b>	<b>535,809</b>	<b>933,404</b>	<b>2,225,444</b>	<b>496,302</b>	<b>622,026</b>
<b>8 - Internal Service Funds</b>					
Equipment Operations <b>811</b>	<b>1,628</b>	<b>51,628</b>	<b>95,503</b>	<b>48,859</b>	<b>26,462</b>
To General Capital Improvement Fund	-	50,000	93,875	48,859	15,000
To City Emergency Reserve Fund	-	-	-	0	-
To Carbon Reduction fund	1,628	1,628	1,628	0	11,462
Workers' Compensation Insurance <b>841</b>	<b>89,967</b>	-	<b>38,266</b>	<b>32,823</b>	<b>3,466,825</b>
To General Fund	13,500	-	-	0	1,891,842
To General Capital Improvement Fund	76,467	-	38,266	32,823	-
To Wastewater Fund	-	-	-	0	282,260
To Gas Tax Fund	-	-	-	0	5,923
To Refuse Fund	-	-	-	0	340,029
To Water Fund	-	-	-	0	682,545
To Street Tree Fund	-	-	-	0	44,594
To Equipment Fund	-	-	-	0	36,949
To Municipal Wharf fund	-	-	-	0	170,626
To Clean Rivers, Beaches, Ocean Fund	-	-	-	0	4,189
Other interfund loans and advances	-	-	-	0	-
Offset to interfund loans and advances	-	-	-	0	-
Other transfers out	-	-	-	0	7,868
Liability Insurance <b>842</b>	-	-	<b>200,000</b>	<b>0</b>	-
To General Capital Improvement Fund	-	-	200,000	0	-
Group Health Insurance <b>843</b>	-	-	-	<b>0</b>	-
To Payroll Payables Fund	-	-	-	0	-
Unemployment Insurance <b>844</b>	-	-	-	<b>2,400</b>	-
To Unemployment Fund (140)	-	-	-	2,400	-
<b>8 - Internal Service Funds</b>	<b>91,595</b>	<b>51,628</b>	<b>333,769</b>	<b>84,082</b>	<b>3,493,287</b>
<b>Expenditures Totals:</b>	<b>6,932,889</b>	<b>7,493,089</b>	<b>22,640,797</b>	<b>7,615,826</b>	<b>11,056,195</b>
<b>Revenues</b>					
<b>1 - General Funds</b>					
Primary General Fund <b>101</b>	<b>367,358</b>	<b>218,000</b>	<b>351,000</b>	<b>145,415</b>	<b>2,236,970</b>
From Workers' Compensation Fund	13,500	-	-	0	1,891,842
From City Public Trust Fund	-	15,000	15,000	1,163	15,000
From Water Fund	-	-	-	0	-
From Wastewater Fund	24,705	29,500	29,500	29,500	29,500
From Refuse Fund	70,988	2,500	2,500	2,500	2,500
From Parking Fund	60,000	20,000	20,000	20,000	20,000
From CDBG Fund	82,664	35,000	35,000	35,000	70,128
From Gas Tax Fund	-	-	8,000	8,000	8,000
From Asset seizure/youth fund	-	-	-	0	-
From Clean River, Beaches & Ocean Fund	71,500	-	-	0	-
Other interfund loans and advances	-	-	-	0	-
Offset to Advances	-	-	-	0	-

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year End Actual	
From Economic Development Trust Fund	-	-	-	0	30,000
From Carbon Reduction Fund	11,000	-	125,000	5,252	89,000
From Emergency Reserve Fund	-	-	-	0	-
Transfer from 2016 Trnsp Measure D	33,000	116,000	116,000	44,000	81,000
<b>Code Enforcement/Civil Penalties 103</b>	-	-	-	<b>0</b>	-
Other interfund loans and advances	-	-	-	0	-
Offset to Advances	-	-	-	0	-
<b>104</b>	<b>1,251,149</b>	<b>1,115,106</b>	<b>1,115,106</b>	<b>1,607,596</b>	<b>1,285,732</b>
From General Fund	1,251,149	1,115,106	1,115,106	1,607,596	1,115,106
From Workers' Compensation Fund	-	-	-	0	170,626
Other interfund loans and advances	-	-	-	0	-
Offset to Advances	-	-	-	0	-
From Wharf Tenant Capital Improv Fund	-	-	-	0	-
<b>Green Bldg Educational Resource I 108</b>	-	-	-	<b>0</b>	<b>7,868</b>
From Workers' Compensation Fund	-	-	-	0	7,868
Other interfund loans and advances	-	-	-	0	-
Offset to Advances	-	-	-	0	-
<b>City Stabilization Reserve 132</b>	-	-	-	<b>0</b>	-
From Capital Improvement Projects Fund	-	-	-	0	-
From Equipment Fund	-	-	-	0	-
From Refuse Fund	-	-	-	0	-
<b>Energy Efficient Equipment Fund 133</b>	<b>20,765</b>	<b>33,110</b>	<b>33,110</b>	<b>0</b>	<b>158,542</b>
From General Fund	-	12,345	12,345	0	12,345
From Equipment Fund	1,628	1,628	1,628	0	11,462
From Water Fund	4,019	4,019	4,019	0	28,296
From Wastewater Fund	1,224	1,224	1,224	0	8,618
From Refuse Fund	12,767	12,767	12,767	0	89,886
From Parking Fund	622	622	622	0	4,379
From Gas Tax Fund	161	161	161	0	1,134
From Clean River, Beaches & Ocean Fund	344	344	344	0	2,422
<b>Economic Development Trust Fund 136</b>	<b>1,017,082</b>	<b>1,146,000</b>	<b>1,146,000</b>	<b>0</b>	<b>573,000</b>
From General Fund	1,017,082	1,146,000	1,146,000	0	573,000
<b>Unemployment Insurance 140</b>	-	-	-	<b>2,400</b>	-
From Unemployment IS Fund	-	-	-	2,400	-
<b>Contributions and Donations - Park 162</b>	-	-	-	<b>0</b>	-
From CDBG Fund	-	-	-	0	-
<b>1 - General Funds</b>	<b>2,656,354</b>	<b>2,512,216</b>	<b>2,645,216</b>	<b>1,755,411</b>	<b>4,262,112</b>
<b>2 - Special Revenue Funds</b>					
<b>Gasoline Tax 221</b>	<b>400,716</b>	<b>450,000</b>	<b>5,779,560</b>	<b>2,652,846</b>	<b>405,923</b>
From General Fund	-	-	25,000	0	-
From Workers' Compensation Fund	-	-	-	0	5,923
From Traffic Impact Fee - City wide fund	400,716	300,000	5,604,560	2,643,646	400,000
From Traffic Congestions Relief Fund	-	-	-	0	-
From Carbon Reduction Fund	-	-	-	0	-

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year End Actual	
Transfer from 2016 Trnsp Measure D	-	150,000	150,000	9,200	-
<b>Clean River, Beaches &amp; Ocean Tax 235</b>	<b>25,000</b>	-	-	<b>0</b>	<b>29,189</b>
From General Fund	-	-	-	0	25,000
From Workers' Compensation Fund	-	-	-	0	4,189
From City Public Trust Fund	25,000	-	-	0	-
From Wastewater Fund	-	-	-	0	-
<b>Northwest Quadrant - Parks &amp; Rec 241</b>	-	-	-	<b>0</b>	-
From Parks and Rec. Facilities Tax Fund	-	-	-	0	-
<b>Southwest Quadrant - Parks &amp; Rec 242</b>	-	-	-	<b>0</b>	<b>10,000</b>
From Parks and Rec. Facilities Tax Fund	-	-	-	0	10,000
<b>Northeast Quadrant - Parks &amp; Rec 243</b>	-	-	-	<b>0</b>	-
From Parks and Rec. Facilities Tax Fund	-	-	-	0	-
<b>Southeast Quadrant - Parks &amp; Rec 244</b>	-	-	-	<b>0</b>	-
From Parks and Rec. Facilities Tax Fund	-	-	-	0	-
<b>SA (H) LMIH-Merged 2-1-12 281</b>	-	<b>150,000</b>	<b>150,000</b>	<b>0</b>	<b>150,000</b>
From Affordable Housing Trust Fund	-	150,000	150,000	0	150,000
<b>2 - Special Revenue Funds</b>	<b>425,716</b>	<b>600,000</b>	<b>5,929,560</b>	<b>2,652,846</b>	<b>595,112</b>
<b>3 - Capital Improvement</b>					
<b>General Capital Improvement Proje 311</b>	<b>2,731,484</b>	<b>2,117,250</b>	<b>10,149,735</b>	<b>1,506,989</b>	<b>2,358,957</b>
From General Fund	508,877	-	1,421,793	440,390	-
From Equipment Fund	-	50,000	93,875	48,859	15,000
From Workers' Compensation Fund	76,467	-	34,733	32,823	-
From Liability Insurance Fund	-	-	200,000	0	-
From City Public Trust Fund	70,162	-	70,162	70,162	-
From Parks and Rec. Facilities Tax Fund	12,241	95,000	472,926	119,002	305,000
From Water Fund	34,850	150,000	730,028	98,204	96,500
From Wastewater Fund	218,119	-	-	0	-
From Refuse Fund	34,371	243,750	341,182	129,743	76,500
From Parking Fund	8,838	37,500	43,384	11,800	12,000
From Storm Water Fund	22,526	-	-	0	-
From Affordable Housing Trust Fund	-	80,000	80,000	80,000	-
From CDBG Fund	196,646	-	156,918	76,754	556,957
From Gas Tax Fund	325,783	300,000	2,103,053	167,087	100,000
From Northwest Quadrant - P & R Fee Fun	47,400	-	200,100	125,000	-
From Southwest Quadrant - P & R Fee Fun	875	-	44,719	10,000	12,000
From Northeast Quadrant - P & R Fee Func	-	-	25,000	0	35,000
From Southeast Quadrant - P & R Fee Func	-	-	-	0	-
From Economic Development Trust Fund	-	-	2,113,176	0	1,150,000
From Municipal Wharf Fund	321,999	-	126,387	93,935	-
From Carbon Reduction Fund	27,000	-	10,000	0	-
Transfer from 2016 Trnsp Measure D	810,735	1,000,000	1,221,299	3,230	-
From CIP-Street Maint and Rehab Fund	-	-	500,000	0	-
From Storm Water Overlay Fund	14,594	161,000	161,000	0	-
<b>2% for Public Art - CIP 313</b>	<b>28,185</b>	<b>28,623</b>	<b>28,623</b>	<b>28,623</b>	<b>33,385</b>
From Water Fund	21,299	19,204	19,204	19,204	22,615

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted	
		Adopted Budget	Amended* Budget	Year End Actual		
From Wastewater Fund	4,007	3,847	3,847	3,847	4,797	
From Refuse Fund	2,056	2,882	2,882	2,882	3,276	
From Parking Fund	695	1,660	1,660	1,660	1,673	
From Storm Water Fund	128	-	-	0	-	
From Storm Water Overlay Fund	-	213	213	213	404	
From Water System Develop Fund	-	817	817	817	620	
<b>CIP-Street Maintenance &amp; Rehabili</b>	<b>317</b>	<b>831,440</b>	<b>1,100,000</b>	<b>1,100,000</b>	<b>920,568</b>	<b>1,300,000</b>
From Gas Tax Fund		500,000	500,000	500,000	500,000	500,000
Transfer from 2016 Trnsp Measure D		331,440	600,000	600,000	420,568	800,000
<b>3 - Capital Improvement</b>		<b>3,591,109</b>	<b>3,245,873</b>	<b>11,278,358</b>	<b>2,456,180</b>	<b>3,692,342</b>
<b>7 - Enterprise Funds</b>						
Water	<b>711</b>	-	-	<b>4,000</b>	<b>0</b>	<b>682,545</b>
From Workers' Compensation Fund		-	-	-	0	682,545
From Carbon Reduction Fund		-	-	4,000	0	-
Wastewater	<b>721</b>	<b>4,000</b>	-	-	<b>0</b>	<b>282,260</b>
From Workers' Compensation Fund		-	-	-	0	282,260
From Carbon Reduction Fund		4,000	-	-	0	-
Refuse	<b>731</b>	<b>5,710</b>	-	<b>8,542</b>	<b>500</b>	<b>349,281</b>
From Workers' Compensation Fund		-	-	-	0	340,029
From Carbon Reduction Fund		5,710	-	8,542	500	9,252
Parking	<b>741</b>	-	<b>50,000</b>	<b>100,000</b>	<b>0</b>	<b>144,594</b>
From Workers' Compensation Fund		-	-	-	0	44,594
Transfer from 2016 Trnsp Measure D		-	50,000	100,000	0	100,000
<b>7 - Enterprise Funds</b>		<b>9,710</b>	<b>50,000</b>	<b>112,542</b>	<b>500</b>	<b>1,458,680</b>
<b>8 - Internal Service Funds</b>						
Equipment Operations	<b>811</b>	<b>250,000</b>	<b>250,000</b>	<b>250,000</b>	<b>250,000</b>	<b>286,949</b>
From General Fund		250,000	250,000	250,000	250,000	250,000
From Workers' Compensation Fund		-	-	-	0	36,949
Information Technology	<b>833</b>	-	<b>835,000</b>	<b>2,421,588</b>	<b>500,889</b>	<b>761,000</b>
From General Fund		-	593,101	1,570,993	324,956	540,534
From Water Fund		-	106,379	409,716	84,749	96,953
From Wastewater Fund		-	58,650	174,669	36,130	53,454
From Refuse Fund		-	33,884	150,883	31,199	30,882
From Parking Fund		-	30,603	82,690	17,104	27,890
From Storm Water Fund		-	12,383	32,636	6,751	11,287
Unemployment Insurance	<b>844</b>	-	-	-	<b>0</b>	-
Transfer from Unemployment GF		-	-	-	0	-
<b>8 - Internal Service Funds</b>		<b>250,000</b>	<b>1,085,000</b>	<b>2,671,588</b>	<b>750,889</b>	<b>1,047,949</b>
<b>Revenues Totals:</b>		<b>6,932,889</b>	<b>7,493,089</b>	<b>22,637,264</b>	<b>7,615,826</b>	<b>11,056,195</b>
		-	-	<b>(3,533)</b>	<b>0</b>	-

# Revenues and Other Financing Sources - Primary General Fund

BY REVENUE TYPE

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>Taxes</b>					
Property Tax	22,625,517	23,056,000	23,056,000	23,330,109	23,744,878
Sales and Use Tax	21,860,332	21,895,000	21,895,000	21,376,655	20,384,955
Utility Users Tax	11,517,468	12,116,000	12,116,000	11,459,173	11,355,379
Transient Occupancy Tax	10,985,787	12,602,000	12,602,000	8,035,134	8,035,134
Franchise Tax	4,025,643	4,151,000	4,151,000	4,014,249	4,083,165
Admission Tax	2,646,472	2,882,000	2,882,000	1,721,753	2,175,423
Business License Tax	902,226	955,000	955,000	867,252	827,129
Parking Lot Tax	699,715	849,000	849,000	509,965	649,493
Other Taxes	1,659,761	2,386,700	2,386,700	1,693,454	1,905,279
Total Taxes	<u>76,922,920</u>	<u>80,892,700</u>	<u>80,892,700</u>	<u>73,007,745</u>	<u>73,160,835</u>
<b>Licenses and Permits</b>					
Construction Permits	1,203,971	1,016,000	1,016,000	1,106,285	1,106,285
Other Permits	413,761	325,700	385,700	373,165	297,503
Other Miscellaneous Revenues	-	-	-	12,825	-
Total Licenses and Permits	<u>1,617,733</u>	<u>1,341,700</u>	<u>1,401,700</u>	<u>1,492,276</u>	<u>1,403,788</u>
<b>Grants and Intergovernmental</b>					
Federal	147,489	163,172	660,121	432,260	679,346
State	441,847	515,000	1,874,844	742,376	802,654
Local	103,526	6,500	96,200	86,412	63,000
Total Grants and Intergovernmental	<u>692,862</u>	<u>684,672</u>	<u>2,631,165</u>	<u>1,261,048</u>	<u>1,545,000</u>
<b>Charges for Services</b>					
General Government	2,375,719	1,701,500	1,701,500	2,087,859	2,087,859
Public Safety	5,528,629	5,681,711	5,681,711	4,410,485	4,410,486
Culture and Recreation	2,901,072	2,833,900	2,833,900	2,001,060	2,001,061
Public Works	1,659,744	1,915,333	1,915,333	1,430,449	1,430,449
Library	462,438	462,438	462,438	462,444	422,402
Interfund and Interagency charges	4,715,388	4,820,525	4,820,525	4,820,208	4,966,141
Miscellaneous Charges for Services	2,875	220,200	220,200	1,124	201,140
Total Charges for Services	<u>17,645,865</u>	<u>17,635,607</u>	<u>17,635,607</u>	<u>15,213,628</u>	<u>15,519,538</u>
<b>Fines and Forfeitures</b>					
Fines and Forfeits	1,742,803	1,732,135	1,732,135	1,522,507	1,582,175
Total Fines and Forfeitures	<u>1,742,803</u>	<u>1,732,135</u>	<u>1,732,135</u>	<u>1,522,507</u>	<u>1,582,175</u>

# Revenues and Other Financing Sources - Primary General Fund

BY REVENUE TYPE

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>Rents &amp; Misc Revenues</b>					
Investment Earnings	65,945	(127,770)	(127,770)	65,457	65,459
Rents and Royalties	4,375,259	4,433,614	4,433,614	4,440,148	4,433,325
Contr & Donations-Private Sources	98,957	8,620	17,045	113,131	7,873
Miscellaneous Operating Revenues	308,997	263,700	275,700	364,636	240,870
<b>Total Rents &amp; Misc Revenues</b>	<b>4,849,158</b>	<b>4,578,164</b>	<b>4,598,589</b>	<b>4,983,371</b>	<b>4,747,527</b>
<b>Other Financing Sources</b>					
Interfund Transfers In	367,358	218,000	351,000	145,415	2,236,970
Other Miscellaneous Revenues	49,237	13,200	13,200	19,328	12,057
<b>Total Other Financing Sources</b>	<b>416,595</b>	<b>231,200</b>	<b>364,200</b>	<b>164,743</b>	<b>2,249,027</b>
<b>Total Revenues</b>	<b>103,887,935</b>	<b>107,096,178</b>	<b>109,256,096</b>	<b>97,645,318</b>	<b>100,207,890</b>

# Operating Expenditures by Department - Primary General Fund

BY DEPARTMENT

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>City Attorney</b>					
Personnel Services	16,857	-	-	12,171	22,251
Services, Supplies, & Other Charges	1,453,542	1,415,343	1,817,159	1,804,988	1,586,403
<b>Total City Attorney</b>	1,470,399	1,415,343	1,817,159	1,817,159	1,608,654
<b>City Council</b>					
Personnel Services	305,912	310,827	310,827	303,823	321,784
Services, Supplies, & Other Charges	108,093	158,860	221,400	163,003	139,218
Capital Outlay	-	2,400	2,400	2,400	-
<b>Total City Council</b>	414,005	472,087	534,627	469,226	461,002
<b>City Manager</b>					
Personnel Services	2,220,211	2,095,391	2,118,889	2,111,492	2,043,557
Services, Supplies, & Other Charges	3,454,721	3,687,317	4,049,644	3,436,758	3,527,352
Capital Outlay	-	9,600	9,600	8,810	-
<b>Total City Manager</b>	5,674,932	5,792,308	6,178,133	5,557,059	5,570,909
<b>City - Non-Departmental</b>					
Services, Supplies, & Other Charges	3,144	-	-	0	-
Debt Service	4,870,889	5,092,332	5,092,332	5,070,066	5,158,995
Other Financing Uses	3,027,108	(1,778,770)	645,915	2,622,943	(4,209,011)
<b>Total City - Non-Departmental</b>	7,901,142	3,313,562	5,738,247	7,693,008	949,984
<b>Economic Development</b>					
Personnel Services	1,448,878	2,056,568	2,038,559	1,579,006	1,599,021
Services, Supplies, & Other Charges	1,162,959	1,806,570	3,039,605	1,655,163	1,789,511
Capital Outlay	6,575	-	-	0	-
<b>Total Economic Development</b>	2,618,412	3,863,138	5,078,164	3,234,169	3,388,532
<b>Finance</b>					
Personnel Services	3,125,448	3,605,026	3,605,026	2,844,108	3,410,029
Services, Supplies, & Other Charges	574,623	681,677	749,818	524,854	540,381
Capital Outlay	-	25,500	39,960	6,437	15,000
<b>Total Finance</b>	3,700,071	4,312,203	4,394,804	3,375,400	3,965,410
<b>Fire</b>					
Personnel Services	15,995,360	16,124,273	16,411,012	16,752,405	15,716,894
Services, Supplies, & Other Charges	2,015,867	2,287,488	2,327,683	1,943,116	2,058,351
Capital Outlay	63,403	-	473,044	500,012	-
<b>Total Fire</b>	18,074,629	18,411,761	19,211,739	19,195,533	17,775,245



# Operating Expenditures by Department - Primary General Fund

BY DEPARTMENT

	Fiscal Year 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted Budget
		Adopted Budget	Amended Budget	Year End Actual	
<b>Human Resources</b>					
Personnel Services	1,055,905	1,036,533	1,036,533	981,880	1,034,583
Services, Supplies, & Other Charges	621,876	481,096	541,408	571,555	599,701
<b>Total Human Resources</b>	<b>1,677,781</b>	<b>1,517,629</b>	<b>1,577,941</b>	<b>1,553,435</b>	<b>1,634,284</b>
<b>Information Technology</b>					
Personnel Services	2,883,476	2,880,548	2,880,548	2,547,546	3,015,166
Services, Supplies, & Other Charges	1,956,159	1,991,002	2,008,677	1,932,901	2,174,529
Capital Outlay	88,385	-	42,032	42,032	-
<b>Total Information Technology</b>	<b>4,928,020</b>	<b>4,871,550</b>	<b>4,931,257</b>	<b>4,522,479</b>	<b>5,189,695</b>
<b>Library (City)</b>					
Services, Supplies, & Other Charges	1,604,751	1,674,751	1,674,751	1,674,751	1,744,751
<b>Total Library (City)</b>	<b>1,604,751</b>	<b>1,674,751</b>	<b>1,674,751</b>	<b>1,674,751</b>	<b>1,744,751</b>
<b>Parks and Recreation</b>					
Personnel Services	8,451,193	9,159,752	9,065,796	7,773,288	8,094,836
Services, Supplies, & Other Charges	5,081,048	5,637,578	6,156,141	4,340,519	4,986,846
Capital Outlay	29,472	-	30,088	140,609	26,500
<b>Total Parks and Recreation</b>	<b>13,561,713</b>	<b>14,797,330</b>	<b>15,252,025</b>	<b>12,254,416</b>	<b>13,108,182</b>
<b>Planning and Community Development</b>					
Personnel Services	4,953,775	5,703,529	5,453,529	4,397,830	5,202,988
Services, Supplies, & Other Charges	1,045,469	1,087,772	2,145,011	1,093,978	957,218
Capital Outlay	12,155	-	40,415	16,702	10,000
<b>Total Planning and Community Development</b>	<b>6,011,400</b>	<b>6,791,301</b>	<b>7,638,955</b>	<b>5,508,510</b>	<b>6,170,206</b>
<b>Police</b>					
Personnel Services	22,303,181	24,435,476	24,529,432	22,123,913	20,308,112
Services, Supplies, & Other Charges	5,381,981	5,599,873	6,164,863	5,050,986	5,232,826
Capital Outlay	-	-	2	0	-
<b>Total Police</b>	<b>27,685,163</b>	<b>30,035,349</b>	<b>30,694,297</b>	<b>27,174,899</b>	<b>25,540,938</b>
<b>Public Works</b>					
Personnel Services	4,941,997	6,182,751	5,719,381	4,852,769	5,422,665
Services, Supplies, & Other Charges	2,518,795	3,527,166	3,923,314	2,629,652	3,249,417
Capital Outlay	27,453	38,566	58,956	70,701	-
<b>Total Public Works</b>	<b>7,488,245</b>	<b>9,748,483</b>	<b>9,701,651</b>	<b>7,553,122</b>	<b>8,672,082</b>
<b>Total Expenditures</b>	<b>102,810,662</b>	<b>107,016,795</b>	<b>114,423,749</b>	<b>101,583,164</b>	<b>95,779,874</b>

## Recap of Funds FY 2021-Fund Balance Projection

		Estimated Beginning Fund Balance	Adopted Revenues & Other Sources	Adopted Other Financing Sources	Adopted Operating Expenditures	Adopted Capital Outlay	Adopted Debt Service	Adopted Other Financing Uses	Estimated Ending Fund Balance
<b>Governmental Fund Types</b>		-							
<b>General Fund (101-199)</b>									
<b>Primary Operating Funds:</b>									
101	Primary General Fund	(1,410,008)	97,958,863	2,249,027	(94,778,390)	(51,500)	(5,158,995)	4,122,096	2,931,093
	<b>Subtotal</b>	<b>(1,410,008)</b>	<b>97,958,863</b>	<b>2,249,027</b>	<b>(94,778,390)</b>	<b>(51,500)</b>	<b>(5,158,995)</b>	<b>4,122,096</b>	<b>2,931,093</b>
<b>Reserved and Designated for Special Programs:</b>									
103	Code Enforcement/Civil Penalties	276,160	27,690	-	(103,322)	-	-	-	200,528
104	Wharf Fund	381,811	2,731,569	1,285,732	(3,621,243)	(75,000)	-	-	702,869
108	Green Building Education Resource	172,683	194,010	7,868	(344,192)	-	-	-	30,369
121	Civic Equip Maintenance/Replacement	1,179	7,190	-	(10,000)	-	-	-	(1,631)
122	Co-op Retail Management Fund	19,718	221,500	-	(220,000)	-	-	-	21,218
123	Kiosk Maintenance fund	139,843	41,700	-	(55,700)	-	-	-	125,843
125	Street Tree Fund	133,374	18,070	-	(15,000)	-	-	-	136,444
132	City Emergency Reserve Fund	17,226,248	298,808	30,479	-	-	-	-	17,555,535
133	Carbon Reduction Fund	163,562	2,740	245,457	-	-	-	(98,252)	313,507
134	Cannabis Children's Fund	119,669	670	-	-	-	-	-	120,339
136	Economic Development Trust	3,681,424	39,850	573,000	-	-	-	(1,180,000)	3,114,274
140	Unemployment Insurance Fund	-	-	-	-	-	-	-	-
141	CASp Certification and Training Fund	52,472	-	-	-	-	-	-	52,472
150	Housing In-lieu Program	96,289	290	-	-	-	-	-	96,579
151	DeAnza Hardship Fund	268,853	3,900	-	-	-	-	-	272,753
161	Contributions and Donations - General	-	920	-	-	-	-	-	920
162	Contributions and Donations-Parks & Rec	227,642	34,920	-	(19,378)	-	-	-	243,184
	<b>Total Reserved and Designated</b>	<b>22,960,927</b>	<b>3,623,827</b>	<b>2,142,536</b>	<b>(4,388,835)</b>	<b>(75,000)</b>	<b>-</b>	<b>(1,278,252)</b>	<b>22,985,203</b>
<b>General Fund without Public Trust</b>		<b>21,550,919</b>	<b>101,582,690</b>	<b>4,391,563</b>	<b>(99,167,225)</b>	<b>(126,500)</b>	<b>(5,158,995)</b>	<b>2,843,844</b>	<b>25,916,296</b>
<b>130</b>	<b>City Public Trust Fund</b>	5,685,949	57,210	-	(85,000)	-	-	(40,000)	5,618,159
<b>Total General Fund</b>		<b>27,236,868</b>	<b>101,639,900</b>	<b>4,391,563</b>	<b>(99,252,225)</b>	<b>(126,500)</b>	<b>(5,158,995)</b>	<b>2,803,844</b>	<b>31,534,455</b>
<b>Special Revenue Funds</b>									
<b>Police Special Revenue (210-219):</b>									
211	Supplemental Law Enforcement Svc Fund	216,477	264,930	-	(100,000)	-	-	-	381,407
212	Traffic Offender Fund	292,065	32,550	-	-	-	-	-	324,615
214	Police Asset Seizure Fund	155,646	16,850	-	-	-	-	-	172,496
216	Asset Seizure/Youth Crime Prevention Fund	3,254	80	-	-	-	-	-	3,334
	<b>Total Special Police Revenue</b>	<b>667,441</b>	<b>314,410</b>	<b>-</b>	<b>(100,000)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>881,851</b>

## Recap of Funds FY 2021-Fund Balance Projection

	Estimated Beginning Fund Balance	Adopted Revenues & Other Sources	Adopted Other Financing Sources	Adopted Operating Expenditures	Adopted Capital Outlay	Adopted Debt Service	Adopted Other Financing Uses	Estimated Ending Fund Balance
<b>State Highway (220-222):</b>								
221 Gasoline Tax Fund	3,452,701	2,706,883	16,857,493	(694,454)	(20,758,144)	(38,428)	(609,134)	916,917
222 Traffic Congestion Relief	-	(380)	-	-	-	-	-	(380)
<b>Total State Highway</b>	<b>3,452,701</b>	<b>2,706,503</b>	<b>16,857,493</b>	<b>(694,454)</b>	<b>(20,758,144)</b>	<b>(38,428)</b>	<b>(609,134)</b>	<b>916,537</b>
<b>Transportation Measure D</b>								
224 2016 Transportation Measure D	1,749,433	1,234,943	-	(153,213)	-	-	(981,000)	1,850,163
<b>Total Clean River, Beaches</b>	<b>1,749,433</b>	<b>1,234,943</b>	<b>-</b>	<b>(153,213)</b>	<b>-</b>	<b>-</b>	<b>(981,000)</b>	<b>1,850,163</b>
<b>Traffic Impact Fee Funds (225-226)</b>								
225 Traffic Impact Fee-Beach/SOLA Fund	107,017	-	-	-	-	-	-	107,017
226 Traffic Impact Fee-Citywide Fund	2,004,109	563,430	-	(216,607)	-	-	(400,000)	1,950,932
<b>Total Traffic Impact Fee Funds</b>	<b>2,111,126</b>	<b>563,430</b>	<b>-</b>	<b>(216,607)</b>	<b>-</b>	<b>-</b>	<b>(400,000)</b>	<b>2,057,949</b>
<b>Clean River, Beaches Fund (235)</b>								
235 Clean River, Beaches	1,678,809	652,234	29,189	(900,044)	-	-	(2,422)	1,457,766
<b>Total Clean River, Beaches</b>	<b>1,678,809</b>	<b>652,234</b>	<b>29,189</b>	<b>(900,044)</b>	<b>-</b>	<b>-</b>	<b>(2,422)</b>	<b>1,457,766</b>
<b>Parks and Recreation (240-249):</b>								
241 NW Quadrant - Parks & Rec Fee Fund	145,635	4,600	-	-	-	-	-	150,235
242 SW Quadrant - Parks & Rec Fee Fund	47,543	730	10,000	-	-	-	(12,000)	46,273
243 NE Quadrant - Parks & Rec Fee Fund	163,324	3,290	-	-	-	-	(35,000)	131,614
244 SE Quadrant - Parks & Rec Fee Fund	117,910	3,000	-	-	-	-	-	120,910
249 Parks & Rec Tax Combined	1,348,866	508,340	-	-	-	-	(315,000)	1,542,206
<b>Total Parks and Recreation</b>	<b>1,823,279</b>	<b>519,960</b>	<b>10,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(362,000)</b>	<b>1,991,239</b>
<b>Housing &amp; Community Development (250-269):</b>								
<b>HOME</b>								
251 HOME Investment Partnership Fund	381,526	5,910	-	-	-	-	-	387,436
252 HOME Investment Partnership Fund	190,475	2,770	-	-	-	-	-	193,245
253 HOME Investment Partnership Fund	324,930	431,854	-	(431,994)	-	-	-	324,790
<b>Subtotal HOME</b>	<b>896,932</b>	<b>440,534</b>	<b>-</b>	<b>(431,994)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>905,472</b>
<b>CDBG</b>								
261 Community Development Block Grant Fund	358,568	1,222,191	8,000	(603,234)	-	-	(627,085)	358,440
<b>Subtotal CDBG</b>	<b>358,568</b>	<b>1,222,191</b>	<b>8,000</b>	<b>(603,234)</b>	<b>-</b>	<b>-</b>	<b>(627,085)</b>	<b>358,440</b>

## Recap of Funds FY 2021-Fund Balance Projection

	Estimated Beginning Fund Balance	Adopted Revenues & Other Sources	Adopted Other Financing Sources	Adopted Operating Expenditures	Adopted Capital Outlay	Adopted Debt Service	Adopted Other Financing Uses	Estimated Ending Fund Balance
<b>Other Housing Funds (271-279);</b>								
272 Red Cross Housing Recnstr Loan Repayment	121,211	3,480	-	(47,000)	-	-	-	77,691
273 CalHOME-FTHB Revolving Loan Fund	173,787	2,530	-	-	-	-	-	176,317
275 HOME-American Dream FTH	67,291	980	-	-	-	-	-	68,271
279 Affordable Housing Trust Fund	3,441,939	139,450	-	(109,500)	-	-	(150,000)	3,321,889
<b>Subtotal Other Housing</b>	<b>3,804,228</b>	<b>146,440</b>	<b>-</b>	<b>(156,500)</b>	<b>-</b>	<b>-</b>	<b>(150,000)</b>	<b>3,644,168</b>
<b>Total Housing &amp; Community Development</b>	<b>5,059,727</b>	<b>1,809,165</b>	<b>8,000</b>	<b>(1,191,728)</b>	<b>-</b>	<b>-</b>	<b>(777,085)</b>	<b>4,908,079</b>
<b>Low &amp; Moderate Income House Funds</b>								
281 SA LHIH-Merged	1,529,872	219,629	1,544,837	(236,940)	(1,563,709)	-	-	1,493,689
<b>Total Low &amp; Moderate Income House Funds</b>	<b>1,529,872</b>	<b>219,629</b>	<b>1,544,837</b>	<b>(236,940)</b>	<b>(1,563,709)</b>	<b>-</b>	<b>-</b>	<b>1,493,689</b>
<b>Other Special Revenue Funds (225,291);</b>								
291 Transportation Development Act Fund	1	744,077	-	(744,077)	-	-	-	1
<b>Total Other Special Revenue Funds</b>	<b>1</b>	<b>744,077</b>	<b>-</b>	<b>(744,077)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>
<b>Total Special Revenue Funds</b>	<b>18,072,389</b>	<b>8,764,351</b>	<b>18,449,519</b>	<b>(4,237,063)</b>	<b>(22,321,853)</b>	<b>(38,428)</b>	<b>(3,131,641)</b>	<b>15,557,274</b>
<b>Capital Projects Funds</b>								
Capital Improvement Projects (310-319)								
311 General Capital Improvement Projects	(9,744,186)	1,109,760	44,737,453	-	(42,688,783)	(351,394)	(32,221)	(6,969,371)
313 CIP Fund - 2% For Public Art - CIP Fund	110,325	890	65,607	(30,532)	-	-	-	146,290
315 CIP Fund - Sidewalk Constr. In-Lieu Fund	184,033	4,800	-	-	-	-	-	188,833
316 CIP - Santa Cruz Library	7,630,973	1,691,759	-	-	(6,173,111)	-	(282,000)	2,867,621
317 CIP Fund - Street maintenance & Rehabilitation	410,650	7,564,239	1,300,000	-	(10,029,109)	-	-	(754,220)
319 Wharf Tenant Capital Improvement Contrib	721,043	271,044	-	-	(477,085)	-	-	515,002
331 SC Street Improvements - Harvey West	(21)	(50)	-	-	-	-	-	(71)
<b>Total Capital Improvement Projects</b>	<b>(687,183)</b>	<b>10,642,442</b>	<b>46,103,060</b>	<b>(30,532)</b>	<b>(59,368,088)</b>	<b>(351,394)</b>	<b>(314,221)</b>	<b>(4,005,916)</b>
<b>Debt Service Funds</b>								
411 General Obligation Bonds Debt Service	1,410,428	538,250	-	-	-	(524,001)	-	1,424,677
413 Lease Revenue Bonds	403,659	435,033	-	-	-	(361,294)	-	477,398
<b>411 General Obligation Bonds Debt Service</b>	<b>1,814,086</b>	<b>973,283</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(885,295)</b>	<b>-</b>	<b>1,902,074</b>
<b>Total Governmental Fund Types</b>	<b>46,436,160</b>	<b>122,019,976</b>	<b>68,944,142</b>	<b>(103,519,820)</b>	<b>(81,816,441)</b>	<b>(6,434,112)</b>	<b>(642,018)</b>	<b>44,987,887</b>

## Recap of Funds FY 2021-Fund Balance Projection

		Estimated Beginning Fund Balance	Adopted Revenues & Other Sources	Adopted Other Financing Sources	Adopted Operating Expenditures	Adopted Capital Outlay	Adopted Debt Service	Adopted Other Financing Uses	Estimated Ending Fund Balance
<b>Proprietary Fund Types</b>									
<b>Enterprise Funds</b>									
<b>Water Enterprise (710-719)</b>									
711	Water Fund	6,869,440	120,681,558	682,545	(31,921,240)	(77,910,281)	(3,317,718)	(312,208)	14,772,096
713	Water Rate Stabilization Fund	8,338,227	3,230,418	-	-	-	-	-	11,568,645
714	Water 2% for Public Art Fund	324,732	4,810	69,705	(92,940)	-	-	-	306,307
715	Water Sys Dev Fees Fund	4,140,728	877,570	-	(342,322)	-	(140,827)	(2,479)	4,532,670
716	Water - 90 Day Operating Reserve	6,859,985	99,120	-	-	-	-	-	6,959,105
717	Water - Emergency Reserve Fund	3,183,765	46,420	-	-	-	-	-	3,230,185
718	Water - June Beetle Endowment	144,364	2,190	-	-	-	-	-	146,554
719	Water Equipment Replacement Fund	714,520	90	-	-	-	-	-	714,610
<b>Total Water Enterprise</b>		<b>30,575,761</b>	<b>124,942,176</b>	<b>752,250</b>	<b>(32,356,502)</b>	<b>(77,910,281)</b>	<b>(3,458,545)</b>	<b>(314,687)</b>	<b>42,230,172</b>
<b>Wastewater Enterprise (720-729)</b>									
721	Wastewater	18,969,872	25,679,818	282,260	(20,548,626)	(8,147,300)	(339,513)	(110,760)	15,785,751
724	Wastewater - 2% for Public Art	86,842	1,230	14,392	(19,189)	-	-	-	83,275
<b>Total Wastewater Enterprise</b>		<b>19,056,714</b>	<b>25,681,048</b>	<b>296,652</b>	<b>(20,567,815)</b>	<b>(8,147,300)</b>	<b>(339,513)</b>	<b>(110,760)</b>	<b>15,869,026</b>
<b>Refuse Enterprise (730-739)</b>									
731	Refuse	17,717,707	21,907,430	349,281	(20,133,132)	(8,138,500)	(883,824)	(772,870)	10,046,092
732	Landfill Closure	2,027,863	26,520	143,000	(90,000)	-	-	-	2,107,383
733	Landfill Post Closure Maintenance	6,294,162	82,280	417,000	(255,000)	-	-	-	6,538,442
734	Refuse - 2% for Public Art	4,809	(40)	9,827	(13,102)	-	-	-	1,494
<b>Total Refuse Enterprise</b>		<b>26,044,541</b>	<b>22,016,190</b>	<b>919,108</b>	<b>(20,491,234)</b>	<b>(8,138,500)</b>	<b>(883,824)</b>	<b>(772,870)</b>	<b>18,693,411</b>
<b>Parking Enterprise (740-749)</b>									
741	Parking	4,050,598	7,546,910	144,594	(6,309,538)	(586,000)	(351,600)	(70,963)	4,424,001
742	Parking - 2% for Public Art	18,785	190	5,020	(6,693)	-	-	-	17,302
<b>Total Parking Enterprise</b>		<b>4,069,383</b>	<b>7,547,100</b>	<b>149,614</b>	<b>(6,316,231)</b>	<b>(586,000)</b>	<b>(351,600)</b>	<b>(70,963)</b>	<b>4,441,303</b>
<b>Storm Water Enterprise (750-759)</b>									
751	Storm Water	1,395,755	570,480	-	(362,259)	(40,000)	(207,560)	(11,286)	1,345,130
752	Storm Water Overlay	349,126	315,863	-	(440,282)	(1,080,000)	(190,224)	(1,617)	(1,047,134)
753	Storm Water - 2% for Public Art	12,451	260	1,213	(1,617)	-	-	-	12,307
<b>Total Storm Water Enterprise</b>		<b>1,757,332</b>	<b>886,603</b>	<b>1,213</b>	<b>(804,158)</b>	<b>(1,120,000)</b>	<b>(397,784)</b>	<b>(12,903)</b>	<b>310,303</b>
<b>Total Enterprise Funds</b>		<b>81,503,731</b>	<b>181,073,117</b>	<b>2,118,837</b>	<b>(80,535,940)</b>	<b>(95,902,081)</b>	<b>(5,431,266)</b>	<b>(1,282,183)</b>	<b>81,544,215</b>

## Recap of Funds FY 2021-Fund Balance Projection

	Estimated Beginning Fund Balance	Adopted Revenues & Other Sources	Adopted Other Financing Sources	Adopted Operating Expenditures	Adopted Capital Outlay	Adopted Debt Service	Adopted Other Financing Uses	Estimated Ending Fund Balance
<b>Internal Service Funds</b>								
811 Equipment Operations	(1,495,385)	3,263,382	335,309	(3,535,054)	(114,900)	-	(26,462)	<b>(1,573,110)</b>
833 Information Technology	-	-	761,000	-	(1,522,000)	-	-	<b>(761,000)</b>
<b>Self-Insurance</b>								
841 Workers' Compensation	8,240,545	2,827,165	-	(3,281,009)	-	-	(3,500,000)	<b>4,286,701</b>
842 Liability Insurance	7,185,218	4,055,940	-	(4,915,810)	-	-	-	<b>6,325,348</b>
843 Group Health Insurance	2,451,465	17,580,516	-	(18,044,064)	-	-	-	<b>1,987,917</b>
844 Unemployment Insurance	3,442,564	638,703	-	(100,000)	-	-	-	<b>3,981,267</b>
<b>Subtotal Self-Insurance</b>	<b>21,319,792</b>	<b>25,102,324</b>	<b>-</b>	<b>(26,340,883)</b>	<b>-</b>	<b>-</b>	<b>(3,500,000)</b>	<b>16,581,233</b>
<b>Total Internal Service Funds</b>	<b>19,824,407</b>	<b>28,365,706</b>	<b>1,096,309</b>	<b>(29,875,937)</b>	<b>(1,636,900)</b>	<b>-</b>	<b>(3,526,462)</b>	<b>14,247,123</b>
<b>Total Proprietary Fund Types</b>	<b>101,328,138</b>	<b>209,438,823</b>	<b>3,215,146</b>	<b>(110,411,877)</b>	<b>(97,538,981)</b>	<b>(5,431,266)</b>	<b>(4,808,645)</b>	<b>95,791,338</b>
<b>Total All City Funds</b>	<b>147,764,298</b>	<b>331,458,799</b>	<b>72,159,288</b>	<b>(213,931,697)</b>	<b>(179,355,422)</b>	<b>(11,865,378)</b>	<b>(5,450,663)</b>	<b>140,779,225</b>





# City Attorney

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2021 Annual Budget



# City Attorney

Atchison, Barisone, and Condotti is one of the oldest continuously operating law firms in Santa Cruz County, and this factor contributes to their credibility among other local, legal professionals and public agency sectors, as well as the law enforcement community. The firm's current attorney's provide general legal services at a base annual cost.

These services to the City's eleven departments include drafting and review, personnel work, public utilities work, election law, labor law, construction law, real estate transaction work, the review of drafting of legislation, ordinances and resolutions, and land use and environmental law.

The City Attorney likewise advises the City Council pursuant to the general legal services provision of the contract. Additional special services may be requested at preset contractual rates depending upon the level of service requested. Other budget amounts included in this departmental budget include other professional legal services that may be required to be provided outside of the firm as well as contractual expense reimbursements and retirement contributions.





# City Attorney

## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year-End Actual	
<b>EXPENDITURES BY CHARACTER:</b>					
Personnel Services	16,857	-	-	12,171	22,251
Services, Supplies, and Other Charges	1,453,542	1,415,343	1,817,159	1,804,988	1,586,403
Total Expenditures	<u>1,470,399</u>	<u>1,415,343</u>	<u>1,817,159</u>	<u>1,817,159</u>	<u>1,608,654</u>
<b>EXPENDITURES BY ACTIVITY:</b>					
City Attorney	1220 1,470,399	1,415,343	1,817,159	1,817,159	1,608,654
Subtotal General Fund	<u>1,470,399</u>	<u>1,415,343</u>	<u>1,817,159</u>	<u>1,817,159</u>	<u>1,608,654</u>
Total Expenditures	<u>1,470,399</u>	<u>1,415,343</u>	<u>1,817,159</u>	<u>1,817,159</u>	<u>1,608,654</u>
<b>RESOURCES BY FUND</b>					
General Fund	101 110,340	115,228	115,228	115,212	118,685
Total Resources	<u>110,340</u>	<u>115,228</u>	<u>115,228</u>	<u>115,212</u>	<u>118,685</u>
<b>Net General Fund Cost</b>	<u><b>(1,360,059)</b></u>	<u><b>(1,300,115)</b></u>	<u><b>(1,701,931)</b></u>	<u><b>(1,701,947)</b></u>	<u><b>(1,489,969)</b></u>

\*Sums may have discrepancies due to rounding

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# City Council

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2021 Annual Budget





# City Council

The City Council is comprised of six Councilmembers and a Mayor. They are the legislative and policy-making body of city government. The Council determines the city budget, appoints and removes certain officials, and generally establishes the strategic plan and policies for our City. They are elected at large by city voters and are directly responsible to the people.

The term of office for a Councilmember is four years and a Councilmember may serve a maximum of two consecutive terms. Each year, the Council elects one of its members to serve as Mayor for a one-year term. The Mayor presides at all Council Meetings and is recognized as the head of the city government for all ceremonial purposes.





# COUNCILMEMBERS

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Mayor Justin Cummings  
jcummings@cityofsantacruz.com

City Council meetings are held the 2nd and 4th Tuesday of every month (except July). Regular Council meetings are televised on Comcast Community TV, channel 25. The meeting is also available for viewing online on the City's website.



Vice Mayor Donna Meyers  
dmeyers@cityofsantacruz.com



Councilmember Katherine Beiers  
kbeiers@cityofsantacruz.com



Councilmember Sandy Brown  
sbrown@cityofsantacruz.com



Councilmember Renee Golder  
rgolder@cityofsantacruz.com



Councilmember Cynthia Matthews  
cmatthews@cityofsantacruz.com



Councilmember Martine Watkins  
mwatkins@cityofsantacruz.com

# City Council

## DEPARTMENT SUMMARY

### Department Description:

The City Council is the legislative and policy-making body of the City of Santa Cruz. The City Council's seven members are elected on a non-partisan basis for 4-year terms. Each year the Council elects one of its members to serve as Mayor. The City Council meets regularly to conduct the public's business.

	Fiscal Year*	Fiscal Year 2020			Fiscal Year 2021 Adopted	
		2019 Actuals	Adopted Budget	Amended* Budget		Year-End Actual
<b>EXPENDITURES BY CHARACTER:</b>						
Personnel Services		305,912	310,827	310,827	303,823	321,784
Services, Supplies, and Other Charges		108,093	158,860	221,400	163,003	139,218
Capital Outlay		-	2,400	2,400	2,400	-
Total Expenditures		<u>414,005</u>	<u>472,087</u>	<u>534,627</u>	<u>469,226</u>	<u>461,002</u>
<b>EXPENDITURES BY ACTIVITY:</b>						
City Council	1110	<u>414,005</u>	<u>472,087</u>	<u>534,627</u>	<u>469,226</u>	<u>461,002</u>
Subtotal General Fund		<u>414,005</u>	<u>472,087</u>	<u>534,627</u>	<u>469,226</u>	<u>461,002</u>
Total Expenditures		<u>414,005</u>	<u>472,087</u>	<u>534,627</u>	<u>469,226</u>	<u>461,002</u>
<b>RESOURCES BY FUND</b>						
General Fund	101	<u>197,040</u>	<u>205,725</u>	<u>205,725</u>	<u>205,704</u>	<u>211,897</u>
Total Resources		<u>197,040</u>	<u>205,725</u>	<u>205,725</u>	<u>205,704</u>	<u>211,897</u>
<b>Net General Fund Cost</b>		<u><b>(216,965)</b></u>	<u><b>(266,362)</b></u>	<u><b>(328,902)</b></u>	<u><b>(263,522)</b></u>	<u><b>(249,105)</b></u>

\*Sums may have discrepancies due to rounding



# City Manager

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2021 Annual Budget



# City Manager

The City Manager is the City's chief administrator. The City Manager develops the City's budget, appoints the City's department heads, and conducts the affairs of the City pursuant to the policies set by the City Council.

The City Manager and his/her staff also handle special projects and Council assignments which do not fall within the jurisdiction of any other City department. The City Manager's Office (CMO) encompasses the City Clerk's Office, Climate Action Program, independent police auditor function, homelessness response, and communications.

The CMO also staffs various boards, commissions and committees including the Commission for the Prevention of Violence Against Women, Community Programs Committee, and Public Safety Committee.





# ACCOMPLISHMENTS

## FY 2020

### City Clerk

- Developed agenda packets, hosted, and documented proceedings at all City Council regular and special meetings (28 in total).
- Transitioned Council Chambers from in person to virtual meetings during the outbreak of the Coronavirus (COVID-19) pandemic.
- Processed 229 public records requests, including coordinating, gathering and consolidating all departmental information for the requestor.
- In collaboration with the Information Technology Department, implemented the migration from the City's legacy agenda and document management system (SIRE) to OnBase.
- Converted 1980s - 2001 microfilm archives to the City's document management system.
- Professionally staffed City Manager's Office reception (in-person and virtually) to be responsive to community inquiries - keeping current with City news and events, Citywide departmental contacts, and County resources for COVID-19.
- Fulfilled City Clerk role in County elections, including the City's first recall election.
- Streamlined and updated various processes such as the Front Desk Manual, Advisory Body applications, records organization, and other division procedures.
- Led development and implementation of CMO safety protocols for staff's return to the office during COVID-19 pandemic.
- Reached 99% compliance on annual Statement of Economic Interest filings for 200+ filers.

## Workload Indicators

Produced fully supported Council meetings with agenda development, proper posting and materials development, live meeting support and post-meeting minutes production and papers processing.

### Number of Regular and Special Council Meetings

<u>FY19</u>	<u>FY18</u>	<u>FY17</u>
<b>37</b>	<b>33</b>	<b>31</b>

### Receives, coordinates and responds to Public Records Requests

### Number of Public Records Requests

<u>FY19</u>	<u>FY18</u>	<u>FY17</u>
<b>231</b>	<b>254</b>	<b>273</b>



# ACCOMPLISHMENTS

## FY 2020

### Administration, Communication & Strategy

#### Administration

- Hired new Assistant City Manager and Finance Director.
- Supported Council agenda report development as needed for proclamations and resolutions.
- Coordinated annual Officer Jim Howes Community Service Award.
- Administered the City's Administrative Procedure Orders.
- Administered all CMO contracts, memorandums of understanding (MOUs), and joint powers authority agreements (JPAs).
- Adjusted CMO operational meeting structure to better integrate City Clerk's Office, Climate Action and Sustainability, and administration.
- Served on various boards, including the Santa Cruz County Animal Shelter, Santa Cruz Regional 9-1-1, and Santa Cruz Public Libraries.
- Oversaw all City departments and coordinated interdepartmental efforts as needed.

#### Strategy

- Developed comprehensive Council six-month work plan.
- Began three-year strategic planning process that was transitioned to a 12-18 month interim recovery plan to be responsive to the COVID-19 pandemic.
- Kicked-off COVID-19-informed 12-18 month interim recovery plan (to be completed in Winter 2020).

#### Communications

- Improved communication functions to cultivate a more engaged, informed, and involved community and employee base in City work and decisions.
- Hosted Citywide employee conversations, media briefings, and community forums.
- Updated the City's Communication Manager position, secured funding approval from Council, and hired incumbent.

#### Ongoing communication mechanisms:

- City Manager monthly message to the community.
- City Manager weekly updates.
- Coordination of Citywide departmental communication leads.



# ACCOMPLISHMENTS

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## FY 2020

### Programs & Standing Committees

#### Climate Action and Sustainability & Health in All Policies (HiAP)

- Led staff work for preparation and adoption of Natural Gas Prohibition in new construction ordinance.
- Led staff work for preparation and adoption of HiAP Evaluation Report, Policy and Year 1 Implementation Workplan, earning the American Planning Association's Northern California Chapter's Award of Excellence.
- Secured a grant and led convening of Central Coast climate practitioners to accelerate regional equity-focused climate collaboration.
- Awarded Ocean Hero Award from Save Our Shores for coastal resilience efforts.
- Proposed and secured investment for 12 DC Fast Chargers from Electrify America in the Santa Cruz metropolitan area.
- Developed and launched a suite of grant-funded virtual reality tools to enhance Resilient Coast Santa Cruz community engagement. A mobile application lets users interactively adjust sea levels and view the potential impacts on hyper-realistic 3D models at three iconic Santa Cruz coastal destinations.
- Delivered nearly four dozen talks to local, regional, and national audiences on the City's coastal resilience efforts.

#### Other Programs

- Administered various community programs, including Downtown Streets Team, CORE (Collective of Results and Evidence-based) Investments, and Community Programs Set Aside (annual grants).
- Hired Interim Independent Police Auditor and issued Request for Proposal to hire regular Independent Police Auditor (process to be completed in Fall 2020).
- Restarted City's legislative program to analyze and plan annual legislative priorities to support the well-being of the City and its residents and to oppose proposed actions that are in opposition to the City's priorities.

Supported the following standing committees:

- Commission for the Prevention of Violence Against Women (CPVAW)
- Community Programs Committee
- Public Safety Committee



# ACCOMPLISHMENTS

## FY 2020

### Programs & Standing Committees

#### Homelessness

- Developed new Homelessness Response Manager position, secured funding approval from Council, and hired first incumbent. This position focuses on directing and coordinating all City response efforts and leading interagency and nonprofit coordinated efforts related to managing the impacts and improving the conditions for the growing number of unsheltered individuals in Santa Cruz.
- Enhanced working relationships with three nonprofit organizations (Housing Matters, formerly Homeless Services Center; Salvation Army; and Association of Faith Communities), resulting in improved levels of coordination and cooperation related to homelessness response between the City and those organizations.
- Successfully secured emergency winter shelter at the Armory for the North County region by re-establishing a working relationship with the California National Guard.
- Initiated an interagency planning effort focused on developing a Campus Master Plan for the Coral Street properties deemed available for development for multiple homelessness-related services.
- Supported the City's ongoing participation on County/City homelessness executive steering committee (2x2 Committee).
- Served on various regional governing bodies including the Homeless Action Partnership (HAP) and ad-hoc Focused Strategies Advisory Board.

- Coordinated with Association of Faith Communities (AFC) to support its SafeSpaces and rotating shelter programs.
- Coordinated the City's interdepartmental Encampment Assessment Team and led encampment outreach and abatement efforts.

#### During COVID-19 pandemic:

- Served as County Shelter and Care Department Operations Center (DOC) City liaison for operations, policy, and outreach.
- Led, with help from other City departments, the City's Public Welfare Branch to coordinate COVID-19 response for the unhoused community.
- Oversaw expansion of day services at the Armory.
- Worked with other City departments to deploy hygiene (hand wash and restroom) resources.
- Collaborated with and led various COVID-19 efforts with regional agencies: Homeless Outreach Site Services (HOSS); Roaring Camp; the Armory; and Coral Street, Laurel Street, 1220 River Street neighborhoods.





# ACCOMPLISHMENTS

## FY 2020

### Projects, Idea Development & Ad-Hoc Committees

#### Special Events and Milestones

- Coordinated Loma Prieta Earthquake 30th Anniversary Special Program with NBC Bay Area, and community members.
- Worked with various stakeholders to facilitate the City falling off the Heal the Bay's annual Beach Bummer list for the first time in 10 years.

#### Projects and Other Ad-Hoc Work

Staffed and coordinated Council ad-hoc committees:

- Community Advisory Committee on Homelessness (CACH)
- Revenue Subcommittee (including polling for possible revenue measure)
- Budget Committee
- Interim Recovery Plan Committee

PG&E Public Safety Power Shutoffs (PSPS):

- Developed communication channels and mechanisms to keep community and employees informed of impacts.
- Supported work at the City's Emergency Operations Center (EOC).

COVID-19 Pandemic:

- Acted as Emergency Services Manager for the pandemic.
- Coordinated Citywide response and various policies and procedures needed to administer City operations during the pandemic.
- Led communications efforts across all internal and external parties.
- Coordinated drafting and execution of Executive Orders as needed to address various COVID-19 issues.



- Developed comprehensive Councilmember Orientation Manual. Executed onboarding processes to orient two Councilmembers elected in March 2020.
- Coordinated City responses to Santa Cruz Civil Grand Jury reports.
- Partnered with Finance to coordinate FY 2021 baseline budget adoption and FY 2021 Citywide budget solutions effort to address significant shortfalls from COVID-19 induced recession.
- Worked with consultant to quickly develop (in three months) a COVID-19 recessionary forecast model so that the City could establish informed budget goals for the next several fiscal years.



# GOALS

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## FY 2021

Generally, the CMO (which includes the City Clerk's division) will continue to diligently administer and execute its baseline work that includes items such as Council meeting support, public records requests, contracts/MOUs/JPAs, an every-growing communications program, support of standing and ad-hoc committees, and departmental oversight.

### Administration

- Facilitate the development, approval, and implementation of the City's 12-18 month interim recovery plan.
- Toward the end of the fiscal year, pandemic and other emergencies permitting, begin to plan for the development of a more traditional three-year strategic plan.
- Complete the RFP process for the Independent Police Auditor.
- Coordinate the City's legislative program cycle for Council consideration and articulate the City's legislative stance on various items.
- Deliver the Communications and Homelessness strategic/action plans.

### Climate Action and Sustainability & Health in All Policies

#### Climate Action and Sustainability:

- Complete Resilient Coast Initiative with adopted Local Coastal Program Amendment and West Cliff Drive Adaptation and Management.
- Begin the Climate Action Plan 2030 development process.
- Secure grants for priority climate adaptation projects (planning, infrastructure, and studies).

#### Health in All Policies (HiAP):

- Conduct training for integration of HiAP into Council agenda reports.
- Report on Year 1 Implementation Workplan.
- Develop and adopt a HiAP 2-5 Year Workplan.

### Homelessness

- Adjust the CMO homelessness response organizational structure to enable strategic efforts as well as operational ones.
- Strengthen communication and partnership ties with the County to improve decision making and joint efforts that enable progress.
- Identify and develop City ordinance updates.
- Support the County's Focused Strategies assessment work with specific City feedback to improve the Countywide governance structure and the City's role in it.

Work with the County in the following targeted areas:

- COVID-19 bed space.
- Post COVID-19 bed space.
- Unsanctioned encampment assessment.
- Diversion programming.
- Mental health/public safety (Fire and Police) field integration.
- Housing expansion beyond bed space.
- Food distribution.





# GOALS

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## FY 2021

### Other

- Support all City departments in necessary COVID-19 operational adjustments and coordinate efforts when needed.
- Support responses to ongoing impacts from the CZU Lightning Complex Fire.
- On-board new Councilmembers after November 2020 election.
- Implement new public records request process on new agenda and document management system (OnBase).
- Contribute to redesign and content needed for new City Intranet website.
- Prepare and develop new revenue measure if directed by Council.
- Partner with Finance to guide and execute FY 2022 budget process to meet anticipated shortfalls as identified in forecast.
- Coordinate actions needed in response to California Voters Rights Act (CVRA) resolution(s), also known as district elections.
- Reconcile and incorporate as desired the Santa Cruz County Animal Shelter model ordinance packet to the City's Municipal Code.



# City Manager

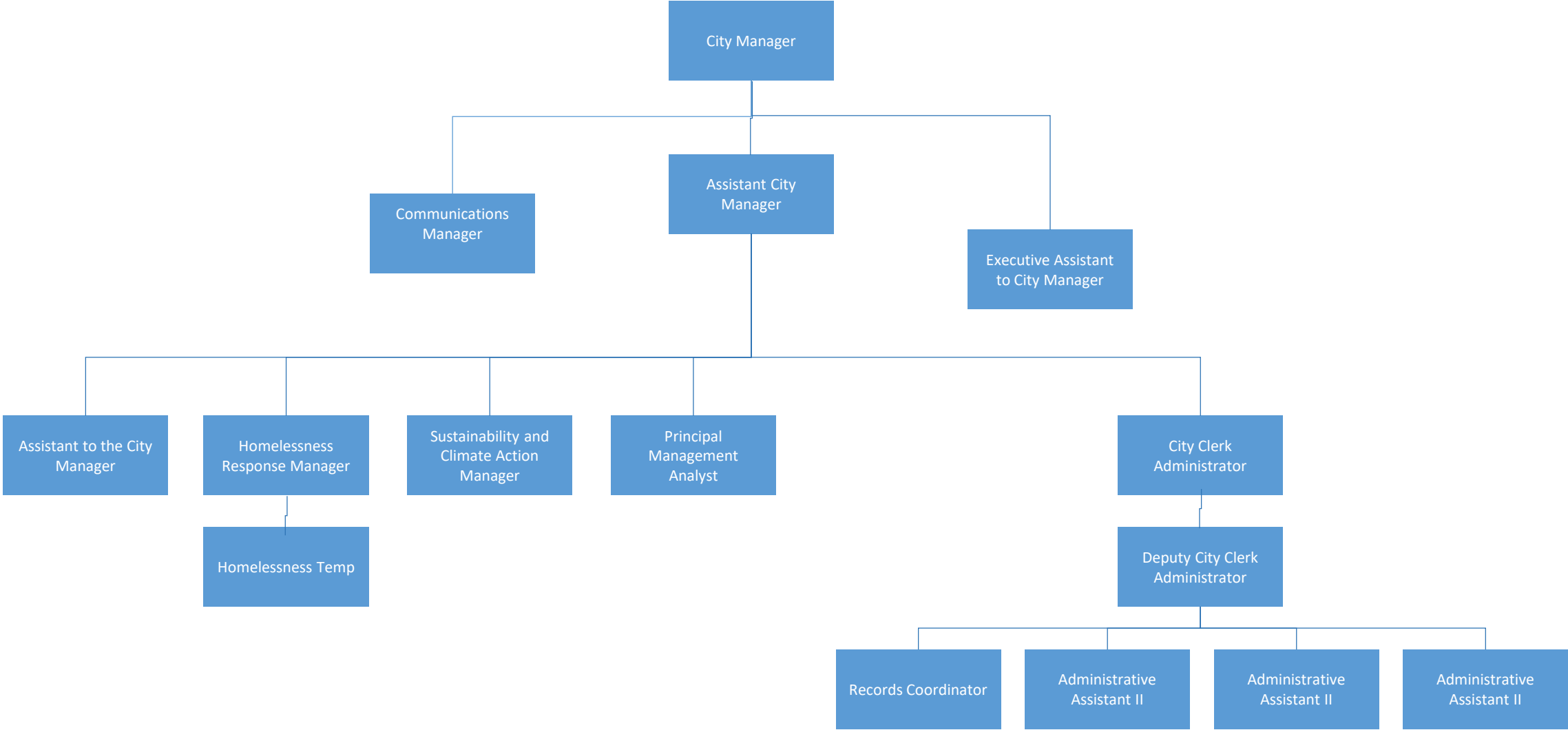
## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year-End Actual	
<b>EXPENDITURES BY CHARACTER:</b>					
Personnel Services	2,220,211	2,095,391	2,118,889	2,111,492	2,043,557
Services, Supplies, and Other Charges	3,454,721	3,687,317	4,049,644	3,436,758	3,527,352
Capital Outlay	-	9,600	9,600	8,810	-
Total Expenditures	<u>5,674,932</u>	<u>5,792,308</u>	<u>6,178,133</u>	<u>5,557,059</u>	<u>5,570,909</u>
<b>EXPENDITURES BY ACTIVITY:</b>					
City Manager	1210 1,805,325	1,687,998	1,933,497	1,887,331	1,586,076
CPVAW	1211 58,937	39,019	82,321	16,816	38,869
Police Auditor	1212 52,940	51,170	51,170	0	65,000
City Clerk	1214 856,212	939,881	989,881	746,403	886,480
Climate Action Plan	1217 119,514	191,516	238,540	127,876	166,446
City Membership, Dues and Fees	1910 163,242	156,525	156,525	159,157	157,975
Animal Control	2401 587,289	610,589	610,589	610,540	610,589
Animal Services - Other	2402 3,600	3,600	3,600	3,600	3,600
Community Programs	6102 1,018,696	1,202,125	1,202,125	1,093,645	1,035,000
Community Programs & Services	6103 1,009,177	909,885	909,885	911,692	1,020,874
Subtotal General Fund	<u>5,674,932</u>	<u>5,792,308</u>	<u>6,178,133</u>	<u>5,557,059</u>	<u>5,570,909</u>
Total Expenditures	<u>5,674,932</u>	<u>5,792,308</u>	<u>6,178,133</u>	<u>5,557,059</u>	<u>5,570,909</u>
<b>RESOURCES BY FUND</b>					
General Fund	101 1,169,801	907,918	1,120,343	1,002,467	932,731
Carbon Reduction Fund	133 14,405	100,000	100,000	0	-
Total Resources	<u>1,184,206</u>	<u>1,007,918</u>	<u>1,220,343</u>	<u>1,002,467</u>	<u>932,731</u>
<b>Net General Fund Cost</b>	<u><b>(4,505,131)</b></u>	<u><b>(4,884,390)</b></u>	<u><b>(5,057,790)</b></u>	<u><b>(4,554,592)</b></u>	<u><b>(4,638,178)</b></u>
	<b>FY 2019</b>			<b>FY 2020</b>	<b>FY 2021</b>
<b>TOTAL AUTHORIZED PERSONNEL:</b>	<u>12.25</u>			<u>12.50</u>	<u>12.50</u>

\*Sums may have discrepancies due to rounding



**FY 2021 City Manager Organization Chart**



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The background of the page is a photograph of a street scene. On the left, a blue street lamp post is visible. A blue street sign is attached to the post, displaying '1400' on the left, '1500' on the right, and 'Pacific Av' in the center. The sign is mounted on a blue metal post. In the background, there is a building with a green awning and a window. The overall scene is brightly lit, suggesting a sunny day.

# Economic Development

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2021 Annual Budget



# Economic Development

The Economic Development Department (ED) promotes economic vitality and growth citywide in four interconnected pillars of the local economy; Business Development, Housing Development & Preservation, Infrastructure and Property Development & Management, and Arts & Culture Development. We focus on these areas because of their profound effects on the local economy, community, and enhanced quality of life. We develop programs that expand our tax revenue and lead the City in economic recovery following natural disasters and economic downturns along with our mission to increase and support viable, sustainable, and innovative economic activities throughout the City.

Through our Choose Santa Cruz program, we have gained a reputation as a helpful go-to resource for local businesses of all sizes. We work to enhance the economic vitality of the City by serving as a resource for guidance, collaboration, funding, and city government-based efforts that support all four economic pillars.

Business Development



## Business Development

The Economic Development Division advocates for all businesses so they can succeed and grow in the City of Santa Cruz. The Economic Development Division is responsible for business retention and expansion (BRE) efforts. Offering tailored permitting assistance, incentive programs, business planning and promotions, this Division assists businesses and grows the local economy. Since 83% of Santa Cruz businesses have nine or fewer employees, the team works to grow small and medium-sized businesses at every stage.

## Housing Development & Preservation

The Housing Division works with both non-profit and for-profit housing developers to create and preserve affordable housing in the Santa Cruz community. This Division acts in multiple capacities ranging from financial assistance to project and construction management to address the City's housing crisis.

This Division administers funding through the federal HOME and CDBG Programs as well as the City's Inclusionary and Affordable Housing Trust Fund Programs and also monitors over 1,600 restricted units. As the City's housing arm, the division tracks housing issues, pursues new resources, and works to develop effective programs, such as the City's nationally recognized ADU Program.

## Workload Indicators

Number of businesses visited for assistance and retention

FY19	FY18	FY17
251	145	205

Number of Economic Development façade & signage, downtown activation, business development grants or Grow SC loans

FY19	FY18	FY17
7	11	8

Dollar amount total of above business support

FY19	FY18	FY17
17,958	72,994	42,297

## Workload Indicators

Number of affordable housing units monitored

FY19	FY18	FY17
1,340	1,413	1,404

Number of affordable housing units developed

FY19	FY18	FY17
42	52	44

Affordable Housing Inclusionary Fees collected

FY19	FY18	FY17
1,975,327	330,181	86,886

Housing & Community Development loans and grants awarded (non General Fund)

FY19	FY18	FY17
629,021	2,027,865	1,357,407





# Infrastructure and Property Development & Management

Economic Development serves as the lead agency on a number of infrastructure and housing projects throughout the City, including city-wide Wayfinding, the Municipal Wharf Master Plan, and key projects downtown including future development opportunity sites. In addition to management of major infrastructure projects, Economic Development is also the Successor Agency to the former Redevelopment Agency and is charged with the management of assets developed by the Redevelopment agency; including ongoing housing monitoring and development. With the elimination of Redevelopment in 2011, the main focus of the Successor Agency is to facilitate the expenditure of the 2011 bond funds and the completion of related infrastructure projects approved by the City Council, the Oversight Board to the Successor Agency (Oversight Board), and the California Department of Finance (DOF).

This Division also provides property management of City-owned assets including acquisition, disposition, and development of real property and helps to grow tenant businesses, while also ensuring a strong return on investment for City owned properties. The Division manages over 80 commercial license agreements and commercial leases on the Santa Cruz Municipal Wharf and in other city- owned buildings and parcels. Tenant spaces include commercial leases in parking garages, the Homeless Services Center campus, café extensions and downtown kiosks, as well as properties once owned by the former Redevelopment Agency such as the Del Mar Theatre and Tannery Arts Center campus. This Division also manages the city-wide graffiti abatement program, including maintenance of the graffiti database used by local law enforcement.

## Workload Indicators

Rental revenues, including café extensions

FY19	FY18	FY17
2,702,652	2,346,636	2,043,152

Land sales, including easements

FY19	FY18	FY17
630,814	552,396	0



# Arts & Culture Development

In consultation with the City Arts Commission, staff operate ongoing programs including sculpTOUR, Graphic Traffic Signal Boxes, the Mural Matching Grant program and Santa Cruz Recycled Art Program (SCRAP). The Percent for Art Program allocates 1% of eligible Capital Improvement Program project expenditures to enhance the City’s infrastructure with art. Outreach and education efforts and long-term planning projects identify strategies and programming plans for public art.

A critical aspect of the City Arts is to nurture partnerships with local organizations that support a vibrant arts culture in Santa Cruz to develop artists marketing skills and also multiply the impact of City Arts funds. Additionally, there is a focus on program initiatives that promote community building through institutional efforts to advance the concepts of equity, inclusivity and environmental justice

## Workload Indicators

Number of city art projects, programs and initiatives

FY19	FY18	FY17
15	14	15

Amount spent on City art projects

FY19	FY18	FY17
65,710	198,473	198,472



### BUSINESS DEVELOPMENT

We are your go-to resource for business growth and creation within the City of Santa Cruz



### INFRASTRUCTURE AND PROPERTY DEVELOPMENT

We guide complex multi-year City projects that drive and underpin our economy



### HOUSING DEVELOPMENT & PRESERVATION

We shepherd multi-family mixed income housing projects from idea to move-in



### ARTS & CULTURE DEVELOPMENT

We support the arts & cultural events that make Santa Cruz a great place to live, work, & visit

# ACCOMPLISHMENTS

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## FY 2020

### Housing

#### Housing Policy Updates

- Finalized amendments to the City's Inclusionary Housing Ordinance.
- Adopted Ordinance No 2019-24 as an urgency ordinance mirroring AB 1482 with additional provisions providing just cause eviction protections for Section 8 tenants.
- Working on a multi-agency program with the County of Santa Cruz, Housing Authority of the County of Santa Cruz and Community Action Board to provide a relocation assistance program for no fault evicted low-income tenants.

#### Community Outreach & Education

- Completed construction on the third My House My Home project through Habitat for Humanity to address the needs of the homeowner. This project was made possible with additional HOME funds from the City of Santa Cruz, approved by City Council at their August 28, 2018 meeting.
- Maintained the Housing Project page on ChooseSantaCruz.com, which features a map of all affordable housing projects in the City and provides information about available housing programs and resources.
- Continuously updating and improving the Housing Assistance Information pages on cityofsantacruz.com.

#### Downtown Projects

- Coordinated with colleagues across departments to review proposed housing projects that would deliver greatly needed housing in the downtown and identify the best path forward to build affordable housing in our community.
- Continued to work with partners for the future development of the Pacific Station project that would create 60-100 affordable housing units downtown.
- Issued a Request For Proposal for potential development partners for the Pacific Station Project.
- Assembled additional land parcels for the Pacific Station Affordable Housing Project.



# ACCOMPLISHMENTS

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## FY 2020

### Public Safety & Well-Being

#### Homelessness

- Granted \$456,957 towards Homeless Infrastructure Projects and \$35,128 towards Homeless Programs under the CDBG award cycle.
- Continued to use the 2014 homelessness strategic plan, called “All In -Toward a Home for Every County Resident”, to guide a coordinated regional approach to providing services for homeless persons.
- Began implementing the Final Report Recommendations of the City Council’s Homeless Coordinating Committee. The Final Report is aligned with All In, while addressing issues unique to Santa Cruz. City staff remained engaged in the Continuum of Care (CoC) and Homeless Action Partnership (HAP) groups.
- HOME, and Red Cross funds were awarded to community partners for key programs preventing and addressing homelessness, including the Housing Authority’s Security Deposit Program and the Community Action Board’s (CAB) Rental Assistance Program. \$100,000 in HOME funds and \$11,000 in Red Cross funds were awarded for these programs.
- The City committed increased overall funding to further its ability to help meet the priorities and objectives of both the All In county-wide homeless strategic plan and the complementary Final Report and Recommendations of the City Council’s Homeless Coordinating Committee.
- Conducted outreach to the Tannery community and businesses impacted by the River Street and Gateway Camps and contracted for private security patrols in those locations.

#### Nuisance Crime

- The Graffiti Abatement Program addressed a total of 5,028 tags representing 107,375 square feet of graffiti removed from July 1, 2019 through February 2020.
- Managed Storefront Beautification Grants that provide matching funds to improve the appearance and visibility of buildings in commercial corridors. These improvements also combat the “broken window effect” and deter nuisance crimes such as graffiti and vandalism.
  - Began working with University Wash and Dry on a Façade Improvement Grant project
  - Completed Commercial Signage Grants for Yarn Shop Santa Cruz, Comicipolis, Marini's Candies on the Wharf, Vino Locale, and Mission Hill Creamery
- Coordinated with the Police Department and Downtown Management Corporation to provide enhanced patrols Downtown during the shelter in place order to prevent or reduce break-ins and property crime from closed businesses.

#### Inclusive Community

- Provided staff support to the Council Health in All policies subcommittee to develop the City policy and plan for citywide implementation.
- Coordinated with the City’s Community Liaison to provide outreach to Spanish-speaking community for information related to COVID-19 and resources available for businesses.



# ACCOMPLISHMENTS

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## FY 2020

### Infrastructure

#### Infrastructure and Property Development & Management

- Completed landscaping project at the Tannery Campus with pedestals for sculpture installations as a final phase of the campus development.
- Secured a Targeted Site Investigation Grant from the CA Department of Toxic Substances Control to assess contamination from former firing range on the Homeless Garden Project Farm Site at Pogonip.
- Held quarterly meetings between Wharf tenants and City staff.
- Relocated the Finance Department Offices from the Ecology Action Building to City-owned property at 333 Front Street.
- Applied for more than \$18 million in grant funds for a variety of projects including infrastructure repairs at the Miramar site, rehabilitation of the Riverwalk, construction of the Rail Trail – Segment 7 Phase 2, and design of boat landings at the Wharf.
- Completed construction documents for storefront replacement and renovation of the Del Mar Retail Space (1122 Pacific Ave).
- Finalized leases and opened two new Kiosk tenants Downtown (Opulence and NahNa Eritrean Cuisine).



# ACCOMPLISHMENTS

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## FY 2020

### Infrastructure

#### Infrastructure and Property Development & Management

- Provided logistical and expansion area installation support for the Temporary Outdoor Expansion Area Program.
- Completed circulation and public review period for the Wharf Master Plan EIR.
- Opened new restaurant, The Grille at DeLaveaga (Golf Course).
- Purchased two electric shuttle vehicles, with a grant from the Monterey Bay Air Resource District. Launched an all-electric trolley program Memorial Day weekend 2020.



- Began manufacturing and installation of new vehicular, pedestrian, and parking directional wayfinding signage citywide.
- Coordinated multi-departmental Downtown Design Standards project. Managed contract with Architect firm working to develop a cohesive and coordinated design for City-maintained infrastructure in the downtown.



# ACCOMPLISHMENTS

## FY 2020

### Infrastructure

#### Downtown Library Project

- Staffed and supported work of Downtown Library Council subcommittee:
  - Managed Architect contract for Renovation Concept Cost Assessment
  - Managed Architect contract for Mixed Use Concept Cost Assessment
  - Organized and facilitated six community meetings with project stakeholders and twenty one-one office hour meetings with over 25 community groups and organizations.
  - Created and consistently updated project webpage, which serves as a single source of information for the committee process, future meetings, past meeting recaps, and related documents.



# ACCOMPLISHMENTS

## FY 2020

### Core City Services–Economic Development Strategic Workplan

#### Housing Division

- Key accomplishments attained through CDBG and HOME program funding reported in the Consolidated Annual Performance Report (CAPER) include:
  - Assisting 60 households in obtaining housing under the City’s Security Deposit Program, 20 of whom were homeless.
  - Completed construction on 41 unit affordable housing project located at 708-718 Water Street.
  - CDBG funded Code Enforcement in Target Areas (CETA) Program worked toward preserving the City’s older housing stock opening 58 new cases and closing 73 cases, 120 of which involved multi-agency coordination and cleaned up significant community problems.
- Approval of the California Public Finance Authority for Issuance of Tax-Exempt Bonds for the benefit of the Riverfront Apartments Affordable Housing Project.

#### Business Development Division

##### Coronavirus Response Efforts:

- Developed a COVID-19 Response landing page for business resources including information from Federal, State, and local agencies to update the community on shelter in place orders, business operations, financial resources, and City response efforts
- Began hosting a bi-weekly county-wide business support meeting with representatives from all jurisdictions in the County and business support organization partners to share information and coordinate response efforts to navigate the impacts of the COVID-19 pandemic.
- Developed the Santa Cruz Resilience Microloan Program to provide \$500,000 in loan funding to 51 businesses in the City of Santa Cruz to help businesses waiting to receive Federal Funding through the Economic Injury Disaster Loan and Paycheck Protection Programs.
- Developed the Temporary Outdoor Expansion Program to provide over 70 businesses impacted by indoor business restrictions with no-cost temporary outdoor use permits to allow them to expand their dining, retail displays, fitness, or personal services outdoors into the public right of way, alleyways, parking lots, and sidewalks.
- Coordinated with the City Manager’s Office to develop a Commercial Eviction Moratorium and a 15% Cap on Third Party Delivery Service Commissions.
- Distributed over 200 Jumpstart the Restart Kits to businesses to aid them in reopening. Kits included a gallon of hand sanitizer, cloth face coverings and door and floor signage for social distancing.



# ACCOMPLISHMENTS

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## FY 2020

### Core City Services–Economic Development Strategic Workplan

#### Business Development Division

- Created and staffed the Downtown Liaison role to coordinate with the Downtown Association, Downtown Management Corporation and to focus on efforts to support downtown through beautification and activation.
- Provided outreach and assistance to almost 400 businesses and entrepreneurs through recruitment and retention efforts.
- Continued to support our interdepartmental group focused on Downtown Maintenance to streamline resources and responsibilities for maintenance and standards for the level of service Downtown.
- Continued to oversee management of the Downtown Management Corporation and its Board of Directors to provide beautification and hospitality services in the Cooperative Retail Management district.
- Continued to support the tech ecosystem and startup culture with sponsorships of Santa Cruz Tech Beat, Tech Raising, and Event Santa Cruz.
- Continued to co-host the monthly Santa Cruz New Tech Meetup, an event which averages attendance of over 200 people and promotes the growth of the local tech ecosystem.
- Continued to serve as a founding member and partner of Santa Cruz Works, a local non-profit collaboration with UCSC focused on connecting and supporting the local science and tech ecosystem through marketing, job networking, and educational forums and events specific to the science and tech community.
- Continued to support Santa Cruz Sandbox, a startup incubator program in collaboration with UCSC.
- Hosted the Silicon Valley Economic Development Alliance Conference once again in the City of Santa Cruz to bring together economic developers, planners, commercial and residential real estate developers, brokers, and other interested partners to discuss best practices in the industry and ideas for continuing to grow strong local economies in the larger Silicon Valley region.



# ACCOMPLISHMENTS

## FY 2020

### Core City Services–Economic Development Strategic Workplan

#### Art & Culture Development Division

- Celebrated the completion of the 600 foot ocean-themed mural along Mission Street on the Bay View Elementary School wall. The project was made possible through a collaboration between the City of Santa Cruz, Santa Cruz City Schools, Clean Ocean's International, the Tim Brauch Foundation and the Fresh Walls Collective-led by local artists Taylor Reinhold and Scotty Greathouse.



- Coordinated the approvals for the Chinatown Gate Public Art project and the naming of the Chinatown Bridge with presentations to the City Council, Arts Commission, Historic Preservation Commission and Parks & Rec Commission. Installation is scheduled for November 2020.





# ACCOMPLISHMENTS

## FY 2020

### Core City Services–Economic Development Strategic Workplan

#### Art & Culture Development Division

- Operated the 2019 Santa Cruz Recycled Art Program (SCRAP) culminating in an exhibit displaying the work of six artists who completed residencies at the Resource Recovery Center where City staff process 252 tons of recycling and 660 tons of refuse each week.
- Supported the San Lorenzo Storm Drain Murals Pilot Program installation of art at Felker Street and Pryce Street locations in partnership with the Coastal Watershed Council to deter pollution in storm drains along the San Lorenzo River and raise awareness that these drains go straight into the river.
- Collaborated with the Arts Commission of Santa Cruz County to transition the Ebb & Flow River Festival into a program of environmental appreciation and understanding centered on the San Lorenzo River.
- Provided critical financial support for the publication of a trade version of the trade edition of The Ancestral Journey/El Viaje Ancestral sponsored by the Califas Legacy Project which was developed to document our region's chicano/a cultural treasures. The multifaceted project is based locally at the Museo Eduardo Carrillo and involves production of an on-line resource, documentary film, and community programming.
- Continued to operate the CruzCal.org arts and culture calendar for Santa Cruz with over 500 events posted on the calendar in 2019.



Storm Drain Program - artist: Irene Juarez O'Connell

# GOALS

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## FY 2021

### Housing

#### Community Outreach and Education

- Identify opportunities and develop materials to educate and mobilize the community around various Santa Cruz's housing challenges.
- Prepare the next 5 year HUD Consolidated Action Plan, updating the Community Participation section.

#### Housing Policy Updates

- Support preservation of existing affordable housing as a valuable community resource.
  - Continue annual monitoring of affordability restricted properties.
  - Track at-risk units with the goal to preserve affordability.
  - Preserve older housing stock, which tends to have lower rents.
- Effectively manage HUD CDBG and HOME Programs to maximize their community benefits.
- Work in conjunction with the City's Planning Department on ADU ordinance updates and implementation.
- Continue to work on goals and activities outlined in the Housing Blueprint Subcommittee.
- Continue to advocate for State legislation to allow for use of the Redevelopment Successor Agency bond proceeds to develop affordable housing and infrastructure for the homeless.
- Advocate for surplus designation of State owned lands within the City so that they may be used for the site of affordable housing per the Governor's Executive Order N-06-19.

#### Downtown Projects

- Continue to develop the Metro/Pacific Station project.
  - Identify a permanent site and project scope for METRO project.
  - Continue to develop plans for the creation of at least 80 affordable housing units on the acquired NIAC building site and adjacent sites.

#### Nuisance Crime

- Continue to coordinate with neighborhood policing teams to provide resources for impacted businesses to address nuisance crime activities.
- Facilitate Storefront Beautification Grants to provide at least two façade improvement grants and five commercial signage grants to beautify commercial corridors and deter nuisance crimes.
- Develop a working stakeholder group to address issues at Frazier Lewis Lane and other Downtown alleys and public spaces.

#### Inclusive Community

- Continue to coordinate with City's Community Liaison for engagement with Beach Flats and Lower Ocean citizens to provide resources and support from all divisions of the department.
- Continue to implement programs and services through an equity and inclusivity lens to ensure Department's resources are accessible to all community members.

# GOALS

## FY 2021

### Infrastructure

#### Infrastructure and Property Development & Management

- Continue to manage the City's Commercial Lease and License Agreements.
- Complete construction and select a tenant for the Del Mar Theatre commercial space together with any tenant improvements to lease the space.
- Execute new leases with existing tenants whose leases are expiring.
- Secure a new Lease with provision for development of the former Miramar site.
- Bring the Wharf Master Plan and EIR to Council for consideration and approval.
- Prepare a Public Works Plan for improvements in the Wharf Master Plan.
- Secure grants and funding for projects as available.
- Execute lease for Watershed Compliance Division of Water Department at 123 Jewell St and support tenant improvement efforts.
- Coordinate with Police and various City Departments to reduce unwanted activity at and around the 333 Front Street property.
- Complete environmental characterization of the Skypark Property.
- Minimize lost business tenants as a result of the COVID-19 pandemic.
- Continue supporting the Temporary Outdoor Expansion Area Program.
- Complete fabrication and installation of the citywide Wayfinding project.

#### Downtown Library Project

- Continue to oversee the project management of the Downtown Library Project including consultant contracts, outreach and community engagement and maintaining the project website.





# GOALS

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## FY 2021

### Core City Services

#### Business Development Division

- Finalize development and begin implementation of new Economic Development Strategy for 2020-2025.
- Support the development and implementation of the City's economic recovery plan in response to the COVID-19 pandemic.
- Continue to provide updates on COVID-19 restrictions to the community and support businesses through the changing protocols
- Continue to hold bi-weekly meetings with county-wide partners to coordinate business support efforts in response to the ongoing pandemic and transitioning into recovery
- Continue to develop the Santa Cruz County Revolving Loan Fund in partnership with all jurisdictions in the County, the Small Business Development Center, and National Development Council.
- Provide targeted outreach to growing businesses to assist with expansion and identify key resources to remain in Santa Cruz.
  - Host semi-annual broker meetings and special workshops on incentives and suitability of sites for development (jointly with the Planning Department).
  - Conduct 1-2 formal business retention visits every month and 100 informal visits citywide annually to meet with top 25 sales tax producers, share business resources, and engage with businesses across targeted industries and at all stages of growth.
  - Continue to partner with Santa Cruz Works to encourage local science and tech workers to find jobs in Santa Cruz.
- Support Downtown to attract and retain the mix of retail and local stores and to accommodate existing and future housing and office uses.



# GOALS

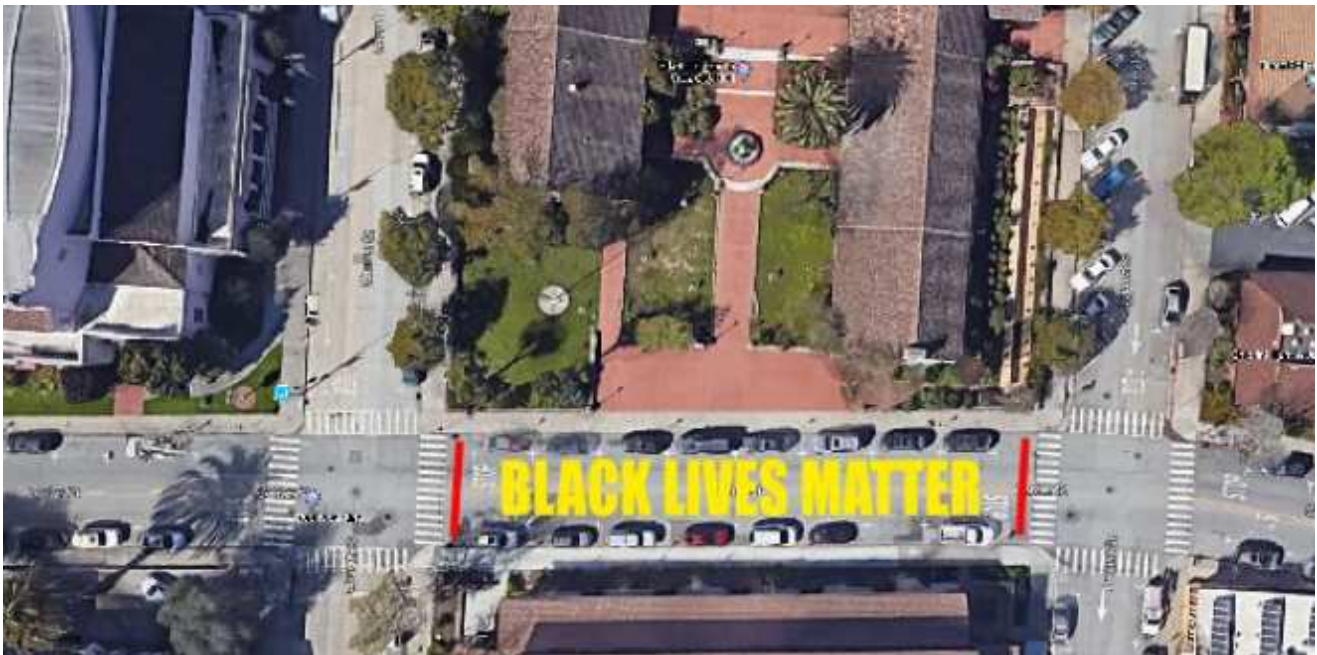
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## FY 2021

### Core City Services

#### Art & Culture Development Division

- Initiate development of a River Walk Arts Opportunity Master Plan for the levee.
- Develop metrics for assessing progress toward the goals of Equity, Inclusivity, and Environmental Justice.
- Implement a fast track review process, possibly a grants program, to support community recovery from the pandemic, the impacts of institutional racism through art initiatives.
- Develop guidelines for the annual Black Lives Matter Mural Community Maintenance and Celebration program.



- Continue work on transformation of the Ebb & Flow River Arts Festival to develop a program of environmental awareness in collaboration with the Arts Council of Santa Cruz County and the Coastal Watershed Council.
- Evaluate the San Lorenzo Storm Drain Murals Pilot Program activities of 2019 to assess on-going viability and possible improvements.
- Re-engineer the Santa Cruz Recycled Art Program (SCRAP) to adapt to the challenges of COVID19.
- Assess the current condition and contract for repair of Public Art assets that are targets of vandalism.
- Identify new artists for refresh of Graphic Traffic artwork.
- Develop plan to utilize Percent for Art funding particularly from the Water, Stormwater and Parking funds.



# Economic Development

## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year-End Actual	
<b>EXPENDITURES BY CHARACTER:</b>					
Personnel Services	1,448,878	2,056,568	2,038,559	1,579,006	1,599,021
Services, Supplies, and Other Charges	1,808,218	3,107,810	6,123,402	3,348,762	3,493,879
Capital Outlay	7,612	731,958	1,838,067	94,474	1,563,709
<b>Total Expenditures</b>	<b>3,264,708</b>	<b>5,896,336</b>	<b>10,000,028</b>	<b>5,022,241</b>	<b>6,656,609</b>
<b>EXPENDITURES BY ACTIVITY:</b>					
Community Promotion-Downtown Business Promotion	1502 244,718	247,700	247,700	261,817	247,700
Community Promotion-Arts Council-SC County	1503 70,875	70,875	70,875	70,875	70,875
Economic Development-Project Admin	5401 1,786,470	2,632,563	2,841,984	2,131,347	2,073,790
Economic Development-Project Admin	5590 385,088	637,000	1,148,081	619,031	729,167
Property Management	5591 99,075	205,000	447,849	102,932	197,000
City Arts	5592 32,186	70,000	321,674	48,166	70,000
<b>Subtotal General Fund</b>	<b>2,618,412</b>	<b>3,863,138</b>	<b>5,078,164</b>	<b>3,234,169</b>	<b>3,388,532</b>
Cafe Extensions & Kiosks	1504 15,000	15,000	15,000	15,000	15,000
Cafe Extensions & Kiosks	1505 15,700	25,700	66,642	38,497	40,700
Cooperative Retail Management	1506 218,539	220,000	220,000	212,815	220,000
Economic Development-Project Admin	5590 -	-	500,000	498,500	-
<b>Subtotal Other General Funds</b>	<b>249,239</b>	<b>260,700</b>	<b>801,642</b>	<b>764,812</b>	<b>275,700</b>
Housing & Community Development	5201 139,358	569,400	1,641,187	504,018	434,500
CDBG Programs	5204 70,289	101,600	114,352	130,699	121,771
CDBG Programs	5205 5,040	15,500	8,500	4,360	8,000
HOME Program Administration	5207 10,242	34,100	40,007	50,132	106,994
CDBG-CV	5209 -	-	-	0	353,463
Public Improvements Other	5579 4,686	20,000	108,669	19,896	34,000
Rental Assistance Programs	5604 22,000	22,000	22,000	22,000	22,000
Low & Mod Housing Property Acquisition	5610 -	691,958	1,730,847	0	1,523,709
Low & Moderate Housing Production	5650 45,441	242,940	310,161	147,655	242,940
CDBG Programs	6203 75,000	50,000	119,501	119,500	120,000
Red Cross Social Services Programs	6204 25,000	25,000	25,000	25,000	25,000
<b>Subtotal Other Funds</b>	<b>397,056</b>	<b>1,772,498</b>	<b>4,120,223</b>	<b>1,023,260</b>	<b>2,992,377</b>
<b>Total Expenditures</b>	<b>3,264,708</b>	<b>5,896,336</b>	<b>10,000,028</b>	<b>5,022,241</b>	<b>6,656,609</b>

\*Sums may have discrepancies due to rounding

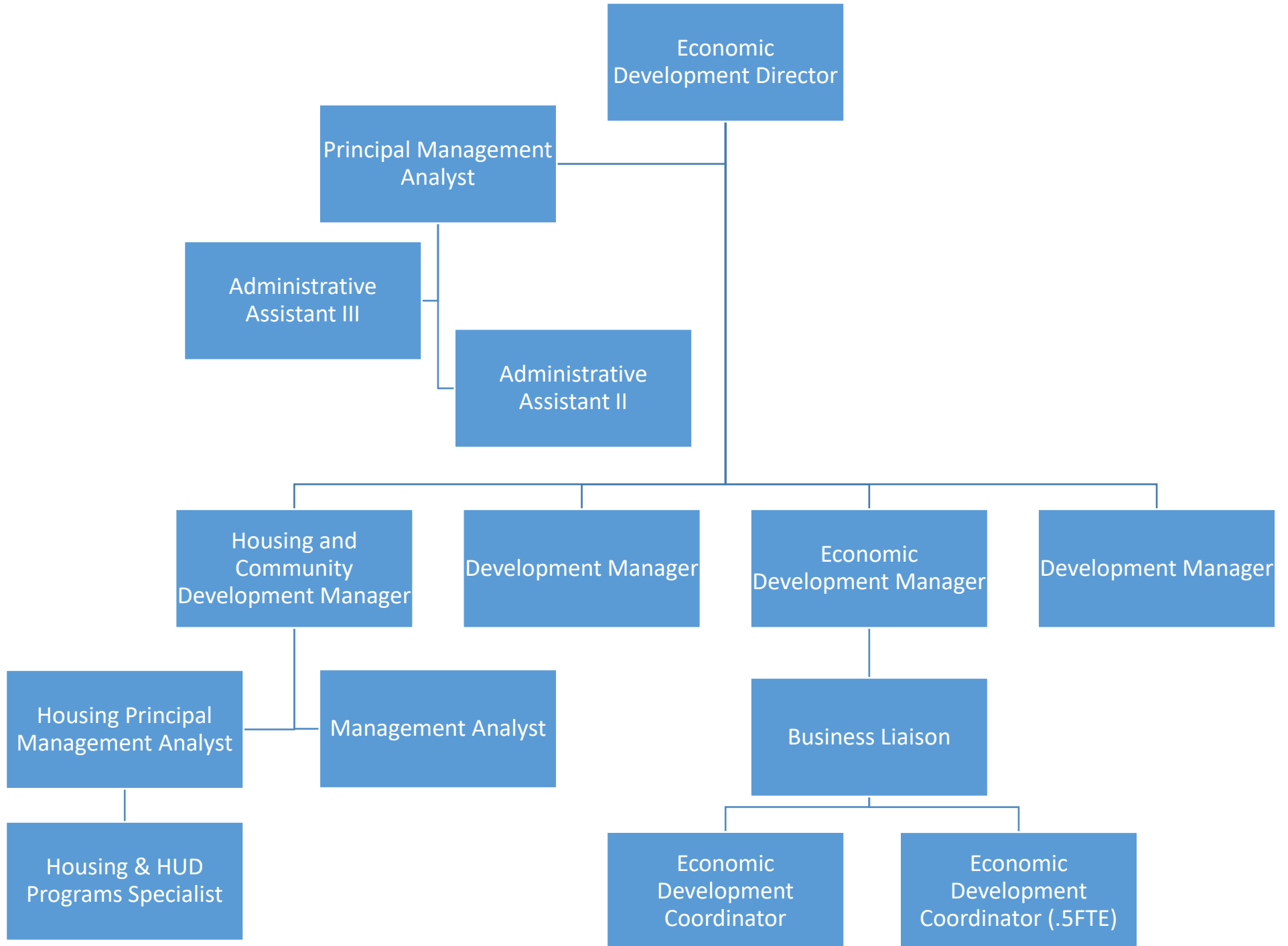
# Economic Development

## DEPARTMENT SUMMARY

	Fiscal Year*	Fiscal Year 2020			Fiscal Year 2021 Adopted	
		2019 Actuals	Adopted Budget	Amended* Budget		Year-End Actual
<b>RESOURCES BY FUND</b>						
General Fund	101	474,460	396,200	640,804	316,844	515,783
Co-op Retail Management	122	219,135	220,000	220,000	218,747	220,000
Kiosk Maintenance	123	39,427	39,680	39,680	41,077	39,690
HOME Rehabilitation	251	-	3,000	3,000	0	-
Projects						
HOME Investment	253	147,443	295,100	462,290	551,740	431,994
Partnership						
Community Development	261	651,274	496,000	579,758	440,203	1,230,191
Block Grant						
Affordable Housing Trust	279	1,980,074	117,000	117,000	241,185	125,000
Fund						
SA (H) LMIH-Merged 2-1-12	281	543,399	485,474	485,474	327,745	212,759
Total Resources		<u>4,055,213</u>	<u>2,052,454</u>	<u>2,548,006</u>	<u>2,137,541</u>	<u>2,775,417</u>
Net General Fund Cost		<u>(2,143,952)</u>	<u>(3,466,938)</u>	<u>(4,437,360)</u>	<u>(2,917,324)</u>	<u>(2,872,749)</u>
		<b>FY 2019</b>			<b>FY 2020</b>	<b>FY 2021</b>
<b>TOTAL AUTHORIZED PERSONNEL:</b>		<u>14.00</u>			<u>14.50</u>	<u>13.50</u>

\*Sums may have discrepancies due to rounding

# FY 2021 Economic Development Organization Chart



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# Finance

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2021 Annual Budget



# Finance

The Finance Department is available to provide strategic fiscal leadership and risk mitigation support for the City, including contractual fiscal services for the Santa Cruz Public Libraries, while providing reasonable assurance that the City's financial decisions are in compliance with Federal, State, regional, and City regulations and procedures.

The Finance Department's primary objective is to support the development of fiscal strategies to ensure that sufficient fiscal resources are available to meet the City's goals and objectives. It does this primarily through timely and accurate financial reporting, development of credible and reliable forecasting, and ensuring compliance with applicable fiscal rules and regulations.

The Finance Department is organized into five functional areas: (1) Accounting and financial reporting; (2) Revenue, including auditing, debt collection; (3) Accounts Payable, Payroll, and Purchasing; (4) Budget and contractual bargaining support; and (5) Risk and Safety Management.

Some atypical services included within the Finance Department are City-wide employee safety coordination, external tax compliance identification and audit programs, advanced debt collection including court room representation, a growing risk prevention program, internal debt issuance program, external debt issuance support, employee fiscal training certification, and lead on certain internal and external communication initiatives.



# ACCOMPLISHMENTS

## FY 2020

### Accounting

- Received the Government Finance Officers Association (GFOA) Certificate of Excellence in Financial Reporting for the Comprehensive Annual Financial Report (CAFR) for fiscal year ended June 30, 2019.
- Supported the Water Department with pursuing debt issuance of Green Revenue Bonds, which are earmarked for climate and environmental projects.

### Accounts Payable (AP)/Payroll/Purchasing

- Successfully processed all employee payroll, vendor payments, and purchase orders without interruption as City employees transitioned in to and out of the shelter-in-place orders due to the COVID-19 pandemic.
- AP, Payroll and Purchasing staff accurately recreated all financial records after an internal server supporting the City's financial reporting software failed.

### Risk and Safety Management

- Collaborated with all City Departments and the Emergency Operations Center to create and implement safety practices that mitigate the risks associated with COVID-19 in the workplace.

### Revenue

- Participated in a multi-department effort to design a one-stop digital payment platform, MyCity, for the public to view their accounts and make online payments for various City fees.

## Workload Indicators

### GFOA award for Annual Budget and Annual Financial Report

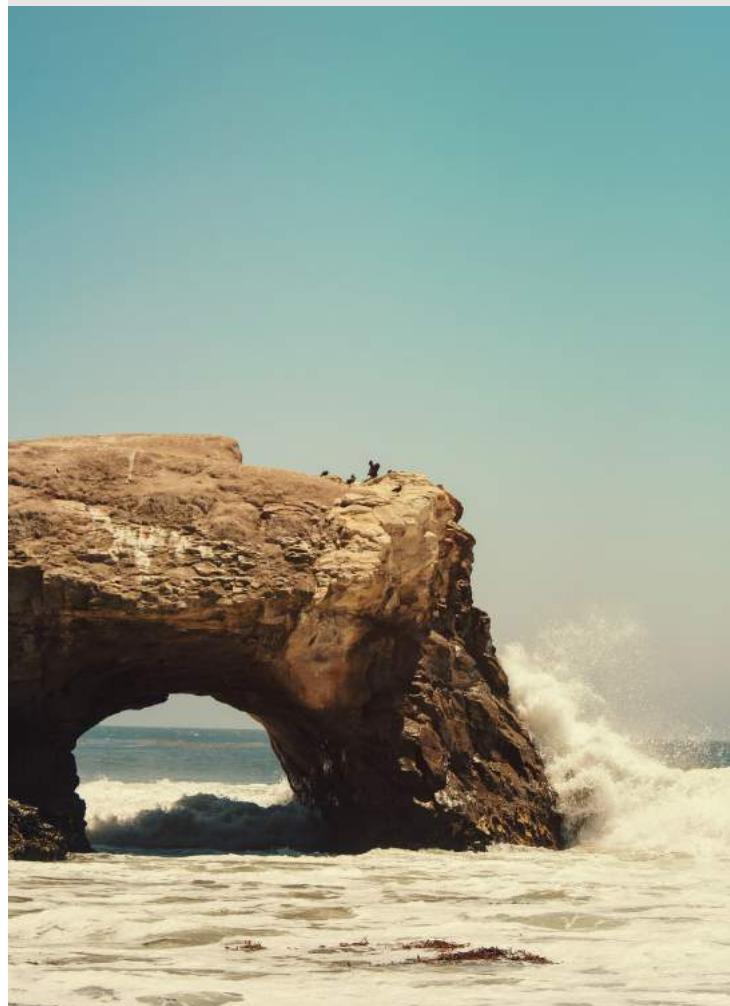
FY19	FY18	FY17
Yes	Yes	Yes

### Number of Accounts Payable electronic payments

FY19	FY18	FY17
12,916	14,180	14,147

### Number of vacation rentals registered

FY19	FY18	FY17
326	271	238



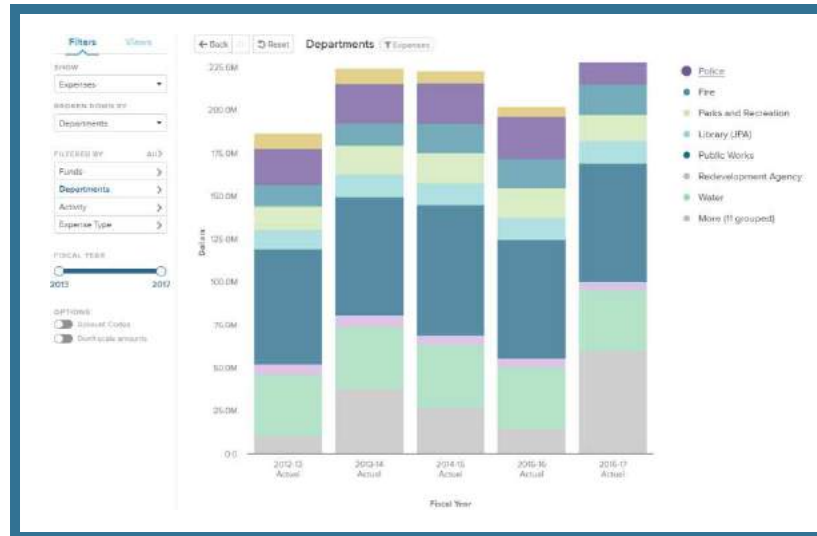


# ACCOMPLISHMENTS

## FY 2020

### Budget

- Received the Government Finance Officers Association (GFOA) award for Distinguished Budget Presentation for the fiscal year ending June 30, 2019.
- Implemented Phase I of Advanced Budgeting for all City departments. This included developing training documents and training all City budget staff on the software and new reporting resources.
- Collaborated with the Information Technology Department to implement a financial transparency tool for public access. The City Council, residents and other City employees can access financial information online through the OpenGov portal.



# GOALS

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## FY 2021

### Accounting

- Prepare the Fiscal Year 2020 Comprehensive Annual Financial Report (CAFR) to meet the standards set by the Government Finance Officers Association (GFOA) for the Certificate of Excellence in Financial Reporting award.
- Assist the Public Works Department in conducting a refuse fee study to ensure revenues from customers and sale of diverted materials are sufficient to maintain operations.
- Collaborate with other City departments and FEMA to submit the applications for COVID-19 disaster reimbursement.
- Update the City's Cost Allocation Plan.

### Accounts Payable (AP)/Payroll/Purchasing

- Perform a complete upgrade of Kronos, The City's Payroll software system.
- Update online Purchasing tutorials to give timely access across all departments for needed training tools.
- Modify the mapping of the payroll system to separate payroll-related liabilities into multiple funds.

### Budget

- Complete roll-out of Advanced Budgeting for all City departments, including support and additional training for all City budget staff.
- Re-design and format the budget book to be more concise and consistent with GFOA recommended budget best practices.

### Risk and Safety Management

- Increase confidence in Risk as a partner and resource by responding to all departmental requests and communications within 48 hours.
- Review and update all safety program policies and procedures, including COVID protocols.
- Create a Wildfire Smoke Protection Program.
- Sponsor safety talks related to key safety issues throughout the City.
- Implement a citywide Workplace Violence Prevention Program.
- Review the City's Illness and Injury Prevention Program.

### Revenue

- Implement Phase I of the MyCity payment portal, which includes utility bills, business licenses, benefit payments, and donations.
- Implement an online filing and payment platform for Admission Taxes, Cannabis Business Taxes, and Utility Users Taxes.
- Evaluate the City's investment policy for additional diversification opportunities that are safe, community appropriate, and may provide higher yields.

# Finance

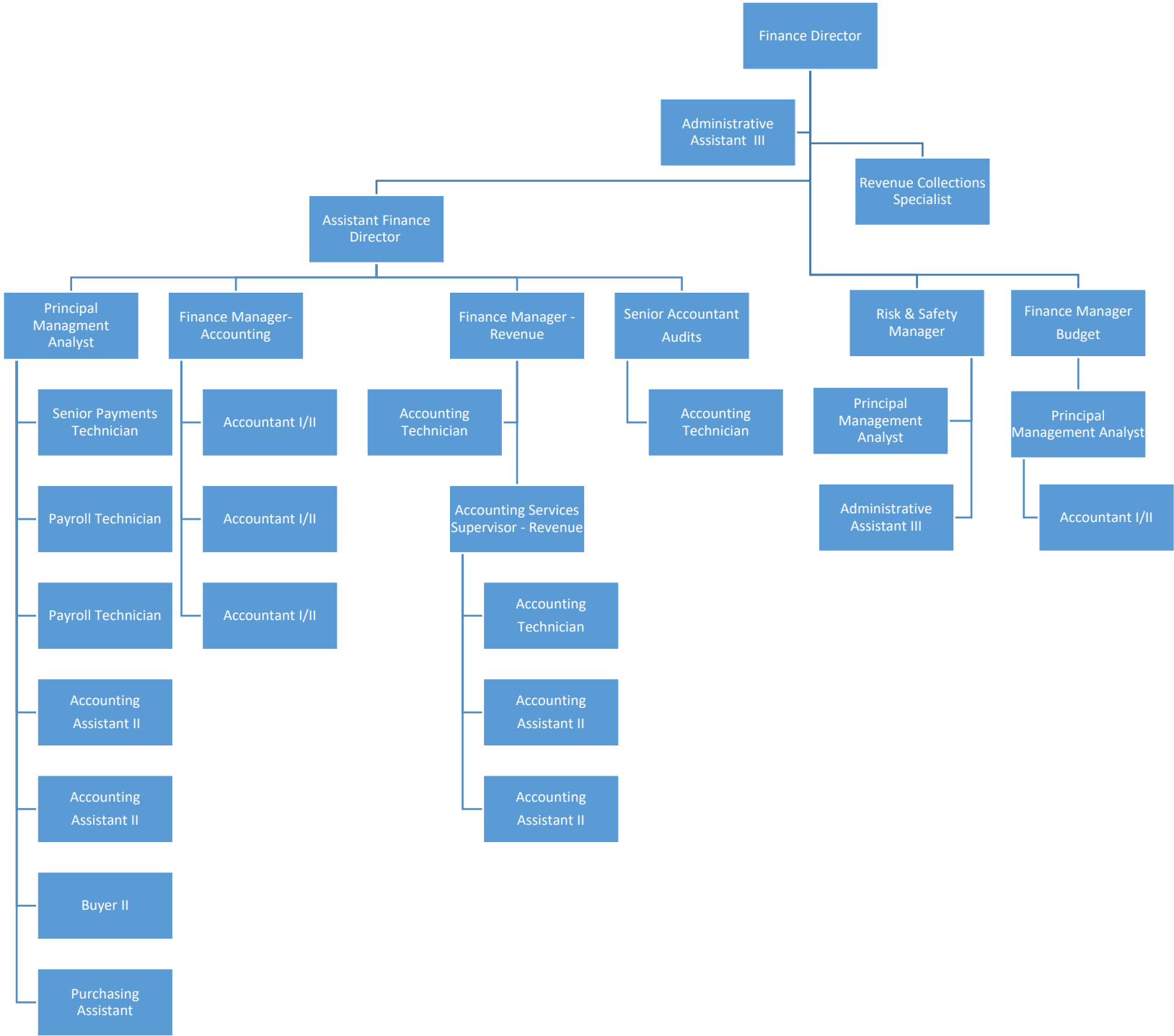
## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year-End Actual	
<b>EXPENDITURES BY CHARACTER:</b>					
Personnel Services	3,600,241	4,174,694	4,174,694	3,393,203	3,857,896
Services, Supplies, and Other Charges	3,465,125	4,604,118	4,868,832	3,480,277	5,008,324
Capital Outlay	465	1,079,250	1,093,710	9,961	15,000
Debt Service	-	958,801	958,801	0	-
Total Expenditures	<u>7,065,831</u>	<u>10,816,863</u>	<u>11,096,037</u>	<u>6,883,442</u>	<u>8,881,220</u>
<b>EXPENDITURES BY ACTIVITY:</b>					
Finance	1241 3,700,071	4,312,203	4,394,804	3,375,400	3,965,410
Subtotal General Fund	3,700,071	4,312,203	4,394,804	3,375,400	3,965,410
Finance	1241 -	35,000	36,598	0	-
Subtotal Other General Funds	-	35,000	36,598	0	-
Liability Insurance	7821 3,365,761	4,457,109	4,652,083	3,508,042	4,915,810
Equipment Lease Program-Gen Fund	7861 -	637,685	637,685	0	-
Vehicle Lease Program-Gen Fund	7862 -	1,374,866	1,374,866	0	-
Subtotal Other Funds	3,365,761	6,469,660	6,664,634	3,508,042	4,915,810
Total Expenditures	<u>7,065,831</u>	<u>10,816,863</u>	<u>11,096,037</u>	<u>6,883,442</u>	<u>8,881,220</u>
<b>RESOURCES BY FUND</b>					
General Fund	101 1,190,171	1,230,412	1,230,412	1,231,817	1,267,324
	813 -	2,797,662	2,797,662	0	-
Liability Insurance	842 4,005,450	3,960,333	3,960,333	4,691,726	3,958,000
Total Resources	5,195,621	7,988,407	7,988,407	5,923,543	5,225,324
<b>Net General Fund Cost</b>	<u>(2,509,899)</u>	<u>(3,081,791)</u>	<u>(3,164,392)</u>	<u>(2,143,583)</u>	<u>(2,698,086)</u>
	<b>FY 2019</b>			<b>FY 2020</b>	<b>FY 2021</b>
<b>TOTAL AUTHORIZED PERSONNEL:</b>	32.00			32.00	30.00

\*Sums may have discrepancies due to rounding



# FY 2021 Finance Organization Chart



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A red fire truck with "SANTA CRUZ FIRE DEPT." written on its side is the central focus. The truck is parked on a street during a parade, with a crowd of people, including children in costumes, visible in the background. The sky is clear and blue. The truck has white stripes and a silver bumper. The text "SANTA CRUZ FIRE DEPT." is in yellow, bold, sans-serif font.

# Fire

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2021 Annual Budget

# Fire Department

The Santa Cruz Fire Department provides exceptional emergency response services to all residents and visitors 24 hours a day, 7 days a week, responding to structure fires, emergency medical incidents, technical rescues, water rescues, hazardous materials incidents, automobile accidents and fires, wildland fires, Emergency Operation Center activations, Mutual Aid to other local and State agencies, and citizen requests.

The Fire Department provides a full-service Community Risk Reduction Division featuring Fire Prevention, Fire Investigation, Public Education, and Training. Through the Fire Department's Marine Safety Division, lifeguard and water rescue services are delivered to Santa Cruz and Capitola City beaches. Additionally, the Fire Department manages the City's Office of Emergency Services program and directs Emergency Operations Center (EOC) activations and disaster training, response, and recovery efforts.

The Fire Department's primary objective is to provide the highest quality Fire Operations, Emergency Management Services, Marine Safety, Emergency Medical Response, and Community Risk Reduction to protect our community.



# ACCOMPLISHMENTS

## FY 2020

### Environmental Sustainability and Well-Managed Resources

- Completed a joint Public Service Announcement (PSA) for wildland fire preparedness. These PSA's were filmed in conjunction with adjoining fire agencies to ensure a common message was delivered throughout the County.
- Historic response to wildland fires locally and in the State of California. As part of reimbursed mutual aid agreements deployed engines and personnel to protect life and property across the State of California.

### Community Safety & Well-Being

- Assisted with planning and logistical support efforts for managed camps at the Benchlands and Armory. Mitigated extreme fire risk encampments in the Sycamore Grove area of Pogonip.
- Created an electronic fire prevention inspection program. This allows for increased use of engine companies for mandated inspections.
- Completed two smoke detector/disaster kit distribution events in coordination with Second Harvest and California Fire Prevention Organization. Over 1,200 smoke detectors were distributed to homes that did not have a working smoke detector in their home.
- Created and enacted a joint fire investigation task force in the County of Santa Cruz. Task force investigates fire cause and origin for larger scale fires and fires that are criminal in nature.

## Workload Indicators

### Number of emergency calls

FY19	FY18	FY17
8,121	9,133	8,596

### Number of fire inspections

FY19	FY18	FY17
943	1,165	1,475

### Number of Fire Stations

FY19	FY18	FY17
4	4	4

### Number of lifeguard headquarters

FY19	FY18	FY17
1	1	1





# ACCOMPLISHMENTS

## FY 2020

### Financial Stability

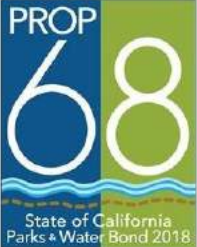
- Assist in grant management activities for Vegetation Management and homeland security grant funding.
- Coordinate and manage the FEMA and Cal OES disaster cost recovery projects related to the response to COVID-19.



### Engaged and Informed Community

- Formation of two nationally recognized FireWise neighborhood groups. There are now three recognized FireWise groups within the City.








**Fuel Reduction of Pogonip and Arroyo Seco**

Prop 68 funding provided for fire prevention activities in the Pogonip Open Space and Arroyo Seco areas to protect the surrounding community.

**Gavin Newsom, Governor**  
Wade Crowfoot, Secretary, CA Natural Resources Agency  
Bruce Saito, Director, CA Conservation Corps





# GOALS

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## FY 2021

### Community Safety and Well-Being

- Implement vegetation management on private property within the Wildland Urban Interface (WUI) area through education and outreach. This is response to changing fire risk within the City as well as new Fire Code adopted in 2019.
- Alternate Outreach Resource Unit - Pilot Program -Collaborate with Santa Cruz Police Department, County Mental Health and Santa Cruz Fire Department.
- Continue wildland vegetation management in City open spaces.

### Organizational Health

- Strengthen recruitment and retention of diverse workforce.
- Continue strategic planning process which clearly identifies current service delivery, areas for improvement, and recommended actions.



### Engaged and Informed Community

- Conduct two (2) Community Emergency Response Team trainings and monthly meetings.
- Strengthen community response and preparedness through FireWise, CERT, Map your neighborhood and Ready, Set, Go preparedness efforts.

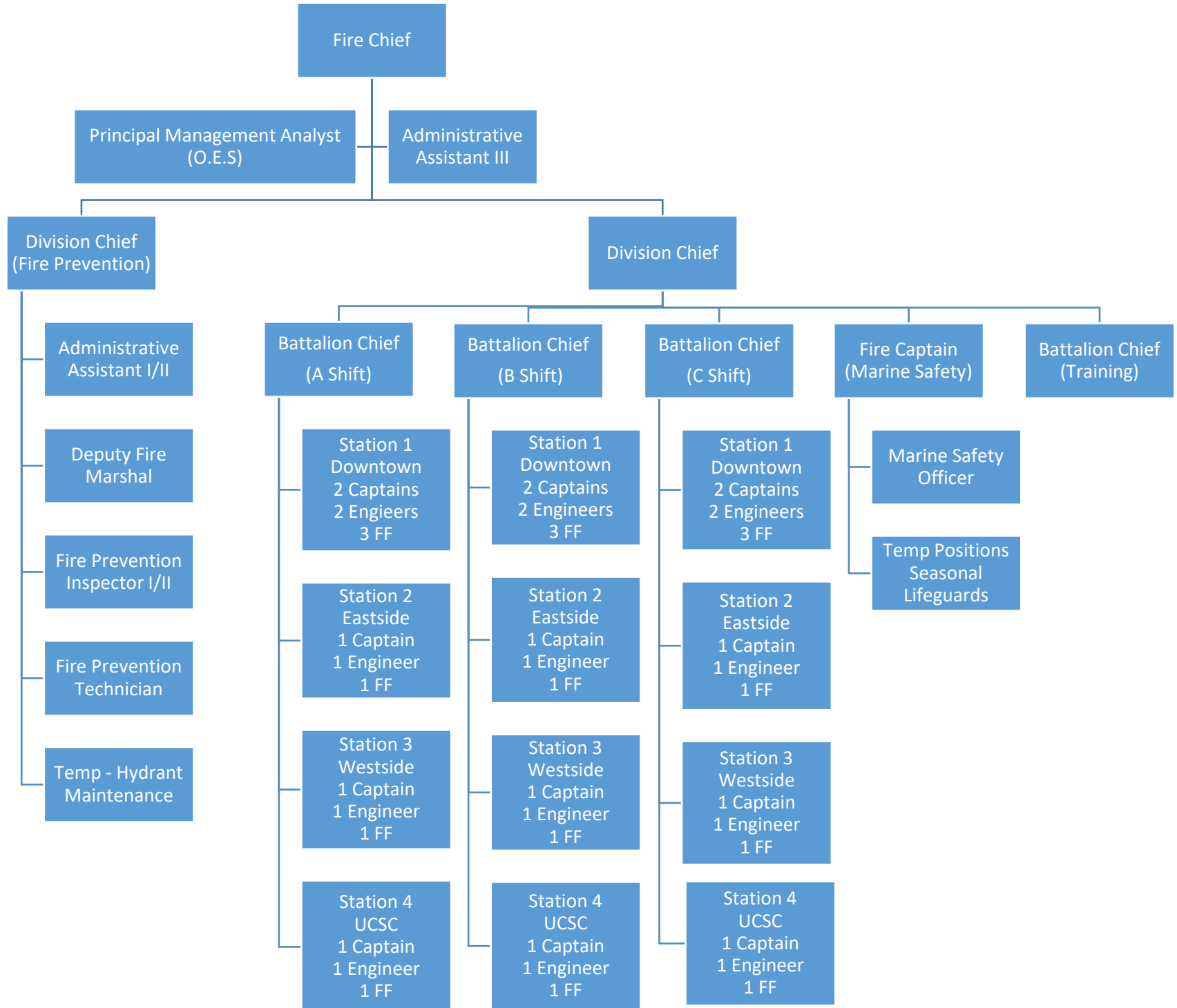


## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year-End Actual	
<b>EXPENDITURES BY CHARACTER:</b>					
Personnel Services	16,823,982	16,898,717	17,185,456	17,207,569	16,392,950
Services, Supplies, and Other Charges	2,173,963	2,474,004	2,474,550	2,059,456	2,166,580
Capital Outlay	97,780	-	512,693	541,157	-
Total Expenditures	19,095,725	19,372,721	20,172,699	19,808,181	18,559,530
<b>EXPENDITURES BY ACTIVITY:</b>					
Fire Administration	2201 854,448	910,123	910,123	811,981	891,782
Fire/EMS Operations	2202 15,514,757	15,755,880	16,450,300	16,828,035	15,332,206
Fire Prevention	2203 1,033,787	1,002,953	1,047,763	931,287	1,204,332
Office of Emergency Services (OES)	2205 284,613	292,805	353,553	371,311	146,925
	2206 387,025	450,000	450,000	252,919	200,000
Subtotal General Fund	18,074,629	18,411,761	19,211,739	19,195,533	17,775,245
Marine Rescue Program	2204 1,021,096	960,960	960,960	612,649	784,285
Subtotal Other General Funds	1,021,096	960,960	960,960	612,649	784,285
Total Expenditures	19,095,725	19,372,721	20,172,699	19,808,181	18,559,530
<b>RESOURCES BY FUND</b>					
General Fund	101 4,237,684	4,662,000	4,859,587	3,584,225	4,446,922
Municipal Wharf	104 107,662	180,000	180,000	92,442	115,919
Total Resources	4,345,346	4,842,000	5,039,587	3,676,667	4,562,841
<b>Net General Fund Cost</b>	<b>(13,836,945)</b>	<b>(13,749,761)</b>	<b>(14,352,152)</b>	<b>(15,611,308)</b>	<b>(13,328,323)</b>
	<b>FY 2019</b>			<b>FY 2020</b>	<b>FY 2021</b>
<b>TOTAL AUTHORIZED PERSONNEL:</b>	66.00			66.00	66.00

\*Sums may have discrepancies due to rounding

# FY 2021 Fire Organization Chart



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A lighthouse on a rocky island at sunset. The lighthouse is white with a green top section. The sky is a mix of blue and orange, and the water is calm. The foreground shows large, dark rocks.

# Human Resources

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2021 Annual Budget

# Human Resources

## **Our Mission:**

As a resource and trusted advisor, we strive to cultivate an inspiring and fulfilling work environment that attracts and engages a talented workforce.

## **Our Values:**

Communication, Continuous Improvement, Integrity, Collaboration, Humor, and Fun.

## **Our Purpose:**

The purpose of the Human Resources Department is to provide a variety of support and assistance to employees and departments in achieving their goals and objectives. We accomplish this by providing a range of services including recruitment, professional development, training, compensation and classification administration, employee relations, benefits administration, and workers' compensation administration.



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The team also provides a wide range of services to City staff through the following areas:

### **Total Compensation**

The Total Compensation division administers salaries, health care, pension and other benefits.

### **Employee and Labor Relations**

The Employee and Labor Relations division provides guidance to managers and employees to enhance performance, create a positive work environment, and effective problem-solving of complaints and grievances as well as facilitates labor contract negotiations.

### **Organization and Employee Development**

The Organization and Employee Development division identifies and implements training and employee development opportunities to support employee skill enhancement, innovation and leadership.

### **Talent Management**

The Talent Management division recruits, selects and on-boards employees who have a passion for public service, excellent skills and the ability to take on increasingly complex roles in their City career.

### **Workers Compensation**

The Workers Compensation division manages city wide program, and supports workplace safety and actively works to reduce injuries and other potential liabilities to reduce employee claims.



# ACCOMPLISHMENTS

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## FY 2020

In March of 2020, the world was thrown into a global pandemic with COVID-19. The accomplishments by the Human Resources Department in their response to the crisis must be noted. The fast moving nature of the crisis forced all non-essential employees home, while other employees had to report to work. The HR Department was quick to react by preparing directives to support this effort, including many new policies. These policies included; telecommuting, compensation policies, vacation and sick leave usage; implementation of emergency federal legislation, and multiple reiterations of safety protocols & procedures. The landscape of dealing with the pandemic changed every day forcing the HR staff to become nimble, creative, collaborative, patient, and leaders.

The Benefits Division became experts at interpreting and administering federal legislation. No easy task since these new laws were constantly being revised and clarified by the Department of Labor. The Training Division had to take their show on-line by converting in-person classes to zoom classes, all the while trying to keep the classes fun and inclusive. Recruitment was able to adapt their process to an on-line system reducing the need for any in-person applications or interviews. The Labor Relations division worked daily to resolve employee issues as they arose, all due to the pandemic. And finally, the administrative staff kept the office open and running smoothly while supporting the department.

### Enhance City Culture of Engagement

- Conducted 3rd Annual Employee Engagement Survey.
- Expanded the number of leadership courses to the Employee and Leadership Develop Program, and strengthened participant commitment to the program by adding MOU language mandating participant attendance.

### Succession Development Program

- Created an on-line tool kit for Managers with succession development programs.
- Created Over Hire Administrative Policy to support department succession planning efforts.
- Created Stretch Assignment Administrative Policy to support employee skills development and growth.

### Improve Human Resources Department Customer Service

- Established employee on-boarding program. Re-organized HR intranet to be more user friendly and informative.
- Provided staff with professional development opportunities.
- Updated Internal Recruitment Administrative Policy.
- Improved and enhanced harassment prevention programs: Added, Culture & Equity: Race & Gender course.
- Moved to an on-line application process. Revised the Respectful Workplace Policy. Created a City Council Code of Conduct.





# GOALS

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## FY 2020

### Enhance City Culture of Engagement, Inclusivity and Diversity

- Continue to oversee implementation of Employee Engagement Work Plan.
- Educate and create a more inclusive and diversity aware organization through training programs and analyzing existing policies and practices.
- Provide implicit bias training to all hiring panels.
- Review 30 job descriptions to remove any bias language.

### Human Resources Customer Service

- Develop a Human Resources Strategic Plan.
- Implement employee on-boarding program.
- Develop internal and external metrics to analyze HR functional areas for process improvement opportunities.
- Implement #NotMe - a web based app for reporting wrong-doing.

### Succession Development Program

- Enhance existing programs and develop new programs to assist in the succession planning for the City.
- Prepare for internal candidates for promotional opportunities that arise due to the early retirement program.





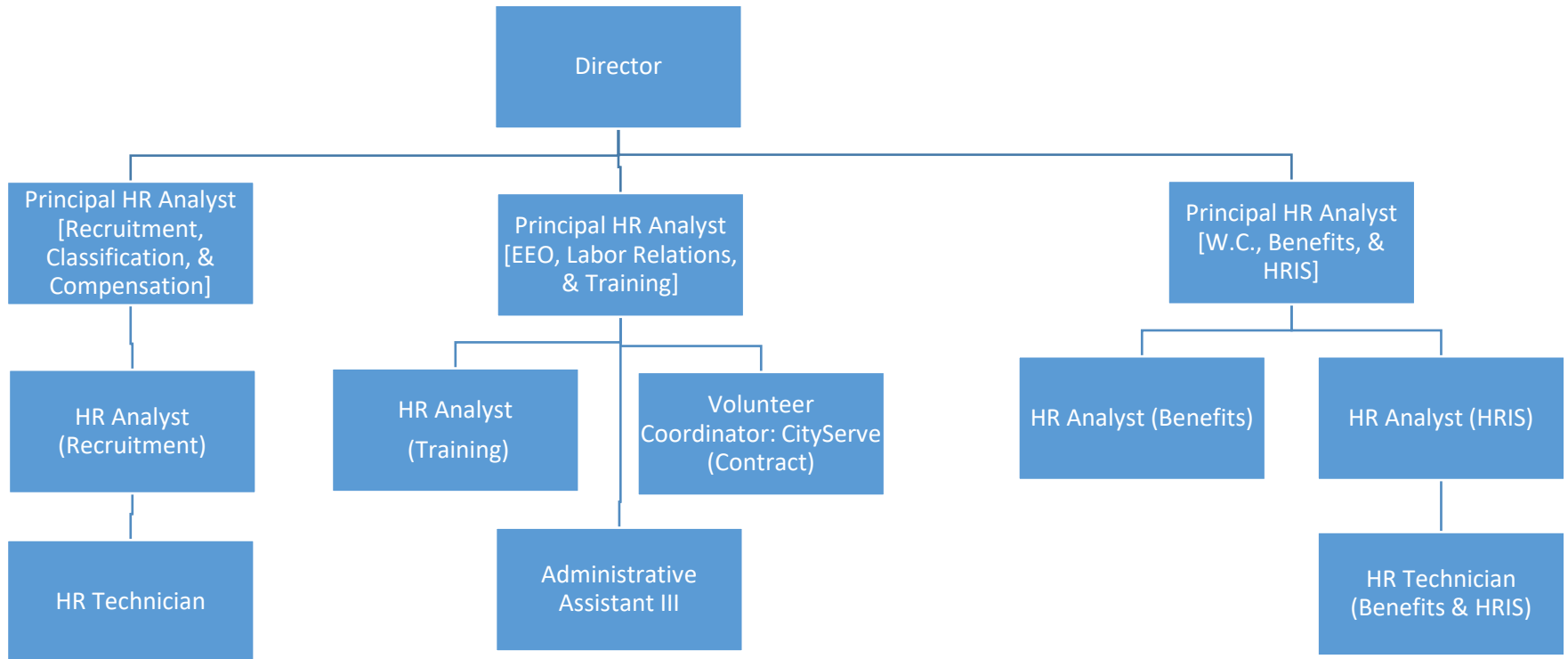
# Human Resources

## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year-End Actual	
<b>EXPENDITURES BY CHARACTER:</b>					
Personnel Services	1,991,524	2,029,152	2,029,152	1,995,328	2,077,124
Services, Supplies, and Other Charges	21,947,946	4,956,549	5,037,590	19,757,903	20,982,233
Total Expenditures	<u>23,939,470</u>	<u>6,985,701</u>	<u>7,066,742</u>	<u>21,753,231</u>	<u>23,059,357</u>
<b>EXPENDITURES BY ACTIVITY:</b>					
Human Resources	1230 1,630,718	1,470,379	1,530,691	1,510,122	1,585,384
Volunteer Program	1231 47,063	47,250	47,250	43,313	48,900
Subtotal General Fund	<u>1,677,781</u>	<u>1,517,629</u>	<u>1,577,941</u>	<u>1,553,435</u>	<u>1,634,284</u>
Unemployment Insurance Trust	1232 2,400	-	-	0	-
Subtotal Other General Funds	<u>2,400</u>	<u>-</u>	<u>-</u>	<u>0</u>	<u>-</u>
Workers Compensation Insurance	7820 4,616,726	3,192,712	3,213,441	3,189,643	3,281,009
Medical/Dental/Vision Insurance	7823 17,531,857	2,175,360	2,175,360	16,917,665	18,044,064
Unemployment Ins Trust	7824 110,706	100,000	100,000	90,689	100,000
Subtotal Other Funds	<u>22,259,289</u>	<u>5,468,072</u>	<u>5,488,801</u>	<u>20,199,796</u>	<u>21,425,073</u>
Total Expenditures	<u>23,939,470</u>	<u>6,985,701</u>	<u>7,066,742</u>	<u>21,753,231</u>	<u>23,059,357</u>
<b>RESOURCES BY FUND</b>					
General Fund	101 632,052	659,916	659,916	659,856	679,713
Workers' Compensation Insurance	841 3,806,883	4,031,857	4,031,857	3,904,274	2,555,095
Group Health Insurance	843 17,606,780	2,148,277	2,148,277	18,698,560	17,535,076
Unemployment Insurance	844 612,850	601,993	601,993	657,729	601,993
Total Resources	<u>22,658,565</u>	<u>7,442,043</u>	<u>7,442,043</u>	<u>23,920,419</u>	<u>21,371,877</u>
Net General Fund Cost	<u>(1,045,729)</u>	<u>(857,713)</u>	<u>(918,025)</u>	<u>(893,579)</u>	<u>(954,571)</u>
	<b>FY 2019</b>			<b>FY 2020</b>	<b>FY 2021</b>
<b>TOTAL AUTHORIZED PERSONNEL:</b>	11.50			12.50	11.00

\*Sums may have discrepancies due to rounding

# FY 2021 Human Resources Organization Chart



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# Information Technology

2021 Annual Budget

# Information Technology

The Information Technology (IT) Department provides technology services to support fellow departments and the community. Our primary objective is to deliver business-driven, efficient, quality technology solutions and services for the City of Santa Cruz staff and the public.

To this end, IT is organized into the following major areas: infrastructure and telecommunications; process and applications (including Geographic Information Services - GIS); client and systems administration; strategy and administration.





# ACCOMPLISHMENTS

## FY 2020

### Community Safety & Well Being

- Improved physical security at various City locations including River/Front and Soquel/Front parking garages, and the Santa Cruz Municipal Wharf.
- Updated computer and laptop infrastructure and increased network bandwidth at the City's Emergency Operations Center (EOC).
- Updated the system used for the police digital picture and video evidence storage to a modern system.
- Upgraded the laboratory server infrastructure at the wastewater treatment plant to improve capabilities and maintain current compliance requirements.
- Delivered a new GIS application to document unsanctioned fires in the City. Created a viewing application for executive staff to view locations, associated data, and photographs.
- Created extensive Public Safety Power Shutdown infrastructure and outage mapping.

## Workload Indicators

### Number of Helpdesk Tickets

FY19	FY18	FY17
6,185	6,973	6,464

### Average Days to Close Helpdesk Tickets

FY19	FY18	FY17
2.8	2.71	2.73

### Health of Applications by Desktop

	FY19	FY18	FY17
Red	22%	0%	33%
Yellow	78%	100%	67%
Green	0%	0%	0%

### Health of Applications by Department

	FY19	FY18	FY17
Red	20%	28%	24%
Yellow	75%	63%	74%
Green	5%	9%	2%

### Health of Applications Citywide

	FY19	FY 18	FY17
Red	24%	26%	19%
Yellow	56%	52%	63%
Green	20%	22%	18%

### All files in Terabytes

FY19	FY 18	FY17
10.6	9.8	8.99

# ACCOMPLISHMENTS

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## FY 2020

### Environmental Sustainability and Well-Managed Resources

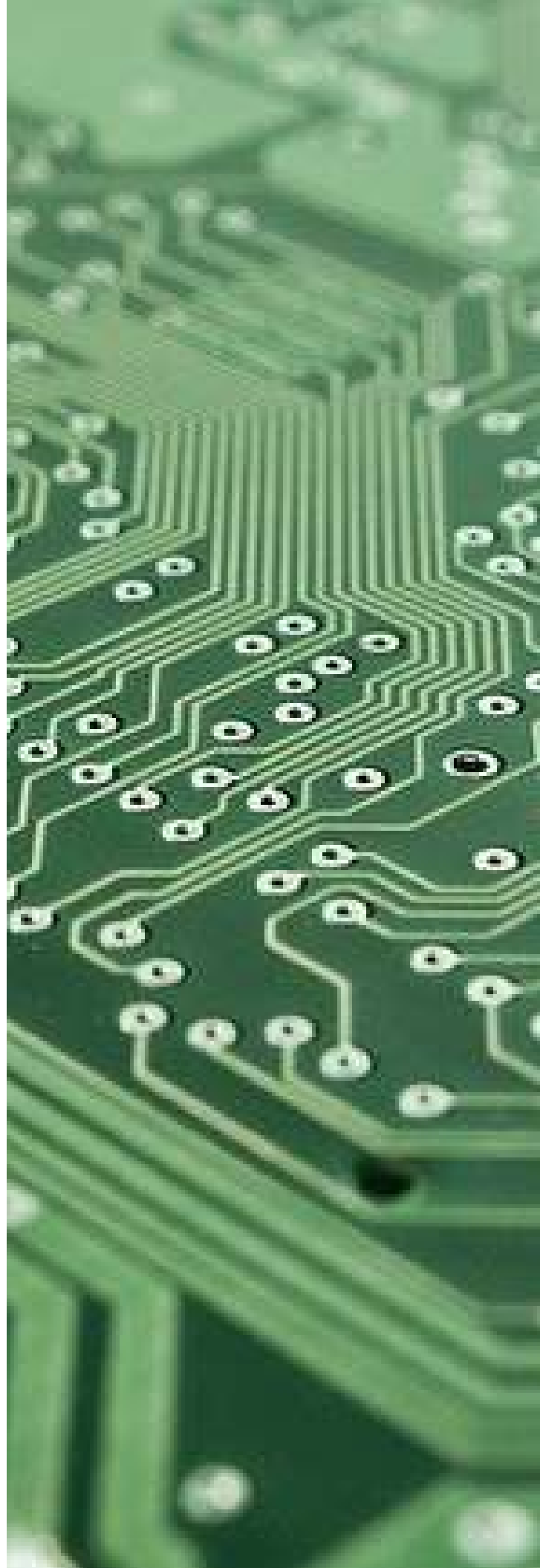
- Worked with Water to improve the rate change process.
- Assisted the Parks and Recreation Department with the implementation of an urban tree mapping system.

### Economic Vitality

- Started the initial development of a consolidated payment portal (MyCityofSantaCruz); a secure online portal for managing City of Santa Cruz services and bills.
- Created GIS layer for fuel reduction/vegetation management mapping for grant purposes.
- Completed upgrade of parking and gate control features at River/Front parking garage.

### Housing

- Deployed technology to Armory Camp.
- Developed a web-based tool for staff to track available capacity at housing shelters within the City.
- Supported improvements for the Rental Inspection Services Program (RISP).
- Supported housing committees and various housing research needs via maps and GIS on-line layers.



# ACCOMPLISHMENTS

## FY 2020

### Organizational Health

- Educated and engaged City staff to be part of the solution for cyber security.
- Updated IT employee skill sets and knowledge base via ongoing department training opportunities.

### Fiscal Sustainability

- Completed annual personal computer (PC) replacements, replacing over 160 PCs.
- Continued the maintenance support and vendor software warranty of over 94 applications City-wide.
- Upgraded various systems, including the City's core enterprise resource planning.
- Implemented annual fee changes for various City departments.
- Reliably managed and cost contained IT software and hardware maintenance.

### Reliable and Forward-Looking Infrastructure and Facilities

- Began the upgrade of network circuits at facilities City-wide including Fire Stations #3 and #4, the water treatment plant, wastewater treatment plant, corporation yard, and the Santa Cruz Police Department.
- Reduced risk and improved recovery capabilities with expanded backup and recovery tools.
- Expanded capacity and improved reliability for storage at City Hall, the Police Department, Water Treatment and Waste Water Treatment.
- Completed virtualizing server infrastructure at both water and wastewater treatment plants to achieve energy savings. Achieved 75% virtualization at the City Hall datacenter.
- Issued over 500 ID access cards to employees to help with security and facility access control.
- Provided design and network connectivity for the new Public Works Landfill Food Waste project.



### Engaged and Informed Community

- Improved functionality of Community Request for Service (CRSP) and added the ability for community to report potholes: <https://cosc-crsp.mendixcloud.com/p/Home>.
- Began the project to upgrade City's agenda and document management platform.

# GOALS

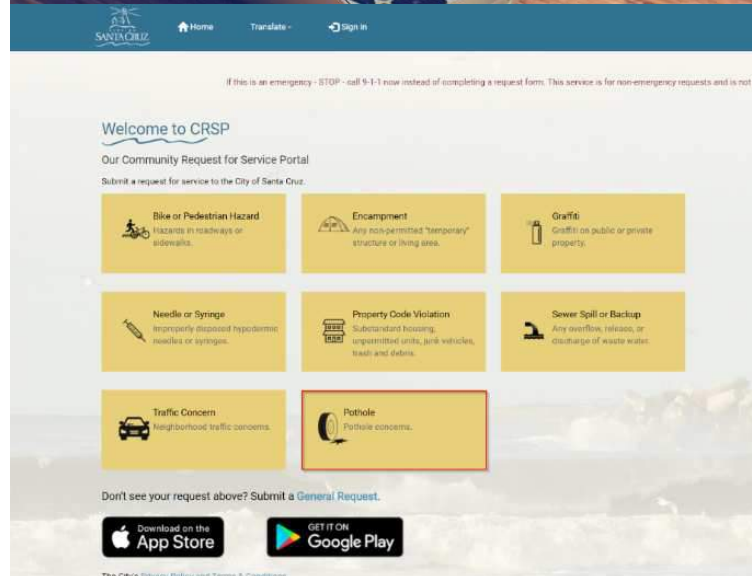
## FY 2021

### Environmental Sustainability & Well-Managed Resources

- Reduce physical mailing and visits to City business counters by expanding online payment capabilities.

### Community Safety & Well-Being

- Continue the project to modernize and make sustainable the City's camera systems and physical access/door controls. FY21 targets include:
  - Fire Station #2
  - Fire Station #3
  - Fire Station #4
  - San Lorenzo Park
  - Louden Nelson Community Center
  - Locust Garage
  - Additional locations based upon urgency of need and age of equipment
- Replace Police Department in-car video system.
- Complete work with other local agencies in Santa Cruz Regional 911's to replace the existing records management system for public safety.
- Add additional service types to City's Community Request for Service Portal (CRSP).



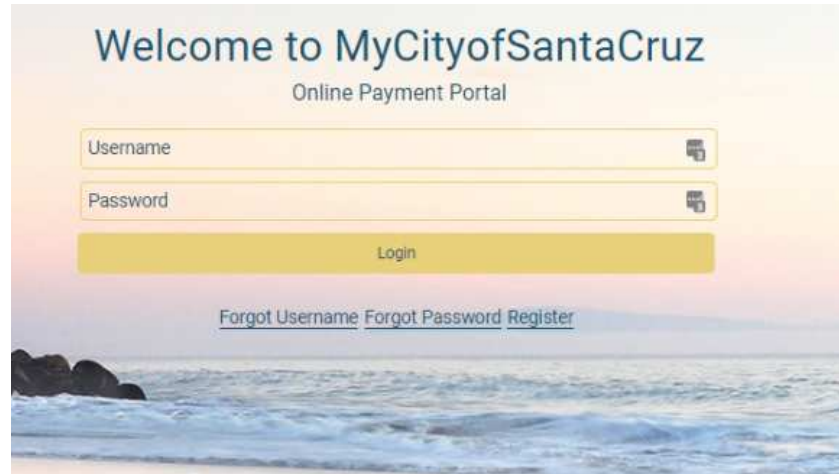


# GOALS

## FY 2021

### Economic Vitality

- Complete the first phase of the City's new consolidated payment portal - MyCityofSantaCruz. Phase one includes - Utility Billing, Business License (applications and renewals), Rental Inspections (applications and renewals), donations, and COBRA payments.
- Continue the roll-out of electronic plan check.
- Finish parking and gate control software/hardware upgrade at the Soquel/Front St. garage. Begin work at the Locust St. garage.



### Housing

- Begin the upgrade of land management solution - permits, business licenses, inspections, code compliance, online plan check, rental, etc.
- Support housing committees and various housing research needs via maps and GIS on-line layers.
- Provide support and technology equipment to housing shelters within the City.

### Fiscal Sustainability

- Complete annual personal computer (PC) replacements, with a focus on removing Windows 7 computers.
- Continue to train City staff with new campaigns and content for cybersecurity areas each quarter.
- Continuously re-evaluate maintenance contracts to look for cost reductions via negotiations, consolidations, competitive pricing, etc.

### Organizational Health

- Enable City staff to productively use mobile devices as an aid for service delivery by upgrading mobile phones in the Parks and Recreation department.
- Improve internal communication and sharing by completing the upgrade of the City's intranet platform.
- Upgrade the City's Email program to improve communication and collaboration.





# GOALS

## FY 2021

### Reliable & Forward-Looking Infrastructure and Facilities

- Continue to replace data center infrastructure at City Hall to achieve increased energy conservation with virtualization technologies.
- Upgrade hardware infrastructure in the City's Council Chambers for improved audio/visual broadcast transmission.
- Upgrade connectivity to the DMV to streamline City's parking enforcement capabilities.



### Engaged and Informed Community

- Complete the migration of agenda and document management to vendor's replacement products.
- Evaluate internet standards and site organization based upon compiling newer usage analytics since redesign has been live for over two years.
- Upgrade the application used for public access to City records.



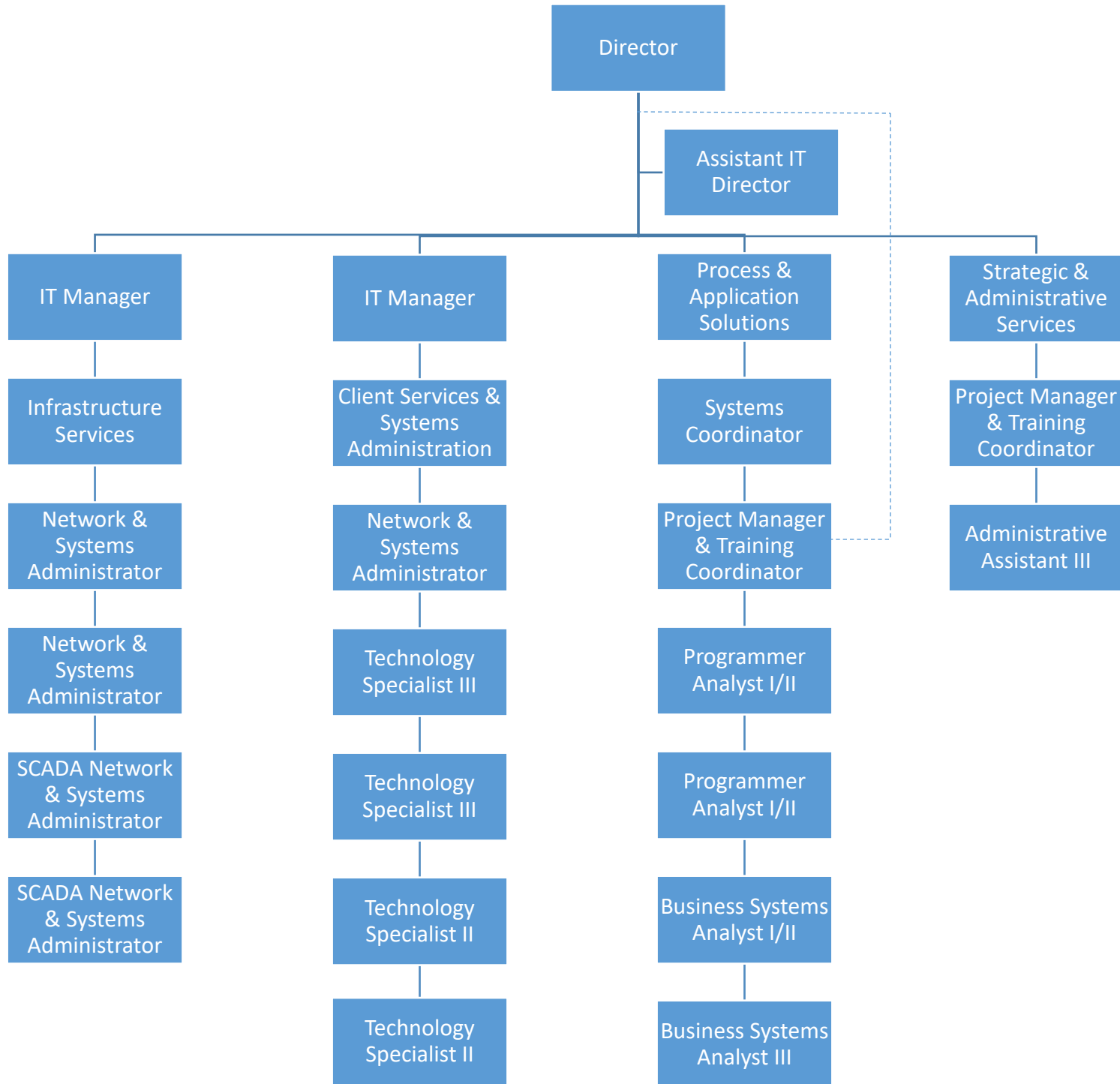
# Information Technology

## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year-End Actual	
<b>EXPENDITURES BY CHARACTER:</b>					
Personnel Services	2,883,476	2,880,548	2,880,548	2,547,546	3,015,166
Services, Supplies, and Other Charges	1,953,243	1,991,002	2,008,677	1,932,901	2,174,529
Capital Outlay	91,302	835,000	2,227,781	542,920	1,522,000
Total Expenditures	<u>4,928,020</u>	<u>5,706,550</u>	<u>7,117,006</u>	<u>5,023,367</u>	<u>6,711,695</u>
<b>EXPENDITURES BY ACTIVITY:</b>					
IT Operations	1251 4,928,020	4,871,550	4,931,257	4,522,479	5,189,695
Subtotal General Fund	<u>4,928,020</u>	<u>4,871,550</u>	<u>4,931,257</u>	<u>4,522,479</u>	<u>5,189,695</u>
	7825 -	835,000	2,185,749	500,889	1,522,000
Subtotal Other Funds	<u>-</u>	<u>835,000</u>	<u>2,185,749</u>	<u>500,889</u>	<u>1,522,000</u>
Total Expenditures	<u>4,928,020</u>	<u>5,706,550</u>	<u>7,117,006</u>	<u>5,023,367</u>	<u>6,711,695</u>
<b>RESOURCES BY FUND</b>					
General Fund	101 1,473,962	1,534,224	1,534,224	1,552,731	1,611,698
Total Resources	<u>1,473,962</u>	<u>1,534,224</u>	<u>1,534,224</u>	<u>1,552,731</u>	<u>1,611,698</u>
Net General Fund Cost	<u>(3,454,058)</u>	<u>(3,337,326)</u>	<u>(3,397,033)</u>	<u>(2,969,748)</u>	<u>(3,577,997)</u>
	<b>FY 2019</b>			<b>FY 2020</b>	<b>FY 2021</b>
<b>TOTAL AUTHORIZED PERSONNEL:</b>	<u>20.00</u>			<u>21.00</u>	<u>21.00</u>

\*Sums may have discrepancies due to rounding

# FY 2021 Information Technology Organization Chart






# Library

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2021 Annual Budget

The image shows the exterior of the Santa Cruz Public Library. The building has a red upper section and a light-colored brick lower section. A sign on the brick wall reads "SANTA CRUZ PUBLIC LIBRARY CITY AND COUNTY". The entrance features glass doors and steps with metal railings. There are trees and landscaping in the foreground, including a large tree on the left and a smaller tree on the right. The number "224" is visible above the entrance.

SANTA CRUZ  
PUBLIC LIBRARY  
CITY AND COUNTY



# Library

The Santa Cruz Public Library System (SCPL) transforms lives and strengthens communities through a network of 10 neighborhood library branches, a web-based digital library, a Bookmobile, and community-based programming. Branch locations include Aptos, Boulder Creek, Branciforte, Capitola, Downtown Santa Cruz, Felton, Garfield Park, La Selva Beach, Live Oak, and Scotts Valley. We transform the lives of individuals and the community through programs, services, and materials that support learning, digital inclusion, and community building.





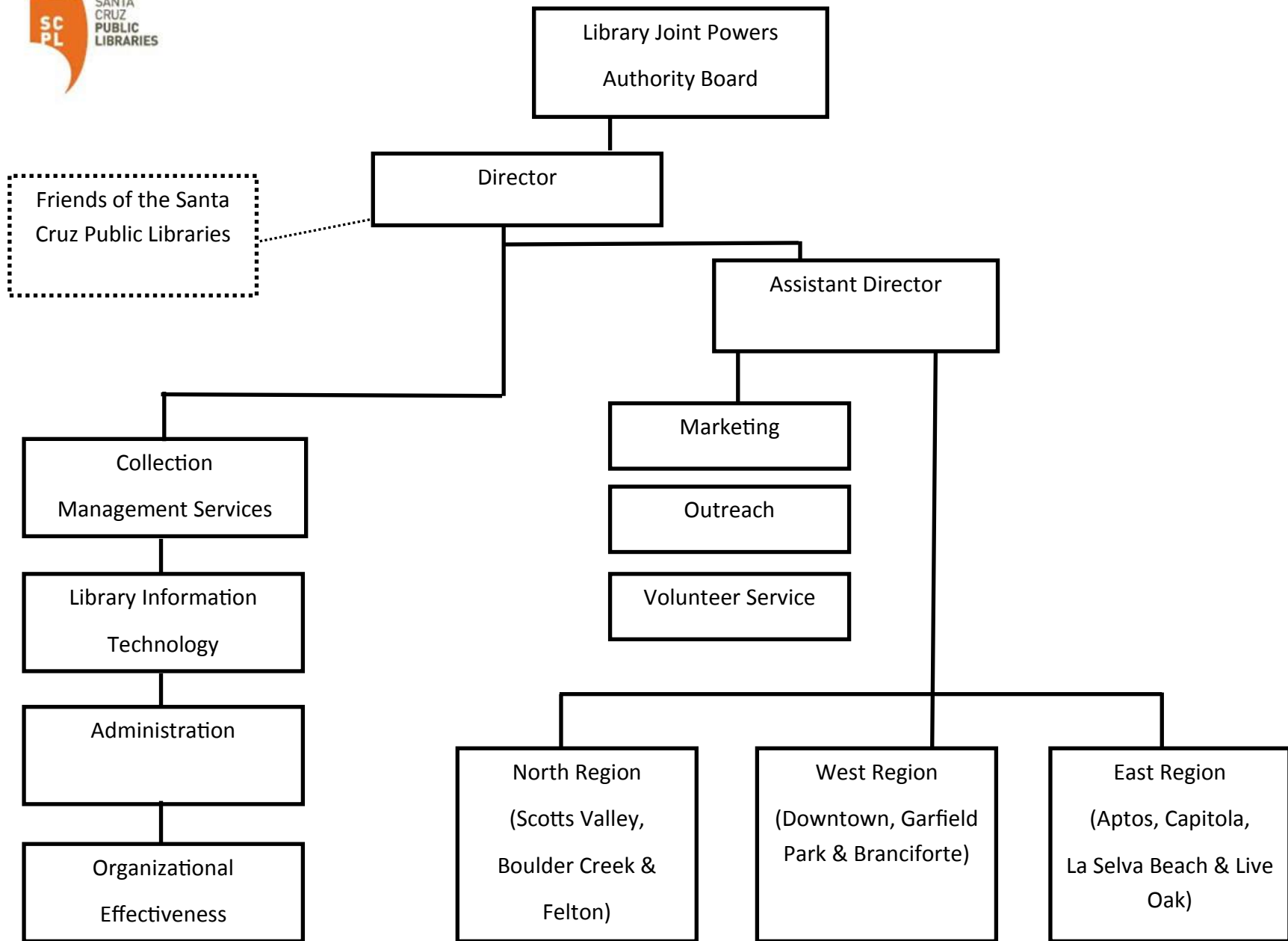
# Library (City)

## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year-End Actual	
<b>EXPENDITURES BY CHARACTER:</b>					
Services, Supplies, and Other Charges	1,604,751	1,674,751	1,674,751	1,674,751	1,744,751
Total Expenditures	<u>1,604,751</u>	<u>1,674,751</u>	<u>1,674,751</u>	<u>1,674,751</u>	<u>1,744,751</u>
<b>EXPENDITURES BY ACTIVITY:</b>					
Library System Services - City	3410 1,604,751	1,674,751	1,674,751	1,674,751	1,744,751
Subtotal General Fund	<u>1,604,751</u>	<u>1,674,751</u>	<u>1,674,751</u>	<u>1,674,751</u>	<u>1,744,751</u>
Total Expenditures	<u>1,604,751</u>	<u>1,674,751</u>	<u>1,674,751</u>	<u>1,674,751</u>	<u>1,744,751</u>
<b>RESOURCES BY FUND</b>					
General Fund	101 462,438	462,438	462,438	462,444	422,402
Total Resources	<u>462,438</u>	<u>462,438</u>	<u>462,438</u>	<u>462,444</u>	<u>422,402</u>
<b>Net General Fund Cost</b>	<u><b>(1,142,313)</b></u>	<u><b>(1,212,313)</b></u>	<u><b>(1,212,313)</b></u>	<u><b>(1,212,307)</b></u>	<u><b>(1,322,349)</b></u>
	<b>FY 2019</b>			<b>FY 2020</b>	<b>FY 2021</b>
<b>TOTAL AUTHORIZED PERSONNEL:</b>	<u>92.83</u>			<u>97.83</u>	<u>97.83</u>

\*Sums may have discrepancies due to rounding

# FY 2021 Library Organization Chart



# Parks & Recreation

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2021 Annual Budget





# Parks & Recreation

The Parks and Recreation Department provides and maintains an exceptionally diverse variety of parks, community centers, event venues, open spaces, and innovative programs for children, teens, adults, and seniors. We are committed to enriching lives and contributing to a healthy community by providing outstanding environments, experiences and programs.

The diversity and quality of Santa Cruz parks, beaches and open space make it a world-class city park system. Stand in wonder among giant redwoods in the Pogonip or watch world-class surfing from iconic Lighthouse Field. Play a round at the DeLaveaga disc golf course, which has a top ten world ranking. Get air at our skate parks and pump tracks. Play bocce or ping pong at a neighborhood park. Visit premier beach destinations Main and Cowell beach after a stroll on the historic Santa Cruz Wharf. Cap it all off with ocean wildlife viewing along our East and West Cliff greenways, which overlook the Monterey Bay National Marine Sanctuary.

Our impressive park system is matched by equally outstanding recreation programs that help put the “play” in our places! From beach and junior guard programs to our Surfing Museum, we celebrate the community’s love of the ocean and its rich surfing heritage. Our wharf and beaches serve as the backdrop for fun events like “Woodies on the Wharf” and the “Clam Chowder Cook Off”. Music and theater enjoy space at our facilities, including Santa Cruz Shakespeare at Audrey Stanley Grove and the Santa Cruz Symphony at the Civic Auditorium. Classes and activities are available to youth, teens and seniors at the Loudon Nelson Community Center. This and more can be found in our Activity Guides.

If you haven’t explored what the City of Santa Cruz Parks & Recreation Department has to offer, we encourage you to take time to engage with us and embark on your next adventure.



# ACCOMPLISHMENTS

## FY 2020

### Environmental Sustainability & Well Managed Resources

- Planned a cost-neutral reorganization of the Parks Division to focus teams on functional areas to take effect in FY21.
- Completed planting of 500 trees and inventoried of over 20,000 trees on City lands and right-of-ways under CalFIRE Urban Forestry grant.
- Secured California Coastal Commission (CCC) approval for the City of Santa Cruz Beach Management Plan, continuing a long tradition of working with the CCC to protect and enhance the City of Santa Cruz coast and ocean for present and future generations.
- Completed the draft Parks Master Plan Environmental Impact Review (EIR) and submitted for public review

- Participated with the Cowell Working Group to improve the health of Cowell Beach water quality through implementation of best management practices at the Neary Lagoon outlet, Cowell and Main Beach. This year, efforts resulted in Cowell's Beach being removed from Heal the Bay's Beach Bummer list for the first time in a decade.
- With city officials and community support, celebrated the opening of the Neary Lagoon Wildlife Refuge Pollinator Garden, which features native plants suited to pollinators along with new interpretive signs to help communicate the unique partnership between plants and pollinators.
- Began implementation of the Golf Course Operations Plan, which included working with the course operator to re-open the on-site restaurant. Even with operational closures early in the pandemic, the DeLaveaga Golf Course exceeded the FY20 adopted revenue budget by over \$167,000.

## Workload Indicators

### Participants in programs, classes, and events

FY19	FY18	FY17
137,268	119,000	119,000

### Reservations administered and accommodated for facility, field, court, and picnic areas

FY19	FY18	FY17
6,900	6,445	3,284

### Square footage of facilities maintained and operated

FY19	FY18	FY17
169,000	169,000	169,000

### Tons of general refuse/green waste removed from parks and open space by field staff

FY19	FY18	FY17
201/126	240/117	216/178



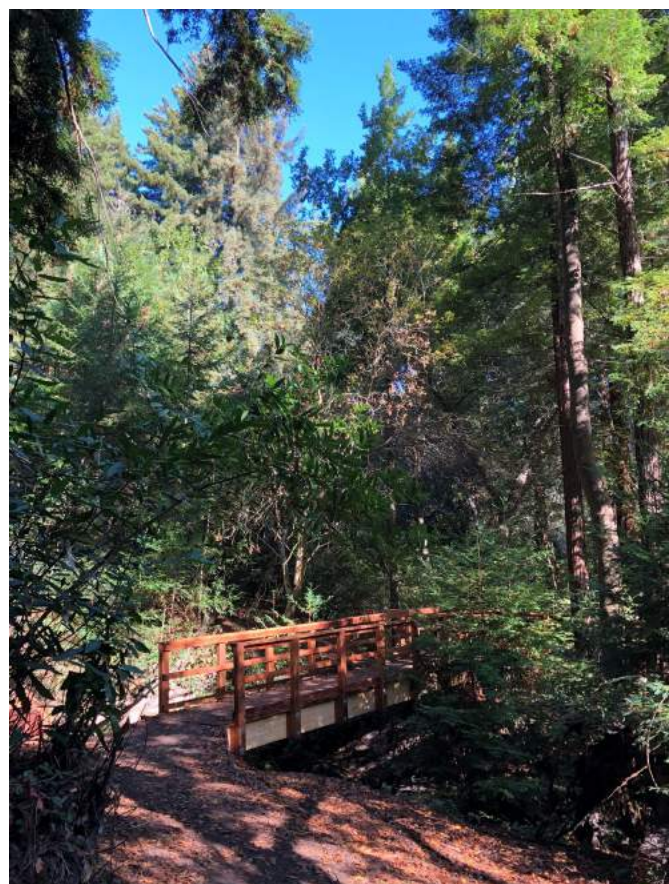
# ACCOMPLISHMENTS

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## FY 2020

### Organizational Health

- Initiated the development of a Parks and Recreation revenue policy to ensure pricing and fee structures remain consistent with the department mission and city budgetary constraints.
- Selected a contractor for and subsequently launched a Recreation and Leisure Study to evaluate department programming against national Parks and Recreation criteria for excellence. The study will outline opportunities for growth and improvement that will be incorporated into future plans.
- Initiated new internal administrative procedural orders to clarify and improve processes related to special use permits, park adoptions, records management, public records requests and special event permitting.
- Moved all Tree Permit forms and emergency tree permit processing online.



### Reliable and Forward-Looking Infrastructure and Facilities

- Secured two new grants totaling \$273,600 for facility updates and work in our open spaces.
- Began implementation of two additional state-funded grants - Neary Lagoon boardwalk replacement and development of the Pogonip Nature Loop.
- Completed Ken Wormhoudt Skate Park coping replacement, Depot Bike Park ramp replacement, Harvey West Park playground replacement, and Cliff St. Walkway retaining wall construction projects, investments of over \$300,000 in our park system and its amenities.
- Initiated and secured resources to implement Wharf railing safety upgrades.

# ACCOMPLISHMENTS

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## FY 2020

### Community Safety & Well Being

- Improved emergency access to and made progress in Pogonip fire risk reduction.
- Secured state grant funding through the Department of Toxic Substance Control (DTSC) to complete the evaluation of soil contamination in Pogonip.
- Worked with partners at the Police Department to develop and implement Neighborhood Policing in City parklands.
- Completed an incident tracking exercise to improve staff and public safety in our facilities and park spaces; engaged the Parks & Recreation Commission on the topic, leading to the creation of the Commission's Staff Safety Subcommittee.
- Provided the following support to social service organizations that serve the most vulnerable populations in our community:

-Deeply discounted meeting and rental space for groups like Narcotics Anonymous, Alcoholics Anonymous, County Office of Education Alternative Programming, and Meals on Wheels.

-Space for the Association of Faith Communities (AFC) shower trailer, as well as the Salvation Army evening meal program, at Harvey West Park and Depot Park.

-Community meals for at-risk youth, such as the Teen Center Thanksgiving Dinner, and regular seniors group lunches.





# ACCOMPLISHMENTS

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## FY 2020

### Community Safety & Well Being

- Supported city pandemic response by maintaining public hygiene stations for at-risk populations through the early phases of the pandemic.
- During the strictest weeks of the Santa Cruz County COVID lockdown, people flooded into City of Santa Cruz open spaces, parks and beaches. Monitoring of pedestrian traffic on the West Cliff Greenway at times saw increased usage of up to 75 percent. Even when parks and amenities were closed, people continued to use them. Community reliance and use of our parks, beaches and trails as safe spaces during the COVID-19 pandemic has validated their essential public health role.
- Developed a new department COVID-19 status webpage to help the public understand which park system amenities were open and closed in response to county and state health orders. Carried out associated noticing to keep pace with the rapidly changing and dynamic nature of the pandemic.
- To educate visitors on specific COVID-19 mask and social distancing County Health Orders, created a Beach Host program to share guidance with beach visitors.
- Created dynamic Virtual Programming in response to the COVID-19 Shelter-In-Place Order, including live zoom classes for seniors, virtual tree walk with Urban Forester Leslie Keedy, and a wide-variety of social media activities.
- Initiated an essential worker child care program that has worked in partnership with Santa Cruz City Schools to support working parents, children and educators during the pandemic.



# ACCOMPLISHMENTS

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## FY 2020

### Engaged and Informed Community

- Expanded July Is Parks Make Life Better month to include collaborative Family Fun Day event at Harvey West Park with County of Santa Cruz and City of Watsonville Parks and Recreation.
- Offered online Parks and Recreation Activity Guide in both English and Spanish for the Winter-Spring and Summer 2020 sessions.
- With the support of our Friends of Parks & Recreation Group, 68 scholarships, totaling over \$15,000, were awarded to local youth for participation in recreation program activities.
- In coordination with 10 community partners, engaged more than 250 volunteers in tree planting under the Cal Fire grant.
- In calendar year 2019, leveraged over 2,700 volunteer hours via the Park Adoption Program.





# GOALS

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## FY 2021

Develop and provide core amenities and services through adaptive management of programs and places during the COVID-19 pandemic

- Implement new best management practices to safely reopen playgrounds
- Support the city in disaster response and recovery
- Align youth and adult sports and league programming with new state guidance
- Continue development of new Virtual Program offerings





# GOALS

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## FY 2021

### Streamline and improve the effectiveness of department operations and communications

- Complete the Recreation & Leisure study and begin implementing recommendations.
- Complete a FY20 Annual Report and FY21 Real-time Strategic Plan.
- Improve department web presence along with online service delivery for classes and all categories of department permits.

### Increase cost recovery through partnerships and new revenues

- Develop and implement new revenue policy.
- Implement Golf Course Operations Plan to close revenue gap.
- Develop a sponsorships program/process.



# GOALS

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## FY 2021

Adopt forward-looking, resilient planning that will improve the community, environment, and fiscal sustainability

- Finalize and get approval for Parks Master Plan.
- Complete a Pool Feasibility Study to determine a sustainable business plan for expanded operations.
- Develop a water strategy that reduces costs and reliance on potable water for landscaping, course and field maintenance.
- Make enhancements to the Urban forestry program.
- Develop appropriate response to contamination issues that emerge within the Parks and Recreation system; complete the preliminary endangerment report and next steps for Pogonip remediation.
- Complete approved CIP and grant-funded projects and identify FY2022 CIP priorities.



# Parks and Recreation

## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted	
		Adopted Budget	Amended* Budget	Year-End Actual		
<b>EXPENDITURES BY CHARACTER:</b>						
Personnel Services	9,841,410	10,660,148	10,566,192	9,167,442	9,597,293	
Services, Supplies, and Other Charges	6,048,856	6,483,548	7,340,688	5,255,925	5,703,741	
Capital Outlay	42,711	-	30,088	140,609	86,500	
Total Expenditures	<u>15,932,977</u>	<u>17,143,696</u>	<u>17,936,967</u>	<u>14,563,976</u>	<u>15,387,534</u>	
<b>EXPENDITURES BY ACTIVITY:</b>						
Parks and Recreation Administration	3101	1,505,872	1,712,572	1,802,572	1,500,795	1,660,411
Urban Forestry	3105	492,262	488,799	826,521	576,086	486,932
Neighborhood Parks	3106	-	-	-	450	1,940,323
Community & Regional Parks	3107	-	-	-	305	2,287,425
Parks Maintenance West	3110	1,353,149	1,444,015	1,451,015	1,271,203	-
Parks Maintenance Central	3111	1,871,420	2,020,516	2,083,962	1,475,507	-
Parks Maintenance East	3112	1,956,026	2,162,446	2,168,826	1,920,299	-
Arana Gulch Habitat Management	3114	142,793	142,383	144,228	112,080	74,288
Youth Summer Trail Crew	3115	31,473	42,086	42,086	17,715	15,706
Parks Ranger Program	3120	567,892	579,893	461,187	242,320	1,352,679
Delaveaga Golf Course	3131	2,003,443	2,281,880	2,293,559	1,991,712	2,135,192
Recreation Classes	3201	356,935	374,245	374,245	211,460	226,946
Special Events/Brochure	3202	241,738	295,601	297,176	207,307	222,775
Marine Safety Programs	3203	135	-	-	0	-
Beach Flats Community Center	3204	9,371	13,427	13,427	9,183	13,414
Sports	3205	386,176	434,777	434,777	288,187	308,542
Youth Programs	3206	455,534	461,966	461,966	369,675	456,694
Teen Services	3207	329,923	293,803	299,803	252,712	216,728
Aquatics - Pool Programs	3208	113,197	117,052	117,052	87,408	156,052
Museum	3210	38,920	49,182	50,903	35,275	30,459
Municipal Wharf	3211	-	-	-	0	-
Louden Nelson Community Center	3212	844,752	889,536	901,536	811,504	808,785
Civic Auditorium	3213	860,704	993,151	1,027,184	873,233	714,831
Subtotal General Fund		<u>13,561,713</u>	<u>14,797,330</u>	<u>15,252,025</u>	<u>12,254,416</u>	<u>13,108,182</u>
Parks and Recreation Administration	3101	-	-	60,634	60,634	-
Parks Support	3108	10,000	-	5,000	5,000	-
Street Trees	3151	35,292	15,000	33,596	19,695	15,000
Teen Services	3207	5,700	-	48,856	2,000	-
Municipal Wharf	3211	2,292,218	2,313,488	2,505,548	2,208,139	2,234,974
Civic Auditorium	3213	13,570	10,000	7,500	6,975	10,000
Park & Recreation Trusts	3912	14,484	7,878	23,809	7,116	19,378
Subtotal Other General Funds		<u>2,371,264</u>	<u>2,346,366</u>	<u>2,684,943</u>	<u>2,309,560</u>	<u>2,279,352</u>
Total Expenditures		<u>15,932,977</u>	<u>17,143,696</u>	<u>17,936,967</u>	<u>14,563,976</u>	<u>15,387,534</u>

\*Sums may have discrepancies due to rounding

# Parks and Recreation

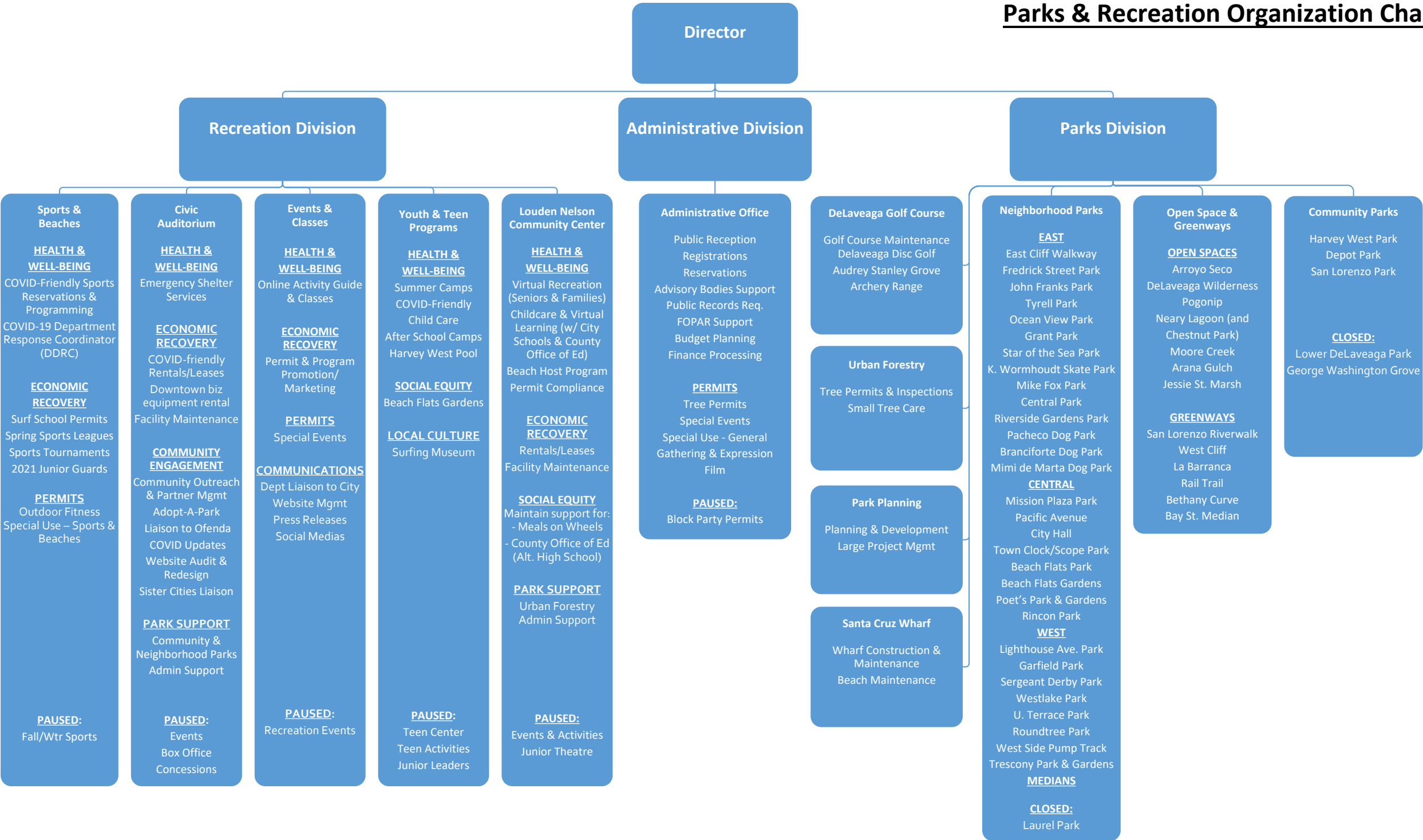
## DEPARTMENT SUMMARY

	Fiscal Year*	Fiscal Year 2020			Fiscal Year 2021 Adopted	
		2019 Actuals	Adopted Budget	Amended* Budget		Year-End Actual
<b>RESOURCES BY FUND</b>						
General Fund	101	3,632,044	3,537,650	3,945,252	2,668,797	2,725,001
Municipal Wharf	104	1,607,016	1,400,000	1,400,000	1,140,188	1,400,000
Civic Equip	121	7,342	7,000	7,000	6,850	7,000
Maintenance/Replacement						
Street Tree Fund	125	59,016	18,600	18,600	15,950	16,100
Contributions and Donations - Parks & Recreation	162	23,516	5,000	15,032	19,941	31,500
Total Resources		<u>5,328,935</u>	<u>4,968,250</u>	<u>5,385,884</u>	<u>3,851,726</u>	<u>4,179,601</u>
<b>Net General Fund Cost</b>		<u><b>(9,929,668)</b></u>	<u><b>(11,259,680)</b></u>	<u><b>(11,306,773)</b></u>	<u><b>(9,585,619)</b></u>	<u><b>(10,383,181)</b></u>
		<b>FY 2019</b>			<b>FY 2020</b>	<b>FY 2021</b>
<b>TOTAL AUTHORIZED PERSONNEL:</b>		<u>87.25</u>			<u>85.25</u>	<u>83.75</u>

\*Sums may have discrepancies due to rounding



# Parks & Recreation Organization Chart



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# Planning & Community Development

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2021 Annual Budget





# Planning & Community Development

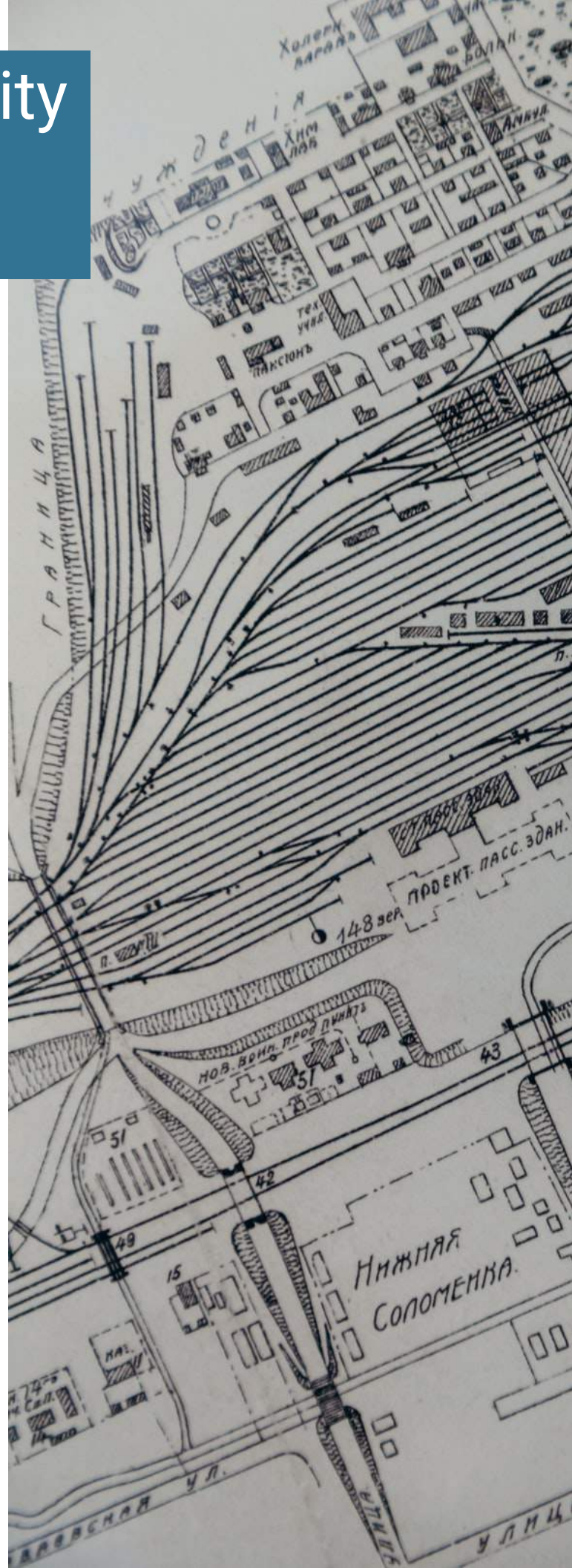
The Planning and Community Development Department is organized by the following divisions: Advance Planning; Current Planning; Building & Safety, including Plan Check and Inspection Services; Code Compliance, including Rental Inspection Services; and Administration, including the department's Records Program.

**Mission Statement:** Enhance quality of life, safety, and civic pride for our community by providing land use and development guidance through responsive, respectful, and efficient public service.

The Planning and Community Development Department provides long-range planning for the City's future development and protects the life, health, and safety of our community through many services, such as:

- Facilitation of citizen participation in the land use decision-making process,
- plan review and inspection of new and remodeled structures,
- facilitation of green building practices,
- issuance of permits for construction and inspects for code compliance,
- ensuring safety of rental housing through inspections, and
- coordination and development of the City's sustainability programs with other departments; land-use

Additionally, as a point of customer service, the department acts as a one-stop payment counter for all city payments, except water utilities.





# ACCOMPLISHMENTS

## FY 2020

### Engaged and Informed Community

- Created and implemented online application submittal process for planning applications and building permits in order to continue receiving applications during and following the shelter in place order.
- Adapted the services previously provided at the planning, building, code, rental, and payment counter to remote service including online application submittal, live planner phone line, over-the phone payment, and virtual assistance through online meeting platforms.
- Converted interdepartmental, community, and public hearings to virtual meeting platforms and began accepting public comment for advisory bodies online.
- Began digital review of planning applications using Bluebeam Revu, reducing the amount of hard copies requested from planning applicants.
- Began digital review of building permit applications and issuance of digital plans for solar photovoltaic systems.
- Remodeled and expanded the public lobby to support Citywide payment needs and improve the customer service experience.



## Workload Indicators

### Number of Planning/Building Customers Served In-Person

FY19	FY18	FY17
10,507	10,125	9,772

### Number of Building Permits Issued

FY19	FY18	FY17
1,673	1,653	1,704

### Number of Discretionary Planning Applications Reviewed

FY19	FY18	FY17
155	196	190

### Number of Building, Rental, and Code Inspections Completed

FY19	FY18	FY17
13,953	13,013	14,203

### Number of Code Cases Closed

FY19	FY18	FY17
372	541	352



# ACCOMPLISHMENTS

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## FY 2020

### Community Safety and Well-Being

- Applied for and received a \$310,000 grant from the State of California to create objective development standards for multi-family residential development that will decrease development review times while ensuring publicly-derived standards are met in future development.
- Updated tenant and landlord relocation packets for displaced tenants to ensure safety and well-being of impacted tenants.
- Secured Council approval of various updates to the City's ADU ordinance aimed at progressively facilitating more ADU production.

### Environmental Sustainability and Well-Managed Resources

- As part of efforts to reduce greenhouse gasses, the City Green Building program and City Climate Action program sought community input regarding adopting reach codes which would spell out how the City aims to reduce greenhouse gas emissions from homes and buildings through electrification. Electrification codes were unanimously approved by Council and became effective July 1, 2020.
- Continued work on a \$200,000 grant from the California Coastal Commission to update the City's Local Coastal Plan with a Hazards element, addressing issues such as sea-level rise and wildfires; in partnership with Public Works, drafted grant applications for various climate adaptation, urban greening and bicycle/pedestrian improvement projects.

### Economic Vitality

- Approved various planning permits for multi-family and commercial projects such as 89 condominiums at 190 West Cliff Drive, four new apartments at 448 May Street, four new condominiums at 619/621 Sumner Street, two new commercial industrial buildings on Pioneer Street, a new Jeep dealership at 1605 Soquel Avenue, a new brewpub at 119 Madrone, and the construction of 14 new flex residential/commercial units within the Delaware Addition Planned Development area.
- Constructed various multi-family and commercial projects such as 41 residential units, 40 of which are affordable, on Water Street; a remodel and addition at Casablanca Inn; new construction at Delaware Addition; and the Hampton Inn.



# Goals

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## FY 2021

### Engaged and Informed Community

- Continue to engage the public to gather early feedback on development projects and policy proposals.
- Continue to engage the public and development community for feedback on how to improve our services both online and in-person.
- Conduct a robust equity and inclusion-based outreach effort to develop objective development standards for multi-family and mixed use projects.

### Community Safety and Well-Being

- Continue to update policies, review plans, and inspect construction to ensure health, safety, and efficiency of the community, consistent with applicable goals, policies, and regulations.
- Present for Council approval updated Code Compliance fees which will encourage compliance with city codes related to life and safety.

### Environmental Sustainability and Well Managed Resources

- Continue work with an interdepartmental team to support the completion of the Resilient Coast project and complete a Local Coastal Program update.
- Complete California Environmental Quality Act review for proposed discretionary planning applications.

### Economic Vitality

- Continue to facilitate the approval and construction of high density mixed-use projects that are consistent with the Downtown Plan, such as the approved 205 unit mixed use building at the corner of Pacific Avenue, Front Street, and Laurel Street; the 175 unit mixed use project between Front Street and the Riverfront; and the 170 unit mixed use building at the corner of Front Street and Soquel Avenue.
- Continue to incentivize development of housing, including affordable and inclusionary options, through use of inclusionary and density bonus regulations, updating those regulations as needed.
- Support the City's COVID-19 Interim Recovery Plan.
- Continue to review applications and conduct inspections within established timelines.



### Financial Stability

- Complete study for department fees, including building fees, to reassess cost recovery for existing services and present to Council for consideration.
- Continue working with Finance Department to achieve compliance for unpermitted Short Term Rentals.

# Planning and Community Development

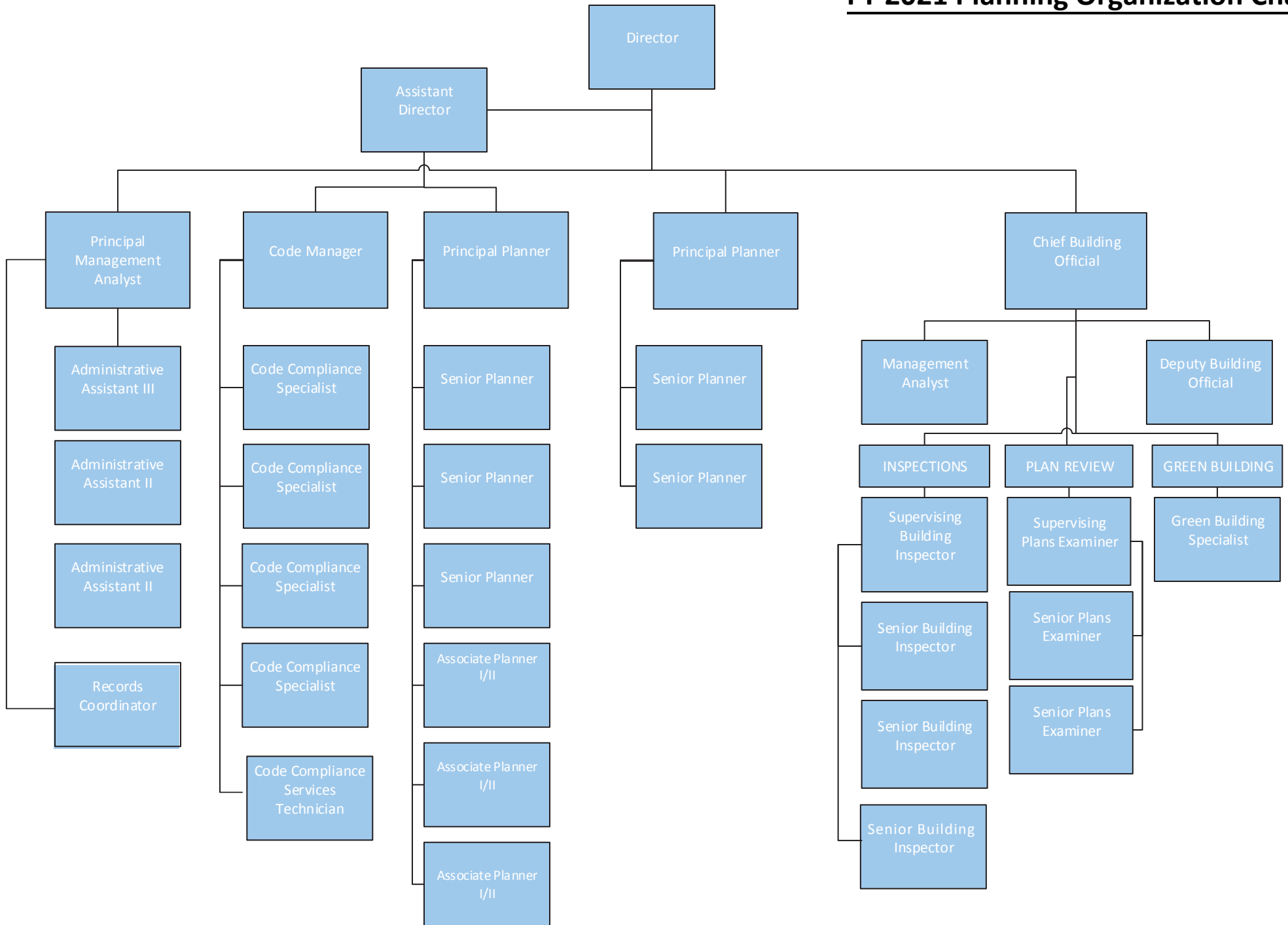
## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year-End Actual	
<b>EXPENDITURES BY CHARACTER:</b>					
Personnel Services	5,224,971	6,048,390	5,798,390	4,653,097	5,575,217
Services, Supplies, and Other Charges	1,147,946	1,163,057	2,290,296	1,146,358	1,032,503
Capital Outlay	12,155	-	40,415	37,368	10,000
Total Expenditures	<u>6,385,072</u>	<u>7,211,447</u>	<u>8,129,101</u>	<u>5,836,822</u>	<u>6,617,720</u>
<b>EXPENDITURES BY ACTIVITY:</b>					
Planning Administration	1301 1,316,378	1,488,821	1,535,170	1,238,136	1,385,959
Current Planning	1302 1,394,975	1,460,059	1,564,015	1,153,086	1,345,249
Advance Planning	1303 848,546	940,379	1,347,052	622,070	877,856
Building & Safety	2301 1,692,958	1,978,111	2,267,958	1,619,603	1,728,409
Code Enforcement	2302 401,116	504,941	505,769	453,311	329,799
SB 1186 Accessibility Programs	2303 5,371	5,800	5,800	4,062	5,800
Rental Programs	2304 352,055	413,190	413,190	418,243	497,134
Subtotal General Fund	<u>6,011,400</u>	<u>6,791,301</u>	<u>7,638,955</u>	<u>5,508,510</u>	<u>6,170,206</u>
Building & Safety	2301 249,813	348,887	348,887	236,226	344,192
Code Enforcement	2302 33,397	71,259	71,259	34,649	103,322
Subtotal Other General Funds	<u>283,210</u>	<u>420,146</u>	<u>420,146</u>	<u>270,875</u>	<u>447,514</u>
CDBG Code Enforcement	5206 90,462	-	70,000	57,437	-
Subtotal Other Funds	<u>90,462</u>	<u>-</u>	<u>70,000</u>	<u>57,437</u>	<u>-</u>
Total Expenditures	<u>6,385,072</u>	<u>7,211,447</u>	<u>8,129,101</u>	<u>5,836,822</u>	<u>6,617,720</u>
<b>RESOURCES BY FUND</b>					
General Fund	101 4,539,728	3,329,500	3,639,500	4,049,244	3,466,080
Code Enforcement/Civil Penalties	103 106,140	25,900	25,900	55,031	24,600
Green Bldg Educational Resource Fund	108 205,485	200,000	200,000	165,732	190,000
Housing In-lieu Program	150 26	-	-	28	-
Total Resources	<u>4,851,378</u>	<u>3,555,400</u>	<u>3,865,400</u>	<u>4,270,035</u>	<u>3,680,680</u>
<b>Net General Fund Cost</b>	<u><b>(1,471,672)</b></u>	<u><b>(3,461,801)</b></u>	<u><b>(3,999,455)</b></u>	<u><b>(1,459,267)</b></u>	<u><b>(2,704,126)</b></u>
	<b>FY 2019</b>			<b>FY 2020</b>	<b>FY 2021</b>
<b>TOTAL AUTHORIZED PERSONNEL:</b>	<u>38.00</u>			<u>37.50</u>	<u>34.00</u>

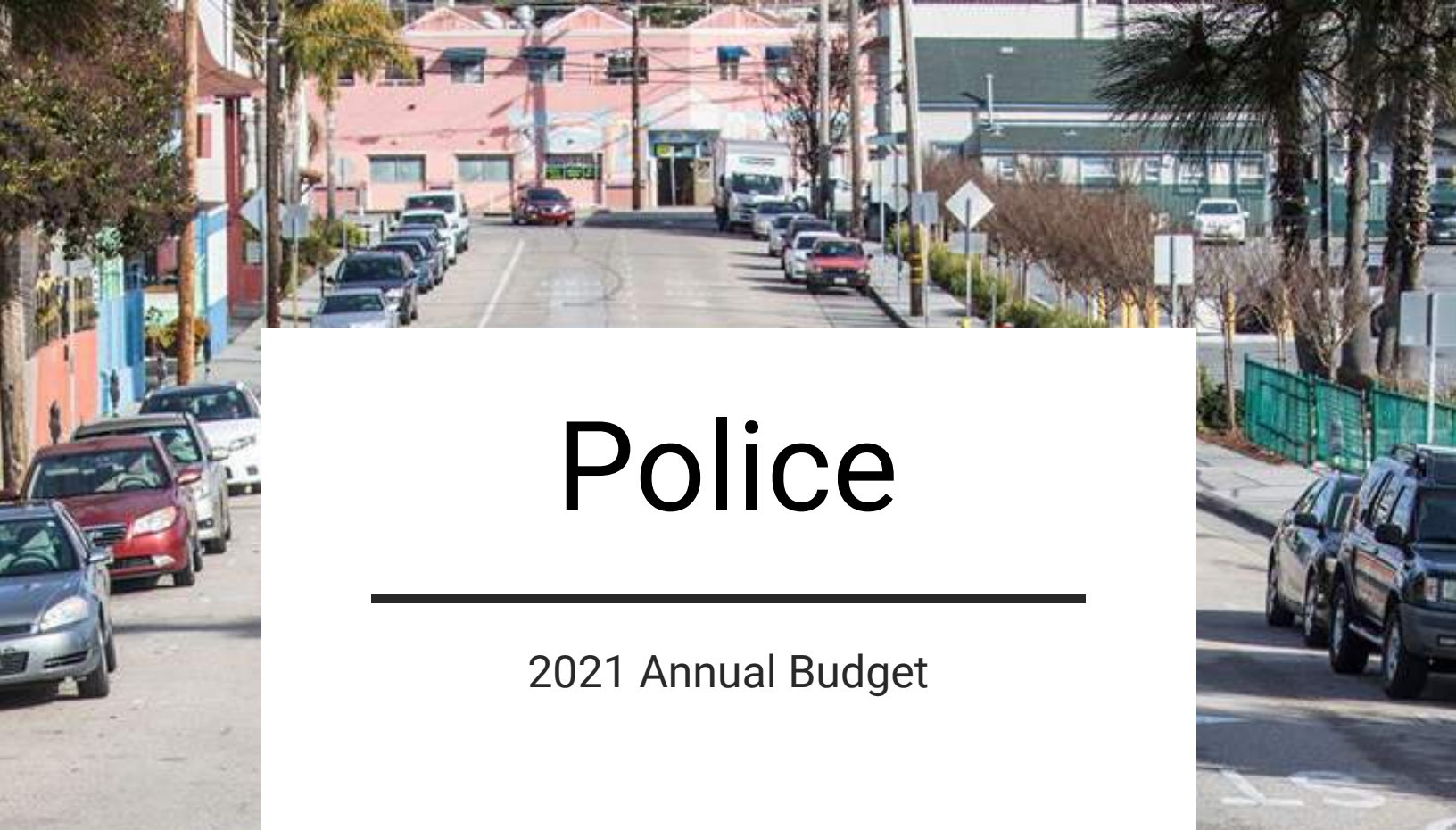
\*Sums may have discrepancies due to rounding



# FY 2021 Planning Organization Chart



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# Police

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2021 Annual Budget





# Police

The Police Department provides protection and law enforcement services to the community. The Police Department's primary objective is to reduce crime as well as the perception of crime and fear through a commitment to Community Oriented Policing and Problem Solving.

This includes the prevention of crime; detection and apprehension of offenders; the safe and orderly movement of traffic through traffic law enforcement accident prevention and investigation; ensuring public safety through regulation and control of hazardous conditions; the recovery and return of lost and stolen property; and the provision of non-enforcement services through programs reflecting community priorities.





# ACCOMPLISHMENTS

## FY 2020

### Community Safety & Well-Being

- The department continued to enhance its neighborhood policing model, including the launch of the H.E.R.O. (Homelessness Engagement, Resource and Outreach) Team. The Neighborhood Policing Team has successfully worked with police patrol and investigations to address identified problems and crimes in all neighborhoods.
- Continued expansion of data driven enforcement efforts and continued to work collaboratively with County Mental Health, County Health, and other city and county officials to find housing solutions and access to treatment for the homeless.
- Continued to strengthen our Threat Assessment and De-Escalation capacity through in-house training as well as developing new and innovative strategies and staff policies.

### Organizational Health

- Continued to attract, retain and develop high quality Police staff, through active local recruitment, employee engagement efforts and employee development and mentoring opportunities.

### Engaged & Informed Community

- Broadened in the scope of its outreach to community organizations to ensure that all concerns are heard, and on-going community education training relating to COVID-19 Shelter-In-Place related crime prevention methods, and social media safety for parents and children.
- Expanded the SCPD Volunteer Program to provide additional neighborhood security checks, welfare checks on the elderly, vacation home security checks, working at special events, enforcing parking violations, and vehicle abatement.
- Attracted, retained and developed high quality Police staff, through active local recruitment, employee engagement efforts and employee development and mentoring opportunities.

## Workload Indicators

### Number of Calls for Service

FY19	FY18	FY17
96,576	100,775	100,442

### Number of Arrests

FY19	FY18	FY17
4,121	4,566	4,618

### Number of Citations Issued

FY19	FY18	FY17
5,722	3,843	4,476

### Number of Traffic Citations Issued

FY19	FY18	FY17
2,070	1,327	2,141

### Number of Reports Written

FY19	FY18	FY17
15,067	16,499	10,541



# GOALS

## FY 2021

### Community Safety and Well-Being

- The Department will continue to support the City and County's efforts to ensure a safe and healthy community in the midst of the on-going COVID-19 pandemic.
- We will continue to work closely with community organizations to broaden and enrich our understanding of structural racism in our community and its relationship with how we police.



### Organizational Health

- As a result of the COVID-19 virus and economic crisis, the Police Department has reduced its staff size, resulting in reorganization and some layoffs. The Department is committed to maintain staff morale and effectiveness despite these challenging circumstances.



### Engaged and Informed Community

- The Department will continue to expand its public outreach efforts through social media and other remote events.
- SCPD's Volunteer Program will continue to thrive in FY2021, performing neighborhood security checks, phone-based welfare checks on the elderly, special outdoor events and vehicle abatement.



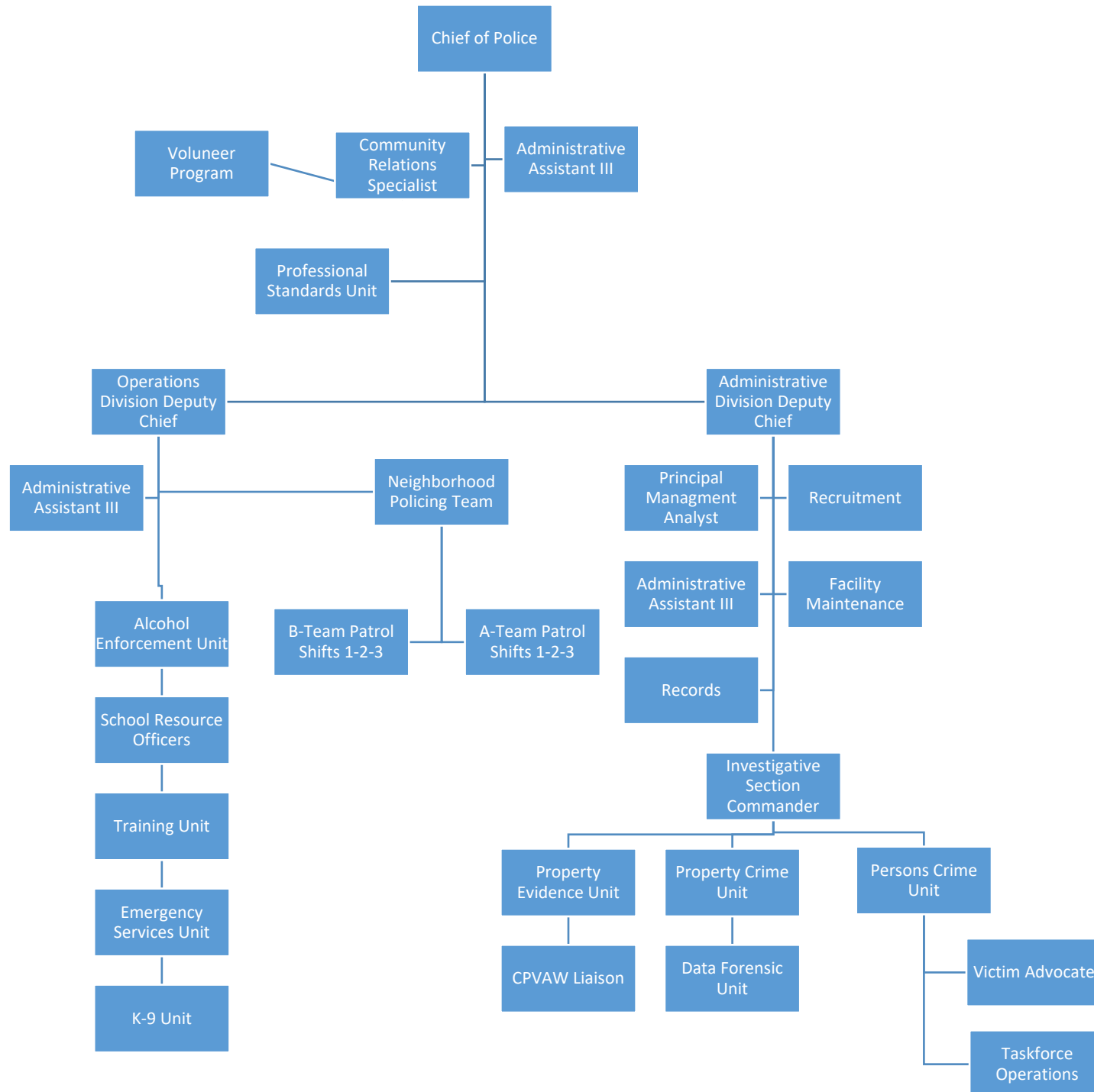
# Police

## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year-End Actual	
<b>EXPENDITURES BY CHARACTER:</b>					
Personnel Services	22,303,181	24,435,476	24,529,432	22,123,913	20,308,112
Services, Supplies, and Other Charges	5,481,983	5,709,873	6,274,863	5,160,979	5,332,826
Capital Outlay	9,998	-	2	7	-
<b>Total Expenditures</b>	<b>27,795,163</b>	<b>30,145,349</b>	<b>30,804,297</b>	<b>27,284,899</b>	<b>25,640,938</b>
<b>EXPENDITURES BY ACTIVITY:</b>					
Police Administration	2101 1,807,997	1,885,965	1,890,558	1,937,462	1,798,116
Police Investigations	2102 3,467,593	3,838,757	3,838,757	3,583,538	3,637,739
Police Patrol	2103 15,420,216	16,475,087	16,537,280	14,819,415	14,189,142
Police Community Services	2104 1,349,086	1,554,611	1,967,144	1,370,490	1,009,361
Police Park Services	2105 861,729	1,069,131	1,187,837	826,044	-
Police Traffic	2106 1,582,299	1,624,043	1,624,043	1,389,598	1,335,584
Police Records	2107 3,196,244	3,587,755	3,648,679	3,248,352	3,570,996
Subtotal General Fund	27,685,163	30,035,349	30,694,297	27,174,899	25,540,938
Police Patrol	2103 110,000	110,000	110,000	110,000	100,000
Subtotal Other Funds	110,000	110,000	110,000	110,000	100,000
<b>Total Expenditures</b>	<b>27,795,163</b>	<b>30,145,349</b>	<b>30,804,297</b>	<b>27,284,899</b>	<b>25,640,938</b>
<b>RESOURCES BY FUND</b>					
General Fund	101 652,999	879,838	1,358,739	872,111	711,650
Contributions and Donations - General	161 210	40	40	0	-
Supplemental Law Enforcement Services	211 171,304	140,000	140,000	203,844	265,000
Traffic Offender	212 21,541	8,245	8,245	20,470	29,000
Police Asset Seizure	214 2,944	12,000	12,000	0	12,000
<b>Total Resources</b>	<b>848,997</b>	<b>1,040,123</b>	<b>1,519,024</b>	<b>1,096,426</b>	<b>1,017,650</b>
<b>Net General Fund Cost</b>	<b>(27,032,164)</b>	<b>(29,155,511)</b>	<b>(29,335,558)</b>	<b>(26,302,787)</b>	<b>(24,829,288)</b>
	<b>FY 2019</b>			<b>FY 2020</b>	<b>FY 2021</b>
<b>TOTAL AUTHORIZED PERSONNEL:</b>	138.00			144.00	133.00

\*Sums may have discrepancies due to rounding

# FY 2021 Police Organization Chart





An aerial photograph of a coastal town, likely in California, showing a mix of residential and commercial buildings, a large beach, and a bay. The town is nestled at the base of rolling hills and mountains. The sky is clear and blue.

# Public Works

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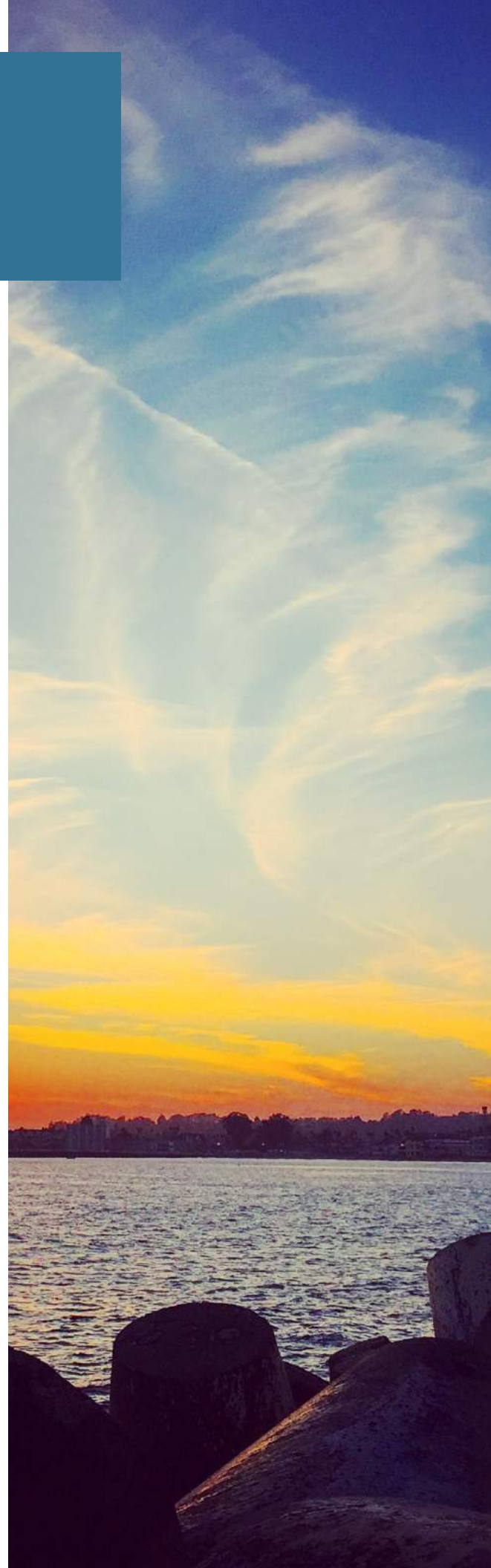
2021 Annual Budget



# Public Works Department

The Public Works Department provides essential municipal services including street maintenance, wastewater collection and treatment, refuse and recycling collection and processing, street sweeping, traffic management, on-street and offstreet parking, storm water collection and pollution prevention, environmental compliance, fleet maintenance, facilities maintenance, flood protection, vegetation management, and provides private and public development review and professional engineering services to City projects.

The Public Works Department's primary objective is to efficiently deliver the services above in a safe, professional, sustainable and cost-effective manner to residents, businesses and visitors of Santa Cruz. The Public Works Department is organized into five major divisions: Engineering (includes Stormwater), Traffic Engineering (includes Parking), Operations, Resource Recovery and Wastewater.



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## Traffic Engineering Division

The Transportation Manager directs the division, which is divided into two functions:

### Traffic Engineering

Provides professional engineering design of capital improvement projects related to traffic and parking and responds to citizen requests for improvements to the City's circulation and parking systems, including streets and sidewalks serving pedestrians, bicycles, private vehicles and public transportation. Plans and designs the timing and coordination of the City's traffic signal system. Manages the installation and maintenance of bicycle parking facilities and locker rentals and manages/implements the GO SANTA CRUZ program. Seeks, procures and manages grant funding for transportation projects. Downtown Commission and Transportation and Public Works and Commission support.

### Parking Services

**On Street Parking Section:** Provides parking enforcement throughout the City, including patrol of metered and timed parking spaces and lots, enforcement of Residential Parking Program areas, and booting of cars with excessive citations. Provides parking meter and pay machine revenue collections.

**Off-Street Parking Section:** Provides attended parking at the Municipal Wharf, Locust garage, Soquel/Front garage and River/Front garage. Administers the Downtown Monthly Parking Program and waitlist.

## Workload Indicators

### Completed major capital improvement projects

FY19	FY18
26	29

### Completed or implemented major studies or programs

FY19	FY18
6	10

### Public Works permits issued

FY19	FY18
1,100	1,017

### Building permits/development design permits reviewed

FY19	FY18
801	759

### Parking Office:

Administers the payment and collection of parking violations and fees and is point of contact for citation adjudication requests. Manages Permit Parking Programs including sales of business, residential, parking lot and on-street permits. Provides support services for Parking enforcement and other parking programs i.e. Parkcards.

### Maintenance Section:

Maintains the four parking structures and twenty parking lots, including public restrooms in two structures, and the scrubber program for sidewalks and alleys downtown. Maintains parking access and revenue control systems, pay-by-space machines, change machines and parking meters and poles.

## FY 2020

### Environmental Sustainability and Well-Managed Resources

- Completed various wastewater sewer line and wastewater treatment plant rehabilitation projects.
- Completed Commercial food waste collection and processing equipment project.
- Implemented, trained and enforced new state storm water quality requirements for city and private development projects.
- Assisted the Operations Division in completion of several California Energy Commission loan funded energy efficiency projects.
- Completed various green objectives at Public Works' city hall office to have the office Green Business Certified.
- Completed the Solar PV project at the Corporation Yard.
- Constructed the San Lorenzo River trail trestle bridge widening project as key component of the Monterey Bay Scenic Sanctuary Rail Trail Segment 8.
- Started Segment 7 Phase 1 of the Rail Trail Project, from California to Natural Bridges.
- Launched and managed the GO Santa Cruz program, enrolling over 1,000 downtown employees in programs to reduce single occupant vehicle travel

### Community Safety and Well Being

- Applied for over 17 million in transportation safety and infrastructure grants to fund critical projects.
- Completed the grant funded- Citywide Safe Routes to School Crossing Improvements project, the Laurel Street and Front Street corridors Traffic Controller Upgrade (modernization)
- Started construction of the NW corner improvements at the Ocean-Water Streets intersection to improve bike and pedestrian safety and the HSIP Citywide Crossing Improvements Project
- Implemented the Water Street protected bike lanes project.
- Initiated the Soquel Avenue signal system controller upgrade.
- Reviewed in excess of 750 private development and building permit applications for compliance with codes and laws under Public Works' purview including stormwater plans, public utility improvements, driveway approach, refuse enclosures, etc.
- Managed several programs and studies including Bike Share Santa Cruz, annual traffic report, Transportation Demand Management program, sewer lateral ordinance, grant funded-Local Road Safety Program development and the wastewater treatment facility equipment replacement study.



## FY 2020

### Reliable and Forward-Looking Infrastructure and Facilities

- Designed the two remaining storm damage repair projects on West Cliff Drive and Bay Drive. Bid and constructed approximately \$2.5 million in arterial and collector street paving projects through cold-in-place recycling methods.
- Completed several street storm drain repair and catch basin replacement projects with new SB1 gas tax funds.
- Assisted the Operations Division staff in completion of various projects including the Annex building remodel, Corporation Yard seismic retrofit and the DeLaveaga clubhouse repairs.
- Issued in excess of 1,000 permits coordinating and inspecting a variety of infrastructure work in the public right-of-way.
- Completed an asset evaluation of the 30 year old Wastewater Treatment Facility and initiated a 5-year rate increase to fund the required capital improvement projects.
- Implemented a three year program to install new parking equipment for the Downtown Garages and Fiber-optic installation along Front St for the Soquel Garage



## FY 2021

### Environmental Sustainability and Well-Managed Resources

- Complete the Monterey Bay Scenic Sanctuary Rail Trail – Segment 7 Phase I project and acquire grant funding for Phase II.
- Initiate design, environmental review and permitting of the Monterey Bay Scenic Sanctuary Rail Trail Segment 8-9 project.
- Complete the Highway Safety Improvement Program (HSIP) pedestrian crossing projects and continue to seek additional grant funding for many more additional ATP projects.
- Implement approved solar PV projects at the resource recovery facility recycling center and the golf course.
- Continue to implement a robust street paving program by using Measure H, SB1 gas tax and Measure D grant funding.
- Implement the West Cliff Drive emergency repair stemming from the 2017 storm damage declaration.
- Construct the Bay Drive storm damage repair project.
- Complete the right-of-way, design and permitting process for the Murray Street bridge seismic retrofit project.
- Complete various wastewater collection and treatment facility projects.
- Work with Planning Department and City Manager’s office to complete the West Cliff Drive Master Plan.
- Continue work on FEMA certification of the San Lorenzo River flood control project.

### Reliable and Forward-Looking Infrastructure and Facilities

- Continue work with Soquel Creek Water District to provide tertiary water from the wastewater treatment facility to support the Pure Water Soquel program.
- Complete the right-of-way acquisition and initiate construction of the Highways 1 & 9 intersection improvement project.
- Evaluate and design various intersection improvement projects downtown and in the beach area.
- Assist Operations Division staff with building maintenance and infrastructure projects as needed.
- Continue replacement/modernization of downtown garage PARCS equipment.

### Economic Vitality

- Continue implementation of the downtown Transportation Demand Management program (GO Santa Cruz).
- Implement the downtown mixed use library project.



## FY 2021

### Engaged and Informed Community

- Continued evaluation and implementation of large and small Active Transportation Projects derived from the public feedback process.
- Complete the Local Roads Safety Program grant as center piece to Vision Zero.
- Continued focus on traffic safety through educational “Street Smarts” programs.
- Assist with West Cliff Drive Management Plan development and implementation.



# Workload Indicators

Average of gallons of effluent the Wastewater Treatment Facility treated daily

FY19	FY18
8.4 million	7.3 million

Gallons of effluent for onsite facility reuse water reclaimed

FY19	FY18
51.6 million	49.6 million

Kilowatt hours of electricity generated by capturing methane gas from the anaerobic digester operation

FY19	FY18
7.9 million	7.9 million

Self-generated power required to operate the Treatment Facility

FY19	FY18
66.2%	68.4%

## Wastewater Division

The Wastewater Division is responsible for the operation and maintenance of a regional award winning wastewater treatment and disposal facility as well as the City’s subsurface storm water and wastewater collections systems, 24 related pumping facilities, the leachate facility and pipeline. It also provides inspection, sampling, public education, and monitoring of business and industrial establishments to limit discharge of harmful constituents into the sanitary wastewater and stormwater systems. In addition to within City limits, the wastewater service area includes Live Oak, Capitola, Soquel, Aptos and the Santa Cruz County Sanitation District.





### FY 2020

#### Environmental Sustainability and Well-Managed Resources

- Collaborated on an Agreement between the City of Santa Cruz and Soquel Creek Water District for Source Water and Design, Construction and Start-Up of the Tertiary Treatment Facility Component of the Pure Water Soquel Project.
- Finished design and began construction of Ultraviolet Disinfection System Replacement Project resulting in energy savings and environmental protections.
- Wastewater collections passed all state inspections/audits from the Regional Water Quality Control Board, Monterey Bay Unified Air Pollution Control District, Army Corps of Engineers, and the Santa Cruz County Vector Control and County Environmental Health Department.
- Environmental Compliance section continued to implement targeted sampling events to protect the Wastewater infrastructure and in support of the new Local Limits Continued efforts to implement a local limit for industrial wastes expressed as a total organic carbon (TOC) to maintain the integrity of the wastewater treatment infrastructure.
- Provided sampling and analysis of wastewater and stormwater samples to evaluate water treatment processes. Implemented improved electronic reporting of compliance data to confirm compliance with City's National Pollutant Discharge Elimination System (NPDES) permits. Provided all regulatory reporting for wastewater and stormwater state and federal permits.
- Implemented model response for managing storm water pollution on Coral St. in collaboration with City Manager Office.

#### Community Safety and Well-Being

- Continued to direct the operation and maintenance of the wastewater collection system, stormwater system, leachate system and all related pumping facilities in a safe and cost effective manner.
- Provided prompt and effective cleanup response to all daytime and after hours calls for service to all private lateral sewage spills and sanitary sewer overflows on 365 24/7 basis and reported all spills and overflows to the California Integrated Water Quality System.
- Managed contract ensuring that manufacturers provide collection and disposal of syringes and pharmaceuticals at their end of use cycles under the Enhanced Producer Responsibility Ordinance.

## FY 2020

### Organizational Health

- Continued the professional development of staff through ongoing training and obtaining increased professional certification levels throughout the organization.
- Promoted successes up career ladders in several Wastewater job classifications with 8 internal promotions.
- Received California Water Environmental Association Monterey Bay Section Collection System of the Year Award.

### Fiscal Stability

- Completed in-house toxicity (bioassay) testing, bringing in-house the testing work that was previously done through contract laboratories and greater expense and time for completion.
- Applied for and accepted a low interest loan from the California Infrastructure and Economic Development Bank (iBank) for the Wastewater Treatment Facility Ultraviolet Disinfection System Replacement (c401504) for up to \$3.5 million to protect fund balance and avoid large rate increases.

### Reliable and Forward-Looking Infrastructure and Facilities

- Delivered critical preventative maintenance and repairs to the wastewater facility providing a reliable process 24-hour a day.
- Continued Phase 2 of the Infrastructure and Major Equipment Study which refined condition assessment data, and recommended alternatives to mitigate risks associated with high risk high value assets, for the wastewater facility.
- Completed the leachate line valve replacement project and air release valve rebuild/replacement project.
- Completed the leachate line survey, identified all main line valves and ARV's with correct footages for mapping and GIS application.
- Continued to expand the implementation of the connection to the treatment facility SCADA to further enhance our pump station monitoring and alarm capabilities.
- Completed several internet technology projects including upgrading septage station software, final additions to security cameras, upgrading SCADA software, replacing end-of-life Cisco switches, connecting 26 remote pump stations to SCADA system and migrating physical servers into virtual environment.
- Completed the major overhaul of cogeneration engine #1. The cogeneration engine generates electrical power on site at costs significantly lower than PG&E.

## FY 2021

### Environmental Sustainability and Well-Managed Resources

- Continue collaboration, planning, and design work with Pure Water Soquel water reuse project with project to construct tertiary treatment facility at the Wastewater Treatment Plant.
- Complete the Ultraviolet Disinfection System Replacement Project resulting in energy savings and environmental protections.

### Community Safety and Well-Being

- Continue in Wastewater Based Epidemiology (WBE) regional collaboration to sample and analyze for the novel Corona virus in the local sewershed.

### Organizational Health

- Continue staff development through California Water Environmental Association training as well as expansion of Computerized Maintenance Management System (CMMS) usage in the division, Sanitary Sewer Overflow spill estimation and all required annual reporting.
- Continue to implement all Public Health Corona Virus Policies to limit COVID-19 in the workplace.

### Reliable and Forward-Looking Infrastructure and Facilities

- Complete Phase II of Infrastructure Study to prepare a prioritized infrastructure and equipment replacement/rehabilitation plan for the treatment facility.
- Develop and deliver a complete gravity thickener process rebuilt project.
- Review and update Collections' standard operating procedures as well as crafting new standard operating procedures.
- Implement leachate line maintenance program including the survey assign asset I.D. and add to CMMS.
- Continue to expand the implementation of the connection to the treatment facility Supervisory control and data acquisition (SCADA) to further enhance our pump station monitoring and alarm capabilities.
- Complete several internet technology projects including upgrading septage station software, final additions to security cameras, finish upgrading SCADA software, replacing end-of-life Cisco switches, connecting 26 remote pump stations to SCADA system and migrating physical servers into virtual environment.
- Initiated the 10th year review of the Local Limits data for the sewershed.

## Resource Recovery Division

The Resource Recovery division collects all refuse, recycling and greenwaste in the city, provides street cleaning services, refuse disposal at the landfill, processes and markets all materials diverted from the landfill including recyclables, green and food waste, and other diverted materials, provides waste reduction and recycling outreach and education programs and materials, provides environmental compliance and engineering services for the Resource Recovery Facility, and administers the enterprise Refuse Fund to collect sufficient revenues from customers and sale of diverted materials to pay all costs of the fund.

The Resource Recovery Division is organized under three main areas: Collections Operations, which includes all refuse, recycling, and organics collection as well as street sweeping; the Resource Recovery Facility, which includes the landfill, the recycling center and engineering services; and Waste Reduction, which includes outreach, education and the Green Business program.

## Workload Indicators

Tons of debris from City streets & bike lanes swept up and disposed of

FY19	FY18
503	839

Rolling carts emptied

FY19	FY18
3,024,000	2,600,000

Commercial containers emptied

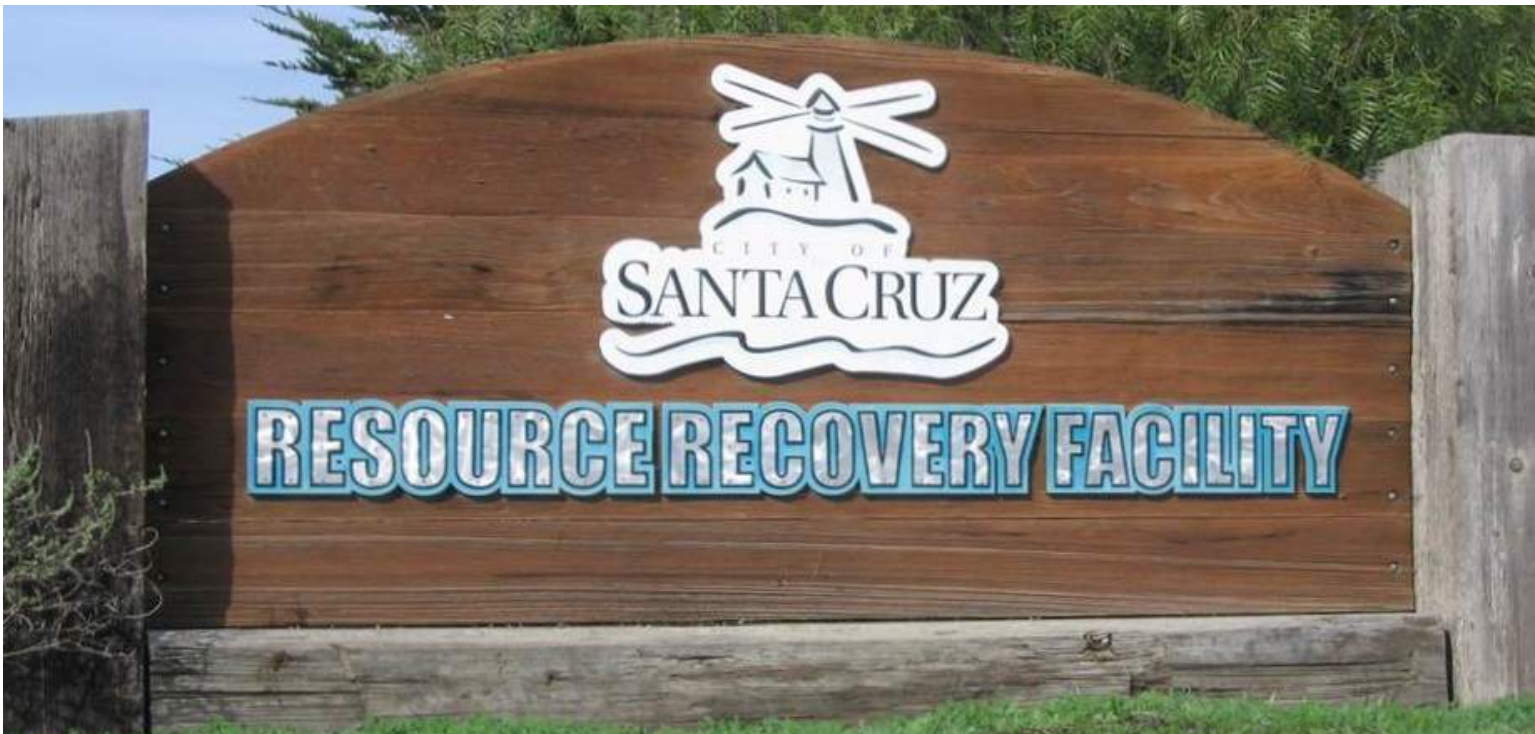
FY19	FY18
89,000	95,000

Street trash cans emptied

FY19	FY18
10,760	2,600

Tons of recyclable materials the landfill diverted out of the landfill

FY19	FY18
58,600	44,000





## FY 2020

### Engaged and Informed Community

- The Waste Reduction team continues revisions of the various outreach materials for the recycling, food waste and greenwaste collections programs. Refuse bin stickers, door hangers, “Oops this doesn’t belong in your recycling cart” stickers and the refuse and recycling annual brochures all feature a more consumer friendly appearance including improved graphics and easy-to-understand formats. Additionally, prior to the COVID shelter in place orders, the Waste Reduction team provided 13 youth and adult public tours, 4 elementary school tours and 9 adult only tours reaching almost four hundred individuals.

### Community Safety and Well Being

- Resource Recovery increased the amount of illegal dumping collection work throughout the City. Collected materials range from discarded furniture and appliances to illegal campsite cleanups. The program collected in excess of 200 tons of materials. The collection team also assisted other departments in numerous clean-up activities throughout the City.

### Environmental Sustainability and Well-Managed Resources

- Daily collections routes were optimized and consolidated, thereby reducing the total number of routes, and increasing collection efficiencies in the commercial sector. These optimizations creates a more effective daily travel time, reduces emissions, and allows expansion into other operations, such as food discards “food waste” collection.
- Increased the number of certified and re-certified Green Businesses. The goal of twenty certified and re-certified businesses was exceeded with nineteen new certifications and twenty three re-certifications. This brings the total of Green Businesses within the City to 198.
- Waste Reduction staff designed and implemented the “Master Recycler” program. This six week program introduces residents and business owners to various aspects of the City’s recycling programs. The Master Recycler program has produced sixty seven Recycling Ambassadors that support City public events and farmers markets by staffing discard stations and outreach tables. The Ambassadors also give interactive recycling presentations at community meetings, multi-family and University housing complexes and neighborhood block parties, starting “green teams” at their places of employment, and are working with City staff to re-sticker recycling carts and engage with the community while they are in the field.
- Presented City Council with a comprehensive update to the Food Packaging Ordinance. focused on both to-go food packaging and general polystyrene elimination. This new ordinance will separate out food service from other types of packaging and focus on the general reduction of plastics use in the City.

## FY 2021

### Environmental Sustainability and Well-Managed Resources

- Continue the full implementation of AB 1383, the “Short-Lived Climate Pollutants” organic waste reductions law. This will include extending monitoring and documentation of organics collections and processing, including a new requirement to monitor and document the activities of all food recovery and distribution activities in the City limits.
- Complete the installation of our own food discard processing equipment at the resource recovery facility, reducing transportation time and expense, and allowing for expansion of the program’s tonnage capacity and increasing the number of businesses participating in the food waste collection program.
- Begin preliminary steps for the construction of the next landfill cell 3B. Work includes excavation and storage of approximately 60,000 cubic yards of dirt to allow space for the eventual cell liner construction, as well as extension of the landfill access roads to allow for construction and subsequent operation of the cell.

### Engaged and Informed Community

- Produce additional videos for new topics: food waste program or holiday tips. Investigate potential of editing video tour, updating dates and language.



This artwork by Mission Hill students Omi Maxwell and Layla Pasquini is one of four winners of the 2019 Santa Cruz Recycles poster contest.

# Operations Division

The Operations Division provides maintenance, repair, construction and procurement services related to City streets, vehicles, equipment and facilities as well as flood control and vegetation management. The Division is organized into four sections: Operations, Fleet Maintenance, Facilities Maintenance and Streets and Traffic Maintenance.



## Workload Indicators

Completed work orders for repairs/maintenance of City facilities by Facilities employees

FY19	FY18
3,772	5,049

Completed work orders for repairs/maintenance of City vehicles and equipment by Fleet employees

FY19	FY18
3,801	3,939

Completed paving jobs by Streets employees

FY19	FY18
60	87

Repaired or installed signs by Streets employees

FY19	FY18
376	590

Tons of illegal encampment debris removed

FY19	FY18
45	23





### FY 2020

#### Community Safety and Well-Being

- Provided Personal Protective Equipment and disinfection supplies, and sanitized high touch areas in City facilities during the height of the Covid-19 epidemic.
- Provided design and project management services related to improvement of homeless services and facilities pre-and during Covid-19 pandemic.
- Assisted in commissioning of managed encampments at the National Guard Armory and Coral Street, and provided ongoing support to other encampment areas. Assisted in moving 30 tiny platform homes to the Housing Matters campus. Teams also assisted the Police Department and Parks Department in camp logistics and debris cleanup on a weekly basis.
- Assisted in the setup of downtown business outdoor dining expansion areas due to Covid-19 indoor dining restrictions.
- Collaborated with City Manager, Police, Fire, and Parks and Recreations on closure of parking lot areas, and printed signage promoting social distancing during Shelter in Place.
- Carried out City-wide vegetation management projects to enhance traffic and fire safety.
- Awarded CalRecycle's Farm and Ranch Clean up grant in the amount of \$78,505 to pay for the removal and proper disposal of small nuisance illegal dumps sites at two locations that are zoned for sensitive habitats and close to waterways where illegal disposal creates water quality issues.





### **FY 2020**

#### **Reliable and Forward-Looking Infrastructure and Facilities**

- Implemented solar pv expansion project agreements at the Corporation Yard, DeLaveaga Golf Lodge and the Landfill at Dimeo Lane.
- Provided project management for the DeLaveaga Golf Lodge repair and City Hall Annex remodel projects.
- Collaborated with the Army Corps of Engineers on San Lorenzo River Levee embankment repairs.
- Conducted San Lorenzo River annual vegetation management and sedimentation mobilization projects.
- Managed the Laurel Creek sediment and vegetation removal project for flood control purposes.
- Successfully completed the PG&E no-cost infrastructure improvements at the Corporation Yard with a transformer upgrade and the installation of 16 electrical vehicle charging stations and one downtown trolley charging station.
- Secured a \$500,000 California Energy Commission Grant to upgrade and install Building Automation System controls at the PD Station.
- Updated the streetlight inventory which will save \$33,000 per year.
- Implemented and administered the Corporation Yard stormwater pollution prevention plan (SWPPP) and completed design of significant improvements to the site sediment control.
- Continued the implementation and data collection for the California Energy Commission Deep Energy Efficiency Grant project.
- Paved and repaired areas on the San Lorenzo River levee path, the Municipal Wharf, Beach area, DeLaveaga Golf Lodge, and streets Citywide.
- Provided new and replacement signage for streets and parks Citywide.
- Completed painting and striping updates to new code standards for disabled parking spots at Harvey West and behind Loudon Nelson, and repainted faded curbs citywide.
- Responded to and repaired ongoing fire sprinkler system failures at the Police Department.
- Conducted testing of fire alarms and fire sprinkler systems in City facilities.
- Repaired the roof on the City Manager's wing at City Hall.
- Implemented first year of the vehicle lease program with Enterprise Fleet Management to replaced 22 fleet assets with fuel or alternatively fueled vehicles under budget, and sold decommissioned vehicles on the surplus vehicle market.
- Continued to identify high energy use (low gas mileage) vehicles and equipment and replace with alternative fuel or fuel/energy efficient models.
- Reduced turnaround time for City vehicle service and repair.
- Completed the design and replacement of the existing waste wash water treatment system at the corporation yard.

## FY 2021

### Reliable and Forward-Looking Infrastructure and Facilities

- Complete the Storm Water Pollution Prevention Plan (SWPPP) facility upgrades at the corporation yard by expanding various department staff responsibilities, Best Management Practices (BMP) supply inventory and communication matrix.
- Work with the City's Energy Services Company to identify funding to implement energy savings and facility upgrades.
- Initiate project to begin the process to purchase PG&E streetlights that are in the city limits.
- Complete expansion of solar photovoltaic electricity generation at DeLaveaga Golf Lodge.
- Purchase and install charging capability for an EV refuse hauler, which will be partially funded with an awarded \$400,000 Monterey Bay Air Resources District grant.
- Negotiate LCFS (Low Carbon Fuel Standard) credits which will generate \$10,000-15,000 per year from city owned EV chargers.
- Secure and implement \$2.3million grant battery backup at the Coast Pump Station.
- Research and implement a new Computerized Maintenance Management System (CMMS) that would incorporate all Public Works divisions that are already on a work order system, and integrate Streets and Traffic assets.



# Public Works

## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year-End Actual	
<b>EXPENDITURES BY CHARACTER:</b>					
Personnel Services	27,978,121	31,747,447	31,233,577	28,023,406	31,885,472
Services, Supplies, and Other Charges	23,210,158	29,698,351	30,876,100	25,904,917	31,547,456
Capital Outlay	4,264,757	3,221,866	5,105,876	1,925,026	3,103,700
Debt Service	7,735,720	1,994,577	1,994,577	1,807,525	2,011,149
<b>Total Expenditures</b>	<b>63,188,757</b>	<b>66,662,241</b>	<b>69,210,130</b>	<b>57,660,875</b>	<b>68,547,777</b>
<b>EXPENDITURES BY ACTIVITY:</b>					
Public Works Administration	4101 363,006	339,770	343,000	319,496	161,933
Engineering	4102 997,362	1,391,219	1,081,485	869,726	846,158
Public Works Operations	4103 152,082	294,155	289,005	205,873	226,435
Facilities Services	4110 1,305,473	1,607,171	1,619,439	1,466,542	1,407,981
Energy Efficiency	4111 -	-	-	0	215,301
Street Maintenance and Sidewalk Repair	4210 1,648,439	2,606,394	2,761,133	1,735,470	2,353,408
Traffic Engineering	4220 602,707	853,341	932,091	679,073	885,693
Parking Services	4221 1,864,125	1,989,172	2,008,237	1,731,937	1,933,726
Wharf Gate Operations	4226 -	-	-	0	19,383
Bicycle/Pedestrian System Maintenance	4227 -	50,000	50,000	0	50,000
Parking Citation and Permits Office	4229 529,496	599,335	599,335	534,882	572,064
After Hours Call Duty Program	4901 25,555	17,926	17,926	10,123	-
Subtotal General Fund	7,488,245	9,748,483	9,701,651	7,553,122	8,672,082
Wharf Gate Operations	4226 602,965	626,248	626,248	629,173	676,984
Subtotal Other General Funds	602,965	626,248	626,248	629,173	676,984
	4214 -	-	-	0	153,213
Traffic Signal Maintenance	4224 244,190	247,405	262,325	265,580	256,481
Street Lighting	4225 397,223	365,275	387,240	442,247	421,401
Bicycle/Pedestrian System Maintenance	4227 -	42,000	104,000	90,573	55,000
Traffic Impact	4228 57,934	71,190	61,190	44,765	216,607
Clean River, Beaches & Oceans	4235 563,633	867,508	882,508	467,511	900,044
Transportation Development Act	6301 798,781	744,077	744,077	1,013,564	744,077
Wastewater Customer Service	7201 314,508	387,316	387,316	387,312	449,068
Wastewater Collection Control	7202 3,049,880	3,600,441	4,176,289	3,078,749	3,719,744
Wastewater Treatment Facility	7203 9,684,223	12,287,495	12,500,113	10,384,289	13,083,585
Secondary Plant Parks Mitigation	7204 452,982	574,511	574,511	386,987	486,940
Wastewater Source Control	7205 551,907	830,447	849,072	614,368	856,290
Wastewater Pump House	7206 109,393	133,000	228,509	152,913	149,000
Wastewater Admin Charges	7207 890,727	967,489	967,489	967,452	996,513
Wastewater Lab	7208 1,300,402	1,692,901	1,735,335	1,385,979	1,710,770
Sewer Debt Service	7242 3,663,892	337,662	337,662	341,689	339,513

\*Sums may have discrepancies due to rounding

# Public Works

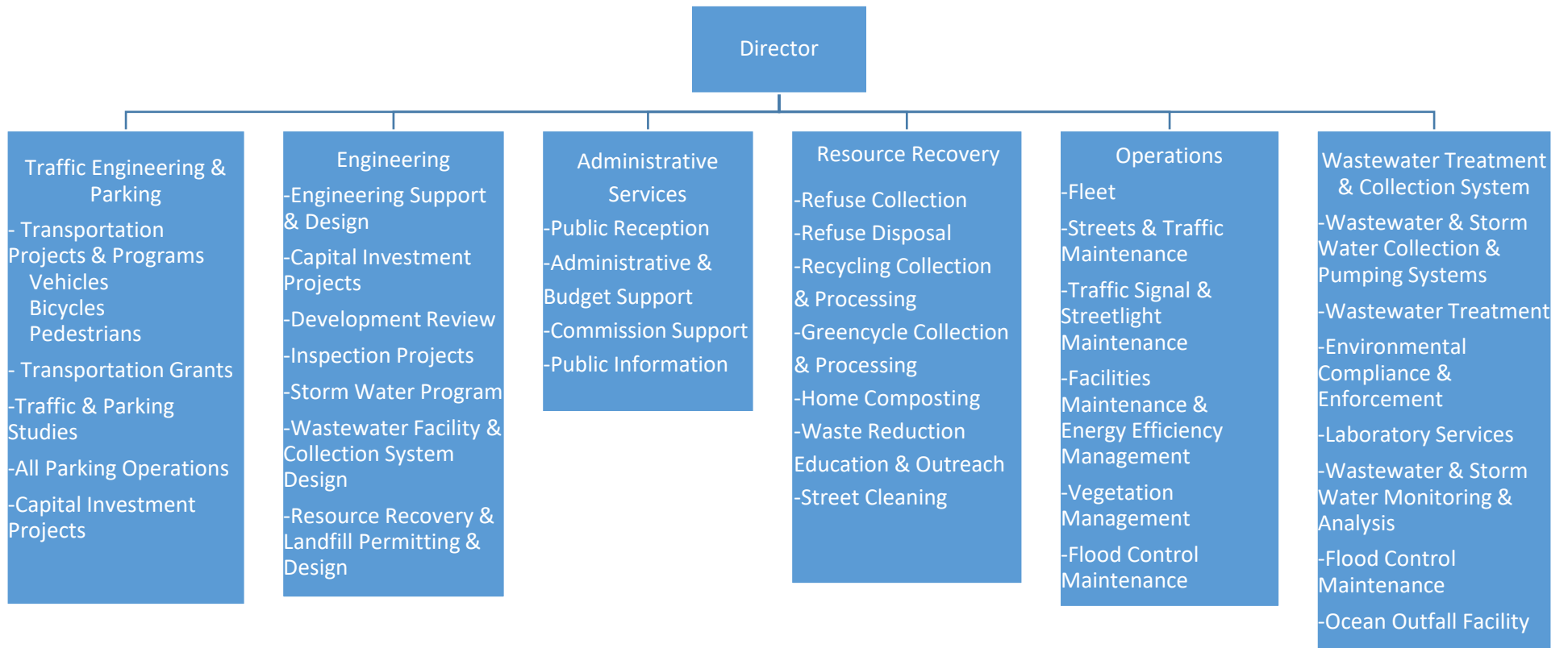
## DEPARTMENT SUMMARY

		Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
			Adopted Budget	Amended* Budget	Year-End Actual	
Refuse Customer Accounting	7301	594,597	698,952	698,952	711,535	758,293
Resource Recovery Collection - Containers	7302	7,106,591	6,995,104	7,008,947	5,822,663	7,316,165
Refuse Disposal	7303	6,566,934	7,362,186	7,679,737	6,725,739	7,696,401
Recycling Program - Processing	7304	1,960,485	2,422,549	2,423,913	1,797,628	2,397,473
Resource Recovery Collection - Cart	7305	3,254,391	3,288,356	3,992,778	3,323,167	3,488,645
Waste Reduction	7306	465,042	542,595	573,065	395,897	532,459
Street Cleaning	7307	725,948	801,469	801,469	660,416	883,612
Off-Street Parking	7401	4,978,978	6,072,266	6,124,477	5,392,330	6,697,138
Storm Water Management	7501	437,164	896,518	1,221,540	808,758	1,050,101
Storm Water Overlay Debt Service	7540	189,555	188,504	188,504	187,749	190,224
Mechanical Maintenance	7831	3,684,559	3,700,699	3,799,963	3,530,285	3,462,196
Communications	7832	10,439	10,880	10,880	10,898	5,780
Employee Commute Van	7833	1,551	2,818	2,818	5,225	2,818
Vehicle Lease Program	7835	2,282,047	-	-	1,878	-
Pool Vehicles	7836	135,620	155,897	157,553	80,436	179,160
Equipment Lease Program	7839	623,972	-	-	0	-
Subtotal Other Funds		55,097,547	56,287,510	58,882,231	49,478,580	59,198,711
Total Expenditures		63,188,757	66,662,241	69,210,130	57,660,875	68,547,777
<b>RESOURCES BY FUND</b>						
General Fund	101	4,121,677	4,526,035	4,701,835	3,668,429	3,932,448
Municipal Wharf	104	1,150,885	1,200,000	1,200,000	814,991	1,210,000
Gasoline Tax	221	2,598,713	3,061,554	3,091,554	2,743,999	2,698,933
Traffic Impact Fee-Citywide Fund	226	401,436	500,000	500,000	458,946	500,000
Clean River, Beaches & Ocean Tax Fund	235	629,191	635,712	635,712	629,680	630,434
Transportation Development Act	291	798,782	744,077	744,077	1,013,564	744,077
Wastewater	721	21,334,047	21,960,000	21,967,145	21,296,244	23,074,500
Refuse	731	21,340,063	20,836,378	20,849,209	20,834,722	21,640,000
Parking	741	6,120,874	7,097,400	7,097,400	5,230,150	7,307,000
Storm Water	751	571,130	505,682	505,682	570,304	555,810
Storm Water Overlay	752	320,480	271,052	271,052	320,827	305,033
Equipment Operations	811	3,792,303	3,423,294	3,423,294	2,720,590	2,318,168
Total Resources		63,179,584	64,761,184	64,986,960	60,302,447	64,916,403
<b>Net General Fund Cost</b>		<b>(3,366,568)</b>	<b>(5,222,448)</b>	<b>(4,999,816)</b>	<b>(3,884,693)</b>	<b>(4,739,634)</b>
		<b>FY 2019</b>			<b>FY 2020</b>	<b>FY 2021</b>
<b>TOTAL AUTHORIZED PERSONNEL:</b>		250.70			257.70	257.70

\*Sums may have discrepancies due to rounding



# FY 2021 Public Works Organization Chart



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An aerial photograph of a wide, calm river winding through a lush, green forest. The water reflects the sky and the surrounding trees. In the foreground, a small island with a few trees and a small dock is visible. The background shows rolling hills covered in dense forest under a bright, slightly overcast sky.

# Water

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2021 Annual Budget



# Water Department

## Department Description

The mission of the Water Department is to ensure public health and safety by providing a clean, safe and reliable supply of water. We strive to serve the community in a courteous, efficient, cost effective and environmentally sustainable manner.

We are passionate about our work and try to instill our values of integrity, innovation, objectivity, professionalism, teamwork and transparency in everything we do. We collect water, treat and test it, move it, store it, distribute it, track how much is used and bill our customers for their use. We are at the end of the phone when customers call with questions and we are the smiling faces they see when they visit the department. We educate our customers about the quality of their water, how to use less water and provide them the tools to do so.

Our work includes the maintenance and operation of Loch Lomond Recreation area, as well as the protection of the Majors, Liddell, Newell Creek, Zayante and Laguna watersheds. We are stewards of an important community asset; the water system and all it entails, as well as a range of natural resources and ecosystems that we and many species depend upon and that are important elements of a sustainable community. We take pride in meeting the diverse needs of the broad region we serve.

The Department is organized into operational and administrative sections. Operational sections includes Production, Water Quality Lab, Distribution, Water Resources and the Loch Lomond Recreation area. These sections are responsible for managing the watersheds; collecting, treating and testing untreated and treated water; and storing and distributing treated water to our customers.





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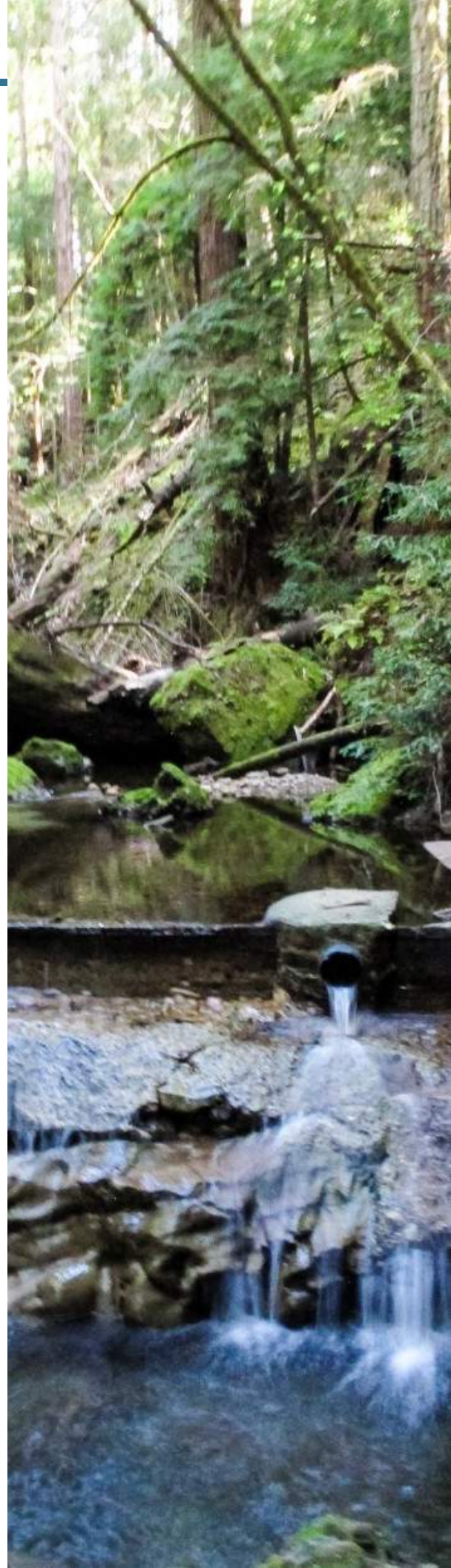
The Department is organized into operational and administrative sections.

### **Operational Division**

Operational sections includes Production, Water Quality Lab, Distribution, Water Resources and the Loch Lomond Recreation area. These sections are responsible for managing the watersheds; collecting, treating and testing untreated and treated water; and storing and distributing treated water to our customers.

### **Administration Division**

The administrative sections are comprised of Finance and Administration, Engineering, Conservation, Customer Service, and Community Relations. Staff in these sections provide leadership, plan and implement the Capital Investment Program (CIP), develop and implement financial plans, read meters, bill customers and collect revenues, help our customers conserve water and support active community outreach and engagement efforts covering a range of department activities. Everyday, Department staff work hard to produce and deliver millions of gallons of water to over 98,000 customers and perform all the related utility, land and natural resource management activities that often happen behind the scenes, but play a part in providing reliable, high quality water service to our community.



# ACCOMPLISHMENTS

## FY 2020

- Produced and delivered 2.65 billion gallons of a clean, safe, and reliable supply of water.
- Responded and repaired 37 water main leaks, 19 fire hydrant leaks, 137 service line leaks, and 6,466 Underground Service Alert (USA) tickets requests.
- Secured funding for critical water supply and infrastructure resiliency capital projects, including \$25M in bonds and \$103.4M from the Drinking Water State Revolving Fund.
- Successfully adapted to the impacts of Covid-19 while maintaining public access by implementing new processes such as virtual Water Commission meetings, a virtual customer service call center, and online watershed educational videos, and kept capital projects on schedule using virtual collaboration techniques and modified site access requirements.
- Completed a meter box inventory project to ensure the correct data is used in planning for an upcoming meter replacement project.
- Updated our Water Shortage Contingency Plan to account for substantially reduced customer demand and developed an approach that will allow us to effectively restrict demand in response to drought conditions.
- Extended the WaterSmart Portal to all customers.
- Even after experiencing extensive flooding in our Water Quality Lab in June, the laboratory has maintained daily sampling and testing operations, including regulatory compliance and source water quality monitoring, and received a Certificate of Excellence with 100% passing results from the State Water Resources Control Board Environmental Laboratory Accreditation Program.



## Workload Indicators

Number of gallons of drinking water produced (billions)

FY19	FY18	FY17
2.653	2.682	2.258

Number of violations of drinking water standards

FY19	FY18	FY17
0	0	0

Number of phone calls and lobby visits handled by SCMU Customer Service Unit

FY19	FY18	FY17
59,621	58,907	57,227

Millions of dollars of capital investment in water system infrastructure (millions)

FY19	FY18	FY17
\$17.6	\$10.8	\$11.0

Number of drinking water samples collected and processed

FY19	FY18	FY17
10,519	7,772	7,897

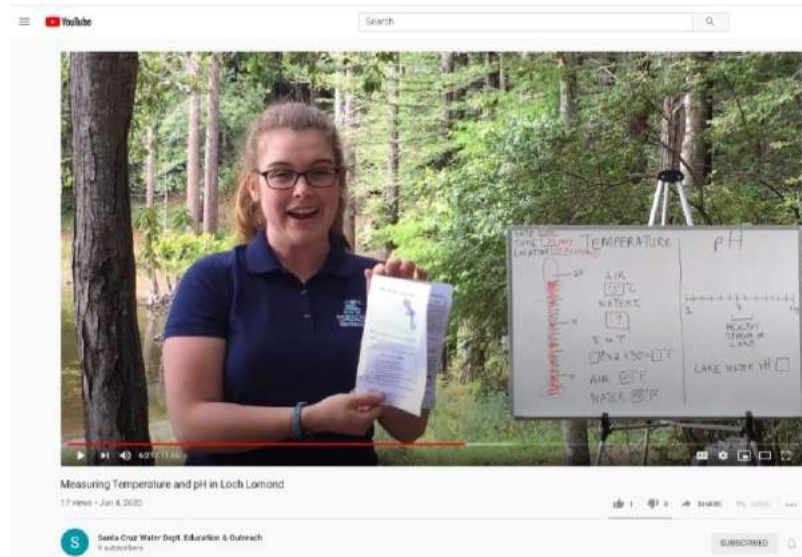




# ACCOMPLISHMENTS

## FY 2020

- Created YouTube videos of our interpretive programming previously held in-person at Loch Lomond Recreation Area.
- Continued coordination and implementation of a City-wide assessment of the Integrated Pest Management Program (IPM). The assessment will evaluate the City's IPM program, look at best practices from other jurisdictions and districts, evaluate opportunities and tradeoffs, and provide recommendations to the City for a revised IPM program.
- Updated the Department's Emergency Response Plan and developed and implemented contingency plans for continued PG&E Public Safety Power Shutdowns.
- Completed significant infrastructure maintenance and upgrades on the hydro-pneumatic tank and pump station at Springtree, Delaveaga's pressure reducing station, and installed a new sound enclosure at the San Lorenzo Pump Station.
- Updated the Programmable Logic Controllers at the Graham Hill Water Treatment Plant (GHWTP).
- Completion of a solicitation process and selection of a consultant to carry out implementation of a Risk and Resiliency Assessment for the Department, which is required under the 2018 America's Water Infrastructure Act.
- Replaced water mains on Van Ness Avenue, Younger Way, and Brook Koll Drive.



# ACCOMPLISHMENTS

## FY 2020

- Completed construction on several significant infrastructure rehabilitation and replacement projects including:
  - Demolished and reconstructed the University Tank No. 5;
  - Replaced approximately 5,000 feet of water main on Water Street and 7th Avenue;
  - Replaced the Spillway Bridge and Newell Creek Dam and reinforced the footings of the Newell Creek Road bridge;
  - Completed the final phase of ADA improvements at the Loch Lomond Recreation Area;
  - Replaced the tube settlers in all three sedimentation basins at the GHWTP; and
  - Repaired the damaged Carbonera Tank Access Road and included safety and drainage improvements and obtained FEMA reimbursement for the work.
- Progressed planning, environmental review and design for many capital improvement projects including:
  - Planning for fish passage and screening upgrades at the Tait Diversion;
  - Finalized design for replacement of three, 60-year-old concrete tanks at the GHWTP;
  - Completed design of Newell Creek Dam Inlet/Outlet Pipeline; prequalified, bid and awarded the construction contract; hired construction management team; began construction of the project;
  - Completed planning for rehab/replacement of all or parts of the Newell Creek Pipeline from Loch Lomond to GHWTP;
  - Completed a condition assessment and alternatives analysis on whether the diversion dams on Laguna and Majors can be modified or replaced to improve the efficiency and reduce environmental impacts;
  - Completed design of the Coast Pump Station Raw Water Pipeline Replacement Project; bid and awarded project; began construction;
  - Conducted several phases of Aquifer Storage and Recovery (ASR) pilot program at Beltz 12; rehabilitated Beltz 8 and installed two monitoring wells for the ASR pilot testing at Beltz 8;
  - Initiated the water transfer pilot project with Soquel Creek Water District.
  - Developed a long-term water main replacement program that utilizes risk and consequence as additional selection criteria; and
  - Installed four monitoring wells for the River Bank Filtration Study.





# GOALS

## FY 2021

- Continue to provide a clean, safe, and reliable supply of water and continue with our 365/24/7 emergency service responses to water leak reports and to correct water service interruptions to our customers.
- Provide more distance learning opportunities for local students to learn about our watershed by developing curriculum that can be delivered via Zoom and other platforms.
- Implement complete vegetation management of remote facilities without the use of herbicides.
- Continue to train staff to be multi-functional and facilitate the “Grow your own” strategy for succession planning.
- Complete the Risk and Resiliency assessment.
- Finalize recommendations for the Integrated Pest Management (IPM) program.
- Start and complete the water main replacement project on Ocean Street Extension.
- Implement Water Distribution’s valve exercising preventive program.
- Continue work on Water’s unprecedented CIP including:

-Initiate planning work for rehabilitation or replacement of University Tank No. 4 (including hydraulic modeling, land acquisition planning and pre-design work) and develop the next two phases of work on the North Coast Pipeline Replacement Project;

-Complete planning work for fish passage and screening upgrades at the Tait Diversion;

-Initiate design work on high priority segments of the Newell Creek Pipeline and for the GHWTP Facility Improvements Project needed to modernize the City’s surface water treatment plant;

Complete design and continue environmental review for the Laguna Creek Diversion Retrofit Project;

-Start construction of the Concrete Tank Replacement Project at the GHWTP; and

-Complete construction of the flocculator replacement project at the GHWTP, Coast Pump Station Raw Water Pipeline Replacement Project, and the first year of the Newell Creek Dam Inlet/Outlet Replacement Project.

- Evaluate opportunities for implementing additional energy efficiency measures identified through an energy master planning effort.
- Continue advancing the Aquifer Storage and Recovery (ASR) program by completing the ASR pilot testing at Beltz 8.

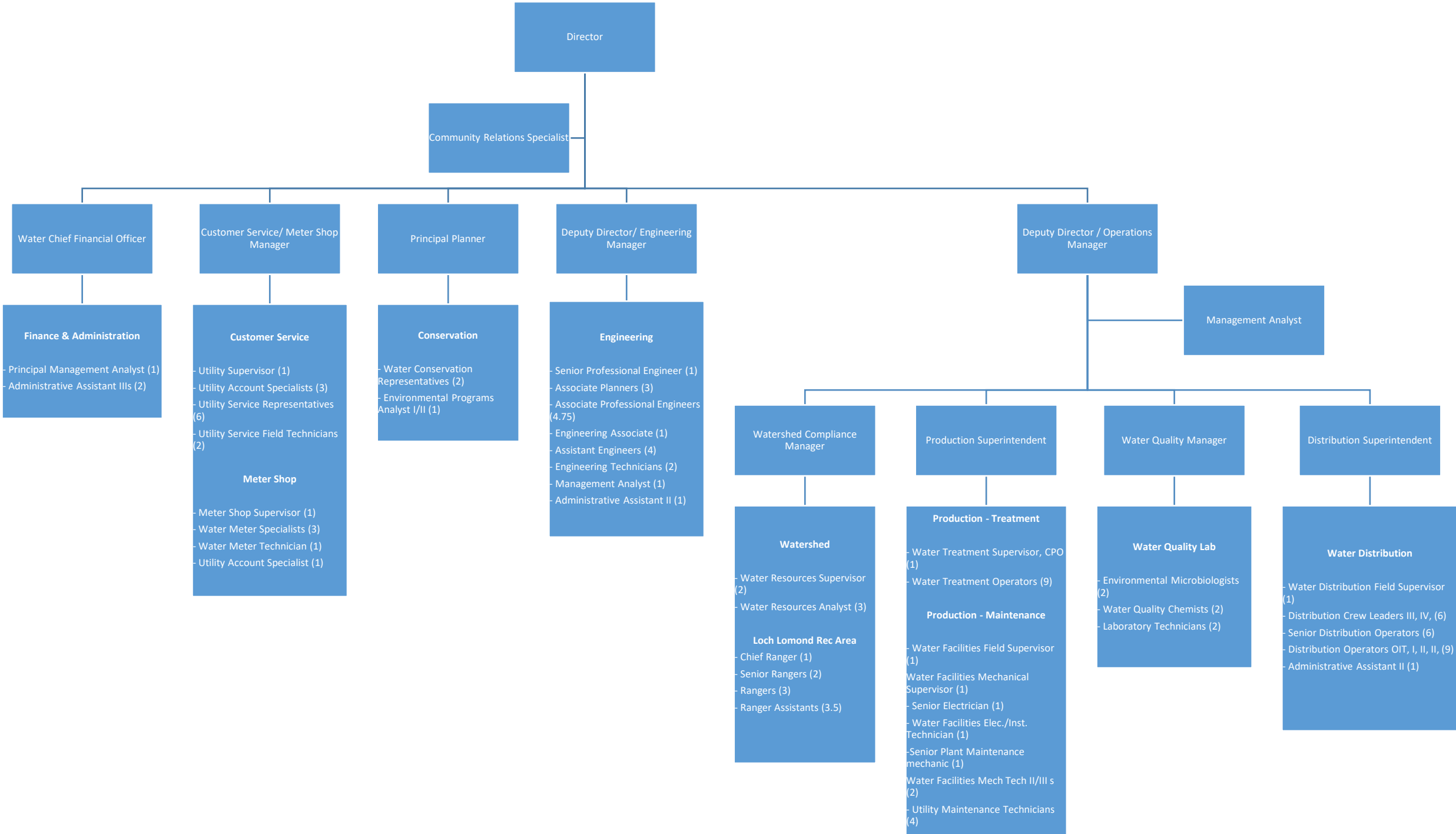


## DEPARTMENT SUMMARY

	Fiscal Year* 2019 Actuals	Fiscal Year 2020			Fiscal Year 2021 Adopted
		Adopted Budget	Amended* Budget	Year-End Actual	
<b>EXPENDITURES BY CHARACTER:</b>					
Personnel Services	14,174,510	16,837,336	15,686,336	13,520,355	16,153,083
Services, Supplies, and Other Charges	12,553,247	16,058,444	15,929,848	12,742,073	16,154,461
Capital Outlay	212,510	766,000	739,296	601,675	198,000
Debt Service	2,247,613	10,138,026	10,188,026	3,060,716	3,458,545
<b>Total Expenditures</b>	<b>29,187,880</b>	<b>43,799,806</b>	<b>42,543,506</b>	<b>29,924,819</b>	<b>35,964,089</b>
<b>EXPENDITURES BY ACTIVITY:</b>					
Water Administration	7101 5,697,441	6,696,842	6,336,777	5,738,169	6,898,790
Water Engineering	7102 2,886,711	3,347,201	2,816,765	2,366,620	2,984,865
Water Customer Services	7103 1,974,229	2,015,751	2,030,870	1,875,267	2,122,191
Water Conservation	7104 913,473	1,240,293	1,240,293	788,015	1,223,297
Water Resources	7105 1,581,505	2,954,266	3,056,409	1,780,480	2,769,840
Water Production	7106 6,002,756	7,404,445	7,332,056	6,122,369	7,323,437
Water Quality	7107 1,321,358	1,775,267	1,741,112	1,590,499	1,801,147
Water Distribution	7108 4,212,029	5,163,688	5,097,509	4,680,536	4,870,045
Water Recreation	7109 1,102,595	1,286,763	1,290,763	1,031,970	1,332,391
Water Operations	7110 -	321,983	610,991	393,104	684,587
Water Meter Shop	7113 1,248,169	1,455,281	851,935	719,815	494,954
Water Debt Service	7140 2,247,613	10,138,026	10,138,026	2,837,975	3,458,545
Subtotal Other Funds	29,187,880	43,799,806	42,543,506	29,924,819	35,964,089
<b>Total Expenditures</b>	<b>29,187,880</b>	<b>43,799,806</b>	<b>42,543,506</b>	<b>29,924,819</b>	<b>35,964,089</b>
<b>RESOURCES BY FUND</b>					
Water	711 36,821,568	74,356,868	75,704,001	38,723,186	42,500,851
Water Rate Stabilization Fund	713 3,104,137	3,342,000	3,208,000	3,064,801	3,163,368
Water System Development Fees Fund	715 660,495	820,000	821,109	508,149	820,000
<b>Total Resources</b>	<b>40,586,200</b>	<b>78,518,868</b>	<b>79,733,110</b>	<b>42,296,136</b>	<b>46,484,219</b>
	<b>FY 2019</b>			<b>FY 2020</b>	<b>FY 2021</b>
<b>TOTAL AUTHORIZED PERSONNEL:</b>	<b>111.25</b>			<b>117.25</b>	<b>118.25</b>

\*Sums may have discrepancies due to rounding

# FY 2021 Water Department Organization Chart



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A photograph of a beach at low tide. In the foreground, a large number of small, light-colored birds, possibly shorebirds, are gathered on the wet sand near the water's edge. The sand is dark and reflective. In the background, a few people are walking on the beach, and some buildings are visible on the horizon under a clear blue sky.

# Capital Outlay

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2021 Annual Budget

## Capital Outlay Summary by Department/Activity

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### Finance

#### Activity 1241 -- Finance

		Furniture/equipment needs for Department
57401 Office furniture/equipment	25,500	relocation
57901 Software	9,000	
<b>Total Finance</b>	<b>34,500</b>	

### Planning

#### Activity 1301 -- Planning Administration

57401 Office furniture/equipment	10,000	Admin/Advance Planning Remodel
<b>Total Planning Administration</b>	<b>10,000</b>	

### Parks & Recreation

#### Activity 3107 -- Community and Regional Parks

57404 Parks and playground equipment	25,000	John Deere Tractor
57404	9,500	Large area turbine blower
57404	7,000	Tow behind fertilizer spreader
57404	10,000	Overseeder
Total Delaveaga Golf Course	26,500	

#### Activity 3211 -- Municipal Wharf

57990 Other capital outlay	60,000	Flammable Materials Locker
<b>Total Municipal Wharf</b>	<b>60,000</b>	
<b>Total Parks &amp; Recreation</b>	<b>111,500</b>	

### Library

#### Activity 3601- Library (City)

57401 Office furniture/equipment	18,000	
57409 Computer equipment	150,000	
57990 Other capital outlay	100,000	
<b>Total Library (City)</b>	<b>268,000</b>	

### Water

#### Activity 7105 -- Water Resources

57401 Office furniture/equipment	15,000	Offic Furniture for new location
<b>Total Water Resources</b>	<b>15,000</b>	

#### Activity 7106 -- Water Production

57402 Vehicle equipment	12,000	New dump bed for vehicle #616
57406 Tools	10,000	Well video camera
57408 Building equipment	30,000	New main breakers
57408 Building equipment	60,000	New pump and motor for San Lorenzo River
57490 Other machinery and equipment	12,000	Turbidity Meter
57490 Other machinery and equipment	6,000	Chlorine Analyzer
<b>Total Water Production</b>	<b>124,000</b>	

#### Activity 7107 -- Water Quality

57490 Other machinery and equipment	30,000	2 chemical fume hoods for Water Quality Lab
<b>Total Water Quality</b>	<b>30,000</b>	



## Capital Outlay Summary by Department/Activity

### Activity 7303 -- Refuse Disposal

57401 Office furniture/equipment	2,500	
57402 Vehicle equipment	110,000	Food Waste Septage Truck Replacement Material Handler for
57405 Heavy equipment	300,000	Equipment #927 Replacement Tarps and Tarp Machine for
57490 Other machinery and equipment	100,000	Equipment #938
57490 Other machinery and equipment	18,000	Roll off Bins
Total Refuse Disposal	530,500	

### Activity 7304 -- Recycling Program - Processing

57490 Other machinery and equipment	18,000	Roll Off Containers Self Dumping Hoppers
Total Recycling Program - Processing	18,000	

### Activity 7305 -- Resource Recovery Collection - Cart

57402 Vehicle equipment	790,000	Sidearm replacement for truck #744 & #749
Total Resource Recovery Collection - Cart	790,000	

### Activity 7401 -- Off-Street Parking

57402 Vehicle equipment	36,000	Replace 404-2008 Ford F150
Total Off-Street Parking	36,000	

### Activity 7832 -- Communications

57903 Capital items under \$5,000	4,900	PW Radio Repeater Replacement
Total Communications	4,900	

### Activity 7836 -- Fuel Island/Pool Vehicles

57402 Vehicle equipment	28,000	replace veh #870 (2003 Ford Focus)
57402 Vehicle equipment	40,000	replace veh #162 (2000 Ford Contour)
57402 Vehicle equipment	42,000	replace veh #161 (E150)
Total Fuel Island/Pool Vehicles	110,000	
57290 Other building costs	565,750	
57311 Flood control and storm drainage facility	115,500	
Total Government Bldgs/Plant - Buildings	681,250	

### Activity 4226 -- Wharf Gate Operations

57990 Other capital outlay	15,000	HVAC units (2)
Total Wharf Gate Operations	15,000	

<b>Total Public Works</b>	<b>3,744,950</b>	
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## Capital Outlay Summary by Department/Activity

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### Information Technology

#### Activity 7825 -- IT Projects

57990 Other capital outlay	200,000	Security System Sites (General Fund)
57990 Other capital outlay	69,000	Dell Server and Cisco Switches Maintenance
57991 Other capital outlay	175,000	Office 365 Pilot Prof Serv and Initial User Base
57992 Other capital outlay	125,000	OnBase Chamber Equipment Upgrade
57993 Other capital outlay	25,000	Code Cost Recovery Improvements
57994 Other capital outlay	40,000	Bluebeam "Project" EPR Storage & Archiving
57995 Other capital outlay	77,000	3Di-Rental Housing Data Collection
57996 Other capital outlay	12,000	Advanced CRSP Incident Tracking Parking Office Strategic Professional Services
57997 Other capital outlay	22,000	(Application Upgrade/Replacements) Hardware Upgrade for Remote Access Infrastructure
57998 Other capital outlay	16,000	(VDI Blade Server)
<b>Total IT Projects</b>	<b>761,000</b>	
<b>Total Capital Outlay</b>	<b>5,121,950</b>	

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# Index

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2021 Annual Budget



# Index

OVERVIEW This section contains the following information:

- Legal Debt Limit – Debt Service
- Budgetary Policy (Council Policy 12.3)
- Debt Management Policy (Administrative Procedure Order, I-89)
- General Fund Reserve Policy (Council Policy 12.13)
- Budget Adjustments Policy (Administrative Procedure Order, I-9)
- City Charter: Adoption of Budget, Changes in Budget Appropriations, Transfer of Appropriations, and Limitation on Expenditures (1402, 1410-1410)
- Council Policy (Section 12)
- Grants (Administrative Procedure Order, I-17)
- Donations & Contributions (Administrative Procedure Order, I-76)
- Acronyms
- Glossary





# Legal Debt Margin

Under section 1418 (Bonded Debt Limit) of the City's Charter, the City shall not incur an indebtedness evidenced by general obligation bonds which shall in the aggregate exceed the sum of fifteen percent (15%) of the total assessed valuation for purposes of City taxation, of all the real and personal property within the City, exclusive of any indebtedness that has been or may hereafter be incurred for the purposes of acquiring, constructing, extending or maintaining municipal utilities for which purpose a further indebtedness may be incurred by the issuance of bonds, subject only to the provisions of the State Constitution<sup>(1)</sup> and of this Charter.

**Legal Debt Margin For Fiscal Year 2018-2019:**

Total assessed value	\$	9,799,321,198 <sup>(2)</sup>
Fifteen percent (15%) of total assessed value		1,469,898,180
Debt applicable to limit:		
General obligation bonds (reported at accreted value)		4,915,000
Less: Amount available in the debt service fund for repayment of bonds		1,402,848
Total net debt applicable to limit		3,512,152
<b>Legal debt margin</b>		<b>1,466,386,028</b>

**Notes:**

1. California Government Code, Section 43605 sets the debt limit at 15% of the total assessed valuation of all real personal property within the City.
2. Total Assessed Value from FY19

**Sources:**

County of Santa Cruz Auditor-Controller's Office  
 City of Santa Cruz, California FY 2019 Comprehensive Annual Financial Report (CAFR)

POLICY TITLE: BUDGETARY POLICY

### POLICY STATEMENT:

Development and adoption of the City of Santa Cruz budget will conform to the following, high-level, best-practice budgetary principles. These principles guide the intent to create a responsible, secure budget and sound long-term fiscal planning to ensure the continuity of quality services to the community.

The principles were drawn from the City's Charter, Council Policies, current best practices, and leading national best practices from the Government Finance Officers Association (GFOA) as well as California policy recommendations from the League of California Cities and the California Society of Municipal Finance Officers.

This summary provides an overview of the following, primary principles:

1. The City shall adopt an on-time, structurally balanced budget
2. The City shall develop the budget calendar to prioritize community engagement and education
3. Performance measures will be developed
4. One-time resources shall be matched with one-time spending
5. A long-term fiscal plan shall be developed and updated
6. Fees for services shall default to full cost recovery

1. The City shall adopt an on-time, structurally balanced operating budget

The City's Charter requires that the budget be presented to the City Council no later than the first meeting in June and adopted no later than the first meeting in July. In addition, it requires that the City Manager present strategies when spending is projected to outpace income and further requires that when this occurs, the City Council "shall, by resolution, make necessary changes in any appropriations."

This principle would ensure that the City does not spend beyond its means. The City has followed guidance by GFOA which defines a "structurally balanced budget" as a budget where recurring revenues equal or exceed recurring expenditures. Nonetheless, as allowed by the Charter and defined in the City's reserve policies, the City may transfer from reserves to fund operational gaps, but the Charter also references the need to restore reserves. Note that this principle does not apply to capital investment projects or to other non-General Fund operations.

2. The City shall develop the budget calendar to prioritize community engagement and education

The City will build each year's budget calendar with an emphasis on community engagement and outreach related to the City's financial condition, proposed changes in budget, and other themes such as alignment with the City's goals. In addition, the City will create opportunities throughout

## Budgetary Policy

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the year to educate and engage with the Council and community about the City's operations and programs.

### 3. Performance measures will be developed

The City will develop performance measures to support City strategic goals and will evaluate and refine those measures over time. Measures selected should be useful, relevant, reliable, adequate, and consistently and efficiently collectable.

### 4. One-time resources shall be matched with one-time spending

A core principle in adopting a balanced budget and developing a long-range financial plan is to fund ongoing operations with ongoing resources and hold one-time, short-term resources for building reserves or funding one-time or short-term expenses. Common examples of one-time resources are the sale of City owned property, annual surpluses, unusual development revenues, large reimbursements, and expiring grants.

### 5. A long-term fiscal plan shall be developed and updated

The City shall continue to develop long-term fiscal plans. These plans will be developed, revised, and updated to include strategies to move toward predictable, long-term sustainable operations. As part of the implementation and ongoing maintenance of the long-term fiscal plan, certain strategies should be considered:

- Multi-year forecasting for all major funds and operations will be relied on to guide budgetary decisions. Forecasts shall include reasonable assumptions for changes in personnel costs, capital and equipment costs, maintenance costs, and other operating costs.
- Reserves shall be established and include planned funding targets to ensure they are sufficient for emergency, capital renewal, and operational contingency needs.
- Equipment required for operations and maintenance of City facilities and infrastructure shall be included as an operating cost. The City will continue the practice of incorporating into its annual operating plan the replacement of core equipment and maintenance in a manner that balances primary factors such as cost effectiveness, safety, and operational necessity.
- Staff shall develop and include in the annual budget additional strategies to reduce the cost of unfunded retirement liabilities and preserve the retirement systems. This could include cost avoidance strategies like allocating any unused budget appropriation towards the reduction of future retirement costs.
- Facility replacement fund shall be established with targeted annual contributions. This principle would direct staff to return with a policy and broader discussion of the plan for maintenance, replacement, and/or retirement of its current capital assets inventory. It is a best practice to ensure that needed capital assets or improvements receive appropriate consideration in the budget process and that older capital assets are considered for retirement or replacement.

### 6. Fees for services shall default to full cost recovery

This principle ensures that all General Fund fees for requested services, excluding certain Parks and Recreation fees, shall ultimately be set to reach full cost recovery and that they shall be reevaluated at least every five years. In addition, any new customer service fees shall be set at the current cost recovery rate as calculated by or verified by the Finance Department in a manner consistent with the last fee study. Finally, cost recovery calculations shall include both direct and indirect costs such as operating and maintenance costs, overhead, and charges for use of capital (depreciation and debt service).

AUTHORIZATION: Council Policy Manual Update of November 17, 1998

Revised by Resolution No. NS-29,468 on December 11, 2018



# Debt Management Policy

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City of Santa Cruz I-89

Administrative Procedure Order

Section I, #89 (Effective March 2017)

TO: Department Heads

SUBJECT: DEBT MANAGEMENT POLICY

## PURPOSE

To establish policies for the issuance and administration of City debt.

## POLICY

This Debt Management Policy establishes objectives, parameters, and guidelines for responsibly issuing and administering the City's debt. Prudent management of the City's debt program is necessary to achieve cost-effective access to the capital markets and demonstrates a commitment to long-term financial planning.

## SCOPE

These policies establish the restrictions within which the City of Santa Cruz and the City of Santa Cruz Public Financing Authority, on behalf of the City, may issue debt. Additionally, these policies apply to debt issued by the City on behalf of assessment, community facilities, or other special districts, as well as conduit debt.

## DEBT MANAGEMENT OBJECTIVES

This policy sets forth key objectives for the City in issuing and administering the City's debt, which are to:

- Maintain the City's sound financial position.
- Minimize debt service and issuance costs.
- Maintain access to cost-effective borrowing.
- Achieve and maintain highest reasonable credit rating.
- Ensure full and timely repayment of debt.
- Maintain full and complete financial disclosure and reporting.
- Ensure compliance with State and Federal laws and regulations.

## GOVERNING AUTHORITY AND RESPONSIBILITY

A. Legal Authority: The City's debt portfolio for all City funds shall be administered in conformance with all applicable Federal, State, and other legal requirements, including the City Charter. The City will comply with applicable law as it pertains to the maximum term of debt and the procedures for levying and imposing any related taxes, assessments, rates, and charges.

B. Delegation of Authority: The City Council has assigned duties to the Finance Director for authorizing, managing, and coordinating activities related to the structure, issuance, and administration of the City's long-term and short-term debt. No debt obligations shall be presented to the City Council, including capital leases, for their authorization without a joint assessment and approval by the City Manager and Finance Director. No department shall directly issue debt and departments planning debt-financed capital programs or equipment acquisitions will work in close

# Debt Management Policy

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coordination with the Finance Department and the City Manager's Office to provide information and facilitate the issuance and ongoing administration of debt.

C. Point of Contact: The Finance Director shall be responsible for maintaining good communication with rating agencies, investors, other service providers, and the general public regarding the City's financial condition, and will enforce a policy of full disclosure.

D. Policy Approval and Review: This Debt Management Policy is an official policy of the City, approved by the City Manager as an Administrative Procedure Order. The Finance Department shall conduct an annual review of this policy and recommend amendments deemed necessary and appropriate.

E. Annual Debt Report: The Finance Department shall prepare an annual report to the City Council containing a summary of the City's current credit ratings, and outstanding and newly issued debt. This report shall be presented either separately or within another financial report.

F. Professional Assistance: The Finance Director shall have the authority to periodically select service providers as necessary to meet legal requirements and minimize debt costs. Such services may include financial advisory, underwriting, trustee, verification agent, escrow agent, arbitrage consulting, special tax consulting, and bond counsel. To achieve appropriate balance between service and cost, the Finance Director is authorized to select such service providers through sole source selection or a competitive process using a Request for Proposals.

G. Method of Sale: Except to the extent a competitive bidding process is required by law, the Finance Director shall determine the most appropriate manner in which to offer any securities to investors. The City's preferred method of sale is by competitive bid. However, other methods such as negotiated sale and private placement may be considered on a case-by-case basis.

## TYPES AND PURPOSE OF DEBT

The City may utilize the following types of municipal debt obligations to finance long-term capital projects. Long-term debt is only issued to finance the acquisition and/or construction of capital improvements unless otherwise decreed by court order or adjudicated settlement. Long-term debt financing shall never be used to fund operating or maintenance costs.

### Types of Debt

A. Assessment Bonds: Proceeds from Assessment Bonds may be used to finance local public improvements, and are repaid with taxes assessed on the parcels of land that benefit from the improvements. Local streets, street lights, landscaping, sidewalks and sanitary sewers are some examples of local improvements commonly financed by Assessment Bonds.

B. General Obligation (GO) Bonds: The City may issue GO Bonds with two-thirds approval of a popular vote as stated in the California State Constitution (Article XVI, Section 18). GO Bonds are secured by a pledge of the full faith and credit of the City, or by a levy on property taxes to pay the debt service. GO Bonds are used to finance the acquisition, construction, or improvement of public facilities such as parks and public safety facilities.

C. Pension Obligation Bonds: Pension Obligation Bonds may be issued to finance all or part of the City's unfunded pension liability, and are a general obligation of the City. Typically, these bonds are issued at an interest rate which is lower than that being charged by CalPERS, the City's pension administrator.

# Debt Management Policy

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D. Enterprise Revenue Bonds: Enterprise Revenue Bonds may be issued to finance facilities for a revenue-producing enterprise, and are payable from revenue sources generated and pledged by that enterprise. Water, sewer, refuse, storm water, and parking are examples of revenue producing enterprises within the City.

E. Lease Revenue Bonds: Lease Revenue Bonds are typically issued by the City of Santa Cruz Public Financing Authority, on behalf of the City. They are secured by and payable from a pledge of revenues consisting primarily of lease payments on the capital project being financed. The City's General Fund is obligated to pay these lease payments.

F. Refunding Bonds: Pursuant to the California Government Code and various other financing statutes, the City is authorized to issue bonds for the purpose of refunding any long-term obligation of the City, provided it produces economic benefit to the City.

G. Conduit Financing Bonds: Conduit financing is a mechanism for other governmental entities, nonprofit agencies, or private companies to fund projects which provide a clear public benefit through the issuance of tax-exempt municipal bonds. These are essentially revenue bonds payable solely from the loan repayments received from the borrower under the loan agreement, for which the City has no liability for debt service. They may also be known as private activity or pass-through bonds. The City may issue Conduit Financing Bonds for projects such as schools, hospitals, universities, public works, housing and industrial development. In such cases, the City will take reasonable steps to confirm the financial feasibility of the project to be financed and the financial solvency of the borrower.

H. Certificates of Participation (COP): COPs are a form of lease revenue bond which permits the investor to participate in a stream of lease payments, installment payments, or loan payments relating to the acquisition or construction of specific equipment, land, or facilities. The City is obligated to make these debt service payments.

I. Lease Financing: The City may finance a capital asset by leasing it directly from the vendor or leasing company under a long-term agreement. This is essentially a lease-purchase contract with the lessor receiving a portion of each rental payment as tax-exempt interest.

J. Bank/Private Loans: The City may borrow directly through a loan with a commercial bank, Letter of Credit, or Line of Credit with a commercial bank, State revolving loan program, or other governmental agency. Each loan will have a specific purpose.

K. Tax Allocation Bonds: Before dissolution of California Redevelopment Agencies (RDAs) in 2012, the City of Santa Cruz RDA issued Tax Allocation Bonds using tax increment funds as a pledge for repayment. The taxes pledged to the repayment came from the increase of assessed value over and above a pre-established base. Dissolution of RDAs in 2012 has ended this type of debt from being issued by California cities and counties.

L. City of Santa Cruz Internal Financing Pool: The Internal Financing Pool provides loans to City departments or funds for significant one-time purchases, such as large vehicles. Such loans are repaid by applicable City departments or funds with interest on an annual basis with the principal amortized evenly over a period of at least five (5) years but not exceeding ten (10) years. The interest rate levied is 2% more in simple interest above the City's pooled investments monthly yield to maturity.

# Debt Management Policy

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M. Other Obligations: There may be special circumstances when other forms of debt are appropriate and may be evaluated on a case-by-case basis. Such other forms include, but are not limited to, non-enterprise revenue bonds, bond anticipation notes, grant anticipation notes, tax and revenue anticipation notes, and judgment or settlement obligation bonds.

## **Purpose of Debt**

A. Integration with the City's Capital Improvement Plan: The City is committed to long-term financial planning, including capital planning. This policy will be used in conjunction with the City's capital budget and the capital improvement plan. The City shall strive to fund the upkeep and maintenance of its infrastructure and facilities due to normal wear and tear through its available operating revenues.

B. Long-Term Debt: Long-term debt may be used to finance the purchase or improvement of land, infrastructure, facilities, or equipment for which it is appropriate to spread these costs over more than one budget year. Long-term debt may also cover capitalized interest, costs of issuance, required reserves, and any other financing-related costs which may be legally capitalized. Long-term debt may not be used to fund City operating costs.

C. Short-Term Debt: Short-term borrowing, such as commercial paper and lines of credit, may be used as an interim source of funding in anticipation of long-term borrowing. Short-term debt is defined as debt repaid in twelve (12) months or less and may be issued for the same purpose as long-term debt, including capitalized interest and other financing-related costs. Short-term debt, such as tax and revenue anticipation notes, may be issued to address justifiable cash flow requirements for short-term operating needs to provide necessary public services.

D. Refunding: Periodic reviews of existing debt will be undertaken to identify refunding opportunities. Refunding will be considered (within Federal tax law constraints) if and when there is a net benefit of the refunding. In general, refundings which produce a net present value savings of at least three percent (3%) of the refunded debt will be considered economically viable. Refundings which produce a net present value savings of less than three percent (3%) will be considered on a case-by-case basis to achieve City goals such as changes in covenants, call provisions, operational flexibility, tax status, issuer, or the debt service profile. Refundings with negative savings will not be considered unless there is a compelling public policy objective that is accomplished by retiring the debt. The City may purchase its own bonds in the open market for the purpose of retiring the obligation when the purchase is cost effective.

E. Lease Financing: The City may finance a capital asset by leasing it directly from the vendor or leasing company, with the lessor receiving a portion of each rental payment as tax-exempt interest.

## **DEBT CAPACITY**

It is the policy of the City of Santa Cruz that aggregate debt service payments funded from

General Fund sources shall be no greater than 10% of Primary General Fund revenues as reflected in the prior year adopted budget. Payments on bonds that are tied to a specified revenue stream other than General Fund sources (e.g., enterprise revenue bonds, tax allocation bonds, and assessment bonds) are not subject to this 10% limit. Each proposed financing will be individually assessed by the Finance Director and subject to the approval policies contained herein.

## **DEBT STRUCTURE FEATURES**



# Debt Management Policy

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A. Debt Repayment: The City will structure its debt issues so that the maturity of the debt does not exceed the economic or useful life of the capital project which is financed.

B. Maximum Maturity: The California Constitution allows for the issuance of long-term debt of up to forty (40) years. The City's standard maximum maturity is thirty (30) years, but up to forty (40) years may be acceptable depending on cash flow assumptions, construction timeline, and remaining useful life of the asset being financed.

C. Credit Quality: The City seeks to obtain and maintain the highest possible credit ratings when required for issuing long-term and short-term debt.

D. Fixed-Rate Debt: Fixed-rate debt shall be the desired method of pricing.

E. Variable-Rate Debt: The City may choose to issue debt that pays a rate of interest that varies according to a pre-determined formula or index. There may be situations in which the City will benefit from variable-rate debt.

F. Call Options and Premium Provisions: Call Options or optional redemption provisions can enable the City to partially or totally repay a bond issue before its stated maturity. These may be considered on a case-by-case basis when issuing bonds, provided they enhance the marketability of the bonds, ensure flexibility related to potential early redemption, or foster future refunding options. The potential for additional costs, such as a call premium or potentially higher interest rates, will be evaluated in the decision to redeem the bonds.

G. Credit Enhancement: To establish or improve the credit rating on a proposed debt issuance, the City may purchase credit enhancement such as bond insurance, surety policy, or letter of credit. The Finance Director will consider the use of credit enhancement if it reduces the overall cost of the proposed financing or furthers the City's overall financial objectives.

## DEBT ADMINISTRATION

The City's Finance Department shall maintain written directives and procedures detailing required actions to certify compliance with local, State, and Federal regulations. The City is committed to full and complete financial disclosure in accordance with the requirements established by the Securities and Exchange Commission (SEC) rule 15c2-12 and the Municipal Securities Rulemaking Board. The City is also committed to fully supporting requests from rating agencies, institutional and individual investors, other governmental entities, and to the general public to share clear, timely, and correct financial information.

In addition to financial disclosure and arbitrage rebate compliance, once bonds are issued the City is responsible for verifying compliance with all activities, agreements, and requirements outlined in the bond documents on an ongoing basis. This typically includes: ensuring an annual appropriation to meet debt service payments; that relevant taxes, rates, and fees are levied and collected at a level sufficient to meet indenture requirements and debt service payments; the timely payment of debt service to a trustee or paying agent is completed; and compliance with insurance and other requirements. All investments of bond proceeds shall adhere to the City's Investment Policy which is approved annually by the City Council, Federal tax requirements, and with the requirements contained in the bond documents. Investments related to the bond proceeds shall not allow security types or credit standards less than those stated in the City's Investment Policy.

# Debt Management Policy

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## GLOSSARY OF TERMS

**Advance Refunding:** A refunding that occurs more than ninety (90) days in advance of the first optional redemption date. All covenants and restrictions of the refunded bond indenture are extinguished through an Advance Refunding. Under current IRS regulations, tax-exempt bonds issued after December 31, 1985 are permitted only one Advance Refunding.

**Arbitrage:** Generally, tax-exempt bond issues are subject to arbitrage rebate requirements, which require that any profit or “arbitrage” be “rebated” to the Federal government in the amount of the excess of the amount earned on investments purchased with gross proceeds of the bonds over the amount which would have been earned if such investments were invested at a rate equal to the yield on the bonds. A rebate computation and payment, if applicable, is generally required to be made every five (5) years and upon final redemption or maturity of the bonds. Failure to comply with these requirements could lead to substantial late filing penalties and interest and/or the loss of tax-exempt status for the bonds.

**Bond Counsel:** A law firm retained by the bond issuer to give a legal opinion that the bond issuer is authorized to issue the proposed securities, the bond issuer has met all legal requirements necessary for issuance, and interest on the proposed securities will be exempt from Federal income taxation, and where applicable from State and local taxation. Usually, Bond Counsel will prepare authorizing resolutions and ordinances, trust indentures, and other bond documents with the exception of the Official Statement.

**Bond Insurance:** An insurance policy which guarantees the timely payment of principal and interest, of either an entire bond issue, or on one or more specified maturities. In exchange for payment of the Bond Insurance premium, a higher Credit Rating is assigned to the insured bonds, and a lower cost of funds is attained. With a Competitive Sale, generally the bidding dealer bears the cost of insurance to the benefit of the firm’s bid. The bond issuer pays the cost of Bond Insurance from bond proceeds with a Negotiated Sale.

**California Debt and Investment Advisory Commission (CDIAC):** A State commission established to provide oversight with respect to public debt and investment activities. It serves as the State’s clearinghouse for information on public debt issuance and local investment practices. CDIAC also provides policy guidance on debt and investment matters.

**Call Provisions:** The terms of the bond giving the issuer the right to redeem all or a portion of a bond prior to its stated date of maturity at a specific price, usually at or above par.

**Capitalized Interest:** Capitalized Interest is a portion of the proceeds of an issue which is set aside to pay interest on the bonds for a specified period of time. Interest is commonly capitalized during the construction period of a revenue-producing project or a project financed with the proceeds of lease revenue bonds whereby the facility securing the lease payments must be available for use and occupancy before lease payments may commence.

**Commercial Paper:** Short-term (1 to 270 days) promissory notes usually issued to provide for interim financing of projects through the construction period and backed by a Letter or Line of Credit from a commercial bank. Following the completion of the projects, principal and interest due on Commercial Paper is often redeemed through the issuance of long-term refunding bonds.

# Debt Management Policy

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**Competitive Bidding Process:** The sale of a new issue of bonds by an issuer through a bidding process where Underwriters are awarded the bonds on the basis of offering the lowest cost of funds for the issuer, usually measured on a True Interest Cost basis. The bid parameters for the public sale are established in the notice of sale or notice inviting bids.

**Continuing Disclosure Certificate:** A commitment entered into by the County for the benefit of the Underwriters and holders of certain bonds pursuant to SEC Rule 15(c)2-12 to provide continuing disclosure of specific County information.

**Credit Ratings:** Evaluations of the credit quality of bonds made by independent ratings services such as Moody's Investors Service, Standard & Poor's Ratings Services, and Fitch Ratings. Credit Ratings are intended to measure the probability of timely repayment of principal and interest on municipal securities. Credit Ratings are assigned before issuance of the bonds, and are periodically reviewed by the rating services, or may be amended to reflect changes in the issuer's credit position. Bonds with investment grade ratings are assigned Credit Ratings between Baa3/BBB- and Aaa/AAA.

**Debt Service:** The sum of principal and interest due on outstanding debt, including any required contributions to a sinking fund for term bonds. Debt Service may be computed on a bond year, fiscal year, or calendar year basis.

**Derivative:** Short for "Derivative investment," a contract whose value is based on the performance of an underlying financial asset, index, or other investment. Generally, Derivatives are risk mitigation tools. Examples include structures with Option-like or Swap-like features.

**Financial Advisor:** A consultant who advises the bond issuer on matters such as bond structure, timing, marketing, pricing, documentation, and Credit Ratings. The consultant may also provide non-bond related advice relating to capital planning and investment management. Financial Advisors must be registered as an independent registered municipal advisor ("IRMA") with the SEC.

**Fixed-Rate Debt:** A borrowing wherein interest is established at a fixed rate of interest for the life of the obligation.

**Line of Credit:** A commitment usually made by a commercial bank to provide liquidity support for issues of notes, Commercial Paper, or bonds if the issuer is unable to pay Debt Service. The timely payment of Debt Service is not guaranteed, and the agreement between the bank and the issuer of the debt may be terminated in the event of a payment default by the bond issuer, or under other certain specified events. Debt issued with a Line of Credit is assigned the short-term Credit Rating of the Line of Credit provider.

**Municipal Securities Rulemaking Board (MSRB):** An independent self-regulatory organization established by the Securities Acts Amendments of 1975, which is charged with primary rulemaking authority over Broker-Dealers and brokers in municipal securities. Its members represent three categories—securities firms, bank dealers, and the public—each category having equal representation on the MSRB.

**Negotiated Sale:** The sale of a new issue of bonds whereby the issuer selects the Underwriters in advance and negotiates the terms of the bonds, including coupons, interest rates, redemption provisions, and the Gross Spread, at the time of sale.

# Debt Management Policy

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**Official Statement:** A document published by the bond issuer, and often prepared by Disclosure Counsel, which discloses material information on a new bond issue, including the purpose of the issue, source of repayment, bond covenants, as well as financial, economic, demographic, and legal characteristics of the bond issuer. The Official Statement is used by investors to determine the credit quality of the bond issue. An Official Statement is deemed preliminary prior to the determination of the interest rates on the bond issue, but is final in all other respects at the time it is circulated in its preliminary form prior to the sale of the bonds.

**Private Placement:** A sale in which the bonds are sold directly to institutional or private investors, rather than through a public offering. Issuers often require investors purchasing privately placed bonds to agree to restrictions as to resale through the use of a signed agreement. This is the same as a direct placement or a direct purchase. Private Placements may also involve the direct sale of a bond or other obligation to a commercial bank.

**Securities and Exchange Commission (SEC):** The Federal agency responsible for supervising and regulating the securities industry. In general, municipal securities are exempt from the SEC's registration and reporting requirements. Brokers and dealers in municipal securities, as well as independent registered municipal advisors, however, are subject to SEC regulation and oversight. The SEC also has responsibility for the approval of MSRB rules, and has jurisdiction, pursuant to SEC Rule 10b-5, over fraud in the sale of municipal securities.

**SEC Rule 15(c)2-12:** A regulation of the SEC which requires Underwriters participating in primary offerings of municipal securities of \$1,000,000 or more (i) to obtain, review, and distribute to investors copies of the issuer's Disclosure documents; (ii) to obtain and review a copy of an Official Statement deemed final by an issuer of the securities, except for the omission of specified information; (iii) to make available upon request, in non-competitively bid offerings, the most recent preliminary Official Statement, if any; (iv) to contract with an issuer of the securities, or its agent, to receive, within specified time periods, sufficient copies of the issuer's final Official Statement, both to comply with this rule, and any rules of the MSRB; and (v) to provide, for a specified period of time, copies of final Official Statements to any potential customer upon request. The rule contains exemptions for Underwriters participating in certain offerings of municipal securities issued in large denominations that are sold to no more than thirty-five (35) sophisticated investors, have short-term maturities, or have short-term tender or put features. SEC Rule 15(c)2-12 also requires that Underwriters participating in a bond offering obtain from the issuer a written Continuing Disclosure Agreement to provide continuing disclosure with respect to those bonds.

**Trustee:** A third-party banking institution which administers the funds specified in the trust indenture or agreement and implements the remedies provided in case of default. The Trustee acts on behalf of the bondholders based on the specific requirements in each trust indenture. The Trustee may also refer to a trustee bank acting in the capacity of escrow agent with respect to refunding bonds or issuing and/or paying agent with respect to Commercial Paper or Variable Rate Debt.

**Underwriter:** A dealer which purchases a new issue of bonds for resale either by negotiation with the issuer or by award on the basis of a competitive bid.



## **Debt Management Policy**

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Variable-Rate Debt: A borrowing wherein interest changes at intervals according to an index or formula, or is periodically (e.g., daily, weekly, or monthly) reset at the market clearing rate. This is also known as floating rate debt.

# General Fund Reserve Policy

COUNCIL POLICY 12.13

POLICY TITLE: CITY WIDE STABILIZATION ARRANGEMENT (TWO-MONTH GENERAL FUND RESERVE) AND UNFUNDED OBLIGATION STABILIZATION ARRANGEMENT

POLICY STATEMENT:

I. City Wide Stabilization Arrangement (General Fund Balance Reserve)

It is in the best interest of the citizens of the City of Santa Cruz who rely upon the City of Santa Cruz for public access and services that a portion of the City's Fund Balance be set aside in a City Wide Stabilization Arrangement in order to provide a reserve against certain specified conditions listed herein that result in a sudden and unexpected drop in revenues, and/or unforeseen emergencies including unanticipated expenditures of a nonrecurring nature or unanticipated adverse financial or economic circumstances that would lead to operating shortfalls.

City Wide Stabilization Arrangement amount. The funding level shall be equal to but can exceed the equivalent of two-months of the current fiscal year's Adopted Total General Fund Operating Expenditure Budget.

Contributions and immediate funding of the City Wide Stabilization Arrangement. The City Council authorizes the City Manager to maintain a minimum balance defined above through transfers of available ending Fund Balance after the Budget is adopted but before the end of the fiscal year.

Conditions under which the City Wide Stabilization Arrangement may be spent. Appropriations from this reserve shall require a resolution from the City Council approved by a majority of the Council. However, if there is an urgent safety need, the City Manager can authorize expenditures of this fund provided the action is brought back to the City Council at its next regular meeting.

Under either case, the City Manager shall within six months present to the City Council a plan and timeline for replenishing the Arrangement to its minimum funding level. Requests for appropriations shall occur only after exhausting the current year's budgetary flexibility and spending of the current year's appropriated contingency, if any.

# General Fund Reserve Policy

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Circumstances where the Stabilization Arrangement can be spent are:

1. Within a 12-month period, funding can be used for cash-flow purposes when timing requires a one-time reduction in cash that will be 100% restored within 12-months.
2. If unanticipated General Fund revenues in total are expected to decline more than 1% of the total projected revenues and revenues for one of the following major revenue sources are projected in the current year to fall below the actual amount from the prior year:
  - a.) Sales Taxes
  - b.) Property Taxes
3. If any one of the unforeseen and non-recurring events listed below occur that create significant financial difficulty for the City and are in excess of the current year's appropriated contingency:
  - a.) Sudden and unexpected significant facility failures that threaten the immediate safety of the City patrons, staff or the community;
  - b.) Declaration of a State of Emergency by the Governor;
  - c.) Unanticipated expenditures as a result of legislative changes from State/Federal governments in the current fiscal year;
  - d.) Acts of Terrorism declared by the Governor or the President of the United States; or
  - e.) Acts of Nature, which are infrequent in occurrence.

## II. Unfunded Obligation Stabilization Arrangement

It is in the best interest of the citizens of the City of Santa Cruz who rely upon the City for public access and services that a portion of the City's Fund Balance be set aside in an Unfunded Obligation Stabilization Arrangement in order to provide funding to offset future, unfunded obligations.

The funding target for this policy will, in lieu of a pre-established flat funding amount or percentage, be determined by City Council based on the City Manager's recommendation after consideration of General Fund projections and available financial resources.

Unfunded Obligation Stabilization Arrangement amount. There shall be no minimum funding amount.

Unfunded Obligation Stabilization Arrangement. The City Council shall authorize the City Manager to initiate an initial transfer equal to \$2.1 million from the General Fund's available Fund Balance.

## **General Fund Reserve Policy**

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Conditions under which the Unfunded Obligation Stabilization Arrangement may be spent. Appropriations from this reserve shall require a resolution from the City Council approved by a majority of the Council. Requests for appropriations shall occur only after exhausting the current year's budgetary flexibility and spending of the current year's appropriated contingency, if any.

Circumstances where the Unfunded Obligation Stabilization Arrangement can be spent are to:

1. Pay down General Fund unfunded obligations to other City funds;
2. Pay down 2011 Pension Obligation Bonds; and
3. Transfer into a trust for unfunded Post Employment Health Care obligations.

Authorization: Adopted by Council motion on June 11, 2013.



# Budget Adjustment Policy

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City of Santa Cruz I-9

Administrative Procedure Order

Section I, #9 (Revised September, 2011)

TO: Department Heads

SUBJECT: BUDGET ADJUSTMENTS

## PURPOSE

To establish policies regarding when a budget adjustment is required and what approval is required, and procedures for processing budget adjustment requests.

## POLICY

### I. Definition of Major Expense Categories

#### A. Personnel Services (51000 series)

Personnel Services objects (51000 series) are controlled by the overall total appropriation for the series within each fund/department, with the following exceptions:

- a. Overtime (Object 51114)
- b. Temporary (Object 51122)
- c. Intra- and interfund labor credits (objects 51910, 51911)

#### B. Services, Supplies, and Other Materials and Services (52000-54000 series)

Services (52000 series), Supplies (53000 series), and Other Materials and Services (54000 series) are controlled by the overall total appropriation within each fund/department for these three series combined, with the following exceptions:

- a. Intra- and interfund labor charges ( objects 52121, 52122)
- b. Internal accounts budgeted by Finance (see detail under Procedures)
- c. Councilmember individual expense accts (object 54001)

#### C. Other Charges (56000 series)

Other Charges objects (56000 series) are controlled by the overall total appropriation within each fund/department.

#### D. Capital Outlay (57000 series)

Capital Outlay objects (57000 series) are controlled by the dollar amount allocated for each object within each fund/department. Capital improvement projects are controlled by project total.

#### E. Debt Service (58000 series)

Debt Service objects (58000 series) are controlled by the dollar amount allocated for each object within each fund/department.

# Budget Adjustment Policy

F. Other Financing Uses (59000 series)

Other Financing Uses objects (59000 series) are controlled by the dollar amount allocated for each object within each fund/department.

II. Projects Overview

Projects are set up to track specific costs within a General Ledger account, and to track expenditures associated with particular revenues.

Following is a list of project types and their purposes:

<b>Project</b>		
<b>Type</b>	<b>Description</b>	<b>Used For</b>
<u>Non-Lapsing projects</u>		
Typically, appropriations for these project types are carried forward into a new fiscal year:		
a	Public Art	Public Art projects
c	Capital Improvement Projects	Projects that would normally be in the 3- Year CIP
g	Operating Grants and Donations	Expenses & revenue related to non-capital funding sources
h	HUD	HUD/CDBG/HOME housing activities
m	Maintenance Projects	Projects that involve maintenance of assets, rather than capitalizable costs
o	Other Projects	Special projects and/or expenses that do not fit into any other category.
r	Redevelopment Projects	Redevelopment Agency projects

Lapsing projects

Typically, appropriations for these project types are not carried forward into a new fiscal year:

d	Debt issues	Separate accounting for each debt obligation
e	Emergency Projects	Expense incurred during an emergency
p	Program tracking	Expenses of user-defined programs
v	Social Services Programs	Separate project for each SSP provider

# Budget Adjustment Policy

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Any change to appropriations in a project requires a budget adjustment. The level of approval required will be consistent with the provisions of the following sections, i.e., based on whether it is a new appropriation or a transfer; within or between funds, departments, or major expense categories; over or under \$50,000; etc.

## III. Appropriations for Expenditures - Council Approval Required

### A. New Appropriations

Except as noted below, all new appropriations for expenditures must be approved by the City Council.

Under the provisions of Council Policy 12.10 and Council Policy 14.3, the City Manager is authorized to accept and appropriate donations and grants of up to \$50,000, to be used for the intended purpose previously approved by Council, without formal appropriation by the City Council.

In all cases, a budget adjustment is required. The budget adjustment will include any new revenue associated with the expenditure.

### B. Transfers Between Funds

Transfers of appropriations between funds must be approved by the City Council.

### C. Transfers Between Departments

Transfers of appropriations between departments must be approved by the City Council.

### D. Transfers Between Major Expense Categories

Transfers of more than \$50,000 between major expense categories, including those within the same fund and department, must be approved by the City Council. (Transfers of up to

\$50,000 between major expense categories are approved by the City Manager.)

## IV. Appropriations for Expenditures - Administrative Budget Adjustments

### A. City Manager Approval

#### 1. Transfers Within Same Fund and Department, Same Major Expense Category

Transfers of more than \$50,000 between activities within the same department, in the same major expense category, require approval by the City Manager. (Transfers of less than \$50,000 in the same fund and department, same major expense category, may be approved by the Finance Director.)

#### 2. Specific Objects Requiring City Manager Approval

Any changes to appropriations involving the following objects of expense require the approval of the City Manager:

- a. Overtime (Object 51114)
- b. Temporary (Object 51122)
- c. Councilmember individual expense accts (Object 54001)
- d. Capital Outlay – all 57000 series objects

## Budget Adjustment Policy

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3. Transfers of Existing Appropriations Between Major Expense Categories Transfers of up to \$50,000 between major expense categories within the same fund and department are approved by the City Manager. (Transfers of more than \$50,000 between major expense categories must be approved by the City Council.)
4. Transfers of Appropriations Between Capital Improvement Projects Capital improvement projects are controlled by project total. Transfers of appropriations between capital improvement projects require the approval of the City Manager.

### B. Finance Director Approval

1. Transfers of Existing Appropriations Within Same Fund and Department, Same Major Expense Category

Transfers of up to \$50,000 between activities within the same fund and department, in the same major expense category, may be approved by the Finance Director. (Transfers of more than \$50,000 in the same fund and department, same major expense category, must be approved by the City Manager.)

2. Specific Objects Requiring Finance Director Approval

Any changes to appropriations involving the following objects of expense require the approval of the Finance Director:

- a. Internal services, supplies, and other charges objects / all objects budgeted by Finance (please call the budget manager at x. 5073 if you have questions about these objects)
- b. Intra- and interfund labor credits and charges (objects 51910, 51911, 52121, 52122)
- c. Other Charges – all 56000 series objects
- d. Debt Service – all 58000 series objects
- e. Other Financing Uses – all 59000 series objects

3. Projects

Any changes to appropriations involving projects that are not otherwise required to be approved by the City Council or City Manager require the approval of the Finance Director.

### V. Adjustments to Budgeted Revenue

Revenue associated with an expenditure appropriation requiring Council approval must be included in the budget adjustment to be approved by the City Council (i.e., Council approval is required in order to spend new, unanticipated revenue).

For changes to revenues that do not require new appropriations for expenditures, a budget adjustment may be done when there is new information indicating that there will be a significant change in anticipated revenue. Adjustments up to \$50,000 will be approved by the Finance Director. Adjustments greater than \$50,000 will be approved by the City Manager.



# Budget Adjustment Policy

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## PROCEDURES

The budget adjustment request form is to be processed electronically, with digital signatures.

The form is available on the Intranet, under the Finance Department, on the Budget page.

There are two forms available: The Standard Budget Adjustment Form has 5 lines for Revenue, 11 lines for expenditures. If more lines are needed, there is also a Long Budget Adjustment Form.

The Budget page also includes instructions for completing the budget adjustment form.

**Any questions regarding budget adjustments should be directed to the budget manager.**

### I. Budget Adjustments That Require Council Approval – Through SIRE

A budget adjustment requiring Council approval is processed as an attachment to the agenda report in SIRE.

The deadline for submitting an agenda report with a budget adjustment is **two days before the deadline for City Manager review**. Typically this will be the **Monday** two weeks before the agenda date. Departments are strongly encouraged to work with Finance early in the process, well before the deadline. Questions should be directed to the budget manager at x.5073.

The recommendation section in the agenda report must include language referring to the resolution to amend the budget. (The resolution itself is not attached to the agenda report; after approval, the Clerk's office adds a boilerplate resolution that refers to the budget adjustment that was approved by Council.)

- A. The person initiating the budget adjustment request will:
  1. open the Budget Adjustment template from the Intranet;
  2. use "File" "Save As..." (<Alt> F, A) to save the file to a local drive with a name that is related to the name used for the agenda report (e.g., "BA New Program.pdf" if it is an agenda report named "New Program");
  3. fill in the required data;
  4. digitally sign the "Requested by" line;
  5. attach the budget adjustment from the local drive to the agenda report in SIRE.
- B. The department head will review and digitally sign the budget adjustment request with the agenda report in SIRE.
- C. The budget manager and Finance Director will approve the budget adjustment through SIRE.
- D. After Council approval, the City Clerk will add the resolution number to the resolution and the budget adjustment request form, and the Administrative Assistant to the City Manager will obtain the City Manager's signature and forward the signed resolution to the "To Be Entered – Approved" Outlook folder (see further explanation under "Administrative Budget Adjustments" below).
- E. Finance staff will post the budget adjustment to EDEN, and will place a copy of the budget adjustment form in the appropriate folder on the M:\ drive, in the M:\BudgetAdjustments folder.

# Budget Adjustment Policy

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## II. Administrative Budget Adjustments

The following sections describe how the administrative budget adjustments are processed.

Administrative budget adjustments are sent to the ***dl\_BA Approval*** distribution list, and then are processed electronically using public folders in Outlook.

### A. Department Completes the Form

Departments will determine how the budget adjustment is prepared and routed through the department (e.g., whether the person requesting the budget adjustment prepares the form; how it is routed for department head signature and to *dl\_BA Approval*; etc.).

The person(s) responsible for preparing & forwarding the budget adjustment request form will:

1. Open the Budget Adjustment template from the Intranet;
2. Use "File" "Save As..." (<Alt> F, A) to save the file to a local drive with a name that describes the purpose of the BA (e.g., "*BA Finance Temp Pay.pdf*" if it is moving appropriations to the temporary pay account in the Finance Department activity – add other identifying information, such as the date, if useful;
3. Open the file from the local drive and fill in the required data;
4. Either digitally sign the "Requested by" line, or forward the form to the person making the request for signature;
5. Forward the form for department head signature.

### B. Approval Process

1. When the department head has signed the budget adjustment request, the department will E-mail it to *dl\_BA Approval*. The subject line should indicate the purpose of the budget adjustment, e.g., *BA Finance Temp Pay*.
2. The budget manager will review the budget adjustment request, and if the account strings, titles, project numbers and levels, etc. are correct and funds are available, will sign the budget adjustment form and move it to the Finance Director folder.
3. The Finance Director will sign and move the form to the [*Finance Director/*] *Signed - To Be Routed* folder, and will notify the budget manager.
4. If City Manager approval is required, the budget manager will move the form to the *City Manager* folder and will notify the Administrative Assistant to the City Manager.  
  
If City Manager approval is not required, the budget manager will move the form to the *To Be Entered – Approved* folder.
5. If City Manager approval was required, the Administrative Assistant to the City Manager will obtain the City Manager's signature, then will move the fully signed budget adjustment request form to the *To Be Entered – Approved* folder.

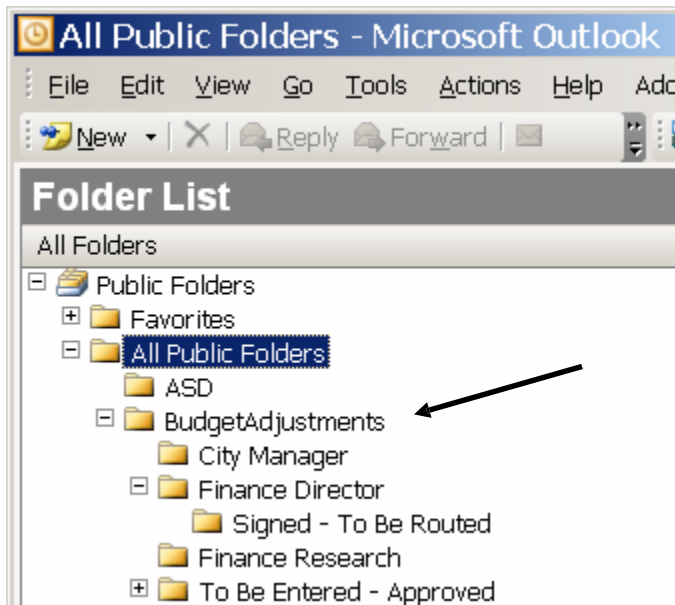
# Budget Adjustment Policy

## C. Posting to EDEN

1. Finance staff will prepare the budget adjustment to post to EDEN, will print a posting list, and will move the budget adjustment to the *[To Be Entered – Approved/] Entered* folder
2. The budget manager will review/approve the posting list.
3. Finance staff will post the budget adjustment, and will move the file to the *[To Be Entered – Approved/] Posted-move pdf to M:* folder, and then from the Outlook/Public Folders to the *Budget Adjustments* folder on the M:\ drive.

## D. Tracking Administrative Budget Adjustments

Departments may review the status of budget adjustments by looking in the Outlook public folders *Public Folders/All Public Folders/Budget Adjustments:*



Look in the folders to find the budget adjustment. The folder name indicates where it is in the queue.

## III. Year-End/New Fiscal Year Carryover and Carryforward Budget Adjustments

Under the provisions of the City Charter and the budget resolution adopted each year, creating appropriations in the new fiscal year can be done administratively for remaining encumbrances on purchase orders, and for carrying forward project budgets.

### A. Non-project Carryovers

Each year, the Purchasing division of the Finance Department will work with departments to determine which encumbrances are to be carried over into the new fiscal year.

For each purchase order that is not part of a project, Purchasing will create a budget adjustment to increase the appropriation by the carryover amount at the time the purchase order is reopened in the new FY, to be used for that purchase order (PO). (These will show up in EDEN with a document source code of *pocaryfwd.*)

## Budget Adjustment Policy

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When the final payment is made and the PO is closed, any remaining balance will be liquidated and the appropriation will be reduced by that amount. If there are unusual circumstances that require that the balance be used under another PO, please let the Purchasing manager know prior to the final payment/closing the PO.

Please note that any appropriations that are not encumbered will not be carried over, i.e., will lapse and will not be available in the new fiscal year.

### B. Project Carryforwards

For projects, appropriations will be created in the new fiscal year through project accounting. Where there are encumbrances, each purchase order will be reopened in the new FY separately from the appropriation, i.e., the PO will be reopened in the new fiscal year through the Purchasing process, and the appropriation will be handled through the project accounting carryforward process. (These will show up in EDEN with a document source code of *pacaryfwd*.)



## City Charter (Budget Sections)

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### **1402 ANNUAL BUDGET.**

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On or before the second Monday in May of each year every officer, commission or board shall transmit to the City Manager detailed estimates of income and expenditures for the ensuing fiscal year. At or before the first regular meeting of the Council in June of each year, the City Manager shall transmit to the Council a detailed budget showing estimated revenues and expenditures of the City and all departments thereof for the ensuing fiscal year.

### **1410 CHANGES IN BUDGET APPROPRIATIONS.**

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The City Manager and Director of Finance shall see that each department and officer of the City shall operate such department or office in accordance with the annual budget appropriations thereof, as nearly as may be. Any appropriation may be changed during the budget year by resolution of the Council upon application of the appropriate department head or the City Manager. If at any time the City Manager shall ascertain that available income for the year will probably be less than the total appropriations thereof, the City Manager shall recommend to the City Council curtailments of departments and offices necessary to avoid expenditures in excess of adjustments of appropriations of income and the Council shall, by resolution, make necessary changes in any appropriations. (Amended 11-3-81)

### **1411 TRANSFER OF APPROPRIATIONS.**

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Upon the recommendation of the City Manager, or with the City Manager's consent, the Council may, by resolution at any time, transfer funds from the unbudgeted reserve to any department or office that the Council may approve. (Amended 3-6-79)

### **1412 LIMITATION ON EXPENDITURES.**

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No department or officer shall, during any budget year, expend or contract for, or incur any liability which involves the expenditure of money for any purpose in excess of the amounts appropriated therefor. Any contract, written or verbal, in violation of this Charter, shall be null and void. Any officer or employee who shall violate this Section shall be guilty of a misdemeanor and upon conviction thereof shall cease to hold such office or employment. Nothing in this Section, however, shall prevent the making of contracts of lease or for services for a period beyond the budget year in which such contract was made, when such contract is otherwise permitted by law.

### SECTION 12

#### FINANCE AND ACCOUNTING

- 12.1 Authorization to Draw Money from City Treasury and Sign Checks
- 12.2 City Grant Recipients — City Accessibility to Records
- 12.3 Budgetary Policy\*
- 12.4 City-Sponsored Activities — Financial Impact
- 12.5 Transient Occupancy Tax
- 12.6 Appropriation Control
- 12.7 City of Santa Cruz Statement of Investment and Portfolio Policy
- 12.8 Public Trust Fund
- 12.9 Waiver and Payment of Fees for Special Events
- 12.10 Donations and Contributions
- 12.11 Gifts to an Agency Reporting
- 12.12 Arana Gulch Public Trust Fund Account
- 12.13 City Wide Stabilization Arrangement (Two-Month General Fund Reserve) and  
Unfunded Obligation Stabilization Arrangement \*
- 12.14 General Fund Surplus Policy
- 12.15 Economic Development Stabilization Arrangement Policy Statement
- 12.16 Cannabis Business Tax Increment Designated for a Children’s Fund

\*Council Policy 12.3 and 12.13 are listed separately in the Index section

### COUNCIL POLICY 12.1

POLICY TITLE: AUTHORIZATION TO DRAW MONEY FROM CITY TREASURY AND SIGN CHECKS

POLICY STATEMENT: The City Manager or Acting City Manager and the City Finance Director-City Treasurer or Acting Finance Director are designated as the proper City officers to draw money from the City Treasury and are authorized to sign checks upon the active and inactive bank accounts of the City of Santa Cruz.

AUTHORIZATION: Council Policy Manual Update of November 17, 1998 Resolution No. NS-24,072

### COUNCIL POLICY 12.2

POLICY TITLE: CITY GRANT RECIPIENTS — CITY ACCESSIBILITY TO RECORDS

POLICY STATEMENT: Any group which receives a grant from the City of Santa Cruz must provide the City access to records and documents required for auditing purposes when requested. This provision will be written into the contract and access to these records must be given prior to the release of any grant funds.

AUTHORIZATION: Council Policy Manual Update of November 17, 1998

### COUNCIL POLICY 12.4

POLICY TITLE: CITY-SPONSORED ACTIVITIES — FINANCIAL IMPACT

POLICY STATEMENT: Events and activities sponsored by city departments or city advisory bodies must be reviewed by the City Council if, in the opinion of the City Manager, these activities create a substantial financial impact upon the city.

AUTHORIZATION: Council Policy Manual Update of November 17, 1998

### COUNCIL POLICY 12.5

POLICY TITLE: TRANSIENT OCCUPANCY TAX

POLICY STATEMENT: It is the policy of the City Council that a portion of the Transient Occupancy Tax revenues be allocated to that agency declared to be the official visitor-serving agency of the city. Accordingly, the city's budget shall each fiscal year, provide a contribution to that agency, a portion of the current year's Transient Occupancy Tax receipts. Said contribution to be decided by the Council with input from that agency.

AUTHORIZATION: Council Policy Manual Update of November 17, 1998

## COUNCIL POLICY 12.6

POLICY TITLE: APPROPRIATION CONTROL/TRANSFERS OF APPROPRIATIONS

POLICY STATEMENT: The following policy establishes appropriation control at the Department level. More general language regarding appropriation control is found in the City Charter. More specific detail is found in Administrative Procedure Order Section I, #9 (APO I-9).

1. Transfers Within Major Expense Categories.

A. Each department's 51000 series (Personnel Services) is controlled by the overall appropriation total with some exceptions, as described in APO I-9.

B. Each department's 52000 series (Services), 53000 series (Supplies), and 54000 series (Other Materials and Services) are controlled by the overall total appropriation for these three series combined, with some exceptions, as described in APO I-9.

C. Capital Outlay (57000 series) is controlled by object.

D. Capital improvement projects are controlled by project total.

2. Transfers Between Major Expense Categories.

A. Transfers of up to \$50,000 between major expense categories must be approved by the City Manager.

B. Transfers of more than \$50,000 between major expense categories must be approved by City Council.

3. Transfers Between Activities Within Department.

A. Transfers of up to \$50,000 between activities within a department, in the same fund and in the same major expense category, may be approved by the Finance Director.

B. Transfers of more than \$50,000 between activities within a department, in the same fund and in the same major expense category, may be approved by the City Manager.

4. Transfers Between Funds. Transfers between funds must be approved by City Council.

AUTHORIZATION: Council Policy Manual Update of November 17, 1998; Revised October 10, 2006

## COUNCIL POLICY 12.7

POLICY TITLE: CITY OF SANTA CRUZ STATEMENT OF INVESTMENT AND PORTFOLIO POLICY

The revised Statement of Investment and Portfolio Policy incorporates the most recently published recommendations of the Professional and Technical Committee of the California Municipal Treasurer's Association, the California Association of County Treasurers and Tax Collectors, and the California Society of Municipal Finance Officers. The attached policy was approved by Council on June 24, 2014.

<http://www.cityofsantacruz.com/home/showdocument?id=38399>



AUTHORIZATION: Council Policy Manual Update of November 17, 1998; Amended by motion June 10, 2014.

### COUNCIL POLICY 12.8

POLICY TITLE: PUBLIC TRUST FUND

POLICY STATEMENT:

1. This policy is adopted with the understanding that the 3-year Capital Improvement Program currently being developed by the City includes high-priority, unfunded projects with a price tag far in excess of the amount to be maintained in the Public Trust Fund. In the unlikely event that the City in the future does not have such high-priority CIP projects exceeding the City's ability to fund them, this policy shall be reconsidered.
2. The principal of the Public Trust Fund shall be preserved and maintained as part of a program to meet the extensive capital improvement needs of the City.
3. Interest earnings from the Trust Fund may be used on an annual basis, may be accumulated from year to year to fund objectives that require such accumulations, may be utilized to retire indebtedness to accomplish larger objectives, or may be used in combinations of these alternatives.
4. Neither the principal nor the interest of the Public Trust Fund shall be used to meet operational needs with regularly recurring annual costs.
5. Future proceeds from the sale of, or income from the use of, the City's Skypark property shall be placed in the Public Trust Fund. The Council shall determine whether the proceeds from the sale of other property should go into the Public Trust Fund.
6. The only exception to the policy of preserving and maintaining the principal of the Trust Fund shall be in cases where use of the principal, or part of the principal, can serve to leverage a substantial contribution of funds from a non-General Fund source to complete an unfunded project of high priority in the City's Capital Improvement Program (CIP). In such cases, the principal of the Trust Fund may be utilized, after other alternatives are explored. The Council shall make every effort to replenish the principal of the Trust Fund.
7. Discussions for the Public Trust Fund shall be held annually on a calendar-year basis.

AUTHORIZATION: Council Policy Manual Update of November 17, 1998

### COUNCIL POLICY 12.9

POLICY TITLE: WAIVER, PAYMENT OF FEES AND SPONSOR STATUS FOR SPECIAL EVENTS

POLICY STATEMENT: The City Council will not waive General Fund fees or pay for Enterprise Fund fees for external special events. The City Council may financially sponsor a special event that is deemed to serve a significant public interest. If the City Council makes such a determination, funds will be appropriated for this purpose.

The City of Santa Cruz may lend its name to a special event as a sponsor or co-sponsor only if the City is a material supporter through substantial funding or substantial in-kind donations, including staff time. This policy will not apply to staff-affiliated educational or training events that support the City's direct operations or events already included in departmental budgets.

AUTHORIZATION: Council Policy Manual Update of July 8, 2003; Amended by motion April 23, 2013 and April 1, 2014

### COUNCIL POLICY 12.10

POLICY TITLE: DONATIONS AND CONTRIBUTIONS

POLICY STATEMENT: From time to time, the City receives donations and contributions to support City projects and programs. This policy establishes the procedures for accepting such donations.

#### I. Authority to Accept Donations.

A. For monetary donations less than \$50,000 per individual donation, including cash donations from non-profit organizations established to support City projects and programs, the City Manager shall have the administrative authority to accept and appropriate such donation to be used for the donor's intended purpose, for projects and programs previously approved by the City Council. No formal acceptance by the City Council is necessary.

B. For non-monetary donations, the Department Head shall have the administrative authority to accept such donation to be used for the donor's intended purpose, for projects and programs previously approved by the City Council. If the value of the donation is greater than \$50,000, the department shall give the Council an information report describing the donation and its use.

C. The Department Head shall have the authority to approve the use of monetary donations received and spent by non-profit organizations established to support City projects and programs previously approved by the City Council.

D. Monetary donations greater than \$50,000 per individual donation, and any donation for a project or program that is not part of a department's ongoing operations, or that has not otherwise been approved by the City Council, shall be placed on a City Council agenda for review.

II. It shall be the responsibility of the receiving department to send a thank you letter to the donor and to ensure that the donation is used only for its intended official City use.

III. Departments shall prepare an annual report with information about donations received. More specific detail is found in Administrative Procedure Order I-76.

AUTHORIZATION: Motion December 13, 2005 Revised November 14, 2006 FN 861 POL Donations Policy 50K

### COUNCIL POLICY 12.11

POLICY TITLE: GIFTS TO AN AGENCY REPORTING

POLICY STATEMENT: This policy is established to comply with revisions to Government Code Section 18944.2 of the Fair Political Practices Act. The Fair Political Practices Commission (FPPC) recently revised its regulations concerning the circumstances in which payments made to a state or local agency that would otherwise be considered a “gift to a public official” would now be reportable as “gifts to an agency.” Effective July 1, 2008, agencies must disclose such gifts to the agency, including monetary payments, loans, gifts or other transfers, provisions of goods or services, or other benefits to the agency within 30 days of their use by the official.

The regulation applies to public officials and agency heads as identified in the City’s Conflict of Interest Code. Payments that might otherwise be considered gifts to these officials and reportable on Statements of Economic Interests (FPPC Form 700), may now be considered gifts to the City under the following circumstances, and reportable on FPPC Form 801 instead:

- The gift must be made to the City directly and controlled by the City.
- The gift must be for official City business.
- The donor cannot designate the official, only the purpose, for which the gift is intended.
- The recipient must report the payment on Form 801 to the City Clerk within 30 days after the use of the payment.
- The Form 801 must be posted on the City’s website.
- Payments to local elected officers for travel, lodgings and meals are excepted, and should still be reported on FPPC Form 700.

AUTHORIZATION: Adopted by Council 11/25/08

### COUNCIL POLICY 12.12

POLICY TITLE: ARANA GULCH PUBLIC TRUST FUND ACCOUNT

POLICY STATEMENT: Future proceeds from the sale of the property formerly known as “Broadway-Brommer Road Right of Way,” located between Frederick Street and Hagemann Gulch, shall be placed in an account of the Public Trust Fund for use on implementation of the Arana Gulch Master Plan, including Santa Cruz Tarplant habitat, riparian and coastal prairie habitat restoration and management.

AUTHORIZATION: Santa Cruz City Council Motion on November 8, 2011; effective only upon Californail Coastal Commission approval of the Arana Gulch Master Plan.

## COUNCIL POLICY 12.14

POLICY TITLE: GENERAL FUND SURPLUS POLICY

POLICY STATEMENT: It is in the best interest of the citizens of Santa Cruz who rely upon the City for public access and services that the City should continue to prioritize allocation of General Fund resources towards critical, core municipal services that are in alignment with the City Council's goals. Therefore, the City Council shall authorize the establishment of the General Fund Surplus Policy to broadly define how future surpluses should be dedicated.

This policy shall be defined by the General Fund's Fiscal Year audited, final results and shall begin with Fiscal Year 2014. It shall create the following allocation of any annual surplus:

1) Any surplus shall be used to replenish any General Fund reserves up to their minimum level and to maintain a General Fund available Fund Balance for operational needs of 5% of the current fiscal year's Adopted Total General Fund Operating Expenditure Budget.

2) Any remaining surplus in excess of \$100,000 shall be allocated to the following operational needs:

a. 30% will be transferred into the Capital Improvement Program to be allocated first to any unfunded facility, vehicle, and equipment capital projects, and then to any new facility, vehicle, and equipment capital projects; and

b. 20% will be transferred into the Capital Improvement Program to be allocated first to any unfunded infrastructure capital projects, and then to any new infrastructure capital projects. Priority will be given to those roadway improvements that are currently below a Pavement Condition Index of 70; and

c. 30% will be dedicated towards reduction of debt obligations in the following priority:

- i. To pay down any General Fund internal loans payable to other City funds;

- ii. To fund unfunded obligations in the retirement or health programs;

- iii. To reduce any other outstanding debt obligations.

d. 20% may be used for ongoing increases in service levels and/or personnel cost increases within the City Council's Goals based upon on a maximum of 20% of the average surplus amount of the prior three fiscal years, with a minimum of 10% of the surplus committed towards employment cost increases.

Circumstances where this policy may be postponed include:

1) If any one of the unforeseen and non-recurring events listed below occur that create significant financial difficulty for the City:

- a. Sudden and unexpected significant facility or infrastructure failures that pose a safety risk to staff or the community;

- b. Declaration of a State of Emergency by the Governor;

- c. Unanticipated expenditures as a result of legislative changes from State/Federal governments in the current fiscal year;
- d. Acts of Terrorism declared by the Governor or the President of the United States;
- e. Acts of Nature which are infrequent in occurrence;
- f. Other catastrophic events which are infrequent in occurrence.

AUTHORIZATION: Adopted by motion September 9, 2014

### COUNCIL POLICY 12.15

POLICY TITLE: ECONOMIC DEVELOPMENT STABILIZATION ARRANGEMENT

POLICY STATEMENT: It is in the best interest of the citizens of Santa Cruz who rely upon the City for public access and services that the City should continue to invest in economic development projects and initiatives to create jobs and provide tax revenue for the community.

Therefore, the City Council shall authorize the establishment of a continuing and non-lapsing Economic Development Stabilization Arrangement.

**Stabilization Arrangement amount.** The funding shall be built up over time through annual operating transfers from the General Fund. The amount of the transfer shall be equal to the sum of the calculated 1% point increase in the Transient Occupancy Tax effective July 1, 2013 and of the annual Redevelopment Property Tax Trust Fund distribution, less the General Fund's portion of the net annual operating costs of the economic development activities within the Economic Development Department.

**Contributions and immediate funding of the System-Wide Stabilization Arrangement.** The City shall authorize the City Manager to transfer the appropriate amount of funds calculated above in a reasonable period of time following the completion of the prior year's Comprehensive Annual Financial Report.

**Conditions under which the System-Wide Stabilization Arrangement may be spent.** Appropriations shall require a resolution from the City Council. However, if there is an urgent and significant public benefit, the City Manager can authorize expenditures of up to 10% of this fund provided it is brought back to the Council at its next meeting for ratification.

Circumstances where the Stabilization Arrangement can be spent are:

- 1) As necessary to fund economic development project costs associated with but not limited to: infrastructure projects; business façade improvements; business retention incentives; business recruitment incentives; and grant funding.
- 2) As necessary to fund City of Santa Cruz staff costs to administer projects identified in circumstance 1 above.

Circumstances where this may be postponed include:



1) If any one of the unforeseen and non-recurring events listed below occur that create significant financial difficulty for the City:

- a. Sudden and unexpected significant facility or infrastructure failures that pose a safety risk to staff or the community;
- b. Declaration of a State of Emergency by the Governor;
- c. Unanticipated expenditures as a result of legislative changes from State/Federal governments in the current fiscal year;
- d. Acts of Terrorism declared by the Governor or the President of the United States;
- e. Acts of Nature which are infrequent in occurrence;
- f. Other catastrophic events which are infrequent in occurrence.

AUTHORIZATION: Adopted by motion September 9, 2014

### COUNCIL POLICY 12.16

POLICY TITLE: CANNABIS BUSINESS TAX INCREMENT DESIGNATED FOR A CHILDREN'S FUND

POLICY STATEMENT: It is the policy of the City Council that when the City of Santa Cruz's Cannabis Business Tax rate is increased from seven percent (7%) to eight percent (8%), revenues from the additional one percent (1%) tax rate increase will be designated for the creation and funding of a dedicated Children's Fund. This Children's Fund will support enhancement and expansion of evidenced based programs to prioritize access to early childhood development, prevention, and vulnerable youth programs, without supplanting existing City of Santa Cruz services or investments.

The available funding will be calculated based on the prior year's audited financial statements. The specific allocation of funds will be determined by the City Council on an annual basis as part of the budget process. The City Council may designate a process for obtaining recommendations related to the use or award of the funds.

AUTHORIZATION: Adopted by Resolution No. NS-29,323 November 14, 2017

# Grant Submittal and Administration Policy

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City of Santa Cruz I-17

Administrative Procedure Order  
Section I, #17 (Revised July 2016)

TO: Department Heads

SUBJECT: GRANT SUBMITTAL AND ADMINISTRATION

## PURPOSE

To clarify procedures for the application, approval, and administration of grants.

## POLICY

Except in certain situations (described in Section II.e.), prior to the submittal of a grant application to the funding agency, the City Council shall authorize its submittal at a City Council meeting. Whenever possible, City Council approval of a grant application for significant projects, programs, or equipment should precede any request to other governmental bodies for letters in support of the application, regardless of grant value. If awarded, grants exceeding \$50,000 in value shall also be formally accepted by the City Council, unless its acceptance was preauthorized at the time of application.

## PROCEDURE

- I. General Responsibility of City Departments
  - a. City departments shall be responsible for seeking and administering grants in their services areas.
  - b. The department receiving the grant shall be responsible for preparing and submitting the grant application. If assistance is required from other departments, the departments should be engaged in a timely manner.
  - c. The Planning Department shall be responsible for providing advice to the departments, if requested, to determine what environmental documents are necessary for the project. The department receiving the grant will be responsible for preparing the environmental documents as necessary.
  - d. The Finance Department shall serve as the fiscal coordinator for all grants and shall act as the liaison with the auditors of the granting agencies.
  - e. The City Clerk's Division shall be the primary office of record for all grant contracts and agreements.
- II. City Council Approval of Application
  - a. Grant application requests should be agendaized for City Council authorization in advance of submittal. The agenda report should include, to the best of the department's knowledge, a description of the grant program or project, amount requested, and anticipated fiscal impacts associated with acceptance of the grant (i.e., staff time, local financial match, or equipment costs to be incurred by City departments). If a resolution is required by the funding agency, a draft should be included in the agenda report.

# Grant Submittal and Administration Policy

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- b. Preauthorization to accept the grant and execute any and all agreements if the grant is awarded may be included in the agenda report's motion or resolution.
- c. If a signed and certified copy of the adopted City Council resolution is required for enclosure in the grant application to the funding agency, the department shall request this of the City Clerk's Division in advance of the City Council meeting.
- d. Following City Council approval, the department shall submit the application and transmittal letter to the granting agency and retain copies per the City's records policy.
- e. Exemptions from City Council Preauthorization to Apply
  - i. Any grant applications that cannot be presented to the City Council for authorization prior to submittal due to time constraints with the application deadline will be brought to a future City Council meeting for authorization as soon as possible and prior to the acceptance of the grant by the department. In the intervening time, prompt notice of the grant application to the City Council should be provided.
  - ii. Any grant that is sought to implement the City Council-approved Capital Improvement Program, a City Council-approved master plan, or a City Council-approved project shall not require a preauthorization of the application by the City Council. Such applications will appear on the monthly grant reporting for the Council's information (Section V.b.).
  - iii. Any grant application that entails a replacement of equipment shall not require a preauthorization by the City Council.

## III. Grant Acceptance, Contracting, and Receipt of Funds

- a. Grants awarded of up to \$50,000 in value may be administratively accepted by the City Manager.

For grant awards exceeding \$50,000, the receiving department shall prepare a budget adjustment request and an agenda report authorizing the City to accept the grant and execute and submit all documents that may be necessary to complete the project. If the City Council approval to apply for the grant also preauthorized its acceptance if awarded, this step is not necessary. Likewise, for grants exempt from City Council preauthorization (Sections II.e.ii. and iii.) or if an award was anticipated during the preparation of the budget and a budget adjustment is technically unnecessary, the grant need not be presented to the City Council upon award.
- b. Upon receipt of the contract documents from the funding agency, the department receiving the grant shall be responsible for acquiring all necessary signatures and returning contracts to the funding agency. A copy of the executed contract shall be forwarded to the City Clerk's Division and Finance Department.
- c. All checks and letters of credit shall be made payable to the City Treasurer and addressed to the City of Santa Cruz, c/o Finance Department.

# Grant Submittal and Administration Policy

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- d. Any grant checks and supporting documentation received by a department shall immediately be forwarded to the Finance Department.
  - e. The Finance Department will deposit all checks, entering the grant number and title.
- IV. Reporting to Funding Agency
- a. During the grant program period, the submittal of any required program progress reports, financial reports, or claim documents shall be the responsibility of the department administering the grant. Upon the request of the department administering the grant, the Finance Department will assist in the preparation of financial reports and claims documents. The Finance Department will receive a copy of all claim requests.
  - b. Upon the completion of a grant-funded project, the department administering the grant shall inform appropriate departments of the completed project. Final project reports and evaluations, as required by granting agencies, are the responsibility of the department administering the grant.
- V. Reporting to Council
- a. The Finance Department will track and maintain records on the status of all grants.
  - b. The City Manager's Office shall prepare a monthly information report for the City Council with information on the status of all grants. Councilmembers may call up a grant to a regular City Council meeting for City Council deliberation and action.

# Donations & Contributions Policy

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City of Santa Cruz I-76

Administrative Procedure Order  
Section I, #76 (Revised August 2014)

TO: Department Heads  
SUBJECT: DONATIONS AND CONTRIBUTIONS

## POLICY

Council Policy 12.10 gives the City Manager authority to accept and appropriate donations and contributions up to \$50,000 to support projects and programs previously approved by the City Council, without formal acceptance by the City Council. Appropriation of all other donations must be approved by the City Council.

The receiving department is responsible for sending a thank you letter to the donor and for ensuring that the donation is used only for its intended use. In addition, departments are required to prepare annual reports regarding donations and contributions received.

## PROCEDURE

### I. Cash Donations and Contributions

Cash donations (cash, check, money order, or credit card) will be received through the City's cash receipting system. Departments that do not have their own cash receipting stations will forward revenue vouchers to the Finance Department Revenue Division.

### II. Budgeting Cash Donations and Contributions

Generally, expenditures should not be budgeted until after donations have been received, i.e., appropriations for expenditures should not be based on anticipated donations, but on revenues already received. Appropriations for expenditures may be included in the Adopted Budget, if the funds, or a commitment for the funds, have already been received. The budget may also include anticipated funds such as those expected to be included in the State or other agency budget.

#### A. Budget Adjustment Required

For donations and contributions not appropriated as part of the Adopted Budget, departments will request a budget adjustment, as described in APO I-9. This should include an adjustment to the revenue estimate as well as the appropriation for expenditures. The "Reason for Adjustment" section should include information about City Council approval of the project or program, e.g., when City Council approved the project or program, or that it is part of the department's normal ongoing operations.



# Donations & Contributions Policy

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General contributions and donations that do not require appropriations, i.e., are not designated for specific purposes or programs and will instead offset existing appropriations, do not require a budget adjustment.

## B. Donations Funds

For General Fund activities, donations and contributions will be received into, and appropriations for expenditures made in, Fund 161 (Contributions and Donations - General) or 162 (Contributions and Donations - Parks and Recreation).

When all or a portion of the donation will pay for personnel costs, it will be budgeted as an intrafund labor cost in the donations fund, and as an intrafund labor credit in the home activity for the position(s) charging to the donation.

Interest on unspent donations and contributions will be allocated to the General Fund, unless otherwise required by the terms of the donation or contribution.

## C. Project Accounting

All cash donations and contributions, in all funds, will be budgeted in a project in project accounting, with all revenues received into and expenditures charged to the project.

## III. Cash Donations Spent by Others on Behalf of City Projects or Programs

The department head has the authority to approve the use of monetary donations received and spent by nonprofit organizations established to support City projects and programs previously approved by the City Council. A description of these donations should be included in the annual report.

## IV. Non-Cash Donations and Contributions

Departments may receive non-cash donations to support City projects, programs, or events. If the value of the donation is greater than \$50,000, the department should give the City Council an information report describing the donation and its use, with a copy to the Finance Department. A description of these donations should be included in the annual report.

Departments may receive donations of or improvements to City assets. The department should notify the Finance Department at the time the improvement or asset is accepted. If the value of the donation is greater than \$50,000, the department should also give the City Council an information report describing the donation and its use.

Departments have the same custodial responsibility for maintaining control of and reporting on donated assets as they have for any other City assets.

## V. Annual Report

Departments will prepare an annual report on donations/contributions, including funds spent on behalf of the City by outside organizations (e.g., F.O.P.A.R., Museum Association, sports leagues) and in-kind contributions.

# Donations & Contributions Policy

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Departments will submit these reports to the Finance Department by the end of the second week in September of each year, and will include information regarding activity for the previous fiscal year (ending June 30<sup>th</sup>). The Finance Department will consolidate the information from departments into a report to the City Council.

## VI. Thank-You Letter

The receiving department will send a thank you letter to the donor. The letter should include the following information:

The City of Santa Cruz is a California municipal corporation. Contributions made directly to a city may be deductible if the gift is made exclusively for public purposes and the donor does not receive any substantial benefit in return for the contribution. The Internal Revenue Code may limit the percentage of charitable deductions allowed in certain circumstances.

The City's Federal Tax Identification Number is 94-6000427.

Please consult your tax advisor to determine how tax regulations apply to your own circumstances.

<b>BFCC</b>	Beach Flats Community Center
<b>CAFR</b>	Comprehensive Annual Financial Report
<b>CalPERS</b>	California Public Employees' Retirement System
<b>CDBG</b>	Community Development Block Grant
<b>CIP</b>	Capital Investment Program
<b>COP</b>	Certificates of Participation
<b>CPVAW</b>	Commission for the Prevention of Violence Against Women
<b>CRM</b>	Cooperative Retail Management
<b>CSO</b>	Community Service Officer
<b>DMC</b>	Downtown Management Corporation
<b>DOF</b>	Department of Finance (California)
<b>ED</b>	Economic Development
<b>EOC</b>	Emergency Operations Center
<b>FTE</b>	Full-Time Equivalents
<b>FY</b>	Fiscal Year
<b>GAAP</b>	Generally Accepted Accounting Principles
<b>GASB</b>	Governmental Accounting Standards Board
<b>GFOA</b>	Government Finance Officers Association
<b>GIS</b>	Geographic Information System
<b>GO</b>	General Obligation
<b>IHAPP</b>	Inclusionary Housing Affordability Preservation Program
<b>ISF</b>	Internal Services Fund
<b>IT</b>	Information Technology
<b>JPA</b>	Joint Powers Authority

## Acronyms

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<b>LAIF</b>	Local Agency Investment Fund
<b>LMIHF</b>	Low and Moderate Income Housing Fund
<b>MBNMS</b>	Monterey Bay National Marine Sanctuary
<b>MOU</b>	Memorandum of Understanding
<b>OES</b>	Office of Emergency Services
<b>OPEB</b>	Other Post-Employment Benefits
<b>PEPRA</b>	Public Employees' Pension Reform Act
<b>PERS</b>	Public Employees' Retirement System
<b>POB</b>	Pension Obligation Bond
<b>RDA</b>	Redevelopment Agency
<b>ROPS</b>	Recognized Obligation Payment Schedule
<b>SA</b>	Successor Agency (City of Santa Cruz, as Successor Agency to the former Santa Cruz Redevelopment Agency)
<b>SCC</b>	Santa Cruz County
<b>SCMU</b>	Santa Cruz Municipal Utilities
<b>SCO</b>	State Controller's Office (California)
<b>TOT</b>	Transient Occupancy Tax
<b>UCSC</b>	University of California, Santa Cruz
<b>UUT</b>	Utility Users Tax
<b>VLF</b>	Vehicle In-Lieu Fees
<b>WSAC</b>	Water Supply Advisory Committee

**Accrual Basis** - Revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the related cash flows take place.

**Activities** - Specific services performed in accomplishing Department objectives and goals.

**Appropriation** - An authorization made by Council that permits the City to incur obligations and to make expenditures of resources.

**Assessed Valuation** - A value established for real property for use as a basis in levying property taxes. For all agencies in the State of California, assessed value is established by the County for the secured and unsecured property tax rolls; the utility property tax roll is valued by the State Board of Equalization. Under Article XIII of the State Constitution (Proposition 13 adopted by the voters on June 6, 1978), properties are assessed at 100% of full value. Proposition 13 also modified the value of real taxable property for fiscal 1979 by rolling back values to fiscal 1976 levels. From this base of assessment, subsequent annual increases in valuation are limited to a maximum of 2%. However, increases to full value are allowed for property improvements or upon change in ownership. Personal property is excluded from these limitations, and is subject to annual reappraisal. Property taxes for general purposes cannot exceed 1% of assessed value.

**Audit** - Prepared by an independent certified public accountant (CPA), the primary objective of an audit is to determine if the City's financial statements fairly present the City's financial position and results of operations in conformity with generally accepted accounting principles. In conjunction with performing an audit, independent auditors customarily issue an Independent Auditors' Report stating the adequacy of the City's internal controls as well as recommending improvements to the City's financial management practices.

**Bonds** - A form of borrowing (debt financing) which reflects a written promise from the City to repay a sum of money on a specific date at a specified interest rate. Bonds are used to finance large capital projects such as buildings, streets, and utility infrastructure.

**Budget** - A financial plan for a specified period of time that matches projected revenues and planned expenditures to municipal services, goals, and objectives.

**Budget Amendment** - Under the City Charter, the Council has the sole responsibility for adopting the City's budget, and may amend or supplement the budget at any time after adoption by majority vote. The City Manager has the authority to approve administrative adjustments to the budget as long as those changes will not have a significant policy impact nor affect budgeted year-end fund balances.

**Budget and Fiscal Policies** - General and specific guidelines adopted by the Council that govern financial plan preparation and administration.



**Budget in Brief** - Included in the opening section of the budget, the Budget in Brief is a message from the Finance Director that provides the Council and the public with a summary of key financial indicators of the City's Annual Budget.

**Budget Message** - Included in the opening section of the budget, the Budget Message provides the Council and the public with a general summary of the most important aspects of the budget, changes from previous fiscal years, and the views and recommendations of the City Manager.

**Budget** - A financial plan for a specified period of time that matches projected revenues and planned expenditures to municipal services, goals, and objectives.

**Capital Assets** - Expenditures of a long-term nature such as land, buildings, machinery, furniture, and other equipment. The City has defined such assets as those with an expected life in excess of one year and an acquisition cost in excess of \$5,000.

**Capital Investment Program (CIP)** - A multi-year program (plan) for maintaining or replacing existing public facilities and assets, and for building or acquiring new ones.

**Capital Outlay** - Expenditures to acquire, rehabilitate, or construct general capital assets and major improvements.

**Carryover** - Unspent funds, either encumbered, reserved, or unobligated, brought forward from prior fiscal years.

**City Stabilization Reserve** - Established by Council June 11, 2013, this reserve covers a two-month operating balance that could be used to temporarily offset significant revenue declines, to temporarily offset cash flow needs or for urgent facility costs to preserve safety or operations. Should the reserve fall below two months, it would require notification and may require approval by bond holders and a report to the City Council on the strategy to return to the minimum funding level. The remaining portion for the unfunded obligation reserve (initially funded at \$2.1 million) could be used to pay down internal loans, pension obligation bonds, or offset post-employment health care obligations.

**Climate Action Plan** - In order to improve the energy efficiency of municipally owned and/or operated facilities, the City has developed a Climate Action Plan. Projects that support the Climate Action Plan have been identified in the CIP section of the budget.

**Debt Financing** - Borrowing funds for capital improvements needed today and pledging future revenues to repay principal and interest expenditures (see Debt Service).

**Debt Instrument** - Method of borrowing funds, including general obligation bonds, revenue bonds, lease/purchase agreements, lease-revenue bonds, tax allocation bonds, certificates of participation, and assessment district bonds.

**Debt Service** - Payments of principal and interest on bonds and other debt instruments according to a pre-determined **schedule**.

**Debt Service Fund** - This fund type is used to account for the payment and accumulation of resources related to general long-term debt principal and interest; debt service payments related to enterprise operations are directly accounted for in those funds.

**Department** - A major organizational unit of the City that has been assigned overall management responsibility for an operation or a group of related operations within a functional area.

**Encumbrance** - Financial commitments, such as Purchase Orders or contracts, which are chargeable to an appropriation and for which a portion of the appropriation is reserved.

**Enterprise Funds** - Enterprise funds establish a separate accounting and financial reporting mechanism for municipal services for which a fee is charged in exchange for goods or services. It is the City's intent that the costs (including depreciation) of providing goods or services to the general public be financed or recovered primarily through user charges. The City has established five enterprise funds: Water, Waste Water, Storm Water, Refuse, and Parking.

**Expenditure** - The outflow of funds paid or to be paid for assets, goods, or services, regardless of when the invoice is actually paid. This term applies to all funds. Note: An encumbrance is not an expenditure; an encumbrance reserves funds to be expended (see Encumbrance).

**Financial Position** - The term financial position is used generically to describe either fund balance or working capital. Because governmental and enterprise funds use different bases of accounting, fund balance and working capital are different measures of results under generally accepted accounting principles. However, they represent similar concepts: resources available at the beginning of the year to fund operations, debt service, and capital improvements in the following year.

**Fiscal Year** - The beginning and ending period for recording financial transactions. The City has specified July 1 to June 30 as its fiscal year.

**Fund Balance** - Also known as financial position, fund balance for the governmental fund types is the excess of fund assets over liabilities, and represents the cumulative effect of revenues and other financing sources over expenditures and other financing uses. Fund balance is a similar (although not exact) concept as working capital in the enterprise funds (see Working Capital).

**Fund** - An accounting entity that records all financial transactions for specific activities or government functions. The six generic fund types used by the City are: General Fund, Special Revenue, Debt Service, Capital Projects, Enterprise, and Internal Service Funds.

**General Fund** - The primary operating fund of the City, all revenues that are not allocated by law or contractual agreement to a specific fund are accounted for in the General Fund. Except for subvention or grant revenues restricted for specific uses, General Fund resources can be utilized for any legitimate governmental purpose (see Fund).

**Goal** - A statement of broad direction, purpose, or intent.

**Governmental Funds** - Funds generally used to account for tax-supported activities. The City utilizes four different types of governmental funds: the General Fund, Special Revenue Funds, Debt Service Funds, and Capital Projects Funds.

**Investment Revenue** - Interest income from the investment of funds not immediately required to meet cash disbursement obligations.

**Major City Goals** - Provides policy guidance and direction for the highest priority objectives to be accomplished during the year.

**Modified Accrual** - An accounting method that recognizes revenues when they become available and measurable, and recognizes expenditures when liabilities are incurred.

**Objective** - A statement of specific direction, purpose, or intent, based on the needs of the community and the goals established for a specific program.

**Operating Budget** - The portion of the budget that pertains to daily operations and delivery of basic governmental services.

**Operations** - A grouping of related programs within a functional area.

**Overhead** - Administrative costs associated with city-wide operations which cannot be attributed to any specific department. These costs are subject to a city-wide overhead cost allocation plan to distribute costs on an equitable basis to departments and funds that are the beneficiaries of such expenses.

**Reserve** - An account used to indicate that a portion of a fund's balance is legally restricted for a specific purpose and is, therefore, not available for general appropriation.

**Revenue Bonds** - Bonds sold to construct a project that will produce revenues pledged for the payment of related principal and interest.

**Special Revenue Funds** - This fund type is used to account for the proceeds from specific revenue sources (other than Trusts or major capital projects) that are legally restricted to expenditures for specific purposes.

**Subventions** - Revenues collected by the State (or other level of government) that are allocated to the City on a formula basis. The major subventions received by the City from the State of California include motor vehicle in-lieu and gasoline taxes.

**Trust** - (As related to City Trust, ED Trust, etc.) A fund established to hold funds dedicated to a specific project or purpose.

**Working Capital** - Also known as financial position in private sector accounting, and in enterprise fund accounting in the public sector, working capital is the excess of current assets over current liabilities. For the enterprise funds, this term is a similar (although not exact) concept as fund balance in the governmental fund types.

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# City of Santa Cruz

California

## Capital Investment Program

July 1, 2021 – June 30, 2025





## **Guide to the Adopted Capital Investment Program Budget FY 2021 – FY 2025**

### **CAPITAL INVESTMENT PROGRAM**

The City faces an ongoing challenge to meet its capital needs with limited resources. The preparation and adoption of the Capital Investment Program (CIP) is an important part of the City's planning process to identify and meet those needs. It is a multi-year schedule of projects with their associated costs and proposed funding sources. The CIP represents the best efforts to allocate available resources toward projects that provide the most benefit for the people of Santa Cruz. It also highlights areas where funding is deficient.

Generally, projects in the CIP are relatively large-dollar amount, nonrecurring outlays and are for the purpose of constructing, purchasing, improving, replacing or restoring assets with multi-year useful life. In addition, certain special projects and activities are included.

The CIP includes proposed projects for the next five fiscal years. It also describes projects that will be carried over from the current fiscal year. The first year of the CIP is, by and large, incorporated into and adopted with the annual budget.

### **FINANCING**

The two basic methods to fund the CIP projects are (1) pay-as-you-go, which requires use of current revenues or cash on hand; and (2) pay-as-you-use, which uses debt financing to spread acquisition costs over the period of time the City plans to use a capital asset. Funds dedicated to pay-as-you-go include a share of transient occupancy taxes, grants, parks facilities taxes and fees, traffic impact and other development fees, and user fees in the enterprise funds. Both of these funding methods are useful, depending on the nature of the projects.

### **USING THE CIP**

The Capital Investment Program is divided into five sections:

- Projects that Support the City's Climate Action Plan
- Projects that are unfunded in fiscal years 2021-2025
- Maps of existing and potential future projects
- New Projects
- Existing Projects

### **PROJECTS**

This section provides information on proposed capital investments over the next 5 years. It is organized by primary fund or funding source and function. Within each subsection projects are arranged alphabetically.

Each project is identified by a project name and number (if previously assigned), and a project description/justification. It also includes a total project cost estimate. If applicable, the project cost estimate is reduced by additional outside funding sources, to arrive at a "net expenditure". This is the net amount that must be funded by the primary funding source of the fund. This information is provided for each of the periods displayed:

- **"Prior Years"** Shows the total amount that has been spent on the project in prior years up to the end of the last fiscal year.
- **"2020 Budget"** Shows the total amount appropriated for the current fiscal year.
- **"2020 Estimated"** Shows the total amount of the FY 2020 funding that will be spent on the project, including what has been spent or encumbered and what will be carried forward into FY 2021.
- **"2021 Adopted", "FY 2022 Estimated", "FY 2023 Estimated", "FY 2024 Estimated", and "FY 2025 Estimated"** Each individual column shows the adopted (year 2021 only) and the future estimated funding required for the project for each identified fiscal year.
- **"Total 2021 - 2025"** Shows the total adopted funding required for the projects in FY 2021, and the total estimated funding required for FY 2022, FY 2023, FY 2024, and FY 2025.



**Guide to the Adopted  
Capital Investment Program Budget  
FY 2021 – FY 2025**

At the end of each section is a summary of total project costs, funding sources, and net project costs for capital projects, maintenance and other projects, and a combined total for the fund(s).

**UNFUNDED PROJECTS**

The Unfunded Projects section includes those projects that will be proposed once funding can be identified, and staff resources are available.

**FUND BALANCE PROJECTIONS**

This section includes a Five-year projection of sources, uses and fund balances for each fund or funding source included in the CIP. An overview of the impact of projected revenue and expenditures on fund balances over time can be seen in these tables. Fund balance projections for the following funds are included in the “Fund Balance Projection” section of the CIP. The following table identifies funding sources for each fund:

Fund	Primary Funding Source	Major Project Types
<b>Governmental:</b>		
Capital Improvement Fund-General	Grants, share of transient occupancy tax, General Fund subsidy	Storm drains, transportation, government, buildings, parks, playgrounds, wharf, sidewalks, utility undergrounding, and lighting
Capital Improvement Fund - Arterial Streets and Roads Fund	Grants, City Public Trust Fund	Transportation
Clean Rivers, Beaches, and Ocean Tax Fund	Tax assessments	Environmental, education
Community Development Block Grant (CDBG) Fund	Grants	Housing and Community Development
Gas Tax Fund	State gasoline tax	Transportation
2016 Transportation Measure D	Sales tax	Transportation
Parks and Recreation Fee Fund	Subdivision developer impact fees	Parks, playgrounds, community centers, and other Parks and Recreation facilities
Parks and Recreation Facility Tax Fund	Residential construction excise tax	Parks, playgrounds, community centers, and other Parks and Recreation facilities
RDA/SA-Redevelopment Obligation Retirement Fund	Property Tax	Successor Agency Funds
RDA/SA - Low/Mod Income Housing Funds	Property Tax	Affordable Housing Construction
RDA/SA-Capital Projects Fund	Property Tax	Street improvements, property rehabilitation, economic development, and other capital improvements
RDA/SA-Capital Projects-Admin	Property Tax	Administrative Costs of Successor Agency.
RDA/SA-Capital Projects-Del Mar Theater	Property Tax	Property management, maintenance and capital improvements to the Del Mar
SA City Low/Mod Income Housing Funds	Property Tax	Housing and Community Development
<b>Enterprise:</b>		
Water Fund	User Fees	Water system infrastructure
Water Fund - SDC	User Fees	Water system Development
Wastewater Fund	User Fees	Wastewater system infrastructure
Refuse Fund	User Fees	Sanitation, recycling and landfill infrastructure
Parking Fund	User Fees	Downtown parking district facilities, including parking lots and structures
Storm Water Fund	Storm water fees	Flood control facilities
Storm Water Overlay Fund	Storm water fees	Flood control facilities

**City of Santa Cruz  
Capital Investment Program  
Fiscal Years 2021-2025  
Unfunded Projects**

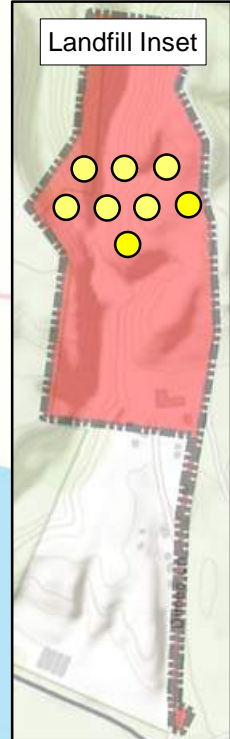
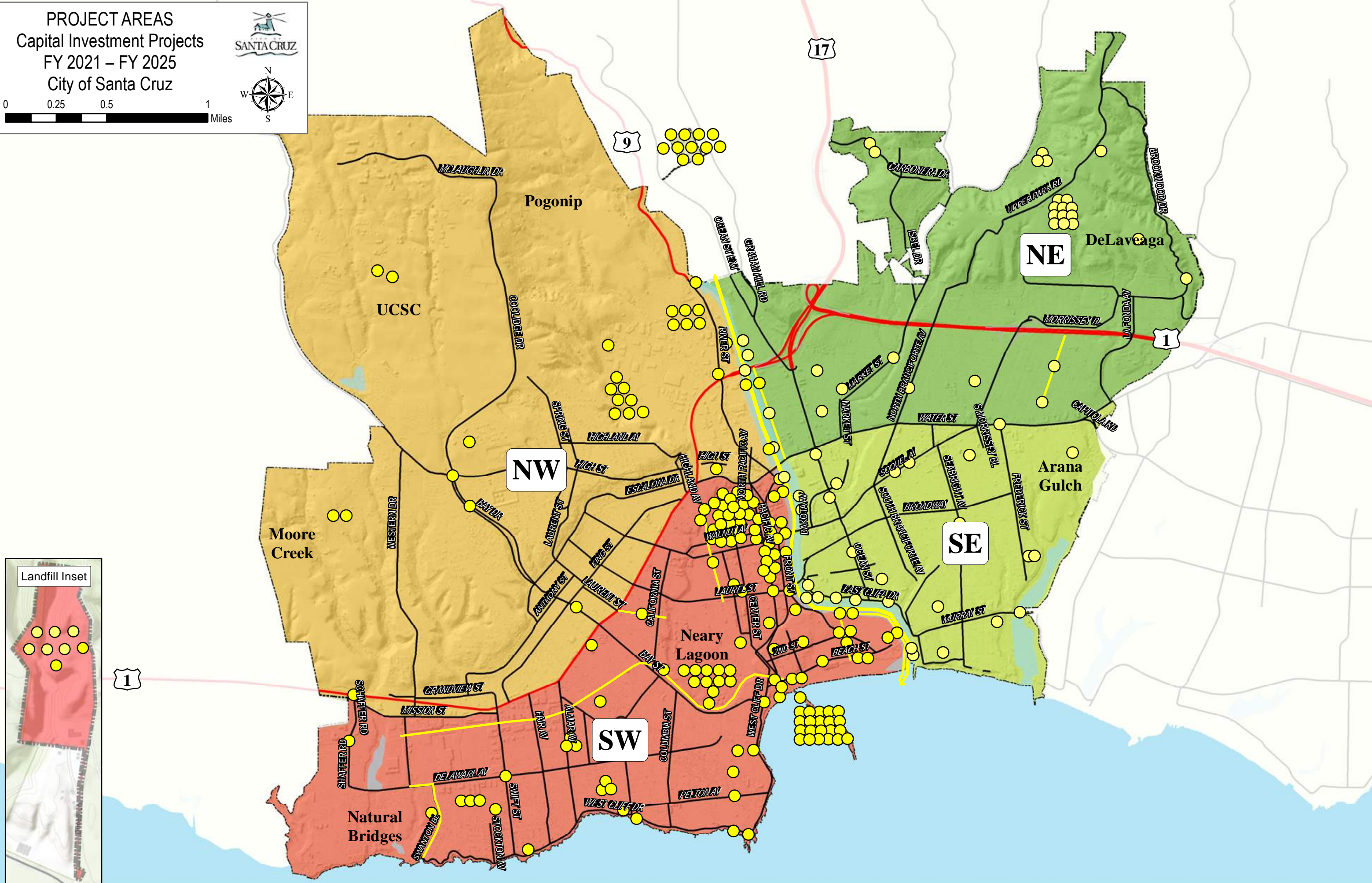
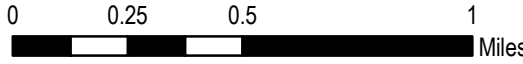
	<b>ESTIMATED TOTAL</b>
<b><u>Fire Department:</u></b>	
Fire Department Training Center	2,000,000
Fire Administration Security Fencing	75,000
Fire Station 2 (Eastside) Exercise & Storage Facility	250,000
Fire Station 2 Replacement	5,500,000
Marine Safety Headquarters Replacement	3,750,000
Fire Station 3 Rear Driveway	30,000
Fire Station 3 Rear Expansion-Apparatus Bay	350,000
<b>Total Fire Department Unfunded Projects:</b>	<b>11,955,000</b>
<b><u>Parks and Recreation Department:</u></b>	
Pogonip Clubhouse Renovation	5,000,000
Civic Auditorium Renovations - <b>(\$2 to \$22 million)</b>	2,000,000
Wharf Master Plan Implementation - <b>(\$1 to \$20 million)</b>	1,000,000
<b>Total Parks and Recreation Department Unfunded Projects:</b>	<b>8,000,000</b>
<b><u>Public Works Department:</u></b>	
<b><u>Citywide Storm Drains:</u></b>	
Branciforte Creek Scour Repair and Maintenance	1,500,000
City Hall Parking Lot Repairs	80,000
Curtis Street Storm Drain Phase II	500,000
Grant Street Storm Drain Installation	400,000
Mission Street Extension Storm Drain	100,000
Pogonip Creek Sedimentation Removal	250,000
Storm Drain Master Plan Projects	5,000,000
Soquel-Pine Street Improvements and Storm Drain	1,200,000
San Lorenzo River Gravity Outlet System Rehabilitation	400,000
San Lorenzo River Pump Station Rehab and Upgrades	2,000,000
Chestnut Street Storm Drain Replacement	875,000
Corp Yard Stormwater Pollution Prevention Plan and Implementation	34,500
<b>Total Citywide Storm Drains Unfunded Projects:</b>	<b>11,430,000</b>



**City of Santa Cruz  
Capital Investment Program  
Fiscal Years 2021-2025  
Unfunded Projects**

	<b>ESTIMATED TOTAL</b>
<b><u>Transportation Improvements:</u></b>	
Active Transportation Plan Implementation	135,000,000
Beach Street Streetscape	2,000,000
Cooper Street Streetscape	200,000
Downtown Side Street Streetscape	2,500,000
East Cliff Drive Walkway and Railing Repair (Seabright to 4th)	1,000,000
East Cliff Drive Walkway Widening (end of levee to Buena Vista)	1,000,000
Laurel Street Improvements - Front to Chestnut	3,000,000
MB Sanctuary Scenic Trail (Rail Trail) Segment 7 - Phase 2 Construction	10,000,000
MB Sanctuary Scenic Trail (Rail Trail) Segment 9 (Design & Enviro in CIP)	26,600,000
Miscellaneous Traffic Signals and Projects	2,000,000
Neighborhood Traffic Calming Improvements	2,500,000
Ocean Street Improvements	6,000,000
Pacific Avenue Streetscape - Laurel to Beach	2,000,000
Sidewalks and Access Ramps (some are in ATP above)	10,000,000
State Route 1/Bay & Chestnut-King Improvements	3,000,000
State Rte 1 Bridge Replacement (some in CIP)	16,000,000
Street Overlay and Reconstruction (In addition to Measure H, D and Grants)	30,000,000
Third Street Walkway /Front Street Slope Stabilization and Repair	500,000
West Cliff Drive Revetments	4,250,000
Unimproved Streets	9,000,000
<b>Total Transportation Improvements Unfunded Projects:</b>	<b>266,550,000</b>
<b><u>Citywide Improvements</u></b>	
Facilities Master Plan	30,500,000
Data Center Cooling	80,000
<b>Total Citywide Improvements Unfunded Projects:</b>	<b>30,500,000</b>
<b>Total Public Works Department Unfunded Projects:</b>	<b>308,480,000</b>
<b>Total Unfunded Projects Citywide:</b>	<b>328,435,000</b>

**PROJECT AREAS**  
Capital Investment Projects  
FY 2021 – FY 2025  
City of Santa Cruz

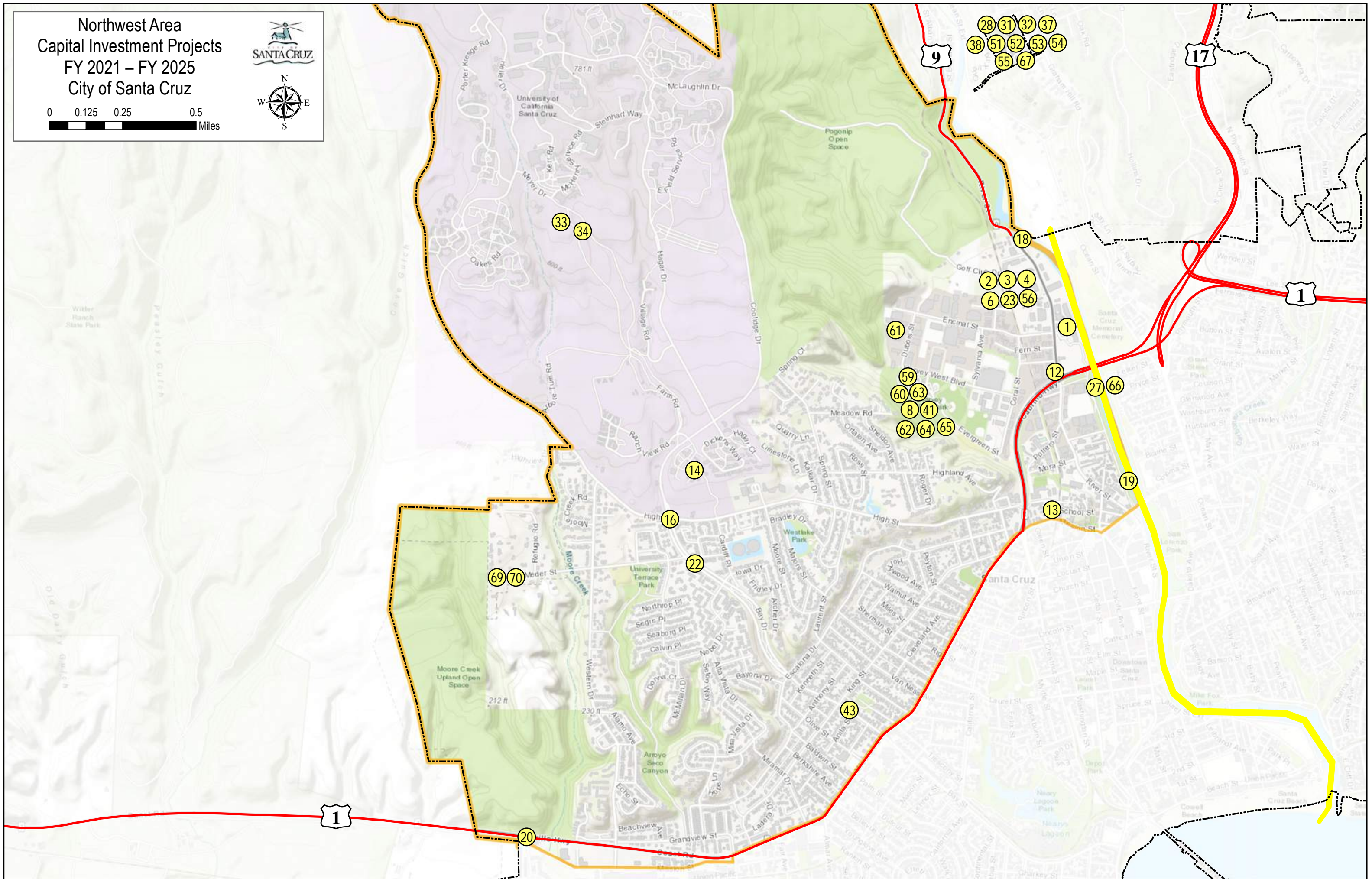




Northwest Area  
Capital Investment Projects  
FY 2021 – FY 2025  
City of Santa Cruz



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## Northwest Quadrant

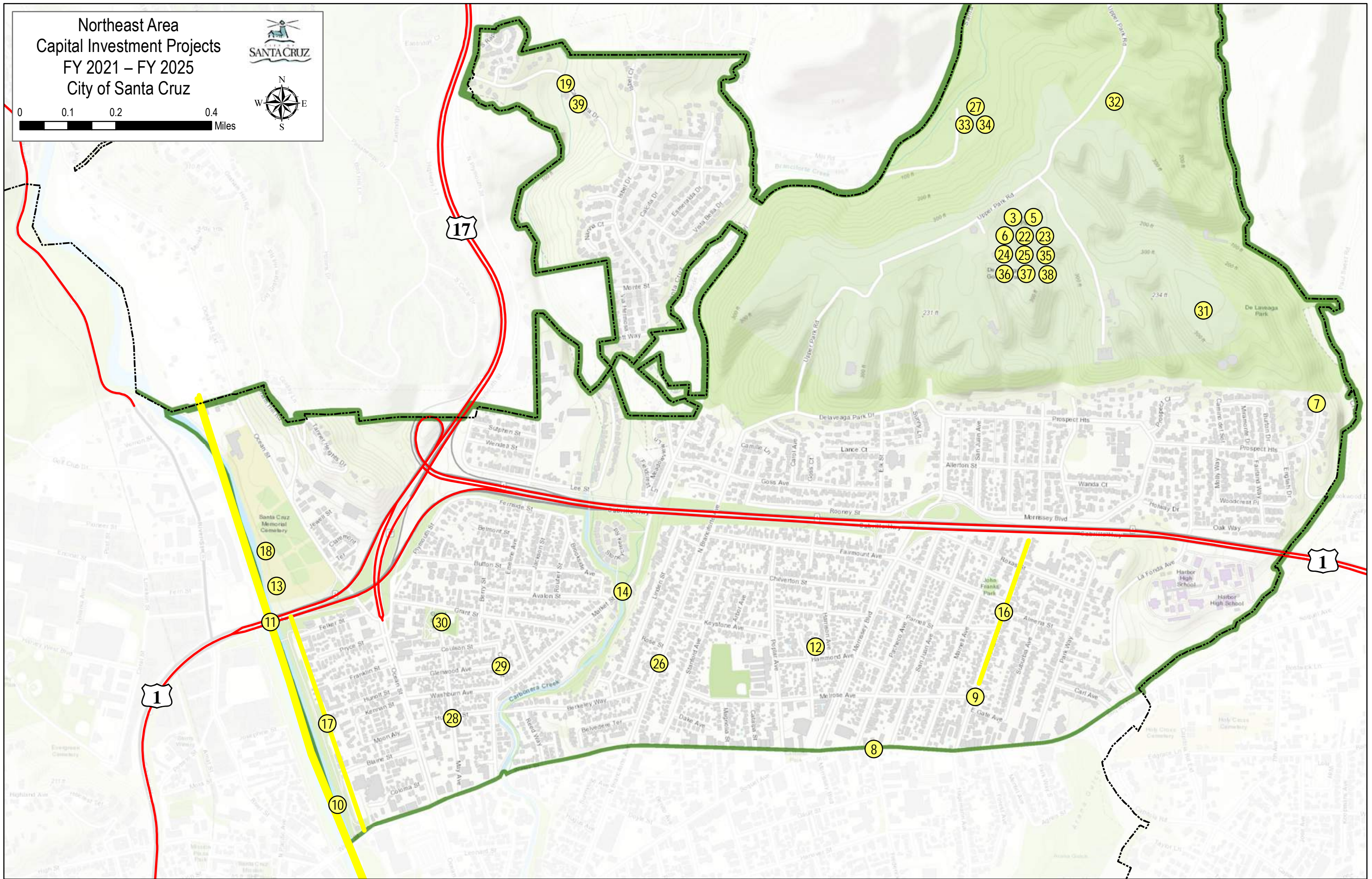
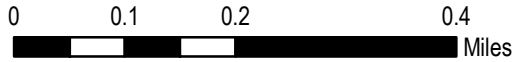
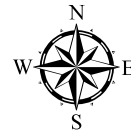
<b>Map #</b>	<b>Project Name</b>	<b>CIP #</b>
1	Tannery Landscaping	c511706
2	CEC Grant- Bldg Energy Efficiency Advance	c401814
3	Corporation Yard Main Bldg. Seismic	c601101
4	Corp Yard Solar Upgrade	c601501
6	Corp Yard Stormwater Pollution Prevention Plan and Implementation	c601701
8	Harvey West Playground	c301902
12	Route 1/9 Imp	c400805
13	Mission Street Hill Utility Undergrounding	c401004
14	UCSC City Transportation Improvements	c401008
16	Bay/High Intersection	c401103
18	Pogonip Creek Sedimentation Removal	c401306
19	San Lorenzo River Levee Storm Drain Maintenance	c401314
20	Shaffer Rd RR Xing & Rte 1 Traffic Signal	c401403
22	Bay St Storm Damage Repair	c401507
23	CNG Fueling Station and Fleet Maintenance Shop Safety Improvements	c401613
27	SLR Lagoon MGMT	c601403
28	Water Treatment Upgrades	c700025
31	WTP Concrete Tank Assessment and Rehabilitation	c701501
32	WTP Flocculator Mixers	c701502
33	University Tank 4 Rehab/Replacement	c701505
34	Recoat University Reservoir No. 5	c701506
37	Aquifer Storage and Recovery	c701609
38	Aquifer Storage and Recovery-SDC	c701610
41	Harvey West Clubhouse Access	c302008
43	HSIP Cycle 8 Crossing Improvements	c401801
49	CPS & SLR Diversion Rehab	c701903
51	GHWTP CC Tanks Replacement	c701501
52	GHWTP Flocculator Rehab/Replacement	c701502
53	Recycled Water Feasibility Study	c701611
54	Recycled Water	c701612
55	Felton Diversion Pump Station Assessment	c701906
56	Solar PV Expansion at Corp Yard	c101901
59	Harvey West Pool - Pool Lighting, Controls, and Cleaning Systems Replacement and Updates	c302102
60	Harvey West Pool - Facility Improvements	c302103

## **Northwest Quadrant**

<b>Map #</b>	<b>Project Name</b>	<b>CIP #</b>
61	Trail Across 135 Dubois Easement	c302104
62	Harvey West Park Ballfield Improvements	c302106
63	Parks Operations Maintenance Yard - Building Improvements	c302116
64	Harvey West Ballfield Lighting	c302119
65	Harvey West Clubhouse Access	c302120
66	FEMA Certification of San Lorenzo River	c402109
67	Newell Creek Pipeline Felton/Graham Hill WTP	c702105
68	Newell Creek Pipeline Felton/Loch Lomond WTP	c702106
69	N. Coast Repair Ph4 Des and Const	c702108
70	N. Coast Repair Ph5 Des and Const	c702109



Northeast Area  
Capital Investment Projects  
FY 2021 – FY 2025  
City of Santa Cruz

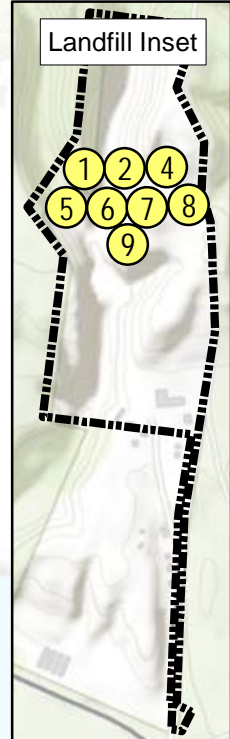
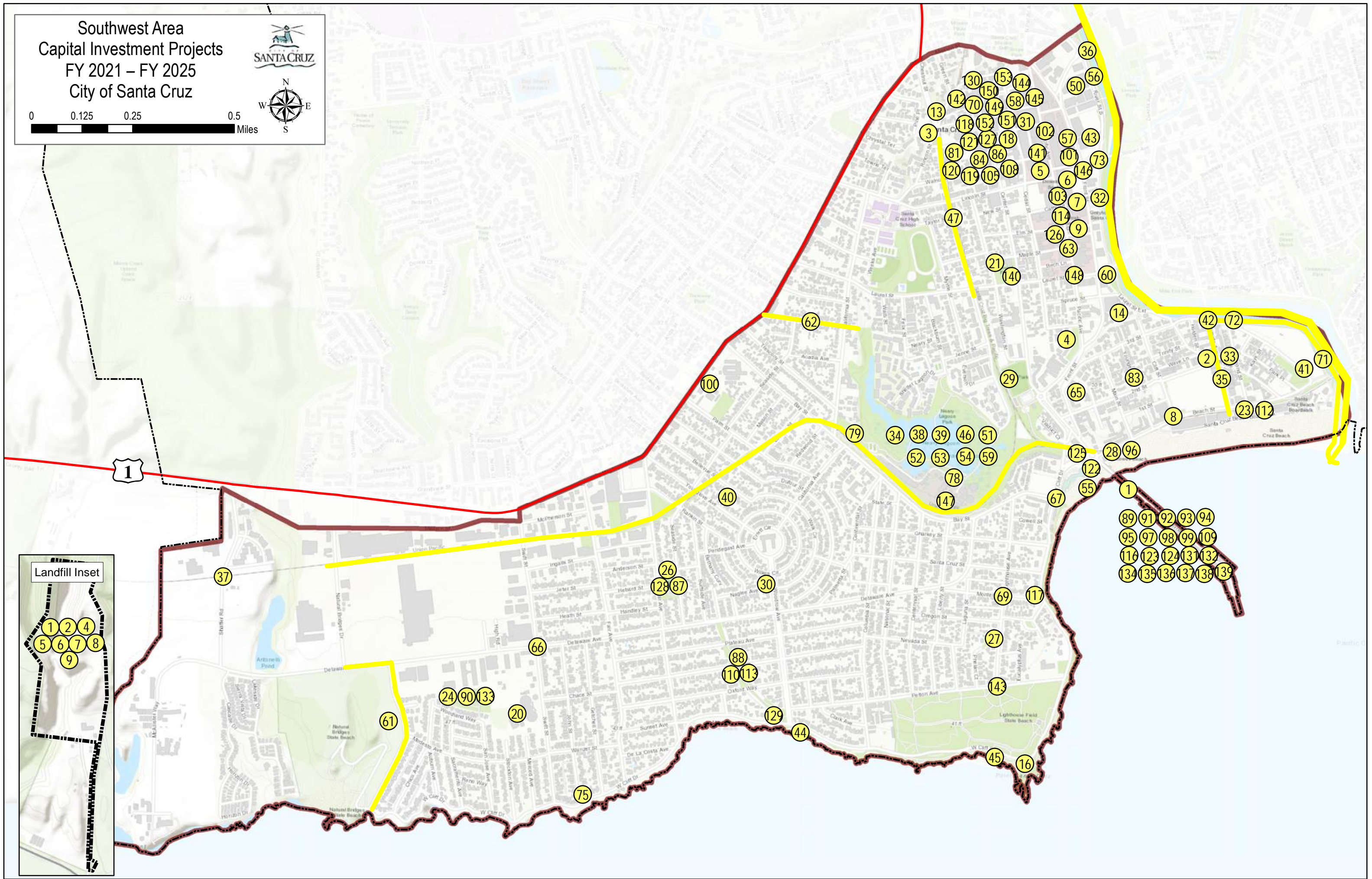
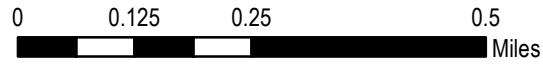




## Northeast Quadrant

<b>Map #</b>	<b>Project Name</b>	<b>CIP #</b>
3	DeLaveaga Golf Course Facility Improvements	c301213
5	Delaveaga Golf Course Public Restroom Improvements	c301513
6	DeLaveaga Golf Course Building Remodel	c301801
7	Brookwood Dr. Repair	c301804
8	Soquel @ Frederick Widening	c401003
9	Trevethan Storm Drain Project - Phase 1	c401307
10	San Lorenzo River Levee Storm Drain Maintenance	c401314
11	Route 1 Bridge Replacement	c401402
12	Fairmount/Harrison Sewer Main Upgrade	c401404
13	SLR Parkway/Levee Imp	c401416
14	Market Street Sidewalk and Bike Lane	c401806
16	Trevethan Ave Sewer	c401810
17	SLR Walk Lighting	c401910
18	SLR Lagoon MGMT	c601403
19	Pump Station P11-SP101 Modifications	m401601
22	Sand Trap Renovations	c302011
23	Irrigation System Improvements	c302012
24	Driving Range Improvements	c302022
25	Facility Improvements	c302023
26	HSIP Cycle 8 Crossing Improvements	c401801
27	Delaveaga Park Ballfield Lighting	c302005
28	May/Coulson/Berry Trunk Sewer	c402003
29	Curtis Street Storm Drain Phase II	c401802
30	Grant Park - Restroom Plumbing Upgrade	c302105
31	Archery Range - Bridge Refurbishment	c302107
32	DeLaveaga Disc Golf Course Welcome Area and Course Improvements	c302108
33	DeLaveaga Park Ballfield Lighting and Score Booth Upgrades	c302109
34	DeLaveaga Park Ballfield Retaining Walls	c302110
35	Sand Trap Renovations	c302121
36	Irrigation System Improvements	c302122
37	Driving Range Improvements	c302127
38	Facility Improvements	c302128
39	Isbel Pump Station Replacement	c402105

Southwest Area  
Capital Investment Projects  
FY 2021 – FY 2025  
City of Santa Cruz





## Southwest Quadrant

<b>Map #</b>	<b>Project Name</b>	<b>CIP #</b>
1	Wharf Ticketing Booths/ Gates	c511502
2	Riverside Ave Underground Utility	c401208
3	Broadband Infrastructure	c511501
4	Lower Pacific Avenue Improvements	c511702
5	Downtown Alley Improvements	c511703
6	Trolley Acquisition	c511704
7	Farmers Market Structure	c511901
8	Citywide Sign Program Phase II	r521205
9	Metro Center Housing	r521301
13	City Hall Parking Lot Repairs	c601301
14	Arena Capital Improvements	c601402
16	Surfing Museum Improvements	c301522
18	Civic Auditorium Theatre Lighting Improvements	c301604
20	Natural Bridges Gymnasium Floor Repair, Replacement	c301702
21	Louden Nelson CC Improvements	c301703
23	Trails Study	c301908
24	Sgt Derby Pickleball Courts	c301909
26	Garfield Park Irrigation	c301911
27	Lighthouse Avenue Park Playground Enhancement	c301912
28	Beach Street Restrooms	c301913
29	Bicycle Trip Bike Park	c301914
30	Garfield Park Measure S Facility Remodel	c351802
31	Downtown Branch Measure S	c351803
32	Lot 7, Front/Cathcart Rehab	c400007
33	Riverside Second St improvements	c401105
34	Food Waste Collection and Conversion	c401204
35	Riverside Ave Improvements Phase II	c401208
36	San Lorenzo River Levee Storm Drain Maintenance	c401314
37	Shaffer Rd RR Xing & Rte 1 Traffic Signal	c401403
38	WWTF Transformer Replacement	c401405
39	WWTF Water Piping Rehab	c401407
40	MB Sanctuary Scenic Trail (Rail Trail) - Segment 7	c401413

## Southwest Quadrant (continued)

<b>Map #</b>	<b>Project Name</b>	<b>CIP #</b>
41	SLR Parkway/Levee Imp	c401416
42	SLR Parkway/Levee Imp	c401416
43	SLR Parkway/Levee Imp	c401416
44	West Cliff Drive Revetment Repair	c401501
45	West Cliff Drive Revetment Repair	c401501
46	WWTF Ultraviolet Disinfection System Replacement	c401504
47	Chestnut St Storm Drain Replacement	c401505
50	River-Front Garage Elevator	c401509
51	WWTF Reclaim Water	c401604
52	WWTF Sewer Main Rehabilitation	c401606
53	WWTF Upgrade Digester Equipment	c401607
54	WWTF Laboratory Modernization	c401608
55	Cowell Beach Water Quality Project	c401614
56	Parking Equipment Replacement	c401703
57	Parking Equipment Replacement	c401704
58	Parking Equipment Replacement	c401705
59	WWTF Infrastructure and Major Equipment Study	c401706
60	Downtown SLR Drainage System Assessment	c401707
61	Swanton Blvd Multi-use Trail Connector	c401805
62	Laurent St Sewer	c401809
63	Downtown Bike Locker Replacement Program	c401812
65	Pacific Avenue Sidewalk	c401902
66	Delaware-Swift Intersection Imp	c401904
67	Bay - West Cliff Intersection Improvements	c401905
69	Monterey St and Lighthouse Ave Sewer	c401907
70	Parking Lot Repairs	c601301
71	SLR Lagoon MGMT	c601403
72	SLR Lagoon MGMT	c601403
73	SLR Lagoon MGMT	c601403
75	West Cliff Drive Multi-use Maintenance	m400819
78	WWTF Equipment Replacement	m409659
79	Neary Lagoon Park Rehab/Restoration	m409668



## Southwest Quadrant (continued)

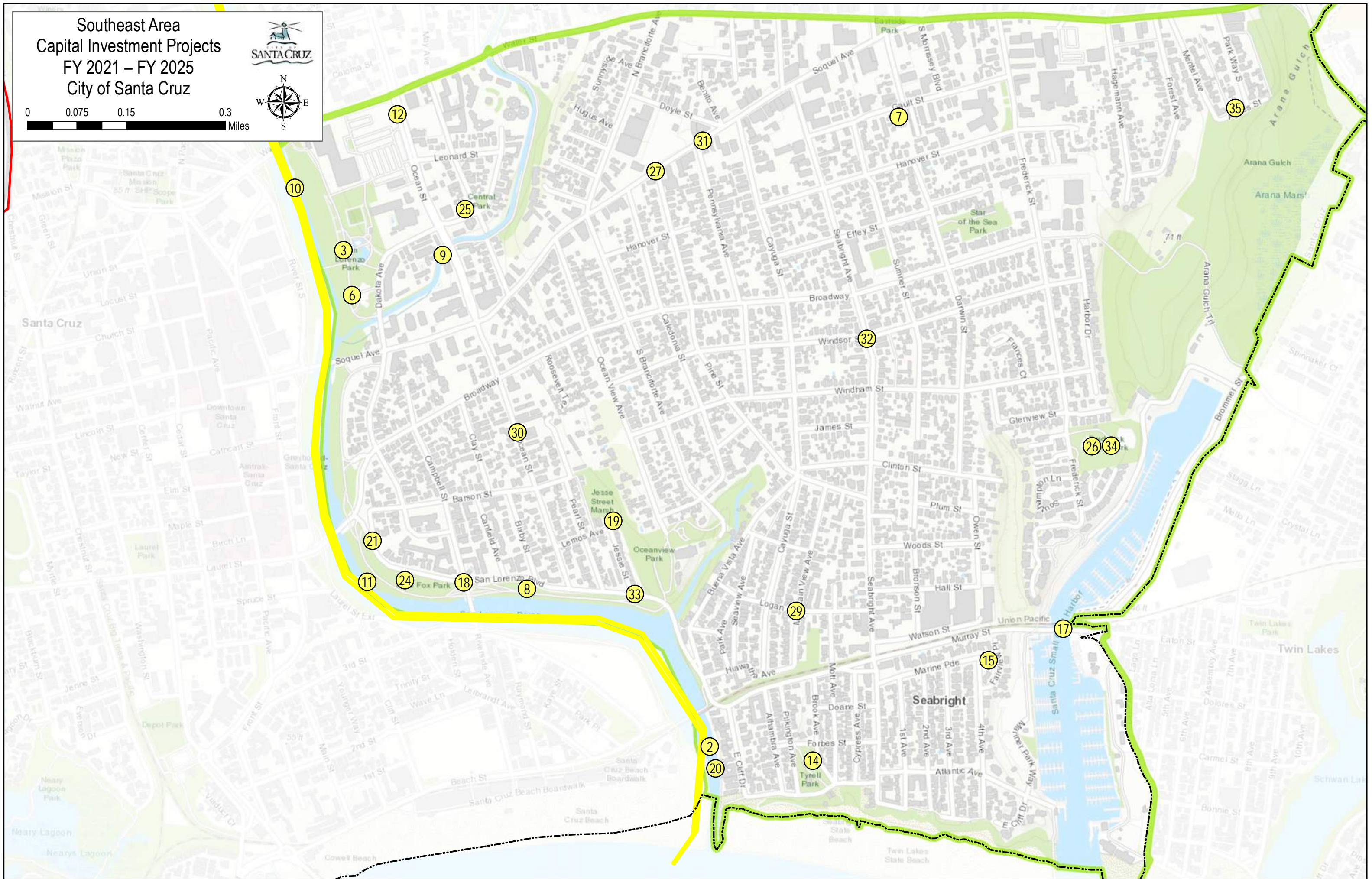
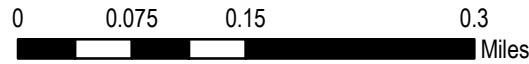
<b>Map #</b>	<b>Project Name</b>	<b>CIP #</b>
81	Fire Truck (Wild Lands)	c211910
83	Cliff Street Walkway Retaining Wall	c302002
84	Civic Roof repair	c302101
86	Civic Sound system upgrade	c302013
87	Garfield Park Playground	c302017
88	Bethany Curve Path Restoration	c302019
89	Firefish Roof Replacement Phase 2	c302027
90	Sgt. Derby Park Irrigation Renovation	c302028
91	Wharf Commons Surfacing Improvements	c302029
92	Wharf Maintenance Building Improvements	c302030
93	Agora Commons Surfacing Improvements	c302031
94	Wharf Commons Overhead Walkway Repair & Resurface.	c302032
95	Wharf Public Landing # 2 Replacement	c302033
96	Beach Street Restroom Improvements Phase 2	c302034
97	Wharf Equipment & Maintenance Shed	c302035
98	Wharf Master Plan Implementation Projects	c302036
99	South Commons & Agora Exterior Paint	c302037
100	Mission Street Improvement Plan	c402001
101	Elevator Controls Upgrade	c402004
102	Downtown Mixed Use Project	c512002
105	Fire Station 1 Traffic Alerting & Warning Lights	c211902
108	Fire Engine (Wild Land Engine)	c211910
109	Lifeguard Headquarters Repairs	c211911
110	Bethany Curve Park Improvements	c301915
112	Beach/Cliff Traffic Signal	c401303
113	HSIP Cycle 8 Crossing Improvements	c401801
114	Downtown Intersection Improvements	c401903
116	Miramar Demolition and Wharf Piling Replacement	c511705
117	West Cliff Stair Repair	m401402
118	Space Utilization Design for City Hall	c101701

## Landfill - Southwest Quadrant (continued)

<b>Map #</b>	<b>Project Name</b>	<b>CIP #</b>
1	Solar PV Installation at the Landfill	c101902
2	Food Waste Collection and Conversion	c401204
4	Dimeo Lane Paving and Storm Drain	c401312
5	Recycling Building and Equipment Improvement	c401811
6	Gas Probe Remediation	c401908
7	Landfill Master Plan Development	c401909
8	Landfill Design/ Partial Constr Cell 3	c400046
9	Recycling Center Storm Water Quality Improvements	c402111



Southeast Area  
Capital Investment Projects  
FY 2021 – FY 2025  
City of Santa Cruz





## Southeast Quadrant

<b>Map #</b>	<b>Project Name</b>	<b>CIP #</b>
2	SLR Mouth and Lagoon Mgmt. Plan	c601403
3	San Lorenzo Park Improvement	c301614
6	San Lorenzo Park Redesign	c301907
7	Branciforte Measure S Facility Remodel	c351801
8	SL River Pump Station #2	c401207
9	Branciforte Creek Channel Repair and Maintenance	c401313
10	San Lorenzo River Levee Storm Drain Maintenance	c401314
11	SL River Sanitary Sewer Siphon	c401315
12	Ocean/Water Intersection Imp	c401410
14	Seabright Beach CMP Replacment	c401803
15	MB Sanctuary Scenic Trail (Rail Trail) - Segments 8 & 9	c401804
17	Murray St Bridge Retrofit	c409321
18	SLR Flood Control Environ Rest Project	c409512
19	Jesse Street Marsh	c409669
20	SLR Mouth and Lagoon Mgmt. Plan	c601403
21	SLR Lagoon MGMT	c601403
24	Ken W Skate Park Coping Replacement	c302006
25	Central Park Playground Upgrades	c302020
26	Frederick Street Park Picnic Area	c302021
27	Soquel/Pine Storm Drain	c402002
29	Sewer Realignment Project	c402005
30	Ocean Street Beautification	c512001
31	HSIP Cycle 8 Crossing Improvements	c401801
32	HSIP Cycle 8 Crossing Improvements	c401801
33	San Lorenzo Riverwalk Expansion & Revitalization	c302117
34	Frederick Street Park Picnic Area	c302126
35	Mentel Aerial Sewer Improvement	c402102

## Unmapped

<b>Project Name</b>	<b>CIP #</b>
Aerators at Loch Lomond	c701706
Brackney Landslide Area Pipeline Reduction	c702002
Bridge Maintenance	m401302
Coast Pump Station Line Repairs	c701707
N. Coast System Rehab - Major Diversion	c701802
N. Coast System Rehab- Laguna Diversion	c701801
Public Facilities - Maintenance/CEC Energy Saving Projects	m609195
River Bank Filtration	c701806
Security Camera & Building Access Upgrades	c701704
Tube Settler Replacement	c701708
Water Supply Augmentation Strategy Implementation	c701705
Median Improvements	c302024
Commons Stage Replacement	c302025
East Parking Lot Paving Project	c302026
City Arterial and Collector Street Reconstruction and Overlay	c400809
City Residential and Collector Street Reconstruction and Overlay	c400810
Sewer System Improvements	c401511
Citywide Traffic Signal Controller Upgrade	c401602
Catch Basin Replacement Program	c401610
Citywide Safe Routes to School Crossing Improvements	c401617
Electric Vehicle Charging Station Expansion in Public City Parking Lots	c101904
Storm Water Trash Capture Program	c401701
CMP Storm Drain Pipe Replacement	c401709
Neighborhood Grant Program - Pilot	c601401
Main Replacements- Engineering Section	c700002
Water Main Replacements -Outside Agency	c700003
Water Main Replacements -Customer Initiated	c700004
Water Transmission System Improvements	c700017
University Tank No. 5 Replacement	c701506
Main Replacements- Distribution Section	c701507
Advanced Metering Infrastructure (AMI)	c701603
ASR and In-Lieu Feasibility Study	c701609



## Unmapped (continued)

<b>Project Name</b>	<b>CIP #</b>
Aquifer Storage and Recovery	c701610
Water Program Administration	c701901
Facility & Infrastructure Improvements	c701907
N Coast System Repair/Replace -Phase 4	c701908
Main Replacements- Eng Section- Transmission	c709833
NCD I/O Replacement Project	c701606
Newell Creek Pipeline Rehab/Replacement	c701701
Distribution System Water Quality Improvements	c702001

# City Manager Department Capital Investment Projects



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

City Manager

311- General Capital Improvement Fund

**Electric Vehicle Charging Station Expansion in Public City Parking Lots**

**Project Description:**

This project consists of development, design and installation of networked level 2 electric vehicle charging stations and networked DC fast chargers and all associated infrastructure upgrades required at locations to be determined during development. As recommended by the recently completed Public Electric Vehicle Charging Needs and Use study and Electric Vehicles owner surveys, the project will provide an appropriate level of charging infrastructure for the anticipated increase in electric vehicles within and visiting Santa Cruz. This project funding is currently unknown but will likely be funded through grants at approximately 80%.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c101904</b>									
									<b>Account # 311-10-00-9410-57290</b>
<b>Project Cost Estimate:</b>	-	200,000	200,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Local grant - MBUAPCD	-	200,000	200,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

City Manager

311- General Capital Improvement Fund

**West Cliff Dr. Shoreline Adaptation & Management Plan**

**Project Description:**

The City of Santa Cruz will develop the West Cliff Drive Adaptation and Management Plan to address threats to the transportation system from climate change. This Plan will include an inventory of climate threats to and protective structures of West Cliff Drive, adaptation strategies, cost-benefit analysis and funding strategies, and concept plans for options to maintain the appropriate level of transportation infrastructure and service, resulting in a public works-level Management and Adaptation Plan. It is the City of Santa Cruz' intent that once completed and adopted, the Plan will lead to implementation and development of adaptation projects and policies to address the impacts of climate change on the transportation network.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c101905</b>	<b>Account # 311-10-03-9990-57990</b>								
<b>Project Cost Estimate:</b>	78,961	285,614	285,614	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
State op grants & contrib	-	342,741	342,741	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	78,961	(57,127)	(57,127)	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for General Capital Improvement Fund (311) Totals**

	Prior Year Totals	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	78,961	485,614	485,614	-	-	-	-	-	-
<b>Total Project Funding Estimate:</b>	-	542,741	542,741	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	78,961	(57,127)	(57,127)	-	-	-	-	-	-

**Others Totals for General Capital Improvement Fund (311)**

	Prior Year Totals	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	78,961	485,614	485,614	-	-	-	-	-	-
<b>Total Project Funding Estimate:</b>	-	542,741	542,741	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	78,961	(57,127)	(57,127)	-	-	-	-	-	-



# Fire Department Capital Investment Projects



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Fire (NEW)**

**311- General Capital Improvement Fund**

**Fire Engine Ladder (Pierce Tiller 100')**

**Project Description:**

Fire Engine Ladder (Pierce Tiller 100')

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c212102</b>									
									<b>Account # 311-21-00-9620-57402</b>
<b>Project Cost Estimate:</b>	-	-	-	-	-	1,400,000	-	-	1,400,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	1,400,000	-	-	1,400,000

**Fire Engine Type I (Pierce Pumper 1250 GPM)**

**Project Description:**

Fire Engine Type I (Pierce Pumper 1250 GPM)

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c212101</b>									
									<b>Account # 311-21-00-9620-57402</b>
<b>Project Cost Estimate:</b>	-	-	-	-	650,000	-	-	675,000	1,325,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	650,000	-	-	675,000	1,325,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**New Capital Projects for General Capital Improvement Fund (311) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	-	-	-	-	650,000	1,400,000	-	675,000	2,725,000
<b>Total Project Funding Estimate:</b>	-	-	-	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	-	-	-	-	650,000	1,400,000	-	675,000	2,725,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Fire (EXISTING)**

311- General Capital Improvement Fund

**Fire Engine (Wild Land Engine)**

**Project Description:**

Fire Engine (Wild Land Engine).

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c211910</b>									
									<b>Account # 311-21-00-9620-57402</b>
<b>Project Cost Estimate:</b>	-	426,588	426,588	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>From General Fund</b>	-	426,558	426,558	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	30	30	-	-	-	-	-	-

**Fire Station 1 Traffic Alerting & Warning Lights**

**Project Description:**

Flashing lights, signage, and striping to protect Firefighters who are in street backing up the Fire Engine in front of Station 1.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c211902</b>									
									<b>Account # 311-21-00-9610-57305</b>
<b>Project Cost Estimate:</b>	-	93,412	97,291	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>From General Fund</b>	-	93,412	93,412	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	3,879	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Fire (EXISTING)**

**311- General Capital Improvement Fund**

**Lifeguard Headquarters Improvements**

**Project Description:**

Improvements and repairs to LQ Headquarters, include drywall patching, re-painting, waterproofing the roof, adding insulation where openings occur, adding two additional HVAC duct lines to the hall office and master office, installing new lockers, upgrades to the plumbing as well as electrical upgrades. Furnish and install new lighting and new exhaust fans in both restrooms. Install power for two insta hot water tank heaters installed under the sinks. Furnish and install new tower guard door and window.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c211911</b>	<b>Account # 311-21-00-9610-57290</b>								
<b>Project Cost Estimate:</b>	76,467	3,533	3,533	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Workers Comp Fund	76,467	3,533	3,533	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Replace Station #2 Natural Gas Furnace - CEC**

**Project Description:**

Replace one natural gas furnace with 95% high efficient condensing gas furnaces. Energy savings of 1,223 kWh and 71 therms.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c211607</b>	<b>Account # 311-21-00-9610-57290</b>								
<b>Project Cost Estimate:</b>	-	8,099	8,099	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	8,099	8,099	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for General Capital Improvement Fund (311) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	76,467	531,632	535,511	-	-	-	-	-	-
<b>Total Project Funding Estimate:</b>	76,467	523,503	523,503	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	-	8,129	12,008	-	-	-	-	-	-

**Fire Totals for General Capital Improvement Fund (311)**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	76,467	531,632	535,511	-	650,000	1,400,000	-	675,000	2,725,000
<b>Total Project Funding Estimate:</b>	76,467	523,503	523,503	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	-	8,129	12,008	-	650,000	1,400,000	-	675,000	2,725,000

**Fire Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	76,467	531,632	535,511	-	650,000	1,400,000	-	675,000	2,725,000
<b>Total Net Project Cost Estimate:</b>	-	8,129	12,008	-	650,000	1,400,000	-	675,000	2,725,000



# **Parks and Recreation Department Capital Investment Projects**

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

**311- General Capital Improvement Fund**

**Agora Surfacing Improvements**

**Project Description:**

Remove asphalt, install waterproof barrier membrane, drains plumbed through the deck, and resurface with decorative concrete.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302134</b>	<b>Account # 311-30-41-9150-57390</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	180,000	-	-	180,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	180,000	-	-	180,000

**Archery Range - Bridge Refurbishment**

**Project Description:**

Bridge improvements near archery range.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302107</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	100,000	-	-	100,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	100,000	-	-	100,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

**311- General Capital Improvement Fund**

**Bethany Curve Path Restoration**

**Project Description:**

Resurface existing Pathway and add new headerboards.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302125</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	-	80,000	80,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	80,000	80,000

**Civic Roof Repair**

**Project Description:**

Replace the Civic main barrel roof and the flat roof along the parking lot side.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302140</b>	<b>Account # 311-30-41-9120-57203</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	420,000	-	-	-	420,000
<b>Project Funding Estimates:</b>									
<b>From General Fund</b>	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	420,000	-	-	-	420,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

**311- General Capital Improvement Fund**

**Civic Sound System Upgrade**

**Project Description:**

Replace the aging EAW main loud speaker system with a used NEXONEXO Geo D10 line array system. Price includes delivery, NEXO NS1 prediction software designed plot to optimize venue.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302123</b>	<b>Account # 311-30-41-9150-57390</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	62,000	-	-	-	62,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	62,000	-	-	-	62,000

**Commons Stage Replacement**

**Project Description:**

Improve stage substrate and pour new decorative concrete stage and entry ramps with geo-foam underlayment and new aluminum handrails.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302129</b>	<b>Account # 311-30-41-9150-57390</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	60,000	-	60,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	60,000	-	60,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

311- General Capital Improvement Fund

**Cowell Beach Restroom Expansion**

**Project Description:**

Updates and expansion of Cowell Beach bathrooms.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Account # 311-30-41-9120-57203</b>									
Project # c302111									
Project Cost Estimate:	-	-	-	-	-	150,000	-	-	150,000
Net Project Cost Estimates:	-	-	-	-	-	150,000	-	-	150,000

**DeLaveaga Disc Golf Course Welcome Area and Course Improvements**

**Project Description:**

Updates to Disc Golf welcome area and course.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Account # 311-30-41-9110-57312</b>									
Project # c302108									
Project Cost Estimate:	-	-	-	-	-	-	200,000	-	200,000
Net Project Cost Estimates:	-	-	-	-	-	-	200,000	-	200,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

311- General Capital Improvement Fund

**DeLaveaga Park Ballfield Lighting and Score Booth Upgrades**

**Project Description:**

Includes lightbulb, conduit, panels, and labor for lighting.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302109</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	354,000	-	354,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	354,000	-	354,000

**DeLaveaga Park Ballfield Retaining Walls**

**Project Description:**

New retaining walls for DeLaveaga Ballfields.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302110</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	100,000	-	100,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	100,000	-	100,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

311- General Capital Improvement Fund

**Dolphin Roof Replacement**

**Project Description:**

Replace the roof at 71 Municipal Wharf.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302114</b>	<b>Account # 311-30-41-9120-57203</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	75,000	-	-	75,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	75,000	-	-	75,000

**Downtown - Infrastructure and Site Furnishings**

**Project Description:**

Update bike racks, benches, railings and build in minor recreation spaces in the Downtown areas.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302118</b>	<b>Account # 311-30-41-9150-57390</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	100,000	-	-	-	100,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	100,000	-	-	-	100,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

**311- General Capital Improvement Fund**

**Driving Range Improvements**

**Project Description:**

Replace roofing on driving range building and replace driving range net, reconfigure/replace driving range net poles, replace perimeter cyclone fence, and improve stormwater management features.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302127</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	500,000	-	-	-	500,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	500,000	-	-	-	500,000

**Facility Improvements**

**Project Description:**

Replace roofing on the Lodge and Driving Range buildings and update exterior surfaces.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302128</b>	<b>Account # 311-30-41-9120-57203</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	200,000	-	-	-	200,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	200,000	-	-	-	200,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

**311- General Capital Improvement Fund**

**Firefish Roof Replacement Phase 2**

**Project Description:**

Replace mechanical equipment, plumbing, and electrical wiring as needed, and replace existing comp roof membrane with IB 80 mil welded membrane on remaining section of the Firefish roof replacement Project.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302131</b>	<b>Account # 311-30-41-9120-57203</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	125,000	-	-	-	125,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	125,000	-	-	-	125,000

**Frederick Street Park Picnic Area**

**Project Description:**

Create, install and provide proper drainage and terracing of the picnic area. Includes new orientation and a designated path of travel to the upper harbor area.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302126</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	285,000	-	285,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	285,000	-	285,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

**311- General Capital Improvement Fund**

**Garfield Park Playground**

**Project Description:**

Pour in Place Surface with New Playground.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c302124</b>									
									<b>Account # 311-30-41-9110-57312</b>
<b>Project Cost Estimate:</b>	-	-	-	-	-	360,000	-	-	360,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	360,000	-	-	360,000

**Grant Park - Restroom Plumbing Upgrade**

**Project Description:**

Install grinder tank and new lateral in City sewer.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c302105</b>									
									<b>Account # 311-30-41-9120-57203</b>
<b>Project Cost Estimate:</b>	-	-	-	35,000	-	-	-	-	35,000
<b>Project Funding Estimates:</b>									
Quimby NE Quadrant	-	-	-	35,000	-	-	-	-	35,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

**311- General Capital Improvement Fund**

**Harvey West Ballfield Lighting**

**Project Description:**

Replacement of existing lighting and wooden poles with LED lighting system. Cost includes installation.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302119</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	800,000	-	-	-	800,000
<b>Project Funding Estimates:</b>									
<b>From General Fund</b>	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	800,000	-	-	-	800,000

**Harvey West Clubhouse Access**

**Project Description:**

Improve accessibility around the outside seating area of the HW Clubhouse.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302120</b>	<b>Account # 311-30-41-9120-57203</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	48,000	-	-	48,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	48,000	-	-	48,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

**311- General Capital Improvement Fund**

**Harvey West Park Ballfield Improvements**

**Project Description:**

New backstops and fencing for fields 1 and 4, rehab the entire infields for fields 1 and 4, update field 4 concession building, and improve asphalt driveway to field 3.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302106</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	170,000	-	170,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	170,000	-	170,000

**Harvey West Pool - Facility Improvements**

**Project Description:**

Replacement of skimmer at Harvey West Pool; resurfacing of Harvey West Pool; building gutter and exterior surface improvements.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302103</b>	<b>Account # 311-30-41-9150-57390</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	430,000	-	-	-	430,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	430,000	-	-	-	430,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

**311- General Capital Improvement Fund**

**Harvey West Pool - Pool Lighting, Controls, and Cleaning Systems Replacement and Updates**

**Project Description:**

Complete mechanical work on dual drainage system in the training pool; update the automatic water-fill system is non-functional; Upgrade Chemical Automation Systems Controllers; install a side stream injection pump at the training pool; improve underwater lighting system and update all lighting to LED type; removal and replacement of concrete slab at dive stand area to replace conduit; Remove old ozone equipment.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302102</b>	<b>Account # 311-30-41-9150-57390</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	53,000	-	-	-	53,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	53,000	-	-	-	53,000

**Irrigation System Improvements**

**Project Description:**

Golf Course Irrigation System Improvements.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302122</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	150,000	100,000	100,000	-	350,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	150,000	100,000	100,000	-	350,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

311- General Capital Improvement Fund

**Laurel Park - Basketball Court Resurfacing**

**Project Description:**

Resurface Laurel Park Basketball court.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Account # 311-30-41-9110-57312</b>									
<b>Project # c302139</b>									
<b>Project Cost Estimate:</b>	-	-	-	12,000	-	-	-	-	12,000
<b>Project Funding Estimates:</b>									
Quimby SW Quadrant	-	-	-	12,000	-	-	-	-	12,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Parks Operations Maintenance Yard - Building Improvements**

**Project Description:**

Major improvements to 300 Evergreen St. Facility: Improve core building envelope with new roof on operations office, update facility gutters, roof structures, and exterior surfaces.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Account # 311-30-41-9120-57203</b>									
<b>Project # c302116</b>									
<b>Project Cost Estimate:</b>	-	-	-	-	90,000	-	-	-	90,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	90,000	-	-	-	90,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

311- General Capital Improvement Fund

**Sand Trap Renovations**

**Project Description:**

Design/build project to update golf course sand traps. Improve layout, drainage, and stormwater management.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302121</b>	<b>Account # 311-30-41-9110-57312</b>								
Project Cost Estimate:	-	-	-	-	150,000	-	-	-	150,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	150,000	-	-	-	150,000

**Sgt. Derby Park Irrigation Renovation**

**Project Description:**

Relocate and redesign irrigation system to increase effectiveness and efficiency of water controls.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302132</b>	<b>Account # 311-30-41-9110-57312</b>								
Project Cost Estimate:	-	-	-	-	-	-	40,000	-	40,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	40,000	-	40,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

311- General Capital Improvement Fund

**Trail Across 135 Dubois Easement**

**Project Description:**

Construct a trail across the easement at 135 DuBois St.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Account # 311-30-41-9110-57312</b>									
<b>Project # c302104</b>									
<b>Project Cost Estimate:</b>	-	-	-	80,000	-	-	-	-	80,000
<b>Project Funding Estimates:</b>									
Parks and Rec Facilities Tax Fund	-	-	-	80,000	-	-	-	-	80,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Wharf - East Parking Lot Paving Project**

**Project Description:**

Repair and refasten decking and substrate as needed, lay down giomat product and pave with polymer infused asphalt.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Account # 311-30-41-9150-57390</b>									
<b>Project # c302130</b>									
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	1,700,000	-	1,700,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	1,700,000	-	1,700,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

311- General Capital Improvement Fund

**Wharf - Parking Lot Improvements**

**Project Description:**

Extensive parking lot improvements.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302115</b>	<b>Account # 311-30-41-9150-57390</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	3,300,000	-	3,300,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	3,300,000	-	3,300,000

**Wharf Commons Overhead Walkway Repair & Resurface**

**Project Description:**

Remove pavers and repair wood framing, install decorative concrete surfacing with trench drains connected to down spouts, replace all hand rails with ADA compliant hand rails.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302135</b>	<b>Account # 311-30-41-9120-57203</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	225,000	-	-	225,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	225,000	-	-	225,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

**311- General Capital Improvement Fund**

**Wharf Commons Surfacing**

**Project Description:**

Remove asphalt, install waterproof barrier membrane, drains plumbed through the deck, and resurface with decorative concrete.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302133</b>	<b>Account # 311-30-41-9150-57390</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	300,000	-	-	-	300,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	300,000	-	-	-	300,000

**Wharf Equipment & Maintenance Shed**

**Project Description:**

Construct a 2000 sq. steel building at the Wharf Corporation Yard, and establishment of a longterm lease with RTC to garage heavy equipment and provide space for maintenance work projects out of the weather.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302137</b>	<b>Account # 311-30-41-9120-57203</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	-	230,000	230,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	230,000	230,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

**311- General Capital Improvement Fund**

**Wharf Master Plan Implementation Projects**

**Project Description:**

East Walkway, Small Boat Landings, Entrance Gate and Improvements, South Large Boat Landing, South End Structural Improvements, and West Walkway.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302138</b>	<b>Account # 311-30-43-9110-57303</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	200,000	-	-	-	200,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	200,000	-	-	-	200,000

**Wharf Public Landing # 2 Replacement**

**Project Description:**

Rebuild landing structural substrate, Install slewing davit, ADA compliant gangplanks, flow-through decking on stationary landing and engineered floating dock section.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302136</b>	<b>Account # 311-30-41-9150-57390</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	425,000	-	-	-	425,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	425,000	-	-	-	425,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (NEW)**

**311- General Capital Improvement Fund**

**Wharf, HQ Windows and Doors Replacement**

**Project Description:**

Replace windows, doors and siding on the west facing side, install new carpet (upstairs and downstairs), and rebuild the backwall of break room of the Wharf Headquarters Building.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c302113</b>	<b>Account # 311-30-41-9120-57203</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	75,000	-	-	-	75,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	75,000	-	-	-	75,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**New Capital Projects for General Capital Improvement Fund (311) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	-	-	-	127,000	4,080,000	1,238,000	6,309,000	310,000	12,064,000
<b>Total Project Funding Estimate:</b>	-	-	-	127,000	-	-	-	-	127,000
<b>Total Net Project Cost Estimate:</b>	-	-	-	-	4,080,000	1,238,000	6,309,000	310,000	11,937,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

**311- General Capital Improvement Fund**

**Beach Street Restrooms**

**Project Description:**

Renovate the Beach Street Restrooms.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c301913</b>									
									<b>Account # 311-30-41-9120-57203</b>
<b>Project Cost Estimate:</b>	-	100,000	100,000	225,000	-	-	-	-	225,000
<b>Project Funding Estimates:</b>									
Parks and Rec Facilities Tax Fund	-	100,000	100,000	225,000	-	-	-	-	225,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Bethany Curve Park Improvements**

**Project Description:**

Improvements to Bethany Curve park as part of Coastal Permit mitigation.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c301915</b>									
									<b>Account # 311-30-41-9110-57312</b>
<b>Project Cost Estimate:</b>	36,550	3,450	3,450	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Capital contributions-developers	40,000	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(3,450)	3,450	3,450	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

**311- General Capital Improvement Fund**

**Bicycle Trip Bike Park**

**Project Description:**

Redesign, replace, and enhance the existing bicycle park facility at Depot Park.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301914</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	-	72,512	76,754	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>CDBG Fund</b>	-	72,512	72,512	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	4,242	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

**311- General Capital Improvement Fund**

**Brookwood Dr. Repair**

**Project Description:**

Repair of Brookwood Drive service road located in DeLaveaga Park which provides emergency access for the 911 Center and residents. The road received extensive damage from the winter storms of 2016 and the repair is partially funded by Cal OES.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c301804</b>	<b>Account # 311-30-41-9150-57390</b>								
<b>Project Cost Estimate:</b>	246,238	-	-	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Quimby NE Quadrant	50,000	-	-	-	-	-	-	-	-
Parks and Rec Facilities Tax Fund	3,347	-	-	-	-	-	-	-	-
From General Fund	25,000	-	-	-	-	-	-	-	-
OES disaster relief	181,319	149,756	149,756	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(13,428)	(149,756)	(149,756)	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

311- General Capital Improvement Fund

**Central Park Playground Upgrades**

**Project Description:**

Install drainage, create sub base, install Pour N' Play surfacing, and new play equipment structures.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c302020</b>									
									<b>Account # 311-30-41-9110-57312</b>
<b>Project Cost Estimate:</b>	-	84,406	84,406	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
CDBG Fund	-	84,406	84,406	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Cliff Street Walkway Retaining Wall**

**Project Description:**

Replace badly deteriorated wooden retaining wall on the Cliff St. walkway with concrete wall that will be more stable and aesthetically pleasing. Currently portions of the wall have collapsed and are being propped up.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c302002</b>									
									<b>Account # 311-30-41-9110-57312</b>
<b>Project Cost Estimate:</b>	-	40,000	40,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Parks and Rec Facilities Tax Fund	-	40,000	40,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

**311- General Capital Improvement Fund**

**DeLaveaga Golf Course Building Remodel**

**Project Description:**

Structural repairs to the Golf Course Lodge which include the upstairs deck and stairways.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301801</b>	<b>Account # 311-30-41-9110-57203</b>								
<b>Project Cost Estimate:</b>	772,074	153,077	153,077	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>From General Fund</b>	347,405	277,746	277,746	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	424,669	(124,669)	(124,669)	-	-	-	-	-	-

**DeLaveaga Golf Course Facility Improvements**

**Project Description:**

Repairs and improvements to golf course facilities such as roof repair, plumbing, and painting.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301213</b>	<b>Account # 311-30-45-9190-57106</b>								
<b>Project Cost Estimate:</b>	198,246	1,754	1,754	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>Parks and Rec Facilities Tax Fund</b>	132,855	1,754	1,754	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	65,391	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

311- General Capital Improvement Fund

**Delaveaga Golf Course Public Restroom Improvements**

**Project Description:**

Improvements to the public restrooms at Delaveaga Golf Course Lodge.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c301513</b>									
									<b>Account # 311-30-41-9120-57203</b>
<b>Project Cost Estimate:</b>	58,828	1,172	1,172	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Parks and Rec Facilities Tax Fund	58,828	1,172	1,172	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Depot Park Reconstruction**

**Project Description:**

Reconstruction and repair of Depot Field turf. This project includes the removal of the old turf and the relocation and reinstallation of that turf to the DeLaveaga Golf Course Driving Range.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c301421</b>									
									<b>Account # 311-30-41-9110-57312</b>
<b>Project Cost Estimate:</b>	1,372,870	27,130	27,130	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Settlement proceeds	750,000	-	-	-	-	-	-	-	-
From General Fund	12,891	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	609,979	27,130	27,130	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

311- General Capital Improvement Fund

**Harvey West Playground**

**Project Description:**

Renovate the Harvey West Playground and add ADA features.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301902</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	-	125,000	125,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Quimby NW Quadrant	-	125,000	125,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Ken W Skate Park Coping Replacement**

**Project Description:**

Complete replacement of the aging coping around the skate pools.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c302006</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	-	55,000	55,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Parks and Rec Facilities Tax Fund	-	55,000	55,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

311- General Capital Improvement Fund

**Lighthouse Avenue Park Playground Enhancement**

**Project Description:**

Renovate the playground at Lighthouse Avenue Park.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c301912</b>									
									<b>Account # 311-30-41-9110-57312</b>
<b>Project Cost Estimate:</b>	-	30,000	30,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Parks and Rec Facilities Tax Fund	-	30,000	30,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Natural Bridges Gymnasium Scoreboard Rep**

**Project Description:**

Natural Bridges Elementary School gymnasium is used by City Parks and Recreation for adult and youth sports programs. Located in the southwest quadrant of the City, we request allocation of parks fees and taxes received from this quadrant for the improvements. The expenses related to this project will be shared with the school.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c301702</b>									
									<b>Account # 311-30-41-9210-57203</b>
<b>Project Cost Estimate:</b>	-	10,000	10,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Quimby SW Quadrant	-	10,000	10,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-



City of Santa Cruz  
Adopted Capital Investment Program Budget (by department)  
Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

311- General Capital Improvement Fund

**Repaint Townclock**

**Project Description:**

Repaint all painted exterior surfaces of Town Clock.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301904</b>	<b>Account # 311-30-41-9120-57290</b>								
<b>Project Cost Estimate:</b>	7,500	-	-	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Quimby NW Quadrant	7,500	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Replace Pool House Pumps - CEC**

**Project Description:**

Replace two pool pumps and install VFDs and controllers to sequence pumps and reduce flows when unoccupied. Energy savings of 37,798 kWh.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301620</b>	<b>Account # 311-30-41-9210-57290</b>								
<b>Project Cost Estimate:</b>	48,879	10,000	10,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Loan proceeds	50,627	-	-	-	-	-	-	-	-
Carbon Fund	-	10,000	10,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(1,748)	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

311- General Capital Improvement Fund

**Retrofit Civic Aud Interior Lighting - CEC**

**Project Description:**

Comprehensive interior lighting retrofit with LED and F28T8 fluorescent fixtures where appropriate. Energy savings of 24,413 kWh.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301615</b>	<b>Account # 311-30-41-9210-57305</b>								
<b>Project Cost Estimate:</b>	46,975	2,077	2,077	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Loan proceeds	48,180	872	872	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(1,205)	1,205	1,205	-	-	-	-	-	-

**Retrofit Golf Clubhouse Lighting - CEC**

**Project Description:**

Comprehensive interior lighting retrofit which includes LED fixtures with daylight controls, continuous dimming, wireless control and motion control. Energy savings of 21,393 kWh.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301617</b>	<b>Account # 311-30-45-9120-57305</b>								
<b>Project Cost Estimate:</b>	30,458	21,523	21,523	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Loan proceeds	38,585	13,396	13,396	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(8,127)	8,127	8,127	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

**311- General Capital Improvement Fund**

**Retrofit Louden Center Lighting - CEC**

**Project Description:**

Comprehensive interior lighting retrofit with LED and F28T8 fluorescent fixtures where appropriate, and daylighting controls, continuous dimming, wireless control and motion sensors. Energy savings of 12,470 kWh.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301619</b>	<b>Account # 311-30-41-9210-57305</b>								
<b>Project Cost Estimate:</b>	2,849	20,938	20,938	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Loan proceeds	3,735	20,052	20,052	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(886)	886	886	-	-	-	-	-	-

**San Lorenzo Park Improvements**

**Project Description:**

Funding to make improvements as determined by the Parks and Recreation Master Plan.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301614</b>	<b>Account # 311-30-41-9110-57312</b>								
<b>Project Cost Estimate:</b>	138,864	24,110	24,110	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Parks and Rec Facilities Tax Fund	100,000	-	-	-	-	-	-	-	-
Donations-Parks and Recreation	25,000	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	13,864	24,110	24,110	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

311- General Capital Improvement Fund

**San Lorenzo Park Redesign**

**Project Description:**

San Lorenzo Park redesign master planning process.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Account # 311-30-41-9110-57312</b>									
<b>Project # c301907</b>									
<b>Project Cost Estimate:</b>	-	100,000	100,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Parks and Rec Facilities Tax Fund	-	100,000	100,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Sgt Derby Pickleball Courts**

**Project Description:**

Construct pickleball courts at Sgt. Derby Park.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Account # 311-30-41-9110-57312</b>									
<b>Project # c301909</b>									
<b>Project Cost Estimate:</b>	-	55,000	55,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Parks and Rec Facilities Tax Fund	-	55,000	55,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

**311- General Capital Improvement Fund**

**Surfing Museum Improvements**

**Project Description:**

This project will replace all the exterior railing at the Surf Museum which is located at the Lighthouse at Lighthouse Field. The project will include much needed improvement to the 29 year old exhibits on display.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301522</b>	<b>Account # 311-30-41-9120-57203</b>								
<b>Project Cost Estimate:</b>	13,281	34,719	34,719	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Quimby SW Quadrant	13,281	34,719	34,719	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Trails Study**

**Project Description:**

Conduct an Open Space Trails Study.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301908</b>	<b>Account # 311-30-41-9190-57106</b>								
<b>Project Cost Estimate:</b>	-	40,000	40,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Parks and Rec Facilities Tax Fund	-	40,000	40,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Parks and Recreation (EXISTING)**

**311- General Capital Improvement Fund**

**Wharf and Beachfront Restroom Upgrades**

**Project Description:**

This is a general facility upgrade to address ADA compliance, tile work in Wharf restroom #1 as well as some fixture and stall partition replacements in 4 of 5 Wharf and Beachfront restrooms.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c301414</b>	<b>Account # 311-30-41-9120-57290</b>								
<b>Project Cost Estimate:</b>	290,085	12,999	12,999	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>Liability Insurance Fund</b>	177,270	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	112,815	12,999	12,999	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for General Capital Improvement Fund (311) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	3,916,979	1,024,867	1,029,109	225,000	-	-	-	-	225,000
<b>Total Project Funding Estimate:</b>	2,720,498	1,221,385	1,221,385	225,000	-	-	-	-	225,000
<b>Total Net Project Cost Estimate:</b>	1,196,481	(196,518)	(192,276)	-	-	-	-	-	-

**Parks and Recreation Totals for General Capital Improvement Fund (311)**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	3,916,979	1,024,868	1,029,110	352,000	4,080,000	1,238,000	6,309,000	310,000	12,289,000
<b>Total Project Funding Estimate:</b>	2,720,498	1,221,385	1,221,385	352,000	-	-	-	-	352,000
<b>Total Net Project Cost Estimate:</b>	1,196,481	(196,517)	(192,276)	-	4,080,000	1,238,000	6,309,000	310,000	11,937,000

**Parks and Recreation Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	3,916,979	1,024,868	1,029,110	352,000	4,080,000	1,238,000	6,309,000	310,000	12,289,000
<b>Total Net Project Cost Estimate:</b>	1,196,481	(196,517)	(192,276)	-	4,080,000	1,238,000	6,309,000	310,000	11,937,000

# Public Works Department Capital Investment Projects



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**Bay - West Cliff Intersection Improvements**

**Project Description:**

The Beach/SOLA Plan, and subsequently the General Plan identified traffic circulation improvements at Bay/West Cliff to reduce congestion and improve safety. This is a Traffic Impact Fee intersection and mitigation for the General Plan buildout. A mini-roundabout was approved with the Dream Inn's 190 West Cliff Drive project, and that development will pay its fair share of the project and dedicate row.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c401905</b>									
									<b>Account # 221-40-64-9320-57306</b>
<b>Project Cost Estimate:</b>	-	375,000	375,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Traffic Impact - Citywide	-	375,000	375,000	-	-	-	-	-	-
State capital grants	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Public Works (EXISTING)

221- Gas Tax Fund

**Bay/High Intersection Improvements**

**Project Description:**

With General Plan buildout this intersection will operate at LOS F and improvements will help prevent collisions associated with unprotected left-turns. The proposed improvements are based on recommendations of a transportation study. Improvements may include the installation of protected left-turns on High Street or a roundabout. Concept design was completed in FY19. The cost estimate is preliminary and will be refined during the current phase. Project is contingent on City, University and grant approval and may be revised based on the new LRDP traffic analysis. STIP grant application for additional funding has not yet been approved.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401103</b>	<b>Account # 221-40-64-9320-57306</b>								
<b>Project Cost Estimate:</b>	61,890	648,110	648,110	-	-	1,500,000	-	-	1,500,000
<b>Project Funding Estimates:</b>									
Traffic Impact - Citywide	31,890	248,110	248,110	-	-	300,000	-	-	300,000
Local grant - MBUAPCD	30,000	-	-	-	-	-	-	-	-
Fed grants - STIP	-	400,000	400,000	-	-	1,200,000	-	-	1,200,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**Beach/Cliff Traffic Signal**

**Project Description:**

Project is in the approved Beach/SOLA plan and will reduce congestion, and improve pedestrian and bike safety. Project is contingent on collecting traffic impact fees and grant award. Grant application for funding has not yet been filed.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401303</b>	<b>Account # 221-40-64-9320-57306</b>								
<b>Project Cost Estimate:</b>	4,013	225,987	225,987	-	-	-	300,000	-	300,000
<b>Project Funding Estimates:</b>									
Traffic Impact - Citywide	-	70,000	70,000	-	-	-	100,000	-	100,000
Federal capital grants	-	160,000	160,000	-	-	-	200,000	-	200,000
<b>Net Project Cost Estimates:</b>	4,013	(4,013)	(4,013)	-	-	-	-	-	-

**Bridge Maintenance**

**Project Description:**

Repair of identified maintenance deficiencies such as damaged deck joints, concrete spalling and rust on several local bridges. Repairs have been identified through regular inspections by Caltrans Structures staff. Contingent on availability of state or federal grant funding.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # m401302</b>	<b>Account # 221-40-64-9370-57310</b>								
<b>Project Cost Estimate:</b>	1,159	200,000	200,000	-	-	-	-	400,000	400,000
<b>Project Funding Estimates:</b>									
State capital grants	-	180,000	180,000	-	-	-	-	300,000	300,000
<b>Net Project Cost Estimates:</b>	1,159	20,000	20,000	-	-	-	-	100,000	100,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**Citywide Safe Routes to School Crossing Improvements**

**Project Description:**

The project is a combination of infrastructure projects and non-infrastructure program funded through an approved Active Transportation Program Cycle 2 grant in the amount of \$1.404 million. It includes high-priority selected improvements at intersections around Santa Cruz City Schools and supports education and encouragement programs.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401617</b>	<b>Account # 221-40-64-9330-57307</b>								
<b>Project Cost Estimate:</b>	282,448	1,121,552	1,121,552	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
State capital grants - ATP	229,279	1,174,721	1,174,721	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	53,169	(53,169)	(53,169)	-	-	-	-	-	-

**Citywide Traffic Signal Controller Upgrade**

**Project Description:**

This project will upgrade existing traffic signal control systems at 33 intersections Citywide. The current controllers are 1980's technology and should be upgraded to current technology that will improve performance and communications, and in some locations be capable of using the interconnected adaptive technology, such as the projects that were completed on Ocean and Laurel Streets.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401602</b>	<b>Account # 221-40-64-9330-57307</b>								
<b>Project Cost Estimate:</b>	377,924	412,076	412,076	200,000	250,000	-	-	-	450,000
<b>Net Project Cost Estimates:</b>	377,924	412,076	412,076	200,000	250,000	-	-	-	450,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**Delaware - Swift Intersection Improvements**

**Project Description:**

With the increased development on the west side, primarily at 2120 Delaware, it is time to evaluate improvements at the intersection based on cumulative traffic mitigation measures as identified in the General Plan and TIF Program.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401904</b>	<b>Account # 221-40-62-9390-57304</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	100,000	500,000	-	-	600,000
<b>Project Funding Estimates:</b>									
Traffic Impact - Citywide	-	-	-	-	100,000	100,000	-	-	200,000
Federal capital grants	-	-	-	-	-	400,000	-	-	400,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Public Works (EXISTING)

221- Gas Tax Fund

**Downtown Intersection Improvements**

**Project Description:**

The Downtown Plan Amendments identified deficiencies at 3 intersections as a result of the cumulative traffic analysis; Pacific/Laurel, Front/Laurel and Front/Soquel. Subsequently a Double Left-Turn Lane for Front Street is needed to maintain Transit and Downtown access, which will affect parking and provide a bike buffer on a portion of the street. Private property development in the downtown will pay the fair share of the cost of the project.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401903</b>	<b>Account # 221-40-64-9320-57304</b>								
<b>Project Cost Estimate:</b>	-	100,000	100,000	300,000	-	-	-	-	300,000
<b>Project Funding Estimates:</b>									
Traffic Impact - Citywide	-	-	-	-	-	-	-	-	-
Capital contributions-developers	-	-	-	200,000	-	-	-	-	200,000
<b>Net Project Cost Estimates:</b>	-	100,000	100,000	100,000	-	-	-	-	100,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**HSIP Cycle 8 Crossing Improvements**

**Project Description:**

This HSIP Cycle 8 transportation safety grant provides funding to improve safety at 21 identified nonsignalized intersections throughout the city. The project includes pedestrian markings, signs, new curb ramps, streetlights, bulb-outs and Rectangular Rapid Flashing Beacons (RRFB) on the Soquel, Seabright, North Branciforte, King, Bay, Alta and Bethany Curve avenues and streets.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401801</b>	<b>Account # 221-40-64-9330-57307</b>								
<b>Project Cost Estimate:</b>	46,371	1,075,313	1,075,313	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Federal Grants (HSIP)	8,285	959,915	959,915	-	-	-	-	-	-
State grants - TDA	-	150,000	150,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	38,085	(34,602)	(34,602)	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**Mission Street Improvement Plan**

**Project Description:**

The City's General Plan EIR requires that certain intersections on Mission St (Hwy 1) be improved as mitigation to GP planned growth. This project proposes to develop a coordinated improvement and implementing plan. Improvements are required at Chestnut-King, Laurel, Bay & Swift intersections.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c402001</b>	<b>Account # 221-40-64-9311-57304</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	250,000	1,250,000	-	5,000,000	6,500,000
<b>Project Funding Estimates:</b>									
Traffic Impact - Citywide	-	-	-	-	250,000	500,000	-	1,000,000	1,750,000
State capital grants	-	-	-	-	-	500,000	-	3,000,000	3,500,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	250,000	-	1,000,000	1,250,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**Murray Street Bridge Seismic Retrofit**

**Project Description:**

Seismic retrofit of existing bridge over the Harbor. The project concept has been approved by Council in the past and includes new railings, wider bike lanes and sidewalk, and street lighting. The relocation of harbor facilities and boat docks are required during construction, as well as one-way traffic control on the bridge. Environmental review was completed, allowing the design and right-of-way process to proceed and is currently underway. Federal grant funds have been approved and the project is eligible for State Proposition 1B funds in lieu of a large local match. Construction has been delayed to address County Sanitation District changes to the force main under the harbor, and is also contingent on right-of-way approval and utility relocation.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c409321</b>	<b>Account # 221-40-62-9370-57310</b>								
<b>Project Cost Estimate:</b>	4,182,965	8,209,552	8,209,552	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
State capital grants	212,764	-	-	-	-	-	-	-	-
Federal capital grants	3,403,446	7,734,420	7,734,420	-	-	-	-	-	-
Local capital grants	10,000	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	556,755	475,132	475,132	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Public Works (EXISTING)

221- Gas Tax Fund

Ocean/Water Intersection Improvements

**Project Description:**

This project is in the Citywide Cumulative Development Traffic Study and General Plan to address build out conditions. It is consistent with the Ocean Street Plan and includes the plan design elements. This project includes a second left-turn lane on Water southbound to Water, and a right-turn lane on Water eastbound, both which have been completed. Pedestrian and bike access and safety elements have been included. Design and construction of the northeast corner is proposed in 2021.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401410</b>	<b>Account # 221-40-64-9320-57304</b>								
<b>Project Cost Estimate:</b>	195,473	425,845	425,845	400,000	-	-	-	-	400,000
<b>Project Funding Estimates:</b>									
Donations-other	20,000	-	-	-	-	-	-	-	-
Capital contributions-developers	-	-	-	-	-	-	-	-	-
Traffic Impact - Citywide	74,155	425,845	425,845	400,000	-	-	-	-	400,000
<b>Net Project Cost Estimates:</b>	101,318	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**Pacific Avenue Sidewalk - Front to Second**

**Project Description:**

Project proposes to address an important missing link by constructing 200 lineal feet of sidewalk on the east side of Pacific Avenue, between Front and Second Streets, and extending the bike lane. The diagonal parking will be replaced with parallel parking and will result in the loss of about 4 spaces. Drainage will be addressed. Grant funds have been awarded for this project.

	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year	Budgeted Actuals						
<b>Project # c401902</b>								
								<b>Account # 221-40-64-9330-57307</b>
<b>Project Cost Estimate:</b>	-	400,000	400,000	-	-	-	-	-
<b>Project Funding Estimates:</b>								
State grants-RXTPX Funds	-	250,000	250,000	-	-	-	-	-
Transfer from 2016 Trnsp Measure D	-	150,000	150,000	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**Riverside/Second Intersection Improvements**

**Project Description:**

This intersection improvement project has been changed to eliminate the need for Beach/SOLA plan recommended traffic signal or roundabout. The Council approved concept includes pedestrian activated flashers, streetscape, changing Leibrandt one-way inbound and removing the stop controls. This project schedule is included in the Riverside Ave Utility undergrounding project.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401105</b>	<b>Account # 221-40-64-9320-57306</b>								
<b>Project Cost Estimate:</b>	75,296	174,704	174,704	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Traffic Impact - Citywide	50,000	174,704	174,704	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	25,296	-	-	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**Soquel at Frederick Minor Widening**

**Project Description:**

Minor widening of Soquel at Frederick to improve east-bound lane transition, and on Frederick to improve the bike lane and vehicle lane assignments. Includes right-turn overlap phase to improve intersection operational efficiency and highlighting pedestrian crossings. RSTP grant approved for construction.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401003</b>	<b>Account # 221-40-64-9311-57304</b>								
<b>Project Cost Estimate:</b>	16,178	321,822	321,822	-	-	-	600,000	-	600,000
<b>Project Funding Estimates:</b>									
RDA Successor Agency	1,870	-	-	-	-	-	-	-	-
State capital grants	-	188,000	188,000	-	-	-	500,000	-	500,000
<b>Net Project Cost Estimates:</b>	14,308	133,822	133,822	-	-	-	100,000	-	100,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**State Route 1 Bridge Replacement**

**Project Description:**

The Project Study Report (PDS) has identified the need to replace and widen the critical Highway 1 bridge over the San Lorenzo River. The City has completed the PDS and will start environmental review and design, as staff resources become available. The PDS was approved by Caltrans. The projects goals are to improve traffic capacity, safety, flood flows and fish passage, and provide seismic stability. With the current deteriorated condition of the bridge, replacement is highly recommended. The PDS estimates the construction cost range from \$9 to \$15 million. A grant application has not yet been filed.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401402</b>	<b>Account # 221-40-64-9370-57310</b>								
<b>Project Cost Estimate:</b>	-	1,350,000	1,350,000	-	-	15,500,000	-	-	15,500,000
<b>Project Funding Estimates:</b>									
Federal capital grants	-	500,000	500,000	-	-	14,000,000	-	-	14,000,000
<b>Net Project Cost Estimates:</b>	-	850,000	850,000	-	-	1,500,000	-	-	1,500,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**State Route 1/9 Intersection Improvements**

**Project Description:**

The project had been recommended in the Harvey West Traffic Studies, Citywide Cumulative Development Traffic Study and General Plan to reduce congestion and improve safety. The Project Study Report and environmental review are completed and approved by Caltrans. Project design had been initiated in FY 2015 and right-of-way acquisition initiated in 2017 based on the 65% design plans. Construction of the intersection improvements is anticipated in FY2021 but is contingent on row acquisition and state approvals. STIP grants were awarded for construction.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c400805</b>	<b>Account # 221-40-64-9330-57304</b>								
<b>Project Cost Estimate:</b>	1,264,161	8,159,053	8,159,053	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
RDA Successor Agency	5,108	500,000	500,000	-	-	-	-	-	-
Traffic Impact - Citywide	1,257,099	4,310,901	4,310,901	-	-	-	-	-	-
State grants - Prop 1B	-	-	-	-	-	-	-	-	-
Federal capital grants	-	2,853,000	2,853,000	-	-	-	-	-	-
Miscellaneous operating revenue	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	1,954	495,151	495,151	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

221- Gas Tax Fund

**West Cliff Drive Multi-Use Path Pavement Rehabilitation**

**Project Description:**

This project will address some of the deferred maintenance of the path surface with patching, edge repair and slurry paving of the multi-use path. The first phase from Bay to Lighthouse Field was completed in FY 2012 and the second phase from Lighthouse to John Street was completed in FY 2015. The third phase is being developed for construction in FY2021 following the storm damage repair near Chico Ave.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # m400819</b>	<b>Account # 221-40-64-9330-57304</b>								
<b>Project Cost Estimate:</b>	367,512	500,000	500,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
State grants - TDA	306,152	200,000	200,000	-	-	-	-	-	-
Capital contributions-developers	100,000	100,000	100,000	-	-	-	-	-	-
General CIP Fund	35,726	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(74,366)	200,000	200,000	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for Gas Tax Fund (221) Totals**

	Fiscal Year 2020								
	Prior Year Totals	Budget	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Total Project Cost Estimate:</b>	6,875,388	23,699,014	23,699,014	900,000	600,000	18,750,000	900,000	5,400,000	26,550,000
<b>Total Project Funding Estimate:</b>	5,775,774	21,104,616	21,104,616	600,000	350,000	17,000,000	800,000	4,300,000	23,050,000
<b>Total Net Project Cost Estimate:</b>	1,099,614	2,594,398	2,594,398	300,000	250,000	1,750,000	100,000	1,100,000	3,500,000

**Public Works Totals for Gas Tax Fund (221)**

	Fiscal Year 2020								
	Prior Year Totals	Budget	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Total Project Cost Estimate:</b>	6,875,388	23,699,014	23,699,014	900,000	600,000	18,750,000	900,000	5,400,000	26,550,000
<b>Total Project Funding Estimate:</b>	5,775,774	21,104,616	21,104,616	600,000	350,000	17,000,000	800,000	4,300,000	23,050,000
<b>Total Net Project Cost Estimate:</b>	1,099,614	2,594,398	2,594,398	300,000	250,000	1,750,000	100,000	1,100,000	3,500,000



City of Santa Cruz  
Adopted Capital Investment Program Budget (by department)  
Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

235- Clean Rivers & Beaches Fund

**Cowell Beach Water Quality Project**

**Project Description:**

Project includes the following tasks, some of which are complete: 1) Installed screening under the wharf on and near shore to prevent pigeons from roosting near the Cowells beach hotspot; 2) Convened a panel of outside experts to review the City's current testing regime and provide advice on future testing; 3) Continue Microbial Source Tracking testing; and 4) Provide funding to the Cowell Beach Working Group.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401614</b>	<b>Account # 235-40-60-9235-57311</b>								
<b>Project Cost Estimate:</b>	101,798	83,202	83,202	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Wastewater Fund	40,000	-	-	-	-	-	-	-	-
From General Fund	25,000	-	-	-	-	-	-	-	-
City Public Trust Fund	25,000	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	11,798	83,202	83,202	-	-	-	-	-	-

**Stormwater Trash Capture Program**

**Project Description:**

The State Water Resources Control Board adopted an amendment to the SWQC Permit requiring the city to "capture all trash". The permit amendment requires the City to implement a program within 10 years. The funding will assist in developing the program and initial implementation.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401701</b>	<b>Account # 235-40-60-9235-57311</b>								
<b>Project Cost Estimate:</b>	31,878	158,122	158,122	-	-	-	1,000,000	-	1,000,000
<b>Net Project Cost Estimates:</b>	31,878	158,122	158,122	-	-	-	1,000,000	-	1,000,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for Clean Rivers & Beaches Fund (235) Totals**

	Prior Year Totals	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	133,676	241,324	241,324	-	-	-	1,000,000	-	1,000,000
<b>Total Project Funding Estimate:</b>	90,000	-	-	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	43,676	241,324	241,324	-	-	-	1,000,000	-	1,000,000

**Public Works Totals for Clean Rivers & Beaches Fund (235)**

	Prior Year Totals	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	133,676	241,324	241,324	-	-	-	1,000,000	-	1,000,000
<b>Total Project Funding Estimate:</b>	90,000	-	-	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	43,676	241,324	241,324	-	-	-	1,000,000	-	1,000,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**Bay Drive Storm Damage Repair**

**Project Description:**

The slope between the median trail and the creek, on the west side and between Escalona and Nobel eroded in a past storms and continues to erode. Ongoing erosion will impact the road and the trail and staff has determined a repair is needed soon. Storm damage funding is not available and costs have escalated. the project design is complete and the project will be constructed this summer.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401507</b>	<b>Account # 311-40-62-9320-57306</b>								
<b>Project Cost Estimate:</b>	22,758	352,242	352,242	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Gas Tax Fund	-	150,000	150,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	22,758	202,242	202,242	-	-	-	-	-	-

**Catch Basin Replacement Program**

**Project Description:**

Upgrade 15 non-standard catch basins with standard Type B catch basins at various locations throughout the city to reduce clogging during rainfall, minimizing maintenance and localized flooding.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401610</b>	<b>Account # 311-40-64-9330-57307</b>								
<b>Project Cost Estimate:</b>	222,485	51,341	51,341	50,000	100,000	100,000	100,000	100,000	450,000
<b>Project Funding Estimates:</b>									
Gas Tax Fund	148,659	51,341	51,341	50,000	100,000	100,000	100,000	100,000	450,000
<b>Net Project Cost Estimates:</b>	73,826	-	-	-	-	-	-	-	-

City of Santa Cruz  
Adopted Capital Investment Program Budget (by department)  
Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**Chestnut Street Storm Drain Replacement**

**Project Description:**

The Chestnut Street Storm drain, north of Laurel Street to Church Street, is in very poor condition and should be replaced. There have been 3 cave-ins in the last fiscal year. The design process is complete and has determined the extent of the deterioration and all sections must be replaced.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401505</b>	<b>Account # 311-40-64-9340-57311</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	875,000	-	-	-	875,000
<b>Project Funding Estimates:</b>									
<b>From General Fund</b>	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	875,000	-	-	-	875,000

**CMP Storm Drain Pipe Replacement**

**Project Description:**

Corrugated Metal Pipe (CMP) storm drain pipe has a useful life of approximately 50 years. There are several of these storm drains citywide where the pipe has corroded and collapsed, necessitating replacement with plastic pipe which has a longer useful life. Engineering and Operations staff identify the highest priority locations.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401709</b>	<b>Account # 311-40-64-9340-57311</b>								
<b>Project Cost Estimate:</b>	137,780	159,827	159,827	50,000	100,000	100,000	100,000	100,000	450,000
<b>Project Funding Estimates:</b>									
<b>Gas Tax Fund</b>	90,173	159,827	159,827	50,000	100,000	100,000	100,000	100,000	450,000
<b>Net Project Cost Estimates:</b>	47,607	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**Curtis Street Storm Drain Phase II**

**Project Description:**

Design and construct approximately 700 feet of 36 inch diameter storm drain line on Curtis, Coulson and Berry Streets may prevent flooding of streets, sidewalks and private property. This builds on the Phase I project downstream that was completed in FY 2017.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401802</b>	<b>Account # 311-40-64-9340-57311</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	-	500,000	500,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	500,000	500,000

**LED light replacement (City-wide) - CEC**

**Project Description:**

Retrofit of remaining city streetlights that are high pressure sodium (HPS) or metal halide (MH) to energy efficient light emitting diode (LED) lights to reduce maintenance costs and energy use. Several projects, approximately 1200 street lights have been completed with ARRA Stimulus, CDBG and off-bill financing funds. An additional 321 street lights remain to be converted with a California Energy Commission loan.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401418</b>	<b>Account # 311-40-61-9320-57305</b>								
<b>Project Cost Estimate:</b>	351,638	16,699	16,699	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>Loan proceeds</b>	198,690	24,736	24,736	-	-	-	-	-	-
<b>From General Fund</b>	86,259	-	-	-	-	-	-	-	-
<b>Gas Tax Fund</b>	63,741	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	2,948	(8,037)	(8,037)	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**Market Street Sidewalk and Bike Lane**

**Project Description:**

Construct sidewalk within the existing Market Street roadway, between Avalon and Stoney Creek Rd, where none currently exists. Requires installation of a new marked crosswalk near Avalon St to provide continuity on the west side of the street. Project includes minor widening at Market/Goss Ave to complete sidewalk, ramp and bike lane. A grant has not yet been approved.

	Fiscal Year 2020								Total 2021 - 2025	
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate		
<b>Project # c401806</b>	<b>Account # 311-40-64-9330-57307</b>									
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	-	520,000	-	520,000
<b>Project Funding Estimates:</b>										
Transfer from 2016 Trnsp Measure D	-	-	-	-	-	-	-	100,000	-	100,000
Federal capital grants	-	-	-	-	-	-	-	400,000	-	400,000
Capital contributions-developers	-	-	-	-	-	-	-	20,000	-	20,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**MB Sanctuary Scenic Trail (Rail Trail) Segments 8 & 9**

**Project Description:**

This ATP Cycle 3 project includes funding for the design and environmental review of the rail trail segments 8 & 9, between the Wharf Roundabout and 17th Avenue. The proposed 2.18 mile trail is within the City and County of Santa Cruz and will be a joint project for the purpose of this grant. A non-infrastructure component for safety, education and encouragement is included in the funding. The Land Trust of Santa Cruz County is providing the local match to this approved grant. Future Measure D funding is allocated to matching grants for construction. A grant application for construction will be sought when environmental review and design are complete.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401804</b>	<b>Account # 311-40-64-9330-57307</b>								
<b>Project Cost Estimate:</b>	1,857	4,667,143	4,667,143	-	-	26,600,000	-	-	26,600,000
<b>Project Funding Estimates:</b>									
Transfer from 2016 Trnsp Measure D	-	-	-	-	-	1,700,000	-	-	1,700,000
Local capital grant-SC County Land Trust	-	1,500,000	1,500,000	-	-	-	-	-	-
Federal capital grants	-	3,169,000	3,169,000	-	-	22,900,000	-	-	22,900,000
Measure D - SCCRTC	-	-	-	-	-	2,000,000	-	-	2,000,000
Measure D - City	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	1,857	(1,857)	(1,857)	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**MB Sanctuary Scenic Trail (Rail Trail) Segment 7**

**Project Description:**

Project includes the portion of the MBSC Trail (rail trail) Segment 7 that is within the city limits, from Natural Bridges to the MB Sanctuary Center at Pacific and Beach. This segment is explained in further detail in the Master Plan. Working in conjunction with SCCRTC, Railroad and City staff, a consultant completed the design for the trail, environmental review documents, permits and construction documents. Construction of Phase 1 is underway. Phase 2 is contingent on settling a lawsuit and receiving grant funding for construction. The grant has been applied for.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401413</b>	<b>Account # 311-40-64-9330-57307</b>								
<b>Project Cost Estimate:</b>	1,524,647	16,156,356	16,156,356	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Contributions - businesses	42,135	50,000	50,000	-	-	-	-	-	-
Measure D - SCCRTC	-	2,100,000	2,100,000	-	-	-	-	-	-
From General Fund	-	-	-	-	-	-	-	-	-
State grant-Coastal Conservancy	159,598	-	-	-	-	-	-	-	-
Gas Tax Fund	176,651	823,349	823,349	-	-	-	-	-	-
Transfer from 2016 Trnsp Measure D	-	1,000,000	1,000,000	-	-	-	-	-	-
Federal Grants (HSIP)	-	7,951,850	7,951,850	-	-	-	-	-	-
Federal capital grants	629,302	2,625,698	2,625,698	-	-	-	-	-	-
State capital grants-STIP	303,406	1,501,594	1,501,594	-	-	-	-	-	-
Contributions - PG&E	2,000	-	1,689	-	-	-	-	-	-
From General Fund	57,420	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	154,136	103,864	102,175	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**Mission Street Hill Utility Undergrounding**

**Project Description:**

The undergrounding of overhead utilities on the City's portion of Mission Street, from Chestnut to Mission Plaza and within the Mission Hill Plaza area, has been approved by Council as the next Rule 20A district. A Rule 20A project is funded by PG&E from rate payer allocations and the City has borrowed funding from future allocations to fully fund the project. The local funding has been used to design the project in anticipation of partial reimbursement from Rule 20A funds. Local funds will be used to address easements and install decorative and regular streetlights when the utility poles with streetlights are removed.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401004</b>	<b>Account # 311-40-64-9360-57390</b>								
<b>Project Cost Estimate:</b>	96,506	60,000	60,000	-	1,150,000	-	-	-	1,150,000
<b>Project Funding Estimates:</b>									
Contributions - Other Agencies	-	-	-	-	-	-	-	-	-
Contributions - PG&E	-	-	-	-	1,000,000	-	-	-	1,000,000
Local capital grants	-	-	-	-	-	-	-	-	-
Gas Tax Fund	-	-	-	-	150,000	-	-	-	150,000
<b>Net Project Cost Estimates:</b>	96,506	60,000	60,000	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**Pogonip Creek Sedimentation Removal**

**Project Description:**

The Pogonip Flood Control project was constructed in 1993 to improve drainage conditions in the Harvey West Area by channelizing a portion of the creek, installing some new storm drains, and improving the storm drain lines under Highway 9 to the San Lorenzo River. Sedimentation from steep upstream slopes and private diversion systems have redirected the creek closer to the embankment, impacting private property in Harvey West. This project proposes to remove sediment and overgrown vegetation, and repair a flood wall to reduce impacts of flooding on private property. Work will be subject to a Fish and Game streambed alteration and RWQCB permits. The design is complete. Project implementation is proposed in FY21.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401306</b>	<b>Account # 311-40-64-9340-57311</b>								
<b>Project Cost Estimate:</b>	68,691	34,309	34,309	-	250,000	-	-	-	250,000
<b>Net Project Cost Estimates:</b>	68,691	34,309	34,309	-	250,000	-	-	-	250,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**Riverside Ave Utility Underground Phase II**

**Project Description:**

The project includes undergrounding the overhead utilities on Riverside Avenue, from Third Street to Beach Street, and constructing streetscape improvements (decorative streetlights, sidewalks and street trees). The design concept was approved by Council in FY12. The project was changed to a Rule 20A project which is funded by PG&E from rate payer allocations. The City has borrowed funding from future allocations to fully fund the project. The local funding has been used to complete the design in anticipation of partial reimbursement from Rule 20A funds. Agreements with the utility companies on a cost share and the PG&E bankruptcy have delayed the project.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401208</b>	<b>Account # 311-40-64-9360-57390</b>								
<b>Project Cost Estimate:</b>	479,643	4,835,357	4,835,357	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
RDA Successor Agency	378,847	-	-	-	-	-	-	-	-
Other agency contributions	-	1,650,000	1,650,000	-	-	-	-	-	-
Property Owner Contributions	-	1,300,000	1,300,000	-	-	-	-	-	-
From ED Trust Fund	238,940	453,974	453,974	-	-	-	-	-	-
Water Fund	-	501,613	501,613	-	-	-	-	-	-
Gas Tax Fund	-	639,503	639,503	-	-	-	-	-	-
From CIP-Street Maint and Rehab Fund	-	500,000	500,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(138,144)	(209,733)	(209,733)	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**Seabright Beach CMP Replacement**

**Project Description:**

The corrugated metal pipe (CMP) that carries storm water flow from lower Seabright (near the Natural History Museum) and Brook Creek is deteriorated, from the creek to the outlet box on Seabright Beach and is need of replacement. May require a coastal permit.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401803</b>	<b>Account # 311-40-64-9340-57311</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	175,000	-	-	-	175,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	175,000	-	-	-	175,000

**Shaffer Road Railroad Crossing and Route 1 Traffic Signal Improvements**

**Project Description:**

Development of a benefit assessment district for the design and funding cost share of a new railroad crossing (with gates and surface improvements) and the installation of a traffic signal at the Shaffer/Route 1 intersection. Development of adjacent properties require crossing installation to reduce impact to Delaware and residential uses, and improve emergency access. The crossing is planned to be multi-modal. Requires SCCRTC, CPUC and Caltrans approval. Costs of assessment district development to be reimbursed if district approved.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401403</b>	<b>Account # 311-40-62-9320-57306</b>								
<b>Project Cost Estimate:</b>	-	-	400	-	250,000	-	1,000,000	-	1,250,000
<b>Project Funding Estimates:</b>									
Gas Tax Fund	-	-	-	-	250,000	-	-	-	250,000
Assessment District Fund	-	-	-	-	-	-	1,000,000	-	1,000,000
<b>Net Project Cost Estimates:</b>	-	-	400	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**Solar PV Expansion at Corp Yard**

**Project Description:**

This project consists of designing and installing a 166 kW solar photovoltaic system at the Corporation Yard. This project expands the existing 45 kW solar PV system at the same location and the recent roofing improvement project was designed to accommodate the expansion. Most project costs will be wrapped into a Power Purchase Agreement, however there may be ancillary city costs.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c101901</b>									
									<b>Account # 311-10-00-9410-57290</b>
<b>Project Cost Estimate:</b>	-	365,750	365,750	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>Water Fund</b>	-	114,000	114,000	-	-	-	-	-	-
<b>Refuse Fund</b>	-	185,250	185,250	-	-	-	-	-	-
<b>Parking Fund</b>	-	28,500	28,500	-	-	-	-	-	-
<b>Equipment Fund</b>	-	38,000	38,000	-	-	-	-	-	-
<b>From General Fund</b>	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**Solar PV Installation at DeLaveaga Park**

**Project Description:**

This project consists of designing and installing a 195 kW solar photovoltaic system on a new parking lot canopy structure. The project also includes third party design review, project management, and inspection services. Most project costs will be wrapped into a Power Purchase Agreement, however there may be ancillary city costs.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c101903</b>	<b>Account # 311-10-00-9410-57290</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	50,000	-	-	-	50,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	50,000	-	-	-	50,000

**Soquel/Pine Storm Drain**

**Project Description:**

Alleviate flood on Soquel Avenue between Pine Street and Doyle Street by constructing a 30-inch pipeline on Pine Street from Soquel Avenue to Broadway. Approximate length of pipeline will be 1,800 feet.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c402002</b>	<b>Account # 311-40-64-9340-57311</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	1,300,000	-	1,300,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	1,300,000	-	1,300,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**Swanton Blvd Multi-Use Trail Connector**

**Project Description:**

This project proposes a 10-12 foot wide multi-use trail along Swanton Blvd, from West Cliff to Delaware, and along Delaware to Natural Bridges Drive. Improved lighting, curb ramps, islands, signs and striping are proposed at the intersection. This project fills a missing gap between West Cliff Drive and Mission Street Extension, providing improved and safer access to many public, commercial and residential activity centers. This multi-use trail is adjacent to Natural Bridge State Park and State Parks is supportive of the project. A grant has not yet been approved.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401805</b>									<b>Account # 311-40-64-9330-57307</b>
<b>Project Cost Estimate:</b>	-	-	-	-	1,900,000	-	-	-	1,900,000
<b>Project Funding Estimates:</b>									
Transfer from 2016 Trnsp Measure D	-	-	-	-	200,000	-	-	-	200,000
Federal capital grants	-	-	-	-	1,700,000	-	-	-	1,700,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**Trevethan Storm Drain Project - Phase 1**

**Project Description:**

This area has experienced annual flooding episodes and sand bags are typically deployed in the winter to keep water out of private structures. Currently the street surface drains south to catch basins at Trevethan and Soquel. The project proposes to install 450 lineal feet of 24 inch diameter storm drain and 3 catch basins on Trevethan, from East gate to Soquel Ave.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401307</b>									<b>Account # 311-40-64-9340-57311</b>
<b>Project Cost Estimate:</b>	-	-	-	-	225,000	-	-	-	225,000
<b>Project Funding Estimates:</b>									
Wastewater Fund	-	-	-	-	-	-	-	-	-
Measure E fund	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	225,000	-	-	-	225,000

City of Santa Cruz  
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 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**UCSC-City Transportation Improvements & Studies**

**Project Description:**

Implementation of Section 4.13 of the UCSC-City Comprehensive Settlement Agreement to identify for implementation transportation improvements and studies to explore alternative transportation solutions such as traffic signal timing on Mission and Bay, transit traffic signal pre-emption, express bus service, GIS analysis, park and ride lots, long-term vehicular storage, and Zip Car expansion.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401008</b>	<b>Account # 311-40-64-9390-57304</b>								
<b>Project Cost Estimate:</b>	-	250,000	250,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Other local revenues	-	150,000	150,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	100,000	100,000	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**West Cliff Drive Revetment Repair**

**Project Description:**

This project proposes to place additional engineered rock protection as needed where areas have been damaged by King tides in recent years and to prevent damage to the West Cliff path. FY2016 funding was used to repair two locations near Woodrow and a sink hole at a retaining wall near Woodrow. Priority is to protect the base of the retaining wall near Woodrow. Other areas to be identified and may be subject to additional Coastal permit requirements.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401501</b>	<b>Account # 311-40-62-9330-57307</b>								
<b>Project Cost Estimate:</b>	429,753	249,247	249,247	-	500,000	250,000	250,000	250,000	1,250,000
<b>Project Funding Estimates:</b>									
Liability Insurance Fund	75,000	-	-	-	-	-	-	-	-
From General Fund	2,353	247,647	247,647	-	500,000	250,000	250,000	250,000	1,250,000
Capital contributions-developers	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	352,400	1,600	1,600	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**311- General Capital Improvement Fund**

**West Cliff Stair Repair**

**Project Description:**

The repair of two stairways along West Cliff Drive. Construction is contingent on favorable tide and weather conditions. Improvements include handrails, replacement of degraded concrete treads and construction of new landings.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # m401402</b>	<b>Account # 311-40-62-9320-57306</b>								
<b>Project Cost Estimate:</b>	134,343	170,000	170,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Capital contributions-developers	70,000	-	-	-	-	-	-	-	-
Liability Insurance Fund	-	100,000	100,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	64,343	70,000	70,000	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for General Capital Improvement Fund (311) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	3,470,102	27,368,272	27,368,672	100,000	5,575,000	27,050,000	3,270,000	950,000	36,945,000
<b>Total Project Funding Estimate:</b>	2,723,173	27,015,883	27,017,572	100,000	4,000,000	27,050,000	1,970,000	450,000	33,570,000
<b>Total Net Project Cost Estimate:</b>	746,929	352,388	351,100	-	1,575,000	-	1,300,000	500,000	3,375,000

**Public Works Totals for General Capital Improvement Fund (311)**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	3,470,102	27,368,272	27,368,672	100,000	5,575,000	27,050,000	3,270,000	950,000	36,945,000
<b>Total Project Funding Estimate:</b>	2,723,173	27,015,883	27,017,572	100,000	4,000,000	27,050,000	1,970,000	450,000	33,570,000
<b>Total Net Project Cost Estimate:</b>	746,929	352,388	351,100	-	1,575,000	-	1,300,000	500,000	3,375,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

316- CIP - Santa Cruz Library

**Branciforte Measure S Facility Remodel**

**Project Description:**

Measure S facility remodel project.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c351801</b>									
									<b>Account # 316-35-00-0000-57203</b>
<b>Project Cost Estimate:</b>	54,121	4,016,108	4,016,108	254,283	-	-	-	-	254,283
<b>Net Project Cost Estimates:</b>	54,121	4,016,108	4,016,108	254,283	-	-	-	-	254,283

**Downtown Branch Measure S**

**Project Description:**

Measure S facility remodel project.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c351803</b>									
									<b>Account # 316-35-00-0000-57203</b>
<b>Project Cost Estimate:</b>	95,000	5,000	109,515	500,000	24,650,000	-	-	-	25,150,000
<b>Net Project Cost Estimates:</b>	95,000	5,000	109,515	500,000	24,650,000	-	-	-	25,150,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

316- CIP - Santa Cruz Library

**Garfield Park Measure S Facility Remodel**

**Project Description:**

Measure S facility remodel project.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c351802</b>	<b>Account # 316-35-00-0000-57203</b>								
<b>Project Cost Estimate:</b>	50,361	1,556,697	1,556,697	579,329	-	-	-	-	579,329
<b>Net Project Cost Estimates:</b>	50,361	1,556,697	1,556,697	579,329	-	-	-	-	579,329

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for CIP - Santa Cruz Library Totals**

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Total Project Cost Estimate:</b>	199,482	5,577,805	5,682,320	1,333,612	24,650,000	-	-	-	25,983,612
<b>Total Project Funding Estimate:</b>	-	-	-	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	199,482	5,577,805	5,682,320	1,333,612	24,650,000	-	-	-	25,983,612

**Public Works Totals for CIP - Santa Cruz Library**

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Total Project Cost Estimate:</b>	199,482	5,577,805	5,682,320	1,333,612	24,650,000	-	-	-	25,983,612
<b>Total Project Funding Estimate:</b>	-	-	-	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	199,482	5,577,805	5,682,320	1,333,612	24,650,000	-	-	-	25,983,612

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**317- Arterial Streets and Roads Fund**

**City Arterial and Collector Street Reconstruction and Overlay**

**Project Description:**

Project includes pavement reconstruction, overlay, cape and slurry seal, and asphalt grinding of city arterial and collector streets for maintenance and reconditioning. Projects are selected based on the City's pavement management computerized system and in coordination with other utilities and transportation projects. Gas tax available for paving is estimated at \$500,000 per year and grants average approximately \$500,000 per year. The grants are estimated federal transportation funds that are applied for when available. Approximately \$4.0 million is needed annually to maintain City streets satisfactorily. Approximately 25% of the City's Measure D annual allocation is applied to this project.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c400809</b>	<b>Account # 317-40-64-9311-57304</b>								
<b>Project Cost Estimate:</b>	17,452,496	9,583,849	9,583,849	2,200,000	2,350,000	2,400,000	2,500,000	2,600,000	12,050,000
<b>Project Funding Estimates:</b>									
Wastewater Fund	95,710	-	-	-	-	-	-	-	-
Gas Tax Fund	4,686,625	500,000	500,000	500,000	500,000	500,000	500,000	500,000	2,500,000
Other local revenues	23,814	-	-	-	-	-	-	-	-
State capital grants	925,701	1,500,000	1,500,000	-	-	-	-	-	-
Traffic Congestion Relief Fund	402,257	-	-	-	-	-	-	-	-
Fed grants - ARRA	753,076	-	-	-	-	-	-	-	-
From General Fund	1,100,000	-	-	-	-	-	-	-	-
Loan proceeds	1,962,217	-	-	-	-	-	-	-	-
State grants-RXTPX Funds	675,000	1,775,000	1,775,000	500,000	500,000	500,000	500,000	500,000	2,500,000
Transfer from 2016 Trnsp Measure D	415,720	300,000	300,000	500,000	500,000	500,000	500,000	500,000	2,500,000
State grants - TDA	25,208	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	6,387,168	5,508,849	5,508,849	700,000	850,000	900,000	1,000,000	1,100,000	4,550,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**317- Arterial Streets and Roads Fund**

**City Residential and Collector Street Reconstruction and Overlay**

**Project Description:**

Pavement reconstruction, overlay, cape and slurry seal, and asphalt grinding of city residential and collector streets for maintenance and reconditioning. Projects are selected based on the City's pavement management computerized system and in coordination with other utilities and transportation projects. Approximately \$1.2 million is needed annually to provide a 10 year rotation on residential streets. Grants are not typically available for residential and collector streets. Approximately 25% of the City's Measure D annual allocation is applied to this project.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c400810</b>	<b>Account # 317-40-64-9311-57304</b>								
<b>Project Cost Estimate:</b>	12,577,655	1,021,105	1,021,105	800,000	800,000	800,000	800,000	800,000	4,000,000
<b>Project Funding Estimates:</b>									
City Public Trust Fund	414,451	-	-	-	-	-	-	-	-
Traffic Congestion Relief Fund	587,025	-	-	-	-	-	-	-	-
Water Fund	17,978	-	-	-	-	-	-	-	-
From General Fund	500,000	-	-	-	-	-	-	-	-
Transfer from 2016 Trnsp Measure D	415,720	300,000	300,000	300,000	300,000	300,000	300,000	300,000	1,500,000
<b>Net Project Cost Estimates:</b>	10,642,481	721,105	721,105	500,000	500,000	500,000	500,000	500,000	2,500,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for Arterial Streets and Roads Fund (317) Totals**

	Prior Year Totals	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	30,030,151	10,604,954	10,604,954	3,000,000	3,150,000	3,200,000	3,300,000	3,400,000	16,050,000
<b>Total Project Funding Estimate:</b>	13,000,502	4,375,000	4,375,000	1,800,000	1,800,000	1,800,000	1,800,000	1,800,000	9,000,000
<b>Total Net Project Cost Estimate:</b>	17,029,649	6,229,954	6,229,954	1,200,000	1,350,000	1,400,000	1,500,000	1,600,000	7,050,000

**Public Works Totals for Arterial Streets and Roads Fund (317)**

	Prior Year Totals	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	30,030,151	10,604,954	10,604,954	3,000,000	3,150,000	3,200,000	3,300,000	3,400,000	16,050,000
<b>Total Project Funding Estimate:</b>	13,000,502	4,375,000	4,375,000	1,800,000	1,800,000	1,800,000	1,800,000	1,800,000	9,000,000
<b>Total Net Project Cost Estimate:</b>	17,029,649	6,229,954	6,229,954	1,200,000	1,350,000	1,400,000	1,500,000	1,600,000	7,050,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (NEW)**

721- Wastewater Enterprise Fund

**Isbel Pump Station Replacement**

**Project Description:**

Complete rebuild of this older style dry/wet well sanitary sewer pump station.

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals						
<b>Project # c402105</b>	<b>Account # 721-40-62-7259-57312</b>								
<b>Project Cost Estimate:</b>	-	-	-	100,000	400,000	-	-	-	500,000
<b>Net Project Cost Estimates:</b>	-	-	-	100,000	400,000	-	-	-	500,000

**Mentel Aerial Sewer Improvement**

**Project Description:**

The existing 6" sewer pipe runs from Mentel Avenue to Forest Avenue. The proposed project will replace 310 LF of 6" pipeline including constructing new supports for the aerial section of pipeline.

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals						
<b>Project # c402102</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	-	-	-	200,000	-	-	-	-	200,000
<b>Net Project Cost Estimates:</b>	-	-	-	200,000	-	-	-	-	200,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (NEW)**

721- Wastewater Enterprise Fund

**Pelton Ave Pump Station Generator**

**Project Description:**

Install new emergency generator at the existing sanitary sewer pump station.

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals						
<b>Project # c402104</b>	<b>Account # 721-40-62-7259-57312</b>								
<b>Project Cost Estimate:</b>	-	-	-	80,000	-	-	-	-	80,000
<b>Net Project Cost Estimates:</b>	-	-	-	80,000	-	-	-	-	80,000

**Union Street Sewer Replacement**

**Project Description:**

This project will replace 60 LF of clay sanitary sewer pipeline by directional boring. This pipeline has deteriorated beyond repair and must be completely replaced. The pipeline runs from Union St to Chestnut St Extension down a steep hillside. The project also includes the modification of manholes N7-SM603 and N7-SM601 to accommodate the new pipeline.

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals						
<b>Project # c402103</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	-	-	-	108,000	-	-	-	-	108,000
<b>Net Project Cost Estimates:</b>	-	-	-	108,000	-	-	-	-	108,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (NEW)**

**721- Wastewater Enterprise Fund**

**Walnut Avenue Sewer**

**Project Description:**

Replace the failing clay sewer pipeline on Walnut St between Rincon St (N6-SM412) and Cedar St (N6-SM228). Replace 1025 ft. of 6 in sewer pipe with new 8 inch pipe and 325 ft. 6 inch sewer pipe with new 6 inch pipe. Rebuild and adjust selected brick manholes along the section and reconnect residential sewer laterals to new mains.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c402101</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	-	-	-	800,000	-	-	-	-	800,000
<b>Net Project Cost Estimates:</b>	-	-	-	800,000	-	-	-	-	800,000

**Wastewater Collections Storage**

**Project Description:**

The wastewater collections division will need to relocate a portion of their vehicles, equipment and supplies. Permanent and temporary relocation will be required as result of the Soquel Pure Water Tertiary construction project. Relocation within City's Wastewater Treatment Facility may include construction of a small storage building. Offsite storage during construction may also be needed.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c402110</b>	<b>Account # 721-40-62-7251-57201</b>								
<b>Project Cost Estimate:</b>	-	-	-	150,000	-	-	-	-	150,000
<b>Net Project Cost Estimates:</b>	-	-	-	150,000	-	-	-	-	150,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**New Capital Projects for Wastewater Enterprise Fund (721) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	-	-	-	1,438,000	400,000	-	-	-	1,838,000
<b>Total Project Funding Estimate:</b>	-	-	-	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	-	-	-	1,438,000	400,000	-	-	-	1,838,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

721- Wastewater Enterprise Fund

**Fairmount/Harrison Sewer Main Upgrade**

**Project Description:**

Upgrade approximately 3000 lineal feet of 10 inch diameter sewer main to 12 inch diameter, in this neighborhood, south of Hwy 1. This trunk sewer has been identified as undersized in the sanitary sewer hydraulic model. Further investigation will be needed prior to finalizing the project scope, priority and cost.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401404</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	-	400,000	400,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	400,000	400,000

**Front-Spruce-Pacific-Sewer Main Rehab**

**Project Description:**

This project is to rehabilitate the 54 inch diameter sewer main that connects to the WWTF. The sewer main, constructed in 1965, requires preventative maintenance and will be lined from Front Street, Spruce and Pacific Avenue to the WWTF. Entry points for the liner will be excavated at approximately 3 manhole locations in the above noted streets.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401606</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	7	1,099,993	1,099,993	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	7	1,099,993	1,099,993	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**721- Wastewater Enterprise Fund**

**Jesse Street Marsh**

**Project Description:**

Funding to implement components of the approved management plan for Jesse Street marsh and park area as needed, including drainage modifications and maintenance to tidal interchange. This project is being coordinated with Parks and Recreation. County pays 8/17 of cost based on wastewater treatment facility capacity dedicated to County and environmental mitigation requirements of the secondary treatment facility.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c409669</b>	<b>Account # 721-40-62-7259-57312</b>								
<b>Project Cost Estimate:</b>	125,458	99,430	99,430	100,000	-	-	-	-	100,000
<b>Project Funding Estimates:</b>									
Local capital grants	70,036	35,793	35,793	47,059	-	-	-	-	47,059
<b>Net Project Cost Estimates:</b>	55,422	63,637	63,637	52,941	-	-	-	-	52,941

**Laurent Street Sewer**

**Project Description:**

The existing sewer line was constructed in 1926 and is in need of replacement. Project includes the design and construction of 1,000 lineal feet of new sewer line, reconnecting laterals and rebuilding manholes.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401809</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	12	249,988	249,988	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	12	249,988	249,988	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

721- Wastewater Enterprise Fund

**May/Coulson/Berry Trunk Sewer**

**Project Description:**

Increase capacity and reliability of this 1,500 foot long, 10 inch diameter sewer. Replace or combine with parallel collection sewer. Project starts at intersection of May Ave/Hubbard St. and ends at Grant/Barry intersection.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c402003</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	750,000	-	750,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	750,000	-	750,000

**Monterey St. and Lighthouse Ave. Sewer**

**Project Description:**

Sanitary sewer service for the residential block bordered by Santa Cruz St, Monterey St, Lighthouse Ave and West Cliff Dr is located in the backyards of these properties. The 6 inch diameter clay pipe network is difficult and in some cases impossible to access for due to fences, overgrown vegetation and distance from the street. The proposed new sewer incudes 700 feet of 8 inch diameter plastic pipe and new manholes.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401907</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	-	600,000	600,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	600,000	600,000	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**721- Wastewater Enterprise Fund**

**Neary Lagoon Park Rehab-Maint. (Annual)**

**Project Description:**

Rehabilitation and improvements to boardwalks, pathways, restrooms, parks building and natural areas. Removal of tules and sediment as required to maintain open waterways. Improvements are based on the approved Neary Lagoon Management Plan and various studies. County pays 8/17 of the cost based on wastewater treatment facility capacity dedicated to County and environmental mitigation requirements of the secondary treatment facility.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # m409668</b>	<b>Account # 721-40-62-7259-57312</b>								
<b>Project Cost Estimate:</b>	2,513,159	840,501	840,501	250,000	250,000	250,000	250,000	250,000	1,250,000
<b>Project Funding Estimates:</b>									
Local capital grants	1,324,445	117,647	117,647	117,647	117,647	117,647	117,647	117,647	588,235
State capital grants	-	200,000	200,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	1,188,714	522,854	522,854	132,353	132,353	132,353	132,353	132,353	661,765

**Pump Station P11-SP101 Modifications**

**Project Description:**

This 33 year old sanitary sewer pump station in the Carbonera area is in need of modifications to bring the controls, wet well and pump system up to the current city standards. This will increase capacity and reliability, and reduce maintenance and energy requirements.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # m401601</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	10,214	194,648	194,648	100,000	-	-	-	-	100,000
<b>Net Project Cost Estimates:</b>	10,214	194,648	194,648	100,000	-	-	-	-	100,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

721- Wastewater Enterprise Fund

**San Lorenzo River Sanitary Sewer Siphon**

**Project Description:**

There are 3 sanitary sewer lines (42, 24 and 14 inch diameter) that are below the San Lorenzo River bottom and convey all the wastewater flows from the eastside to the WWTP. The lines were partially cleaned in recent years, but a thorough inspection was not possible at that time. A new pipe cleaning method was used in 2012 and 2013 that allowed a complete inspection of the lines, determining that a repair and lining were needed on the 42 inch diameter sewer main, which was completed in FY 2014. Future inspection and cleaning is anticipated with remaining funds.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401315</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	717,978	307,022	307,022	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	717,978	307,022	307,022	-	-	-	-	-	-

**Sewer Realignment Project**

**Project Description:**

The 900 foot long, 12 inch sanitary sewer from Logan St (MH P5-SM209) to the intersection at Brook Ave and Doane St (MH P5-SM419) is located in inaccessible easement. Realign sewer to improve maintenance and reliability.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c402005</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	100,000	-	-	500,000	600,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	100,000	-	-	500,000	600,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**721- Wastewater Enterprise Fund**

**Sewer System Improvements**

**Project Description:**

Ongoing program to identify and target sewer lines and pump stations with limited capacity and that may be impacted by high storm water infiltration and inflow (I&I), obstructions and other deficiencies. The intent of the program and individual projects is to improve wastewater flow capacity, maintain an excellent environmental compliance record, and reduce treatment costs from I&I. The projects will include pipe bursting, replacement, re-routing, lining and other methods as needed. Project will address street reconstruction needs related to the sanitary system construction. Program includes the development of a public education component. Program will partially fund new storm drain improvements if it is found that drainage deficiencies are overtaxing the sanitary sewer system such as on Curtis Street and Trevethan Avenue.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401511</b>	<b>Account # 721-40-62-7251-57304</b>								
<b>Project Cost Estimate:</b>	3,238,027	1,344,973	1,344,973	600,000	600,000	600,000	600,000	600,000	3,000,000
<b>Net Project Cost Estimates:</b>	3,238,027	1,344,973	1,344,973	600,000	600,000	600,000	600,000	600,000	3,000,000

**Trevethan Avenue Sewer**

**Project Description:**

The existing sewer line is located within existing unused street rights-of-way, which are currently front yards of the Trevethan residences between Parnell and East Gate. This presents operational problems when attempting to do maintenance on the line. The project includes investigating the relocation, and if feasible, constructing a 1,100 lineal feet of new sewer line in the street and reconnecting sewer laterals, from Parnell to Soquel Avenue. A companion storm drain project is proposed in the General CIP fund.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401810</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	-	20,000	20,000	-	200,000	-	-	-	200,000
<b>Net Project Cost Estimates:</b>	-	20,000	20,000	-	200,000	-	-	-	200,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**721- Wastewater Enterprise Fund**

**WWTF Infra. and Major Equip Study**

**Project Description:**

Implement recommendations of the WWTF Infrastructure and Major equipment Study which prioritizes equipment replacement and upgrades. County pays 8/17 of cost based on wastewater treatment capacity dedicated to County.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401706</b>	<b>Account # 721-40-62-7252-57301</b>								
<b>Project Cost Estimate:</b>	309,275	640,225	640,225	2,000,000	1,000,000	2,000,000	3,000,000	3,000,000	11,000,000
<b>Project Funding Estimates:</b>									
Local capital grants	184,861	312,333	312,333	941,176	470,588	941,176	1,411,765	1,411,765	5,176,470
<b>Net Project Cost Estimates:</b>	124,414	327,891	327,891	1,058,824	529,412	1,058,824	1,588,235	1,588,235	5,823,530

**WWTF Ultraviolet Disinfections Sys Repl**

**Project Description:**

Replace the existing and aging ultraviolet light disinfection system, including controls and lamps, with current technology. There are energy savings expected with the new system. County pays 8/17 of cost based on wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401504</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	137,036	5,062,964	5,062,964	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Local capital grants	19,113	1,394,652	1,394,652	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	117,922	3,668,312	3,668,312	-	-	-	-	-	-

City of Santa Cruz  
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 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**721- Wastewater Enterprise Fund**

**WWTF-Electrical System**

**Project Description:**

The 21kV electrical system feed powers the entire treatment plant. Replacment of critcal components which include the main switchgear, cabling and transformer, are need as they reach the end of their the useful life as experienced by reliability and performance issues. The County pays 8/17 of the cost based on the wastewater treatment capacity dedicated to the County.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401405</b>	<b>Account # 721-40-65-7252-57301</b>								
<b>Project Cost Estimate:</b>	-	-	-	2,000,000	6,000,000	8,000,000	2,000,000	4,000,000	22,000,000
<b>Project Funding Estimates:</b>									
Local capital grants	-	-	-	941,176	2,823,529	3,764,706	941,176	1,882,353	10,352,940
<b>Net Project Cost Estimates:</b>	-	-	-	1,058,824	3,176,471	4,235,294	1,058,824	2,117,647	11,647,060

**WWTF-Equipment Replacement**

**Project Description:**

The projects proposed in this general category are a variety of projects that replace worn and obsolete equipment, improve automation, reduce energy, maintain environmental compliance and reduce odors at the Wastewater Treatment Plant. County pays 8/17 of cost based on wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # m409659</b>	<b>Account # 721-40-62-7252-57301</b>								
<b>Project Cost Estimate:</b>	6,743,841	2,503,086	2,503,086	500,000	1,000,000	1,000,000	1,000,000	1,000,000	4,500,000
<b>Project Funding Estimates:</b>									
Local capital grants	2,956,331	1,004,645	1,004,645	235,294	470,588	470,588	470,588	470,588	2,117,646
<b>Net Project Cost Estimates:</b>	3,787,510	1,498,441	1,498,441	264,706	529,412	529,412	529,412	529,412	2,382,354

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**721- Wastewater Enterprise Fund**

**WWTF-Laboratory Modernization**

**Project Description:**

The project includes redesigning the existing laboratory consistent with its mission by improving microbiological, chemical and toxicological methods in support of permitting and environmental requirements for NPDES, plant processes and community dischargers. The design may be initiated in FY2019 and constructed in FY 2021. County pays 8/17 of the cost based on the wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401608</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	-	250,000	250,000	-	1,500,000	-	-	-	1,500,000
<b>Project Funding Estimates:</b>									
Local capital grants	-	117,647	117,647	-	705,882	-	-	-	705,882
<b>Net Project Cost Estimates:</b>	-	132,353	132,353	-	794,118	-	-	-	794,118

**WWTF-Reclaim Water**

**Project Description:**

Improve reliability and performance of existing water filtration system. Further improvements will be required for future and larger scale water reuse programs. County pays 8/17 of the cost based on the system design and installation at the WWTF, based on the wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401604</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	47,550	602,450	602,450	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Local capital grants	117,849	188,235	188,235	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(70,299)	414,215	414,215	-	-	-	-	-	-

City of Santa Cruz  
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 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**721- Wastewater Enterprise Fund**

**WWTF-Upgrade Digester Equipment**

**Project Description:**

Digester equipment including gas compressors, motors, pumps, valves and piping is in operation every day, 24 hours a day. Digesters 2 and 3 will be done in FY2020. The County pays 8/17 of the cost based on wastewater treatment facility capacity dedicated to the County.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401607</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	535,559	214,441	214,441	300,000	-	500,000	-	500,000	1,300,000
<b>Project Funding Estimates:</b>									
Local capital grants	264,302	88,639	88,639	141,176	-	235,294	-	235,294	611,764
<b>Net Project Cost Estimates:</b>	271,257	125,802	125,802	158,824	-	264,706	-	264,706	688,236

**WWTF-Water Piping Rehabilitation**

**Project Description:**

A wastewater treatment facility on site reclaimed water supply piping evaluation has shown the system is suffering from corrosion and water pressure reduction and in need of a system replacement. Sporadic repairs/replacement have been done by City staff over the years, however the system is at the point of requiring a comprehensive overhaul. County pays 8/17 of cost based on wastewater treatment facility capacity dedicated to County.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401407</b>	<b>Account # 721-40-62-7259-57301</b>								
<b>Project Cost Estimate:</b>	-	1,000,000	1,000,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Local capital grants	-	470,588	470,588	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	529,412	529,412	-	-	-	-	-	-

City of Santa Cruz  
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 Fiscal Years 2021 - 2025

**Existing Capital Projects for Wastewater Enterprise Fund (721) Totals**

	Prior Year Totals	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	14,378,115	15,029,721	15,029,721	5,850,000	10,650,000	12,350,000	7,600,000	10,250,000	46,700,000
<b>Total Project Funding Estimate:</b>	4,936,938	3,930,179	3,930,179	2,423,528	4,588,234	5,529,411	2,941,176	4,117,647	19,599,996
<b>Total Net Project Cost Estimate:</b>	9,441,177	11,099,541	11,099,541	3,426,472	6,061,766	6,820,589	4,658,824	6,132,353	27,100,004

**Public Works Totals for Wastewater Enterprise Fund (721)**

	Prior Year Totals	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	14,378,115	15,029,721	15,029,721	7,288,000	11,050,000	12,350,000	7,600,000	10,250,000	48,538,000
<b>Total Project Funding Estimate:</b>	4,936,938	3,930,179	3,930,179	2,423,528	4,588,234	5,529,411	2,941,176	4,117,647	19,599,996
<b>Total Net Project Cost Estimate:</b>	9,441,177	11,099,541	11,099,541	4,864,472	6,461,766	6,820,589	4,658,824	6,132,353	28,938,004



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (NEW)**

**731- Refuse Enterprise Fund**

**Recycling Center Storm Water Quality Improvements**

**Project Description:**

Development, design and construction of a below ground stormwater basin in the existing parking lot to manage stormwater runoff generated at the recycling center.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c402111</b>	<b>Account # 731-40-62-7353-57308</b>								
<b>Project Cost Estimate:</b>	-	-	-	1,500,000	500,000	-	-	-	2,000,000
<b>Net Project Cost Estimates:</b>	-	-	-	1,500,000	500,000	-	-	-	2,000,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**New Capital Projects for Refuse Enterprise Fund (731) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	-	-	-	1,500,000	500,000	-	-	-	2,000,000
<b>Total Project Funding Estimate:</b>	-	-	-	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	-	-	-	1,500,000	500,000	-	-	-	2,000,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

731- Refuse Enterprise Fund

**CNG Fueling Station and Fleet Maintenance Shop Safety Improvements**

**Project Description:**

The Resource Recovery collection division is in the process of purchasing new CNG (compressed natural gas) trucks to replace the aging fleet of trucks. The City is currently fueling refuse trucks at the SC Metro CNG fueling station. Improvements to the City fleet maintenance facility so that servicing of the new equipment can be done, meeting code requirements.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401613</b>	<b>Account # 731-40-61-7359-57203</b>								
<b>Project Cost Estimate:</b>	17,706	47,294	47,294	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	17,706	47,294	47,294	-	-	-	-	-	-

**Dimeo Lane Paving and Storm Drain**

**Project Description:**

Dimeo Lane is the only access to the City's Landfill and Recycling Center, and with the truck traffic, the road base and surface are in need of repair. This project includes pavement repairs and minor storm drain improvements for Dimeo as needed.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401312</b>	<b>Account # 731-40-62-7352-57308</b>								
<b>Project Cost Estimate:</b>	149,501	499	499	1,000,000	-	-	-	-	1,000,000
<b>Net Project Cost Estimates:</b>	149,501	499	499	1,000,000	-	-	-	-	1,000,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

731- Refuse Enterprise Fund

**Food Waste Collection and Conversion**

**Project Description:**

Program development is in progress which includes consulting services, permitting, minor capital and/or rental cost of equipment to evaluate and develop the program to collect food waste and alternatives to landfilling. Funding for design and construction is proposed in FY18.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401204</b>	<b>Account # 731-40-62-7352-57308</b>								
<b>Project Cost Estimate:</b>	1,260,770	1,160,780	1,160,780	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	1,260,770	1,160,780	1,160,780	-	-	-	-	-	-

**Gas Probe Remediation**

**Project Description:**

Install 4 methane gas monitoring and relief wells to remediate methane exceedance readings at monitoring well W19G-MR in accordance with state requirements.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401908</b>	<b>Account # 731-40-62-7359-57308</b>								
<b>Project Cost Estimate:</b>	-	75,000	75,000	100,000	-	-	-	-	100,000
<b>Net Project Cost Estimates:</b>	-	75,000	75,000	100,000	-	-	-	-	100,000

City of Santa Cruz  
Adopted Capital Investment Program Budget (by department)  
Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

731- Refuse Enterprise Fund

**Landfill Master Plan Development**

**Project Description:**

Planning, development, design and construction of a master excavation plan for the landfill, next new Cell 3B, partial final closure, west canyon final slope repair and desilting basin. Planning and development is proposed in FY19. The final design, and plans and specifications with other related documents is proposed in FY20. The construction of new Cell 3B and partial final closure is proposed in FY22.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401909</b>	<b>Account # 731-40-62-7359-57308</b>								
<b>Project Cost Estimate:</b>	256,582	1,523,418	1,523,418	3,500,000	500,000	100,000	100,000	100,000	4,300,000
<b>Net Project Cost Estimates:</b>	256,582	1,523,418	1,523,418	3,500,000	500,000	100,000	100,000	100,000	4,300,000

**Recycling Building and Equipment Improvement**

**Project Description:**

Project includes the upgrade and replacement of the Single Stream Recycling equipment, installing bunkers, push walls and replacing warped beams, damaged metal walls, and tent sections around the recycling building as needed.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401811</b>	<b>Account # 731-40-62-7353-57308</b>								
<b>Project Cost Estimate:</b>	160,201	189,799	189,799	-	1,000,000	-	-	-	1,000,000
<b>Net Project Cost Estimates:</b>	160,201	189,799	189,799	-	1,000,000	-	-	-	1,000,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

731- Refuse Enterprise Fund

**Solar PV Installation at the Landfill**

**Project Description:**

This project consists of designing and installing a 205kW solar photovoltaic system on the roof of the Landfill's Recycling Sorting Facility. Most project costs will be wrapped into a Power Purchase Agreement, however there may be ancillary city costs.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c101902</b>	<b>Account # 731-10-00-9410-57290</b>								
<b>Project Cost Estimate:</b>	-	666,300	666,300	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	666,300	666,300	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for Refuse Enterprise Fund (731) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	1,844,759	3,663,091	3,663,091	4,600,000	1,500,000	100,000	100,000	100,000	6,400,000
<b>Total Project Funding Estimate:</b>	-	-	-	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	1,844,759	3,663,091	3,663,091	4,600,000	1,500,000	100,000	100,000	100,000	6,400,000

**Public Works Totals for Refuse Enterprise Fund (731)**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	1,844,759	3,663,091	3,663,091	6,100,000	2,000,000	100,000	100,000	100,000	8,400,000
<b>Total Project Funding Estimate:</b>	-	-	-	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	1,844,759	3,663,091	3,663,091	6,100,000	2,000,000	100,000	100,000	100,000	8,400,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (NEW)**

**741- Parking Enterprise Fund**

**Downtown Parking Garages Signs and Paint Upgrade**

**Project Description:**

Parking garage beautification project to update exterior and interior wayfinding signage, customer information, safety signage and painting interiors.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c402107</b>	<b>Account # 741-40-64-7452-57309</b>								
<b>Project Cost Estimate:</b>	-	-	-	200,000	-	-	-	-	200,000
<b>Net Project Cost Estimates:</b>	-	-	-	200,000	-	-	-	-	200,000

**Locust Garage Improvements**

**Project Description:**

Facility improvements in accordance with the upcoming Facility Survey. Likely to include deck restoration, concrete repairs, waterproofing and other improvements to extend the useful life of the garage.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c402106</b>	<b>Account # 741-40-64-7452-57309</b>								
<b>Project Cost Estimate:</b>	-	-	-	250,000	500,000	-	-	-	750,000
<b>Net Project Cost Estimates:</b>	-	-	-	250,000	500,000	-	-	-	750,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (NEW)**

741- Parking Enterprise Fund

**Soquel Garage Improvements**

**Project Description:**

Facility improvements in accordance with the upcoming Facility Survey. Likely to include deck restoration, concrete repairs, waterproofing and other improvements to extend the useful life of the garage.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c402108</b>	<b>Account # 741-40-64-7452-57309</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	300,000	300,000	-	-	600,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	300,000	300,000	-	-	600,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**New Capital Projects for Parking Enterprise Fund (741) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	-	-	-	450,000	800,000	300,000	-	-	1,550,000
<b>Total Project Funding Estimate:</b>	-	-	-	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	-	-	-	450,000	800,000	300,000	-	-	1,550,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

741- Parking Enterprise Fund

**Downtown Bike Locker Replacement Program**

**Project Description:**

This program will replace 20+ year old Bike Lockers located in Downtown Lots and Garages. In Year 1, underutilized lockers will be removed. The City currently has 98 functioning bike lockers. This program includes replacement of 62 lockers at the highest-use locations.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401812</b>	<b>Account # 741-40-64-7459-57307</b>								
<b>Project Cost Estimate:</b>	74,749	200,251	200,251	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Transfer from 2016 Trnsp Measure D	50,000	150,000	150,000	-	-	-	-	-	-
Measure D - City	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	24,749	50,251	50,251	-	-	-	-	-	-

**Elevator Controls Upgrade**

**Project Description:**

Update elevator controls at Soquel Front Parking Structure to replace worn and obsolete equipment.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c402004</b>	<b>Account # 741-40-64-7452-57309</b>								
<b>Project Cost Estimate:</b>	-	150,000	150,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	150,000	150,000	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

741- Parking Enterprise Fund

**Lot 7, Front/Cathcart Reconstruction**

**Project Description:**

Reconstruction of surface lot at Cathcart/Front due to poor drainage, pavement conditions, and lighting. Includes conversion of lot to a paid parking facility.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c400007</b>	<b>Account # 741-40-64-7452-57309</b>								
<b>Project Cost Estimate:</b>	141,628	488,372	-	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
RDA Successor Agency	169	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	141,459	488,372	-	-	-	-	-	-	-

**Parking Equipment Replacement- Locust Garage**

**Project Description:**

This project replaces the current Parking Access and Revenue Control Equipment in the Locust Garage as the distributor has gone out of business.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401705</b>	<b>Account # 741-40-64-7452-57309</b>								
<b>Project Cost Estimate:</b>	-	750,000	750,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	750,000	750,000	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

741- Parking Enterprise Fund

**Parking Equipment Replacement- River Front Garage**

**Project Description:**

This project replaces the current Parking Access and Revenue Control Equipment in the River Front Garage as the distributor has gone out of business.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401703</b>	<b>Account # 741-40-64-7452-57309</b>								
<b>Project Cost Estimate:</b>	184,912	565,088	689,191	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>Other fines and forfeits</b>	121,487	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	63,425	565,088	689,191	-	-	-	-	-	-

**Parking Equipment Replacement- Soquel/Front Garage**

**Project Description:**

This project replaces the current Parking Access and Revenue Control Equipment in The Soquel/Front Garage as the distributor has gone out of business.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401704</b>	<b>Account # 741-40-64-7452-57309</b>								
<b>Project Cost Estimate:</b>	11	749,989	749,989	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	11	749,989	749,989	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**741- Parking Enterprise Fund**

**River-Front and Cedar Garages Deck Restoration**

**Project Description:**

This project improves the structural integrity of the roof parking decks on both garages by repairing and sealing the pretension double tee connectors, concrete spalling and eliminating water intrusions at expansion joints. This project will increase the longevity of both garages. The Cedar-Church Garage was completed in FY17, and the River-Front Garage is to be complete for FY18.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c401508</b>									
									<b>Account # 741-40-64-7452-57309</b>
<b>Project Cost Estimate:</b>	1,717,440	632,560	632,560	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	1,717,440	632,560	632,560	-	-	-	-	-	-

**River-Front Garage Elevator**

**Project Description:**

This project provides for the design and construction of a modular elevator to provide ADA compliance and improved access to all levels of the River-Front Garage.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c401509</b>									
									<b>Account # 741-40-64-7452-57309</b>
<b>Project Cost Estimate:</b>	-	300,000	-	100,000	-	-	-	-	100,000
<b>Net Project Cost Estimates:</b>	-	300,000	-	100,000	-	-	-	-	100,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

741- Parking Enterprise Fund

**Soquel Garage Comingled Plume**

**Project Description:**

Ongoing hazardous waste cleanup monitoring.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c400002</b>	<b>Account # 741-40-64-7452-57309</b>								
<b>Project Cost Estimate:</b>	401,394	71,119	71,119	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
State capital grants	434,781	71,119	71,119	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(33,387)	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for Parking Enterprise Fund (741) Totals**

	Prior Year Totals	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	2,520,134	3,907,379	3,243,110	100,000	-	-	-	-	100,000
<b>Total Project Funding Estimate:</b>	606,437	221,119	221,119	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	1,913,697	3,686,260	3,021,991	100,000	-	-	-	-	100,000

**Public Works Totals for Parking Enterprise Fund (741)**

	Prior Year Totals	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	2,520,134	3,907,379	3,243,110	550,000	800,000	300,000	-	-	1,650,000
<b>Total Project Funding Estimate:</b>	606,437	221,119	221,119	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	1,913,697	3,686,260	3,021,991	550,000	800,000	300,000	-	-	1,650,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

751- Storm Water Enterprise Fund

**Branciforte Creek Channel Repair and Maintenance**

**Project Description:**

A Channel Condition Assessment report by MTC was completed in 2008. The report identifies deferred maintenance which include epoxy repairs of of channel wall cracks, fish passage channel improvements, inlet pipe lining and spot repairs, fence repair and debris and sediment removal. Some of the maintenance deficiencies have also been noted by the Army Corps of Engineers. A grant has not been applied for.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c401313</b>	<b>Account # 751-40-60-7501-57311</b>								
<b>Project Cost Estimate:</b>	124,125	310,997	310,997	40,000	3,000,000	40,000	40,000	40,000	3,160,000
<b>Project Funding Estimates:</b>									
State capital grants	-	-	-	-	2,400,000	-	-	-	2,400,000
<b>Net Project Cost Estimates:</b>	124,125	310,997	310,997	40,000	600,000	40,000	40,000	40,000	760,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for Storm Water Enterprise Fund (751) Totals**

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Total Project Cost Estimate:</b>	124,125	310,997	310,997	40,000	3,000,000	40,000	40,000	40,000	3,160,000
<b>Total Project Funding Estimate:</b>	-	-	-	-	2,400,000	-	-	-	2,400,000
<b>Total Net Project Cost Estimate:</b>	124,125	310,997	310,997	40,000	600,000	40,000	40,000	40,000	760,000

**Public Works Totals for Storm Water Enterprise Fund (751)**

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Total Project Cost Estimate:</b>	124,125	310,997	310,997	40,000	3,000,000	40,000	40,000	40,000	3,160,000
<b>Total Project Funding Estimate:</b>	-	-	-	-	2,400,000	-	-	-	2,400,000
<b>Total Net Project Cost Estimate:</b>	124,125	310,997	310,997	40,000	600,000	40,000	40,000	40,000	760,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (NEW)**

**752- Storm Water Overlay Enterprise Fund**

**FEMA Certification of San Lorenzo River**

**Project Description:**

Perform FEMA required evaluation of the levee system in order to change flood maps to reflect levee upgrades.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c402109</b>	<b>Account # 752-40-61-7552-57311</b>								
<b>Project Cost Estimate:</b>	-	-	-	700,000	-	-	-	-	700,000
<b>Net Project Cost Estimates:</b>	-	-	-	700,000	-	-	-	-	700,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**New Capital Projects for Storm Water Overlay Enterprise Fund (752) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	-	-	-	700,000	-	-	-	-	700,000
<b>Total Project Funding Estimate:</b>	-	-	-	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	-	-	-	700,000	-	-	-	-	700,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**752- Storm Water Overlay Enterprise Fund**

**Downtown SLR Drainage System Assessment**

**Project Description:**

Assess drainage system tributary to River based on FEMA requirements. Assessment will include a detailed analysis of Pump Station No. 1's capacity to handle large storm events. A preliminary design of any recommended improvements will be included in the assessment and future CIPs.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401707</b>	<b>Account # 752-40-61-7552-57311</b>								
<b>Project Cost Estimate:</b>	435	129,565	129,565	300,000	50,000	2,500,000	-	-	2,850,000
<b>Net Project Cost Estimates:</b>	435	129,565	129,565	300,000	50,000	2,500,000	-	-	2,850,000

**San Lorenzo River Levee Storm Drain Maintenance**

**Project Description:**

The storm drain system for the levee system was primarily constructed in the 1950's with the levees. It is evident from some preliminary inspection and experience with the Clean Beaches Program projects that the system requires additional and significant repair and maintenance. This project provides ongoing funding to address minor deficiencies.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401314</b>	<b>Account # 752-40-61-7552-57311</b>								
<b>Project Cost Estimate:</b>	105,554	84,446	164,446	80,000	40,000	40,000	40,000	40,000	240,000
<b>Net Project Cost Estimates:</b>	105,554	84,446	164,446	80,000	40,000	40,000	40,000	40,000	240,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**752- Storm Water Overlay Enterprise Fund**

**San Lorenzo River Pump Station #2**

**Project Description:**

This project proposes to increase the capacity of this pump station that serves the Beach Area by replacing the existing pumps and electronic controls to increase pumping capacity for a 100 year return period storm.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401207</b>	<b>Account # 752-40-61-7552-57311</b>								
<b>Project Cost Estimate:</b>	194,562	99,438	99,438	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	194,562	99,438	99,438	-	-	-	-	-	-

**San Lorenzo River Walk Lighting**

**Project Description:**

The approved project application to add additional pedestrian scale lighting to the levee system was approved by the State in the 2017 Active Transportation Program Augmentation process and includes decorative LED lighting from the Water Street Bridge to the Highway 1 Bridge on both sides of the levee. Design funds are programmed in 2020 and construction funds in 2021.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401910</b>	<b>Account # 752-40-61-7559-57305</b>								
<b>Project Cost Estimate:</b>	-	95,000	95,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
State capital grants - ATP	-	95,000	95,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Public Works (EXISTING)**

**752- Storm Water Overlay Enterprise Fund**

**SLR Flood Control Environ Rest Project**

**Project Description:**

Provides for the anticipated costs of the City's share of additional flood control measures for Phase IV of the project which includes sediment removal or levee modifications. The scope of the project is being negotiated with The US Army Corps of Engineers and the schedule for construction is unknown.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c409512</b>	<b>Account # 752-40-61-7552-57311</b>								
<b>Project Cost Estimate:</b>	3,398,254	131,596	131,596	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
State capital grants	289,515	35,000	133,683	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	3,108,739	96,596	(2,088)	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for Storm Water Overlay Enterprise Fund (752) Totals**

	Fiscal Year 2020								
	Prior Year Totals	Budget	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Total Project Cost Estimate:</b>	3,698,805	540,045	620,045	380,000	90,000	2,540,000	40,000	40,000	3,090,000
<b>Total Project Funding Estimate:</b>	289,515	130,000	228,683	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	3,409,289	410,045	391,362	380,000	90,000	2,540,000	40,000	40,000	3,090,000

**Public Works Totals for Storm Water Overlay Enterprise Fund (752)**

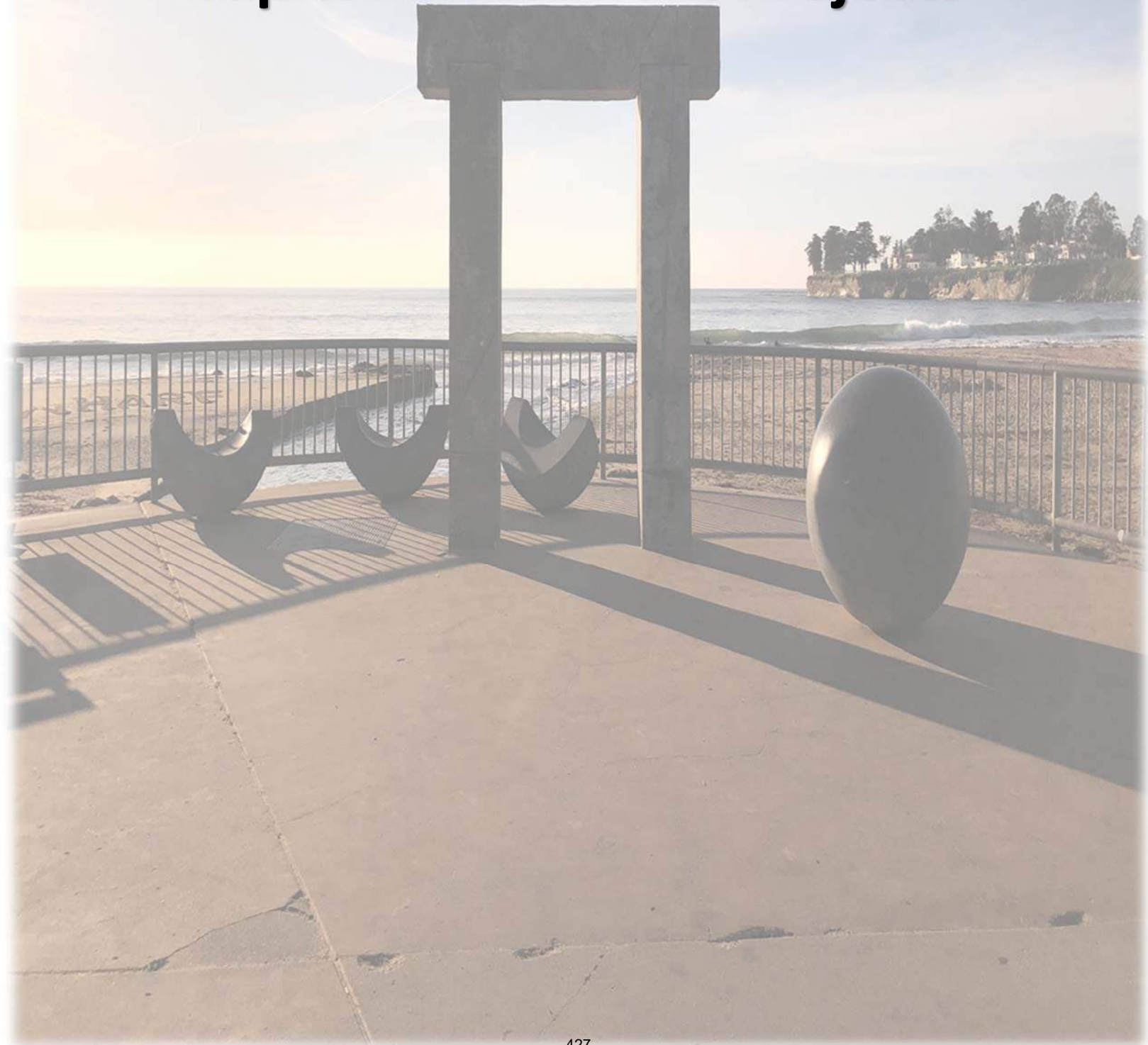
	Fiscal Year 2020								
	Prior Year Totals	Budget	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Total Project Cost Estimate:</b>	3,698,805	540,045	620,045	1,080,000	90,000	2,540,000	40,000	40,000	3,790,000
<b>Total Project Funding Estimate:</b>	289,515	130,000	228,683	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	3,409,289	410,045	391,362	1,080,000	90,000	2,540,000	40,000	40,000	3,790,000

**Public Works Totals**

	Fiscal Year 2020								
	Prior Year Totals	Budget	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Total Project Cost Estimate:</b>	63,274,737	90,942,601	90,463,248	20,391,612	50,915,000	64,330,000	16,250,000	20,180,000	172,066,612
<b>Total Project Funding Estimate:</b>	27,422,339	56,776,798	56,877,170	4,923,528	13,138,234	51,379,411	7,511,176	10,667,647	87,619,996
<b>Total Net Project Cost Estimate:</b>	35,852,398	34,165,804	33,586,078	15,468,084	37,776,766	12,950,589	8,738,824	9,512,353	84,446,616



# **Economic Development Department Capital Investment Projects**



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Economic Development (NEW)**

**311- General Capital Improvement Fund**

**Pacific Avenue Beautification**

**Project Description:**

Project Description Improvements based on the Downtown Design Standards including landscaping, sidewalk improvements, lighting, security and some construction costs for placemaking and activation.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c512101</b>	<b>Account # 311-51-80-9990-57390</b>								
<b>Project Cost Estimate:</b>	-	-	-	1,000,000	-	-	-	-	1,000,000
<b>Project Funding Estimates:</b>									
From ED Trust Fund	-	-	-	1,000,000	-	-	-	-	1,000,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**New Capital Projects for General Capital Improvement Fund (311) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	-	-	-	1,000,000	-	-	-	-	1,000,000
<b>Total Project Funding Estimate:</b>	-	-	-	1,000,000	-	-	-	-	1,000,000
<b>Total Net Project Cost Estimate:</b>	-	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Economic Development (EXISTING)**

**311- General Capital Improvement Fund**

**Broadband Infrastructure**

**Project Description:**

Early phase development of telecommunications infrastructure connecting City Hall campus to Wide Area Network (WAN). Provides gap funding for implementation of "Dig Once" opportunities.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c511501</b>	<b>Account # 311-51-80-9990-57390</b>								
<b>Project Cost Estimate:</b>	224,283	150,717	150,717	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
From ED Trust Fund	224,283	150,717	150,717	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Citywide Sign Program Phase II**

**Project Description:**

Signage program to update the city's parking and vehicular directional signage, gateway signage, banners, kiosks, and interactive maps.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # r521205</b>	<b>Account # 311-51-80-9990-57390</b>								
<b>Project Cost Estimate:</b>	141,464	1,858,536	1,858,536	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
RDA Successor Agency	1,500,000	500,000	500,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(1,358,536)	1,358,536	1,358,536	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Economic Development (EXISTING)**

311- General Capital Improvement Fund

**Downtown Alley Improvements**

**Project Description:**

Lighting, placemaking, and wayfinding improvements in downtown alleys.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c511703</b>									
									<b>Account # 311-51-80-9990-57390</b>
<b>Project Cost Estimate:</b>	-	200,000	200,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>RDA Successor Agency</b>	-	200,000	200,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Downtown Mixed Use Project**

**Project Description:**

Early phase design and development of the downtown mixed use affordable housing project.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c512002</b>									
									<b>Account # 311-51-80-9990-57390</b>
<b>Project Cost Estimate:</b>	-	362,000	230,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>From Affordable Housing Trust Fund</b>	-	80,000	80,000	-	-	-	-	-	-
<b>From ED Trust Fund</b>	-	-	-	150,000	-	-	-	-	150,000
<b>Net Project Cost Estimates:</b>	-	282,000	150,000	(150,000)	-	-	-	-	(150,000)

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Economic Development (EXISTING)**

**311- General Capital Improvement Fund**

**Farmers Market Structure**

**Project Description:**

Construction of Farmers Market structure on City parking lot.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c511901</b>									
									<b>Account # 311-51-80-9990-57390</b>
<b>Project Cost Estimate:</b>	-	1,275,000	1,275,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>From ED Trust Fund</b>	-	1,275,000	1,275,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Lower Pacific Avenue Improvements**

**Project Description:**

Infrastructure improvements including parking, street beautification and other related improvements in connection with the METRO project.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c511702</b>									
									<b>Account # 311-51-80-9990-57390</b>
<b>Project Cost Estimate:</b>	-	2,500,000	2,500,000	685,000	-	-	-	-	685,000
<b>Project Funding Estimates:</b>									
<b>RDA Successor Agency</b>	-	2,500,000	2,500,000	685,000	-	-	-	-	685,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Economic Development (EXISTING)**

**311- General Capital Improvement Fund**

**Miramar Demolition and Wharf Piling Replacement**

**Project Description:**

Replace wharf pilings under Miramar restaurant. Settlement proceeds were originally deposited into the Wharf fund in FY18 and are now being used to contribute towards the wharf pilings.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c511705</b>	<b>Account # 311-51-80-9990-57390</b>								
<b>Project Cost Estimate:</b>	389,541	711,587	711,587	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
RDA Successor Agency	6,125	-	-	-	-	-	-	-	-
From ED Trust Fund	21,515	233,485	233,485	-	-	-	-	-	-
Contributions - businesses	-	370,000	370,000	-	-	-	-	-	-
From Municipal Wharf Fund	321,999	126,387	126,387	-	-	-	-	-	-
Settlement proceeds	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	39,902	(18,285)	(18,285)	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Economic Development (EXISTING)**

**311- General Capital Improvement Fund**

**Ocean Street Beautification**

**Project Description:**

Design development for improvements based on the Ocean Street Plan including development of the design details for landscaping, sidewalk, streetlights. A place holder for construction is included.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c512001</b>									
									<b>Account # 311-51-80-9990-57390</b>
<b>Project Cost Estimate:</b>	-	2,000,000	2,000,000	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
RDA Successor Agency	-	2,000,000	2,000,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

**Trolley Acquisition**

**Project Description:**

Acquisition of two electric trolleys to enhance public transportation services.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c511704</b>									
									<b>Account # 311-51-80-9990-57402</b>
<b>Project Cost Estimate:</b>	-	381,510	381,510	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
RDA Successor Agency	-	210,000	210,000	-	-	-	-	-	-
Local grant - MBUAPCD	-	171,510	171,510	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Economic Development (EXISTING)**

**311- General Capital Improvement Fund**

**Wharf Rehabilitation Projects**

**Project Description:**

Wharf rehabilitation projects as identified in the Wharf Master Plan including relocation of gateway entrance and parking control stations. The budget includes design and installation costs of new gateway signage. (This is an existing project that was listed under Parks & Recreation as c301501).

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c511502</b>	<b>Account # 311-51-80-9990-57390</b>								
<b>Project Cost Estimate:</b>	-	1,600,000	1,600,000	500,000	-	-	-	-	500,000
<b>Project Funding Estimates:</b>									
RDA Successor Agency	1,000,000	600,000	600,000	500,000	-	-	-	-	500,000
<b>Net Project Cost Estimates:</b>	(1,000,000)	1,000,000	1,000,000	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for General Capital Improvement Fund (311) Totals**

	Prior Year Totals	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	755,288	11,039,350	10,907,350	1,185,000	-	-	-	-	1,185,000
<b>Total Project Funding Estimate:</b>	3,073,922	8,417,099	8,417,099	1,335,000	-	-	-	-	1,335,000
<b>Total Net Project Cost Estimate:</b>	(2,318,634)	2,622,251	2,490,251	(150,000)	-	-	-	-	(150,000)

**Economic Development Totals for General Capital Improvement Fund (311)**

	Prior Year Totals	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	755,288	11,039,350	10,907,350	2,185,000	-	-	-	-	2,185,000
<b>Total Project Funding Estimate:</b>	3,073,922	8,417,099	8,417,099	2,335,000	-	-	-	-	2,335,000
<b>Total Net Project Cost Estimate:</b>	(2,318,634)	2,622,251	2,490,251	(150,000)	-	-	-	-	(150,000)

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Economic Development (EXISTING)**

281- City Low & Mod Housing Successor Agency

**Metro Center Project & Housing**

**Project Description:**

Development of affordable housing units for low and moderate income housing as a component of the Metro Transit Center project and land acquisition for other downtown affordable housing site.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # r521301</b>	<b>Account # 281-51-81-5610-57202</b>								
<b>Project Cost Estimate:</b>	5,106,111	1,730,847	1,730,847	1,523,709	-	-	-	-	1,523,709
<b>Project Funding Estimates:</b>									
Rents-Real Property	548,821	367,175	367,175	102,960	-	-	-	-	102,960
Contributions from SA to City	5,102,985	693,889	693,889	1,394,837	-	-	-	-	1,394,837
From Affordable Housing Trust Fund	-	150,000	150,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(545,695)	519,783	519,783	25,912	-	-	-	-	25,912

**Tannery Landscaping**

**Project Description:**

The then-Redevelopment Agency committed to certain landscaping, as funds came available through repayment of loans the Agency made to Artspace.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c511706</b>	<b>Account # 281-51-81-5650-57106</b>								
<b>Project Cost Estimate:</b>	7,779	107,221	107,221	40,000	-	-	-	-	40,000
<b>Net Project Cost Estimates:</b>	7,779	107,221	107,221	40,000	-	-	-	-	40,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for City Low & Mod Housing Successor Agency (281) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	5,113,890	1,838,068	1,838,068	1,563,709	-	-	-	-	1,563,709
<b>Total Project Funding Estimate:</b>	5,651,806	1,211,064	1,211,064	1,497,797	-	-	-	-	1,497,797
<b>Total Net Project Cost Estimate:</b>	(537,916)	627,004	627,004	65,912	-	-	-	-	65,912

**Economic Development Totals for City Low & Mod Housing Successor Agency (281)**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	5,113,890	1,838,068	1,838,068	1,563,709	-	-	-	-	1,563,709
<b>Total Project Funding Estimate:</b>	5,651,806	1,211,064	1,211,064	1,497,797	-	-	-	-	1,497,797
<b>Total Net Project Cost Estimate:</b>	(537,916)	627,004	627,004	65,912	-	-	-	-	65,912

**Economic Development Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	5,869,178	12,877,418	12,745,418	3,748,709	-	-	-	-	3,748,709
<b>Total Net Project Cost Estimate:</b>	(2,856,550)	3,249,255	3,117,255	(84,088)	-	-	-	-	(84,088)



A large circular water storage tank is under construction. The tank's exterior is painted a vibrant red. A massive white crane with a lattice boom is positioned in the center, its arm extending upwards. To the left, a smaller red scissor lift is visible. The tank is supported by several vertical red steel columns. The background is filled with tall green pine trees under a clear blue sky. The text "Water Department Capital Investment Projects" is overlaid in the center in a bold, black, sans-serif font.

# Water Department Capital Investment Projects

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (NEW)**

**711- Water & Water System Development  
 Enterprise Fund**

**ASR - Mid County Existing Infrastructure**

**Project Description:**

Evaluate the feasibility of Aquifer Storage and Recovery (ASR) in the Mid County Groundwater Basin per the recommendations of the Water Supply Advisory Committee. This project looks specifically at the use of existing infrastructure in the Mid County Basin.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c702101</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	-	-	-	601,000	884,000	1,207,000	-	-	2,692,000
<b>Net Project Cost Estimates:</b>	-	-	-	601,000	884,000	1,207,000	-	-	2,692,000

**ASR - Mid County New Wells**

**Project Description:**

Evaluate the feasibility of Aquifer Storage and Recover (ASR) in the Mid County Groundwater Basin per the recommendations of the Water Supply Advisory Committee. This project looks specifically at the use of new infrastructure in the Mid County Basin.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c702102</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	-	-	-	219,000	369,000	4,509,000	541,000	7,060,000	12,698,000
<b>Net Project Cost Estimates:</b>	-	-	-	219,000	369,000	4,509,000	541,000	7,060,000	12,698,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (NEW)**

**711- Water & Water System Development  
 Enterprise Fund**

**ASR - New Pipelines**

**Project Description:**

Evaluate the feasibility of Aquifer Storage and Recovery (ASR) in the Mid County and Santa Margarita Groundwater Basins per the recommendations of the Water Supply Advisory Committee. Project would potentially provide additional potable water to City and other agency customers, addressing part or all of water supply deficiencies. This project will plan, design, and potentially construct infrastructure requirements (pipes, pumps, etc) for ASR in one or both basins.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c702104</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	353,000	1,688,000	2,503,000	4,544,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	353,000	1,688,000	2,503,000	4,544,000

**ASR - Santa Margarita Groundwater Basin**

**Project Description:**

Evaluate the feasibility of Aquifer Storage and Recover (ASR) in the Santa Margarita Groundwater Basin per the recommendations of the Water Supply Advisory Committee. This project looks specifically at the use of new property, and infrastructure (wells, pipelines, etc.) in the Santa Margarita Basin.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c702103</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	-	-	-	165,000	728,000	-	1,639,000	6,351,000	8,883,000
<b>Net Project Cost Estimates:</b>	-	-	-	165,000	728,000	-	1,639,000	6,351,000	8,883,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Water (NEW)

711- Water & Water System Development  
 Enterprise Fund

**N. Coast Repair Ph4 Des and Const**

**Project Description:**

This project relates to projects c709835 and c701908 and implements Phase 4 of a program that initially contemplated six phases to replace the North Coast System. In Fiscal Years 2021 and 2022 the planning work will occur under project c701908 to perform hydraulic analyses and siting studies to define the scope, schedule and budget for Phase 4. Once defined, Phase 4 work is scheduled to begin in FY 2024 with initial environmental work and continue in FY2025 with final environmental work and design, followed by construction.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c702108</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	507,000	1,988,000	2,495,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	507,000	1,988,000	2,495,000

**N. Coast Repair Ph5 Des and Const**

**Project Description:**

This project relates to projects c709835 and c701908 and implements Phase 5 of a program that initially contemplated six phases to replace the North Coast System. In Fiscal Years 2021 and 2022 the planning work will occur under project c701908 to perform hydraulic analyses and siting studies to define the scope, schedule and budget for Phase 5. Once defined, Phase 5 work is scheduled to begin in FY 2025 with initial environmental work, followed in future years with final environmental work, design and construction.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c702109</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	-	-	319,000	319,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	-	-	319,000	319,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Water (NEW)

711- Water & Water System Development  
 Enterprise Fund

**Newell Creek Pipeline Felton/Graham Hill WTP**

**Project Description:**

This project includes approximately 4.5 miles of Newell Creek Pipeline from Felton to the Graham Hill Water Treatment Plant. This segment of pipe was identified as the highest priority segment for replacement. The Project will relocate the pipeline out of Pipeline Road and into Graham Hill Road, avoiding multiple geologic hazards that have caused past breaks. The project will initiate design in parallel with a program level environmental review. This project is intended to ensure continued reliability of this critical water supply transmission main. In Fiscal Year 2021 this project will apply for low interest loan financing through the Water Infrastructure Finance and Innovation Act (WIFIA) program.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c702105</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	-	-	-	1,352,000	2,029,000	14,444,000	14,581,000	-	32,406,000
<b>Net Project Cost Estimates:</b>	-	-	-	1,352,000	2,029,000	14,444,000	14,581,000	-	32,406,000

**Newell Creek Pipeline Felton/Loch Lomond WTP**

**Project Description:**

This project includes approximately 4.0 miles of Newell Creek Pipeline from Felton to the Loch Lomond Dam. This project is intended to ensure continued reliability of this critical water supply transmission main. Design would begin in Fiscal Year 24.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c702106</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	-	68,000	3,044,000	1,501,000	4,613,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	-	68,000	3,044,000	1,501,000	4,613,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Water (NEW)

711- Water & Water System Development  
 Enterprise Fund

**Union/Locust Building Generator**

**Project Description:**

The Water Administration Building does not currently have a backup generator. This vulnerability was highlighted during the 2019 Public Safety Power Shutoffs (PSPS). The administration building lost power for several days. This interrupted the work of staff and impacted normal business functions in particular the customer service group who handle billing and new account signups. This project is one of several City projects currently under consideration for grant funding by the California Office of Emergency Management Services.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c702107</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	-	-	-	150,000	-	-	-	-	150,000
<b>Net Project Cost Estimates:</b>	-	-	-	150,000	-	-	-	-	150,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**New Capital Projects for Water & Water System Development Enterprise Fund (711 & 715) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	-	-	-	2,487,000	4,010,000	20,581,000	22,000,000	19,722,000	68,800,000
<b>Total Project Funding Estimate:</b>	-	-	-	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	-	-	-	2,487,000	4,010,000	20,581,000	22,000,000	19,722,000	68,800,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
 Enterprise Fund**

**Aerators at Loch Lomond**

**Project Description:**

Following the condition assessment and design of a new aeration system at Loch Lomond Reservoir, construction of the new system should be completed in FY2020.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701706</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	8,588	300,000	300,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	8,588	300,000	300,000	-	-	-	-	-	-

**ASR Planning**

**Project Description:**

Evaluate the feasibility of Aquifer Storage and Recovery (ASR) in the Mid County and Santa Margarita Groundwater Basins per the recommendations of the Water Supply Advisory Committee. Project would potentially provide additional potable water to City and other agency customers, addressing part or all of water supply deficiencies. Project requires feasibility studies, design, permitting, and construction of infrastructure improvements. Funds in FY2021 will include ongoing pilot work and groundwater modeling. (Project 3.3)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701609</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	1,161,317	965,968	1,728,916	244,000	-	-	-	-	244,000
<b>Net Project Cost Estimates:</b>	1,161,317	965,968	1,728,916	244,000	-	-	-	-	244,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

**715- Water & Water System Development  
 Enterprise Fund**

**ASR Planning- SDC**

**Project Description:**

SDC portion of c701609 (Project 3.3)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701610</b>	<b>Account # 715-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	314,305	611,195	611,195	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	314,305	611,195	611,195	-	-	-	-	-	-

**Bay St Reservoir Storage Building**

**Project Description:**

This project will create alternative storage space for critical spare parts and materials. Currently important backup materials are stored at the Coast Pump Station, which is prone to flooding. Extensive inventory damage was sustained during the 2017 winter storms. The project scope includes design and construction of a concrete foundation and installation of a prefabricated building for storage at the Bay Street Reservoir.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701910</b>	<b>Account # 711-70-95-7159-57202</b>								
<b>Project Cost Estimate:</b>	-	150,000	150,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	150,000	150,000	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Water (EXISTING)

711- Water & Water System Development  
 Enterprise Fund

**Brackney Landslide Area Pipeline Risk Reduction**

**Project Description:**

The Newell Creek Pipeline in the Brackney landslide area is susceptible to damage from repeated landslides. This project will replace approximately 875 feet of the pipeline to increase pipeline resiliency and the reliability of supply from Loch Lomond. This project is currently under final stages of consideration by the FEMA Hazard Mitigation Grant Program. This project is a continuation of work and supersedes c701803-Brackney Landslide Risk Reduction.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c702002</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	2,704,000	2,590,000	-	-	5,294,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	2,704,000	2,590,000	-	-	5,294,000

**CPS 20" RW Pipeline Replacement**

**Project Description:**

Replacement of the Coast Pump Station discharge pipeline. This pipeline conveys on average 90% of the City raw water supply, and has experienced several leaks in recent years. The project consists of microtunneling a new/replacement pipe under the San Lorenzo River at the Coast Pump Station. Design is complete and project has been advertised for bids. Construction is expected to be completed by Fall 2020. (Project 2.3)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701707</b>	<b>Account # 711-70-91-7151-57302</b>								
<b>Project Cost Estimate:</b>	603,431	1,399,672	1,399,672	3,049,000	-	-	-	-	3,049,000
<b>Net Project Cost Estimates:</b>	603,431	1,399,672	1,399,672	3,049,000	-	-	-	-	3,049,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
 Enterprise Fund**

**Distribution System Water Quality Improvements**

**Project Description:**

Certain zones of the water distribution system have the potential to experience elevated water age, and low chlorine residuals. To avoid high water age, these areas are flushed to improve water turnover and maintain water quality. This practice consumes operations staff time, and increases water loss. This project will identify infrastructure improvements (tank aerators) to improve water turnover, enhance water quality, reduce water waste, and improve operations efficiency. (Project 4.4.1)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c702001</b>	<b>Account # 711-70-91-7151-57302</b>								
<b>Project Cost Estimate:</b>	-	75,347	75,347	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	75,347	75,347	-	-	-	-	-	-

**Facility & Infrastructure Improvements**

**Project Description:**

Various capital improvements projects under \$200K. Specific projects to be identified annually.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701907</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	-	-	-	-	441,000	455,000	471,000	486,000	1,853,000
<b>Net Project Cost Estimates:</b>	-	-	-	-	441,000	455,000	471,000	486,000	1,853,000

City of Santa Cruz  
Adopted Capital Investment Program Budget (by department)  
Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
Enterprise Fund**

**Felton Diversion Pump Station Assessment**

**Project Description:**

This project consists of evaluation of the existing dam and pump station with recommendations for improvements to the facility which may include new pumps and drives to improve energy efficiency, as well as fish passage modifications. (Project 1.4)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701906</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	32,666	234,334	234,334	-	-	-	-	207,000	207,000
<b>Net Project Cost Estimates:</b>	32,666	234,334	234,334	-	-	-	-	207,000	207,000

**GHWTP CC Tanks Replacement**

**Project Description:**

Infrastructure improvements to the GHWTP are necessary to meet regulatory requirements, improve operations and increase overall reliability. This project will improve the seismic resiliency of key process tanks, improve water quality, and enhance treatment residuals management. The design phase of this project is nearly complete for the replacement of the Filtered Water Tank, Wash Water Reclamation Tank (Reclaim Tank), and Sludge Storage Tank. Construction is anticipated to start in winter 2020. This project is expected to be financed with low-interest loans through the State Revolving Fund (SRF) Loan Program. (Project 4.3)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701501</b>	<b>Account # 711-70-91-7152-57302</b>								
<b>Project Cost Estimate:</b>	2,750,348	2,835,525	2,835,525	3,228,000	12,587,000	12,920,000	2,434,000	-	31,169,000
<b>Net Project Cost Estimates:</b>	2,750,348	2,835,525	2,835,525	3,228,000	12,587,000	12,920,000	2,434,000	-	31,169,000



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
 Enterprise Fund**

**GHWTP Facilities Improvement Project**

**Project Description:**

Treatment process and structural improvements to the Graham Hill Water Treatment Plant (GHWTP) to improve reliability of meeting water quality goals, support aquifer storage and recovery and water transfers, and assure supply and treatment resiliency given unknown climate change impacts to future hydrology and water quality. This project currently includes condition assessments, alternatives analyses, preliminary designs and preparation of a Facilities Improvement Project report. Final design and construction services are future phases included in this project. In Fiscal Year 2021 this project will be part of a low interest loan financing application through the Water Infrastructure Finance and Innovation Act (WIFIA) program. (Project 4.4)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c700025</b>	<b>Account # 711-70-91-7152-57302</b>								
<b>Project Cost Estimate:</b>	2,332,648	2,002,242	2,002,242	1,022,000	2,464,000	2,636,000	8,053,000	31,947,000	46,122,000
<b>Net Project Cost Estimates:</b>	2,332,648	2,002,242	2,002,242	1,022,000	2,464,000	2,636,000	8,053,000	31,947,000	46,122,000

**GHWTP Flocculator Rehab/Replacement**

**Project Description:**

Design and in-kind replacement of aging paddle wheel flocculators at the Graham Hill Water Treatment Plant. This project will reduce the risk of a major process failure during the construction phase of other major process improvements. Construction of this project will be completed in calendar year 2020. (Project 4.2)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701502</b>	<b>Account # 711-70-91-7152-57302</b>								
<b>Project Cost Estimate:</b>	120,697	1,843,255	1,843,255	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	120,697	1,843,255	1,843,255	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
 Enterprise Fund**

**Graham Hill WTP Tube Settler Replacement**

**Project Description:**

Design and in-kind replacement of tube settlers and related appurtenances. Construction of this project was combined with the GHWTP Flocculator Replacement Project and will be completed in calendar year 2020. (Project 4.1)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701708</b>	<b>Account # 711-70-91-7152-57302</b>								
<b>Project Cost Estimate:</b>	220,653	1,541,139	1,541,139	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	220,653	1,541,139	1,541,139	-	-	-	-	-	-

**Laguna Creek Diversion Retrofit**

**Project Description:**

The City passively diverts water from Laguna Creek, originally constructed in 1890, into pipelines that carry the water to the North Coast Pipeline. Recent assessments indicate that the facility is in good structural condition; however, multiple deficiencies were identified including sediment accumulation, limited remote operating & monitoring capabilities, access & safety concerns, non-compliance with modern fish screening requirements and ongoing downstream habitat degradation due to the facility operations. This project will design and construct needed improvements. Design, permitting, and environmental review is planned for FY2020 and construction is planned for FY2021. (Project 1.1)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701801</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	105,774	741,325	741,325	1,111,000	2,028,000	13,000	-	-	3,152,000
<b>Net Project Cost Estimates:</b>	105,774	741,325	741,325	1,111,000	2,028,000	13,000	-	-	3,152,000

City of Santa Cruz  
Adopted Capital Investment Program Budget (by department)  
Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
Enterprise Fund**

**Main Replacements - Eng Section - Transmain**

**Project Description:**

Similar to c700002, Main Replacements, this project specifically funds water transmission mains, or pipes 10" or larger. This project is funded partially by System Development Charges (20% SDC – Fund 715).

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c709833</b>	<b>Account # 711-70-91-7151-57302</b>								
<b>Project Cost Estimate:</b>	4,381,685	2,687,215	2,687,215	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Misc non-operating revenue	-	4,436	4,436	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	4,381,685	2,682,779	2,682,779	-	-	-	-	-	-

**Main Replacements -Customer Initiated**

**Project Description:**

Recurring annual Main Replacement program initiated on an as-needed basis to accommodate customer-requested service connections to non-existent or inadequate mains. Funds, to the extent of the appropriation, are disbursed to customers on a first-come, first-served basis.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c700004</b>	<b>Account # 715-70-91-7151-57302</b>								
<b>Project Cost Estimate:</b>	301,259	50,000	50,000	-	55,000	57,000	59,000	61,000	232,000
<b>Net Project Cost Estimates:</b>	301,259	50,000	50,000	-	55,000	57,000	59,000	61,000	232,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
 Enterprise Fund**

**Main Replacements- Distribution Section**

**Project Description:**

Recurring program to replace deteriorated or undersized water mains, as identified and prioritized by the Department and implemented by the Distribution Section. Projects are typically based on leak history, but also address water quality and fire flow issues.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701507</b>	<b>Account # 711-70-97-7151-57302</b>								
<b>Project Cost Estimate:</b>	1,024,340	563,192	563,192	574,000	606,000	626,000	647,000	668,000	3,121,000
<b>Net Project Cost Estimates:</b>	1,024,340	563,192	563,192	574,000	606,000	626,000	647,000	668,000	3,121,000

**Main Replacements- Engineering Section**

**Project Description:**

Recurring program to replace distribution system water mains identified and prioritized by the Department based on maintaining water system reliability, delivering adequate fire flows, improving circulation and water quality, and reducing maintenance costs. These projects are typically installed by contractors according to bid plans and specifications. Funds may also be budgeted in project c709833 and will be distributed between the 2 projects when that year's replacement project is identified. An updated Main Replacement Master Plan is under development and will be completed in Fiscal Year 2021.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c700002</b>	<b>Account # 711-70-91-7151-57302</b>								
<b>Project Cost Estimate:</b>	6,079,129	2,050,286	2,050,286	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Misc non-operating revenue	-	3,697	3,697	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	6,079,129	2,046,589	2,046,589	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
 Enterprise Fund**

**Main Replacements -Outside Agency**

**Project Description:**

Water main, service line, valve, or water meter relocation necessitated by City, County or other Agency improvements such as road improvement, storm drain improvement projects, and/or other projects that conflict with existing water infrastructure.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c700003</b>	<b>Account # 711-70-91-7151-57302</b>								
<b>Project Cost Estimate:</b>	1,315,936	150,000	150,000	-	55,000	57,000	59,000	61,000	232,000
<b>Net Project Cost Estimates:</b>	1,315,936	150,000	150,000	-	55,000	57,000	59,000	61,000	232,000

**Meter Replacement Project**

**Project Description:**

Implementation of system-wide water meter replacement program necessary to address a metering system that is at the end of its life, as seen in increasing number of failing meters. Water metering is crucial in accurately registering water consumption both for billing and system management purposes. Revenue losses are realized with an estimated 22 million gallons of water per year being delivered to customers unregistered due to performance degradation of old meters.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701603</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	164,198	350,000	350,000	2,347,000	2,424,000	2,504,000	2,587,000	2,672,000	12,534,000
<b>Net Project Cost Estimates:</b>	164,198	350,000	350,000	2,347,000	2,424,000	2,504,000	2,587,000	2,672,000	12,534,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
 Enterprise Fund**

**N Coast System Repair/Replace-Planning**

**Project Description:**

The City diverts water from several north coast streams to the North Coast Pipeline. The North Coast System Rehab project (c. 2005) was planned to be implemented in phases over a 15-20 year timeframe to evaluate, rehabilitate and/or replace portions to ensure continued reliability. Project c709835 funded phases 2-3 which are complete; this project (c701908) will fund a planning update in FY 2021, as work is needed to prioritize the remaining phases, and complete a hydraulic analysis and pipe sizing analysis given reduced diversion volumes due in stream flow commitments. Future phases of design and construction will be implemented under new project numbers. (Project 2.1)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701908</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	195,119	419,000	419,000	447,000	462,000	-	-	-	909,000
<b>Net Project Cost Estimates:</b>	195,119	419,000	419,000	447,000	462,000	-	-	-	909,000

**N. Coast System Major Diversion Rehab**

**Project Description:**

The City passively diverts water from Majors Creek, originally constructed in 1914, into pipelines that carry the water to the North Coast Pipeline. Recent assessments indicate that the facility is in good structural condition; however, multiple deficiencies were identified including sediment accumulation, limited remote operating & monitoring capabilities, access & safety concerns, non-compliance with modern fish screening requirements and ongoing downstream habitat degradation due to the facility operations. This project will evaluate, design, and construct improvements at the facility pending a planning study update on the forthcoming North Coast System Repair/Replacement project, c701908. (Project 1.2)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701802</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	111,571	7,304	7,304	-	-	-	-	192,000	192,000
<b>Net Project Cost Estimates:</b>	111,571	7,304	7,304	-	-	-	-	192,000	192,000



City of Santa Cruz  
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Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
Enterprise Fund**

**NCD I/O Replacement Project**

**Project Description:**

The Newell Creek Dam was constructed in the 1960's. A pipeline runs through the base of the dam to deliver water to the reservoir from Felton Diversion and from the reservoir to the Graham Hill Water Treatment Plant. The pipeline will be replaced along with related infrastructure. This project is being implemented with oversight by the Division of Safety of Dams and, having demonstrated compliance with existing seismic regulations, is an upgrade to improve day to day operations and emergency drawdown rate. Construction is anticipated to start in spring 2020. This project is expected to be financed with low-interest loans through the State Revolving Fund (SRF) Loan Program and will be part of a low interest loan financing application through the Water Infrastructure Finance and Innovation Act (WIFIA) program. (Project 1.5)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701606</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	7,090,944	4,556,381	4,556,381	37,979,000	35,893,000	16,875,000	1,470,000	-	92,217,000
<b>Net Project Cost Estimates:</b>	7,090,944	4,556,381	4,556,381	37,979,000	35,893,000	16,875,000	1,470,000	-	92,217,000

**Newell Creek Pipeline Rehab/Replacement**

**Project Description:**

This Newell Creek Pipeline was constructed in the 1960s and extends from Newell Creek Dam to the Graham Hill Water Treatment Plant. The pipeline is experiencing increasing breaks attributed to a combination of age, pipe condition, and unstable geological conditions. This project includes a planning level assessment: hydraulic analysis, evaluation of alternative alignments, recommended phasing, prioritization, lifecycle cost analysis and a program-level environmental impact report. Planning analysis recommends replacement in at least 3 phases, each with their own capital project number and budget: Newell Creek Pipeline Felton/Graham Hill WTP, Newell Creek Pipeline Newell Creek Dam/Felton, and was created, in FY 20 to carry forward initial design and environmental analysis. Another project: "Brackney Landslide Area Pipeline Risk Reduction" is also proceeding into preliminary design, based a FEMA grant funding opportunity. (Project 2.2)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701701</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	605,915	802,895	802,895	61,000	110,000	-	-	-	171,000
<b>Net Project Cost Estimates:</b>	605,915	802,895	802,895	61,000	110,000	-	-	-	171,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

715- Water & Water System Development  
 Enterprise Fund

**Recycled Water - SDC**

**Project Description:**  
 SDC portion of c701611

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701612</b>	<b>Account # 715-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	169,645	86,547	86,547	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	169,645	86,547	86,547	-	-	-	-	-	-

**Recycled Water Feasibility Study**

**Project Description:**  
 Evaluate the feasibility of using treated wastewater for beneficial uses as per the recommendations of the Water Supply Advisory Committee. The Recycled Water Facilities Planning Study provided a high level assessment of the potential uses of treated wastewater from the City's Wastewater Treatment Facility. An additional study (Phase 2) will provide more detail about a shortlist of feasible projects and their ability to meet supply shortages. (Project 3.2)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701611</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	381,602	155,358	155,358	159,000	-	-	-	-	159,000
<b>Net Project Cost Estimates:</b>	381,602	155,358	155,358	159,000	-	-	-	-	159,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
 Enterprise Fund**

**River Bank Filtration Study**

**Project Description:**

This project assesses the feasibility of locating new riverbank filtration wells along the San Lorenzo River near two different existing surface water diversions at Tait and Felton. If found feasible, locations and design parameters for installation of vertical or horizontal wells would be recommended. Construction would be scheduled and budgeted in future years. (Project 4.5)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701806</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	289,657	431,810	440,899	-	243,000	714,000	381,000	1,950,000	3,288,000
<b>Net Project Cost Estimates:</b>	289,657	431,810	440,899	-	243,000	714,000	381,000	1,950,000	3,288,000

**Security Camera & Building Access Upgrades**

**Project Description:**

Continuation of the evaluation and implementation of security camera and building access upgrades at various water department facilities.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701704</b>	<b>Account # 711-70-91-7151-57302</b>								
<b>Project Cost Estimate:</b>	176,996	150,000	150,000	224,000	-	-	-	-	224,000
<b>Net Project Cost Estimates:</b>	176,996	150,000	150,000	224,000	-	-	-	-	224,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
 Enterprise Fund**

**Tait Diversion Rehab/Replacement**

**Project Description:**

Phase 1 includes initial visual condition assessment and preliminary engineering for diversion intake site (dam, intake structures, and fish passage) and, if needed, design and permitting services for near term rehabilitation. A future phase of work includes full condition assessment of the Coast Pump Station that will include alternatives to mitigate against flooding. (Project 1.3.1)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701903</b>	<b>Account # 711-70-91-7151-57302</b>								
<b>Project Cost Estimate:</b>	95,953	239,198	242,277	-	2,000	2,000	2,000	454,000	460,000
<b>Net Project Cost Estimates:</b>	95,953	239,198	242,277	-	2,000	2,000	2,000	454,000	460,000

**Transmission System Improvements-SDC**

**Project Description:**

Similar to c700002 Main Replacements, this project specifically funds water transmission mains, or pipes 10" or larger. This project is funded by System Development Charges (100% SDC – Fund 715).

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c700017</b>	<b>Account # 715-70-91-7151-57302</b>								
<b>Project Cost Estimate:</b>	967,615	915	915	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Misc non-operating revenue	-	1,109	1,109	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	967,615	(194)	(194)	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Water (EXISTING)

711- Water & Water System Development  
 Enterprise Fund

**University Tank No. 4 Rehab/Replacement**

**Project Description:**

Perform engineering analysis and condition assessment of the aging University No. 4 (U4) tank and associated piping to ensure reliable service. Project includes an alternatives analysis to consider installing a larger high-pressure pipeline to bypass the U4 tank and pump directly. Project will include condition assessment, design, and acquisition of easements, permitting, and construction. (Project 6.1)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701505</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	36,881	-	-	195,000	906,000	239,000	4,726,000	475,000	6,541,000
<b>Net Project Cost Estimates:</b>	36,881	-	-	195,000	906,000	239,000	4,726,000	475,000	6,541,000

**University Tank No. 5 Replacement**

**Project Description:**

Completed engineering analysis and replacement of the aging 2 Million Gallon University No. 5 tank to ensure continued reliable service. Project includes replacement of 800 feet of 12" water main in El Refugio Way, and construction of a 35,000 gallon maintenance tank to provide service during future inspection and maintenance. Except for final paving, all construction activities will be complete in Fiscal Year 2020. (Project 6.2)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701506</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	2,387,779	1,897,218	1,897,218	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	2,387,779	1,897,218	1,897,218	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
 Enterprise Fund**

**Water Program Administration and Contingency**

**Project Description:**

The City has contracted with HDR Inc., for 5 years to provide Program Management Services. As Program Manager, HDR supplements City staff and brings the additional technical and managerial resources required to implement an expanded Capital Investment Program. Funding for this project will also function as a contingency reserve to cover unplanned but predictable cost increases in any separate project under the Capital Investment Program.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c701901</b>									
									<b>Account # 711-70-91-7159-57302</b>
<b>Project Cost Estimate:</b>	-	2,041,457	3,532,701	8,670,000	9,190,000	8,066,000	5,811,000	3,038,000	34,775,000
<b>Net Project Cost Estimates:</b>	-	2,041,457	3,532,701	8,670,000	9,190,000	8,066,000	5,811,000	3,038,000	34,775,000

**Water Resources Building**

**Project Description:**

This project will provide for new office and storage space for the Watershed Resources Division that is currently housed in temporary trailers. Those trailers will be displaced by the upcoming projects at Graham Hill Water Treatment Plant. There is no available City-owned options so leased space options, are being reviewed.

	Prior Year	Fiscal Year 2020		FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
		Budgeted	Estimated Actuals						
<b>Project # c701702</b>									
									<b>Account # 711-70-91-7153-57302</b>
<b>Project Cost Estimate:</b>	31,290	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	31,290	-	-	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Water (EXISTING)**

**711- Water & Water System Development  
 Enterprise Fund**

**Water Supply Augmentation**

**Project Description:**

This CIP replaces projects c701402 & c701403 to capture various studies and analyses to support the evaluation of water supply alternatives (ASR, transfers, recycled water) to further the WSAC recommendations. (Project 3.1)

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c701705</b>	<b>Account # 711-70-91-7153-57302</b>								
<b>Project Cost Estimate:</b>	280,402	140,000	163,571	480,000	138,000	11,000	-	-	629,000
<b>Net Project Cost Estimates:</b>	280,402	140,000	163,571	480,000	138,000	11,000	-	-	629,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for Water & Water System Development Enterprise Fund (711 & 715) Totals**

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Total Project Cost Estimate:</b>	33,738,346	29,478,778	31,768,708	59,790,000	70,308,000	47,765,000	26,700,000	42,211,000	246,774,000
<b>Total Project Funding Estimate:</b>	-	9,242	9,242	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	33,738,346	29,469,535	31,759,465	59,790,000	70,308,000	47,765,000	26,700,000	42,211,000	246,774,000

**Water Totals for Water & Water System Development Enterprise Fund (711 & 715)**

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Total Project Cost Estimate:</b>	33,738,346	29,478,778	31,768,708	62,277,000	74,318,000	68,346,000	48,700,000	61,933,000	315,574,000
<b>Total Project Funding Estimate:</b>	-	9,242	9,242	-	-	-	-	-	-
<b>Total Net Project Cost Estimate:</b>	33,738,346	29,469,535	31,759,465	62,277,000	74,318,000	68,346,000	48,700,000	61,933,000	315,574,000

**Water Totals**

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Total Project Cost Estimate:</b>	33,738,346	29,478,778	31,768,708	62,277,000	74,318,000	68,346,000	48,700,000	61,933,000	315,574,000
<b>Total Net Project Cost Estimate:</b>	33,738,346	29,469,535	31,759,465	62,277,000	74,318,000	68,346,000	48,700,000	61,933,000	315,574,000

# City-wide Department Capital Investment Projects



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Citywide (EXISTING)

311- General Capital Improvement Fund

**Arena Capital Improvements**

**Project Description:**

Originally built as a temporary structure to be used by D league basketball Santa Cruz Warriors. Additional improvements needed to be used as facility for other local sports events.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c601402</b>	<b>Account # 311-10-00-9990-57290</b>								
<b>Project Cost Estimate:</b>	108,677	66,324	66,324	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	108,677	66,324	66,324	-	-	-	-	-	-

**CEC Grant - Building Energy Efficiency Advance**

**Project Description:**

The project will be to set up the installation of energy efficiency controls at City Hall Annex and PD facilities.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c401814</b>	<b>Account # 311-40-00-9410-57290</b>								
<b>Project Cost Estimate:</b>	101,291	447,117	447,117	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
Federal Capital Grants - CEC	-	426,672	426,672	-	-	-	-	-	-
Carbon Fund	27,000	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	74,291	20,445	20,445	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Citywide (EXISTING)

311- General Capital Improvement Fund

**City Hall Parking Lot Repairs**

**Project Description:**

The parking lots in the City Hall complex (Annex, Parks, Civic, Locust) have received very limited maintenance in the last 30 years and are in need of drainage improvements and pavement or concrete rehabilitation. The multi-year program is a phased approach to addressing this deferred maintenance. Storm water quality improvements will be incorporated where feasible. The Annex parking lot was completed concurrently with the solar carport project and included storm water quality improvements funded by Measure E. The Locust fleet lot is being repaired in F20 and includes new handicap parking and additional EV charger capability. The Parks and Recreation lot is proposed to be repaired in FY21 and the Civic lot in FY22.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c601301</b>	<b>Account # 311-40-00-9410-57290</b>								
<b>Project Cost Estimate:</b>	120,123	60,000	60,000	-	360,000	-	-	-	360,000
<b>Net Project Cost Estimates:</b>	120,123	60,000	60,000	-	360,000	-	-	-	360,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Citywide (EXISTING)

311- General Capital Improvement Fund

**Corp Yard Solar Replacement**

**Project Description:**

The Corporation Yard Main Building has a solar photovoltaic systems which was removed to reroof the building and to perform structural upgrades. This funding replaces the solar panels with more efficient panels. The replaced panels cannot be reused and are being donated to the UCSC & Cabrillo Technology Programs. A study was completed which recommended that an additional 55kw could be added to the roof to compliment this system, which is a separate project. The payback is 5 years. The project will be implemented following the seismic retrofit of the building, as a new roof is being/installed.

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals						
<b>Project # c601501</b>	<b>Account # 311-40-00-9410-57290</b>								
<b>Project Cost Estimate:</b>	18,377	237,624	237,624	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>Water Fund</b>	17,624	63,190	63,190	-	-	-	-	-	-
<b>Parking Fund</b>	470	4,066	4,066	-	-	-	-	-	-
<b>Equipment Fund</b>	282	43,875	43,875	-	-	-	-	-	-
<b>Refuse Fund</b>	-	91,645	91,645	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	1	34,848	34,848	-	-	-	-	-	-



City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Citywide (EXISTING)

311- General Capital Improvement Fund

**Corp Yard Stormwater Pollution Prevention**

**Project Description:**

The Stormwater Pollution Prevention Plan (SWPPP) for the Corporation Yard has identified storm water quality Best Management Practices (BMP's) and provides funding for implementation. Structural BMP's are required to capture oil and silt from the vehicle and material storage areas. A cover is needed for the open air equipment wash rack. Non-structural BMP's will include additional sweeping, monitoring and inspections.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c601701</b>	<b>Account # 311-40-00-9410-57311</b>								
<b>Project Cost Estimate:</b>	83,014	157,486	157,486	200,000	-	-	-	-	200,000
<b>Project Funding Estimates:</b>									
Water Fund	17,775	37,625	37,625	96,500	-	-	-	-	96,500
Refuse Fund	54,538	63,487	63,487	76,500	-	-	-	-	76,500
Equipment Fund	8,319	12,000	12,000	15,000	-	-	-	-	15,000
Parking Fund	2,382	9,218	9,218	12,000	-	-	-	-	12,000
From General Fund	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	-	35,156	35,156	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Citywide (EXISTING)

311- General Capital Improvement Fund

**Corporation Yard Main Bldg Seismic**

**Project Description:**

The Corporation Yard Main Building was constructed in 1966 and has been modified over the years. It currently houses Fleet, Water Operations, Public Works Operations, Building Maintenance, Street Maintenance, Traffic Maintenance and Parking Maintenance, and is an important emergency operations deployment center. The need to upgrade the buildings life-safety performance during a seismic event was identified in the Corporation Yard Master Plan. The seismic stability and retrofit strategies study for the building was done in FY12; the design initiated in FY 14 and construction started in FY17 and will be completed in FY21. Costs have increased due to additional structural and ADA requirements.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c601101</b>	<b>Account # 311-40-00-9410-57290</b>								
<b>Project Cost Estimate:</b>	2,757,868	156,356	156,356	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
<b>Parking Fund</b>	163,439	1,600	1,600	-	-	-	-	-	-
<b>Water Fund</b>	467,424	13,600	13,600	-	-	-	-	-	-
<b>Equipment Fund</b>	93,290	-	-	-	-	-	-	-	-
<b>Refuse Fund</b>	2,604	800	800	-	-	-	-	-	-
<b>Workers Comp Fund</b>	1,336,053	31,200	31,200	-	-	-	-	-	-
<b>From General Fund</b>	95,565	32,800	32,800	-	-	-	-	-	-
<b>Loan proceeds</b>	42,224	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	557,269	76,356	76,356	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Citywide (EXISTING)

311- General Capital Improvement Fund

**Neighborhood Grant Program - Pilot**

**Project Description:**

Pilot Program - Opportunities for communities to volunteer and to initiate local projects which support safe and well-maintained neighborhoods and public spaces.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # c601401</b>	<b>Account # 311-10-00-9810-57390</b>								
<b>Project Cost Estimate:</b>	5,287	19,713	19,713	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	5,287	19,713	19,713	-	-	-	-	-	-

**Public Facilities - Maintenance**

**Project Description:**

Provides funding for remodeling and/or repairs to various public buildings and will be prioritized based on a facilities conditions assessment (c601302) that has been completed and approved by City Council. The City received a CEC loan which funded approximately \$2.0 million in energy saving projects allocated to many city-wide projects and that has almost entirely been expended. Additional general funds are needed for ongoing building maintenance.

	Fiscal Year 2020								
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
<b>Project # m609195</b>	<b>Account # 311-40-00-9410-57290</b>								
<b>Project Cost Estimate:</b>	703,687	192,645	192,645	-	400,000	200,000	200,000	200,000	1,000,000
<b>Project Funding Estimates:</b>									
Loan proceeds	751,081	-	-	-	-	-	-	-	-
From General Fund	-	-	-	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	(47,394)	192,645	192,645	-	400,000	200,000	200,000	200,000	1,000,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Citywide (EXISTING)

311- General Capital Improvement Fund

San Lorenzo River Lagoon Management Program

**Project Description:**

Three to five year management program to address public and private infrastructure flooding that results from high waters on the San Lorenzo River during the summer months, while mitigating impacts to wildlife habitat.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c601403</b>	<b>Account # 311-40-00-9145-57106</b>								
<b>Project Cost Estimate:</b>	801,863	2,790,387	2,790,387	-	-	-	-	-	-
<b>Project Funding Estimates:</b>									
State capital grants-STIP	-	-	-	-	-	-	-	-	-
State capital grants	71,355	189,496	189,496	-	-	-	-	-	-
From General Fund	45,000	-	-	-	-	-	-	-	-
Contributions - businesses	50,000	-	-	-	-	-	-	-	-
State capital grants - CDFW	-	2,500,000	2,500,000	-	-	-	-	-	-
Storm Water Fund	60,500	-	-	-	-	-	-	-	-
Storm Water Overlay Fund	45,600	161,000	161,000	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	529,408	(60,109)	(60,109)	-	-	-	-	-	-

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

Citywide (EXISTING)

311- General Capital Improvement Fund

Space Utilization Plan for City Hall

**Project Description:**

Space Utilization Design for City Hall. previous funding was committed to remodeling the Annex and relocating Current Planning, Code Enforcement and Building to Downstairs and Future Planning and Housing upstairs. Includes ADA improvements to Downstairs restroom and parking lot. FY21 provides funding to modifying the City Manger/Clerk/IT section to improve space efficiency.

	Fiscal Year 2020								Total 2021 - 2025
	Prior Year	Budgeted	Estimated Actuals	FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	
<b>Project # c101701</b>	<b>Account # 311-10-11-9410-57203</b>								
<b>Project Cost Estimate:</b>	858,683	704,673	704,673	-	100,000	-	-	-	100,000
<b>Project Funding Estimates:</b>									
Loan proceeds	-	607,029	607,029	-	-	-	-	-	-
Federal Capital Grants - CEC	-	97,000	97,000	-	-	-	-	-	-
City Public Trust Fund	378,000	70,162	70,162	-	-	-	-	-	-
<b>Net Project Cost Estimates:</b>	480,683	(69,518)	(69,518)	-	100,000	-	-	-	100,000

City of Santa Cruz  
 Adopted Capital Investment Program Budget (by department)  
 Fiscal Years 2021 - 2025

**Existing Capital Projects for General Capital Improvement Fund (311) Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	5,558,870	4,832,325	4,832,325	200,000	860,000	200,000	200,000	200,000	1,660,000
<b>Total Project Funding Estimate:</b>	3,730,525	4,456,465	4,456,465	200,000	-	-	-	-	200,000
<b>Total Net Project Cost Estimate:</b>	1,828,345	375,860	375,860	-	860,000	200,000	200,000	200,000	1,460,000

**Citywide Projects Totals for General Capital Improvement Fund (311)**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	5,558,870	4,832,325	4,832,325	200,000	860,000	200,000	200,000	200,000	1,660,000
<b>Total Project Funding Estimate:</b>	3,730,525	4,456,465	4,456,465	200,000	-	-	-	-	200,000
<b>Total Net Project Cost Estimate:</b>	1,828,345	375,860	375,860	-	860,000	200,000	200,000	200,000	1,460,000

**Citywide Totals**

	Fiscal Year 2020			FY 2021 Estimate	FY 2022 Estimate	FY 2023 Estimate	FY 2024 Estimate	FY 2025 Estimate	Total 2021 - 2025
	Prior Year Totals	Budget	Estimated Actuals						
<b>Total Project Cost Estimate:</b>	5,558,870	4,832,325	4,832,325	200,000	860,000	200,000	200,000	200,000	1,660,000
<b>Total Project Funding Estimate:</b>	3,730,525	4,456,465	4,456,465	200,000	-	-	-	-	200,000
<b>Total Net Project Cost Estimate:</b>	1,828,345	375,860	375,860	-	860,000	200,000	200,000	200,000	1,460,000